

# Tuning the GENIE interaction model to MINERvA data

<https://arxiv.org/abs/1903.01558>

90% Patrick Stowell's work



UNIVERSITY of  
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**DUNE**  
DEEP UNDERGROUND  
NEUTRINO EXPERIMENT

**T2K**



Clarence Wret  
Rochester long update  
10 June 2019



# Introduction

- You're (almost) all on the author list

## Tuning the GENIE Pion Production Model with MINERvA Data

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(The MINERvA Collaboration)

- Largely Patrick Stowell's (Sheffield) work during his NPC at FNAL with MINERvA, summer 2017
- Used NUISANCE with GENIE and MINERvA data to tune and develop an empirical single pion production model

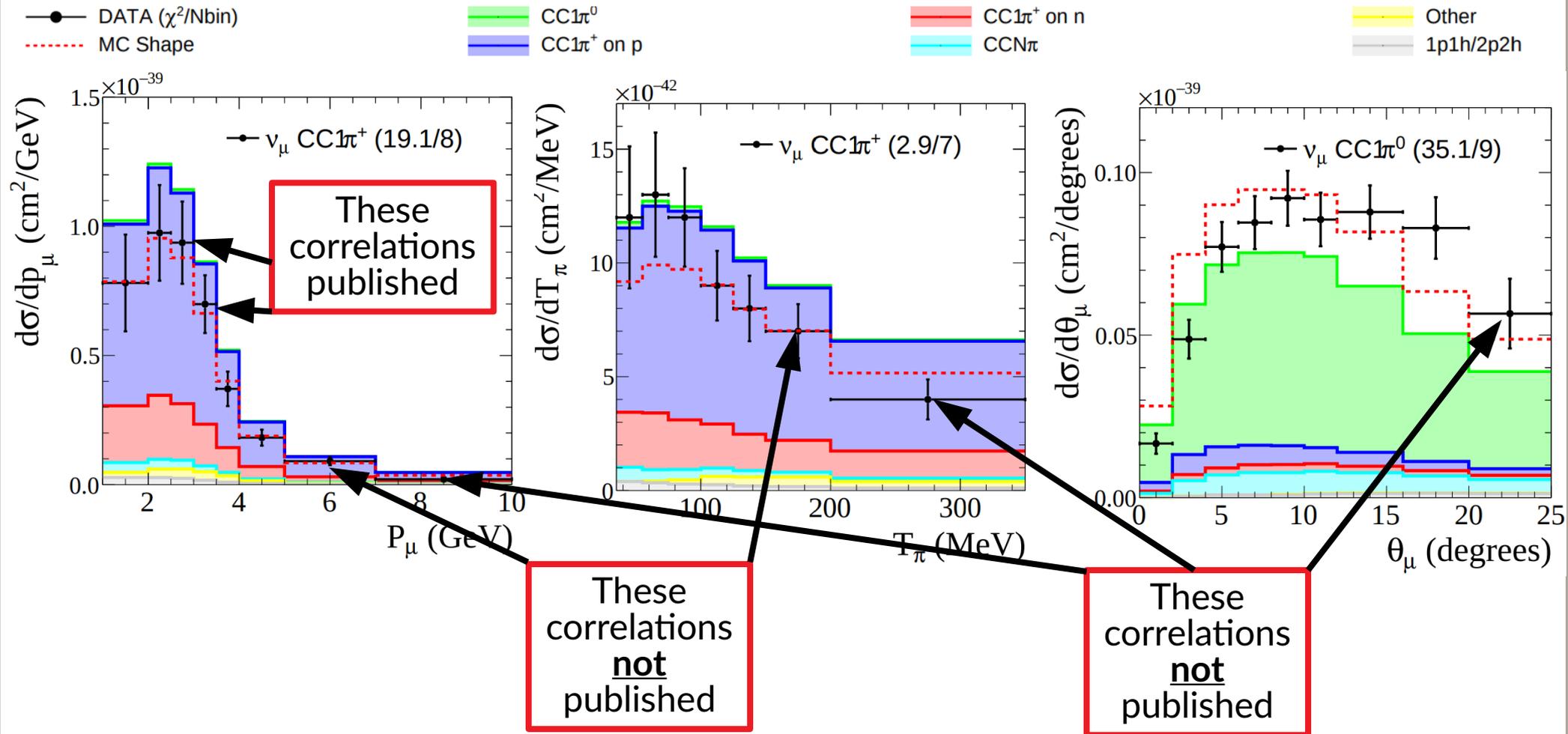
# Method

- MINERvA's been pumping out publications
- T2K has been using MINERvA data to inform model choices, e.g. CCQE and single pion production
- We tried to combine information in all pion production data by MINERvA and tune GENIE

Channel	$\nu_\mu \text{CC}1\pi^\pm$ [19]	$\nu_\mu \text{CC}N\pi^\pm$ [20]	$\nu_\mu \text{CC}1\pi^0$ [21]	$\bar{\nu}_\mu \text{CC}1\pi^0$ [20]
$N_{\text{bins}} p_\mu$	8	9	8	9
$N_{\text{bins}} \theta_\mu$	9	9	9	9
$N_{\text{bins}} T_\pi$	7	7	7	7
$N_{\text{bins}} \theta_\pi$	14	14	11	11
$N_{\text{bins}} \text{ total}$	38	39	35	36
Signal definition	$1\pi^\pm, \geq 0\pi^0$ $1\mu^-$ $W_{\text{rec}} < 1.4 \text{ GeV}$ —	$> 0\pi^\pm, \geq 0\pi^0$ $1\mu^-$ $W_{\text{rec}} < 1.8 \text{ GeV}$ —	$1\pi^0, 0\pi^\pm$ $1\mu^-$ $W_{\text{rec}} < 1.8 \text{ GeV}$ $\theta_\mu < 25^\circ$	$1\pi^0, 0\pi^\pm$ $1\mu^+$ $W_{\text{rec}} < 1.8 \text{ GeV}$ —

# Correlations, eurgh

- All data (so far) are single dimension cross-sections



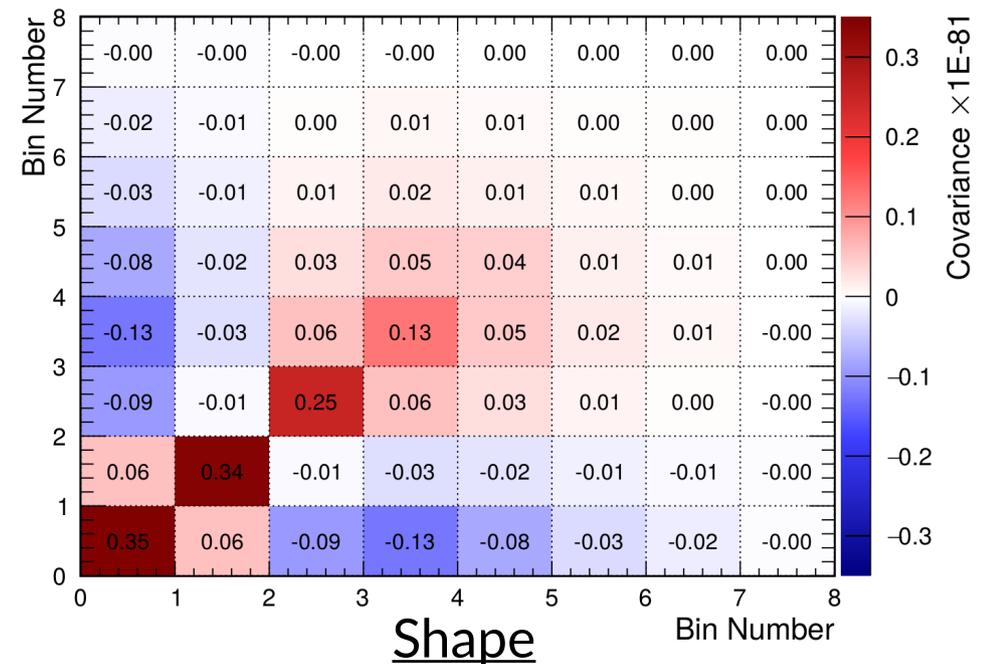
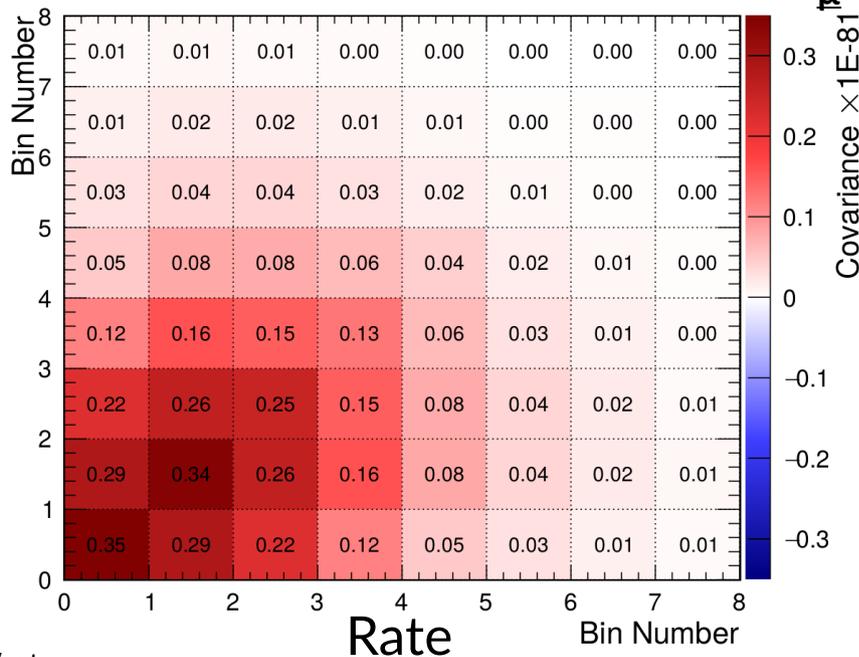
- Have correlations for each individual distribution
- No cross-correlations between distributions

# Cross-correlations

- Fine, some correlations are missing; do we care?
- Yes!  $CC1\pi^0$  is  $CC1\pi^+$  background and vice versa
  - Sideband tuning in one is signal in the other
- $CC1\pi^+$  is sub-sample of  $CCN\pi^+$
- Flux uncertainties largely the same
- Detector/reconstruction largely the same

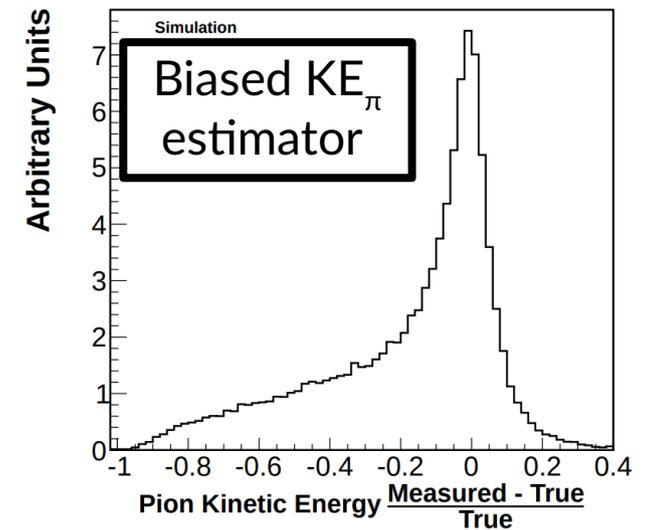
Largest source of strong rate correlation is the flux

## $CC1\pi^+$ $\rho_\mu$ covariance

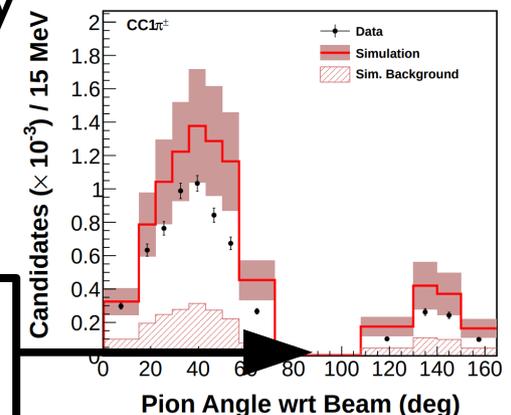


# Cross-correlations

- Only correct way is to re-run analyses simultaneously, keeping track of the correlated universes
  - No volunteers, so wasn't done
- Pick a distribution which controls the normalisation (rate), use the others as shape
  - We chose  $p_\mu$  because
    - ♦ Clean in MINERvA
    - ♦ Pretty flat efficiency
    - ♦ Pretty good smearing
    - ♦ Largely insensitive to shape variations
- Chose to use one  $p_\mu$  distribution per topology
  - Could've done one  $p_\mu$  in total?
- Doesn't fully mitigate problem



Holes of efficiency in  $\theta_{\nu\pi}$



# Pause for air

- If you're keen on making a big impact



Release cross-correlations in your measurement

- People will misinterpret your data and make wrong conclusions about modelling if not
- (We've been saying this for years, everyone agrees it needs to be done, but no one does it)



# Applying nucleon parameters

- Chose a decent set of GENIE systematics to weight in
  - $M_A^{res}$ ,  $CC_{RES}^{Norm}$ , Non-Res Norm,  $2\pi$  norm
- Apply tuning from ANL/BNL tuning by Phil, Callum, Kevin  
<https://arxiv.org/abs/1601.01888>

CC1 $\pi^0$  is uniformly worse

All  $\theta_\mu$  shape distributions are worse

Tensions in applying nucleon fits to nuclear data

Distribution	Channel	N <sub>bins</sub>	Default	ANL/BNL
$p_\mu$ (Rate)	$\nu_\mu CC1\pi^+$	8	19.1	13.8
	$\nu_\mu CCN\pi^+$	9	35.4	19.5
	$\nu_\mu CC1\pi^0$	8	11.1	19.6
	$\bar{\nu}_\mu CC1\pi^0$	9	7.4	6.4
$\theta_\mu$ (Shape)	$\nu_\mu CC1\pi^+$	9	7.1	12.4
	$\nu_\mu CCN\pi^+$	9	4.5	10.4
	$\nu_\mu CC1\pi^0$	9	35.1	71.5
	$\bar{\nu}_\mu CC1\pi^0$	9	9.3	14.0
$T_\pi$ (Shape)	$\nu_\mu CC1\pi^+$	7	2.9	2.6
	$\nu_\mu CCN\pi^+$	7	39.8	34.7
	$\nu_\mu CC1\pi^0$	7	28.3	31.4
	$\bar{\nu}_\mu CC1\pi^0$	7	19.3	17.9
$\theta_\pi$ (Shape)	$\nu_\mu CC1\pi^+$	14	25.4	26.5
	$\nu_\mu CCN\pi^+$	14	11.7	11.1
	$\nu_\mu CC1\pi^0$	11	13.5	15.0
	$\bar{\nu}_\mu CC1\pi^0$	11	5.7	5.9
Total $\chi^2$		148	275.6	312.7

Rate  $\chi^2$  improves?

Pretty much everything else gets worse

Total  $\chi^2$  is awful with and without



Not very surprising

We've seen this numerous times before (e.g. initial state, RPA, 2p2h, FSI...)

Oftentimes, un-modelled nuclear effects to blame

How do we "fix" it?



# Fitting, part I



- Maybe it's all in FSI parameters?
- Apply a penalty on nucleon parameters from ANL/BNL tuning, no penalty on remaining parameter

Parameter	Default Value	GENIE-RW Name
CC Resonant Axial Mass ( $M_A^{\text{res}}$ )	$1.12 \pm 0.22$ GeV	MaCCRES
CC Resonant Normalization (NormRes)	$100 \pm 20$ %	NormCCRES
CC1 $\pi$ Nonresonant Normalization (NonRes1 $\pi$ )	$100 \pm 50$ %	NonRESBGvnCC1pi NonRESBGvpCC1pi NonRESBGvbarnCC1pi NonRESBGvbarpCC1pi
<b>Nucleon parameters from ANL/BNL</b>		
CC2 $\pi$ Nonresonant Normalization (NonRes2 $\pi$ )	$100 \pm 50$ %	NonRESBGvnCC2pi NonRESBGvpCC1pi NonRESBGvbarnCC1pi NonRESBGvbarpCC1pi
<b>Freely fitted parameters</b>		
Pion Angular Emission ( $\pi$ -iso)	0 (RS)	Theta_Delta2Npi
Pion Absorption FSI Fraction (FrAbs)	$100 \pm 30$ %	FrAbs_pi
Pion Inelastic FSI Fraction (FrInel)	$100 \pm 40$ %	FrInel_pi

# Fitting, part I

- Hold on, two FSI parameters?! Well spotted!

Pion Absorption FSI Fraction (FrAbs)  $100 \pm 30 \%$  FrAbs\_pi

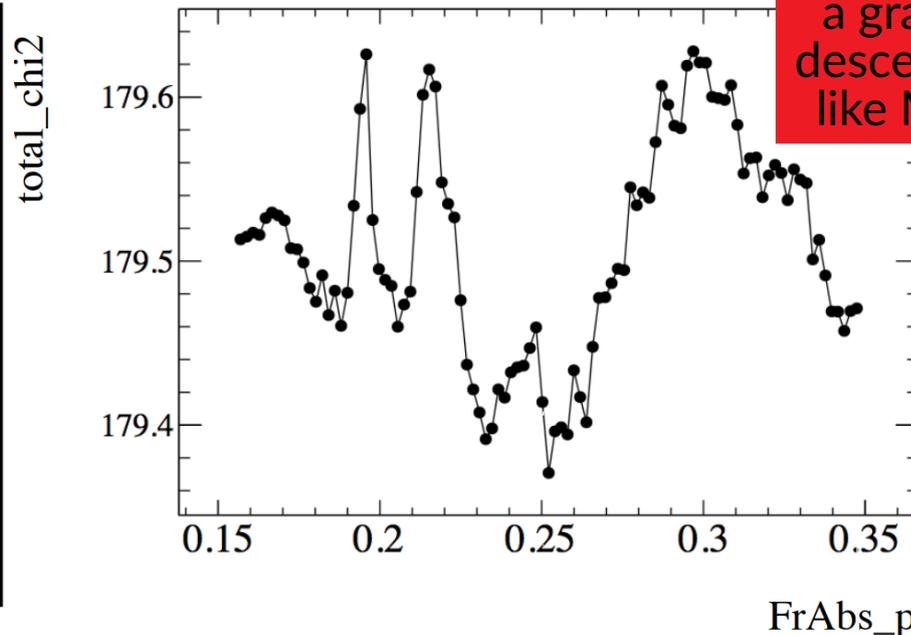
Pion Inelastic FSI Fraction (FrInel)  $100 \pm 40 \%$  FrInel\_pi

- Initially tried fitting all FSI parameters simultaneously
- Tiny errors from strange behaviour in the test-statistic
  - Not present when varying one FSI parameter at a time
  - Or any other parameter simultaneously

```

jointPION-kinematic-fit
MARES : 0.93 ± 0.02 (GeV)
NormRES : 114.0 ± 3.0 %
NormDIS : 46.2 ± 3.7 %
Theta_Delta2Npi : 1.0 ± 0.0 σ
MINERvARW_MINOSRPA_Apply : 1.0 ± 0.0 σ
FrCEX_pi : 1.332 ± 0.0061 σ
FrInel_pi : 0.9481 ± 0.0047 σ
FrAbs_pi : 0.2522 ± 0.0192 σ
FrPiProd_pi : 0.1226 ± 0.005 σ
NormDIS2PI : 93.8 ± 31.9 %
CHI2 : 182.686
NDOF : 115.0
CHI2/NDOF : 1.589
    
```

} Tiny errors



Very very  
difficult for  
a gradient  
descent algo  
like Minuit



# Fitting, part I



- GENIE authors contacted, and this is apparently intended
- Tries to maintain pion-nucleus scattering cross-section by varying cushion terms to hard-coded precision
  - Simultaneous fit thrown out the window
  - Beware when making splines of FSI parameters I guess?
- Decided to evaluate which parameters had largest effect on total  $\chi^2$  and use it as only FSI parameter being fit
  - Serious problem with this paper; limitation of generator
- Inelastic scattering and pion absorption were largest effects
  - The other fits had the pion parameters move to +300%; test-statistic had poor sensitivity
  - The other parameters always converged to similar values, so not too concerned that we're cherry-picking

# Fitting, part I

- Both abs and inel fits converge to similar parameter values and test-statistics, with clear improvements

Parameter	Default Value	ANL/BNL Value	FrAbs Fit Result	FrInel Result
$M_A^{\text{res}}$ (GeV)	$1.12 \pm 0.22$	$0.94 \pm 0.05$	$1.07 \pm 0.04$	$1.08 \pm 0.04$
NormRes (%)	$100 \pm 30$	$115 \pm 7$	$94 \pm 6$	$92 \pm 6$
NonRes $1\pi$ (%)	$100 \pm 50$	$43 \pm 4$	$44 \pm 4$	$44 \pm 4$
NonRes $2\pi$ (%)	$100 \pm 50$	-	$166 \pm 32$	$161 \pm 33$
$\pi$ -iso	0 = RS	-	1 = Iso (limit)	1 = Iso (limit)
FrAbs (%)	$100 \pm 30$	-	$109 \pm 16$	-
FrInel (%)	$100 \pm 40$	-	-	$109 \pm 24$
MINER $\nu$ A $\chi^2$	275.6	312.7	242.3	240.7
$\chi_{\text{pen}}^2$	299.3	0.0	9.3	11.1
Total $\chi^2$	574.8	312.7	251.6	251.8
$N_{\text{DoF}}$	148	148	145	145

- As expected, ANL/BNL parameters are contended in the fit
- Moves closer to the GENIE nominal, except for the background

# Fitting, part I

- Compared results from individual cross-section topologies

Parameter	$\nu_\mu \text{CC}1\pi^+$	$\nu_\mu \text{CC}N\pi^+$	$\nu_\mu \text{CC}1\pi^0$	$\bar{\nu}_\mu \text{CC}1\pi^0$
$M_A^{\text{res}}$ (GeV)	$0.97 \pm 0.05$	$0.97 \pm 0.05$	$1.02 \pm 0.05$	$0.96 \pm 0.05$
NormRes (%)	$110 \pm 7$	$110 \pm 7$	$104 \pm 7$	$111 \pm 7$
NonRes1 $\pi$ (%)	$43 \pm 4$	$42 \pm 4$	$44 \pm 4$	$43 \pm 4$
NonRes2 $\pi$ (%)	300 (limit)	$99 \pm 30$	300 (limit)	300 (limit)
$\pi$ -iso	1 = Iso (limit)			
FrAbs (%)	$156 \pm 53$	$128 \pm 34$	$126 \pm 17$	$82 \pm 31$
MINER $\nu$ A $\chi^2$	36.6	64.1	92.3	34.6
$\chi_{\text{pen}}^2$	0.5	0.7	3.2	0.3
Total $\chi^2$	37.1	64.8	95.5	34.9
$N_{\text{DoF}}$	35	36	32	33

- CC1 $\pi^0$  channel does not agree well with prior
- Parameters largely agree for the fits, no huge pulls
  - NonRes2 $\pi$  barely has an effect, which is why +300%



# Have we learnt anything?

Arguably, yes.

ANL/BNL prior does not agree with data

Largest pull from  $CC1\pi^0$

Be careful with GENIE

Be careful with your priors



# Fitting, part II



- MINOS and MiniBooNE have both seen this before
  - MINOS imposed an empirical  $Q^2$  dependent tuning
- NOvA currently see this
  - Apply the RPA correction from CCQE
- Empirical  $Q^2$  dependent tuning could absorb missing nuclear effect, but difficult to diagnose where it is from
  - There's so much missing in single pion production models
- Develop our own form for the  $Q^2$  dependent suppression

$$w(Q^2) = 1 - (1 - R_1)(1 - R(Q^2))^2$$

$$R(Q^2 < x_3) = \frac{R_2(Q^2 - x_1)(Q^2 - x_3)}{(x_2 - x_1)(x_2 - x_3)} + \frac{(Q^2 - x_1)(Q^2 - x_2)}{(x_3 - x_1)(x_3 - x_2)}$$

In fancy language, this is a Lagrange interpolating function in  $Q^2$

Cut-offs at  $x_1, x_2, x_3$ ;  
tune  $R_1$  and  $R_2$

# Fitting, part II

- Including the  $Q^2$ -dependent suppression alleviates the tension with the ANL and BNL tuning

Parameter	FrAbs Tune	FrAbs + low- $Q^2$ Tune	FrInel Tune	FrInel + low- $Q^2$ Tune
$M_A^{\text{res}} (GeV)$	$1.07 \pm 0.04$	$0.92 \pm 0.02$	$1.08 \pm 0.04$	$0.93 \pm 0.05$
NormRes (%)	$94 \pm 6$	$116 \pm 3$	$92 \pm 6$	$116 \pm 7$
NonRes $1\pi$ (%)	$43 \pm 4$	$46 \pm 4$	$44 \pm 4$	$46 \pm 4$
NonRes $2\pi$ (%)	$166 \pm 32$	$99 \pm 31$	$161 \pm 33$	$120 \pm 32$
$\pi$ -iso	1.0 (limit)	1.0 (limit)	1.0 (limit)	1.0 (limit)
FrAbs (%)	$109 \pm 16$	$48 \pm 21$	-	-
FrInel (%)	-	-	$109 \pm 24$	$132 \pm 27$
Lag. $R_1$	-	$0.32 \pm 0.06$	-	$0.37 \pm 0.09$
Lag. $R_2$	-	0.5 (limit)	-	$0.60 \pm 0.16$
MINERvA $\chi^2$	242.3	212.2	240.7	215.7
$\chi_{\text{pen}}^2$	9.3	0.7	11.1	0.5
Total $\chi^2$	251.6	212.9	251.8	216.2
$N_{\text{DoF}}$	145	143	145	143

- And improves the  $\chi^2$  from the MINERvA data-sets
- Absorption and inelastic tune  $\sim$ agree, although  $R_2$  is limited
  - Still not an awesome  $\chi^2$ , and tension may be artificially relieved

# Fitting, part II

- Looking at individual distributions  $\chi^2$ 
  - Appears to be  $1\pi^+ 1\pi^0$  tension in FrInel not present in FrAbs

Distribution	Channel	N <sub>bins</sub>	FrAbs Tune	FrAbs + low- $Q^2$ Tune	FrInel Tune	FrInel + low- $Q^2$ Tune
$p_\mu$ (Rate)	$\nu_\mu CC1\pi^\pm$	8	12.0	10.8	12.3	10.9
	$\nu_\mu CCN\pi^\pm$	9	26.1	16.2	26.8	17.9
	$\nu_\mu CC1\pi^0$	8	19.0	26.2	19.3	26.9
	$\bar{\nu}_\mu CC1\pi^0$	9	6.2	7.1	6.3	7.2
$\theta_\mu$ (Shape)	$\nu_\mu CC1\pi^\pm$	9	7.5	7.4	7.4	7.1
	$\nu_\mu CCN\pi^\pm$	9	4.0	6.3	4.1	5.6
	$\nu_\mu CC1\pi^0$	9	44.5	20.0	45.6	20.5
	$\bar{\nu}_\mu CC1\pi^0$	9	10.2	7.0	10.3	6.9
$T_\pi$ (Shape)	$\nu_\mu CC1\pi^\pm$	7	2.5	2.5	2.3	2.4
	$\nu_\mu CCN\pi^\pm$	7	31.2	28.9	29.4	27.7
	$\nu_\mu CC1\pi^0$	7	30.9	27.1	29.9	32.0
	$\bar{\nu}_\mu CC1\pi^0$	7	16.6	15.7	16.0	18.7
$\theta_\pi$ (Shape)	$\nu_\mu CC1\pi^\pm$	14	13.0	13.4	12.6	12.6
	$\nu_\mu CCN\pi^\pm$	14	6.9	7.0	6.2	6.3
	$\nu_\mu CC1\pi^0$	11	8.3	12.2	8.9	9.4
	$\bar{\nu}_\mu CC1\pi^0$	11	3.4	4.4	3.5	3.7
Total $\chi^2$		148	242.3	212.2	240.7	215.7

- Being able to tune just one FSI parameter is limitation

# Fitting, part II

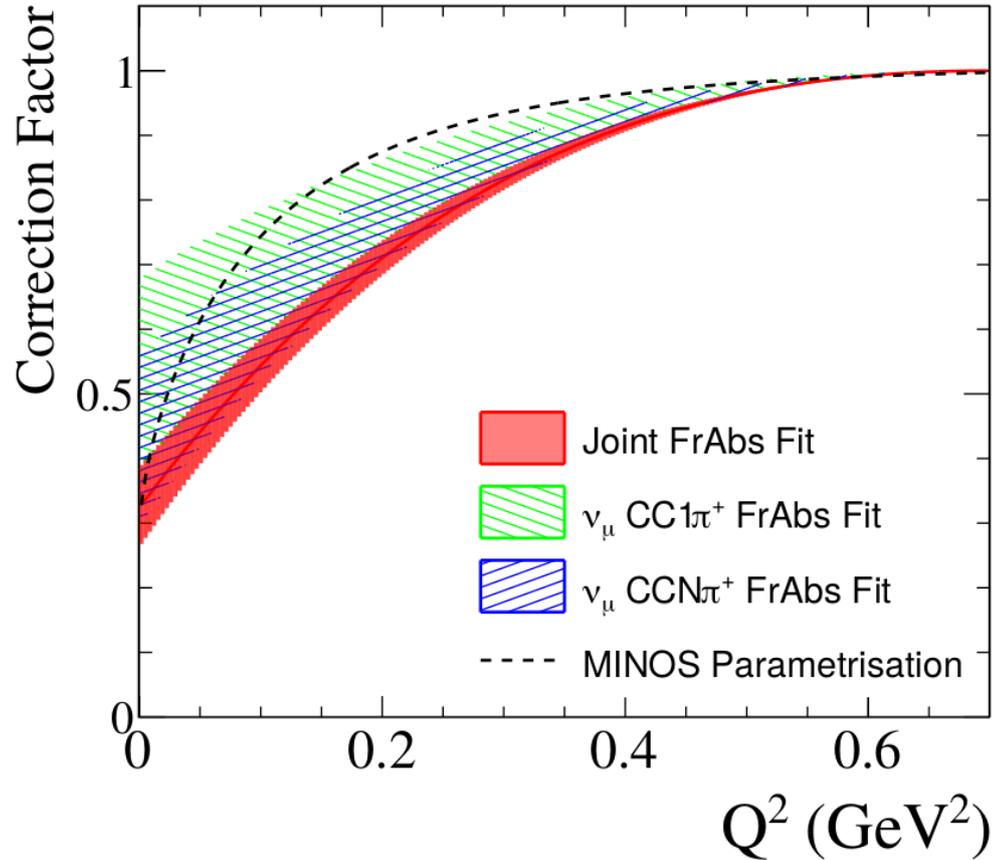
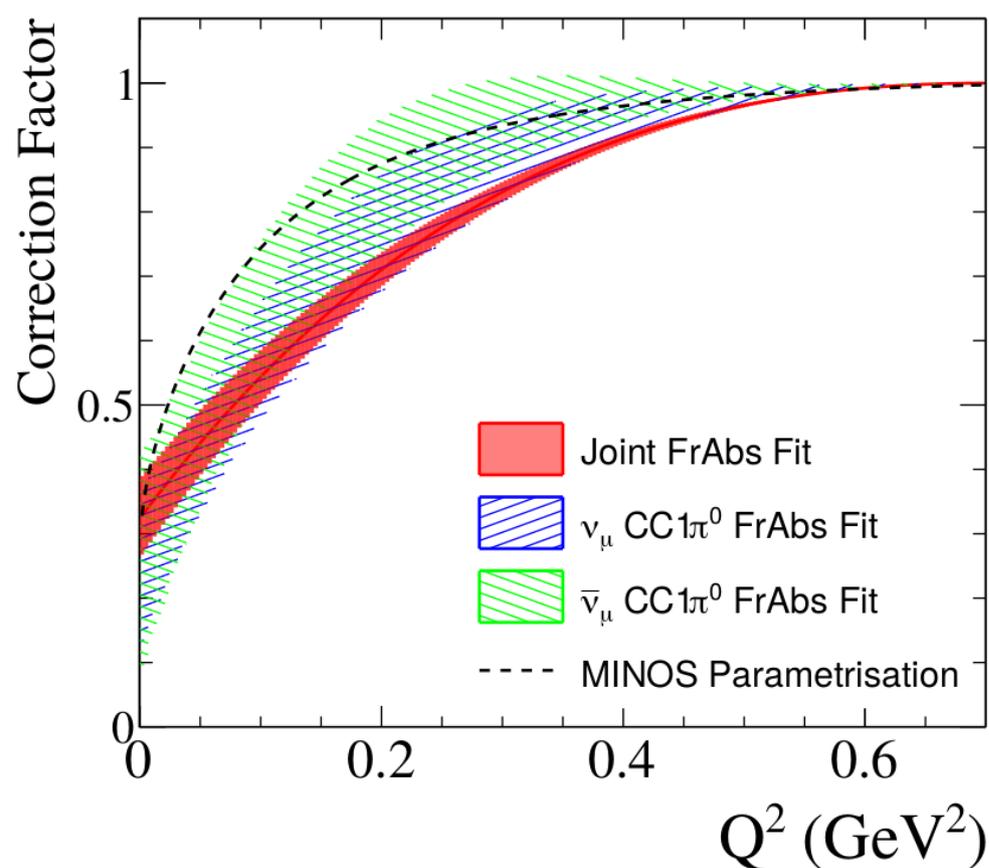
- ANL/BNL penalty term steers the nucleon parameters
  - Mismodelling absorbed in very different  $R_1$  and  $R_2$

Parameter	$\nu_\mu \text{CC}1\pi^+$	$\nu_\mu \text{CC}N\pi^+$	$\nu_\mu \text{CC}1\pi^0$	$\bar{\nu}_\mu \text{CC}1\pi^0$
$M_A^{\text{res}}$ (GeV)	$0.93 \pm 0.02$	$0.92 \pm 0.02$	$0.96 \pm 0.05$	$0.94 \pm 0.05$
NormRes (%)	$115 \pm 3$	$117 \pm 3$	$114 \pm 7$	$115 \pm 7$
NonRes1 $\pi$ (%)	$43 \pm 4$	$43 \pm 4$	$45 \pm 4$	$43 \pm 4$
NonRes2 $\pi$ (%)	300 (limit)	$70 \pm 28$	300 (limit)	300 (limit)
$\pi$ -iso	1 = Iso (limit)			
FrAbs (%)	$92 \pm 65$	$79 \pm 40$	$74 \pm 22$	$34 \pm 35$
Lag. $R_1$	$0.53 \pm 0.16$	$0.43 \pm 0.13$	$0.21 \pm 0.14$	$0.14 \pm 0.22$
Lag. $R_2$	0.50 (limit)	0.50 (limit)	$0.63 \pm 0.31$	1.00 (limit)
MINER $\nu$ A $\chi^2$	32.2	55.7	71.2	27.7
$\chi_{\text{pen}}^2$	0.1	0.4	0.5	0.0
Total $\chi^2$	32.3	56.1	71.7	27.7
$N_{\text{DoF}}$	33	34	30	31

- At times at the limit for  $R_2$
- Not enough power in data to constrain all of these parameters?

# Fitting, part II

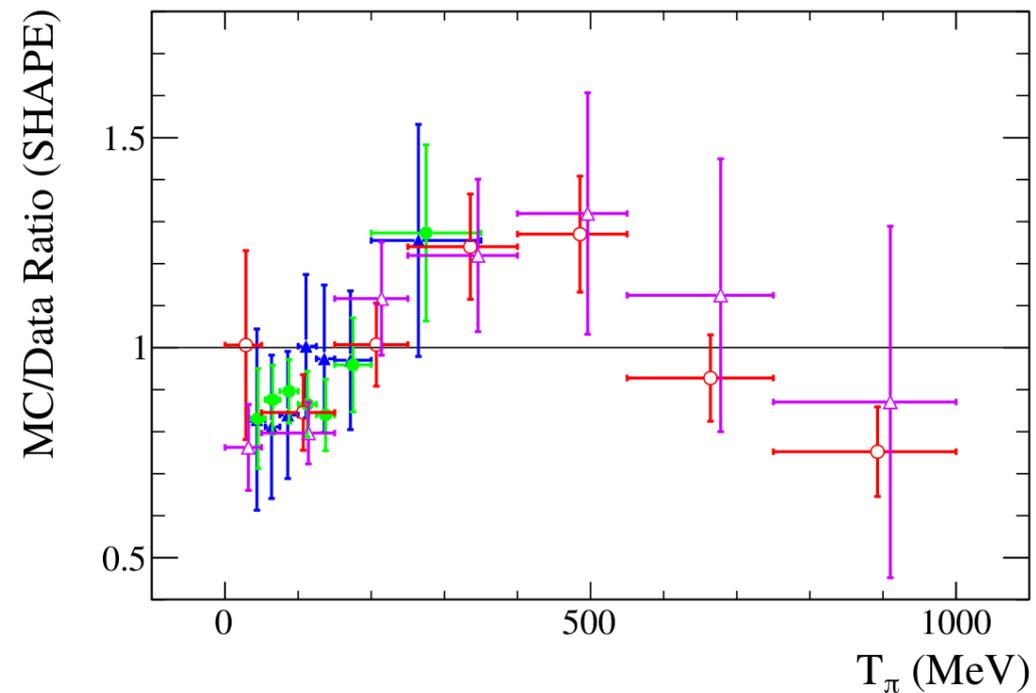
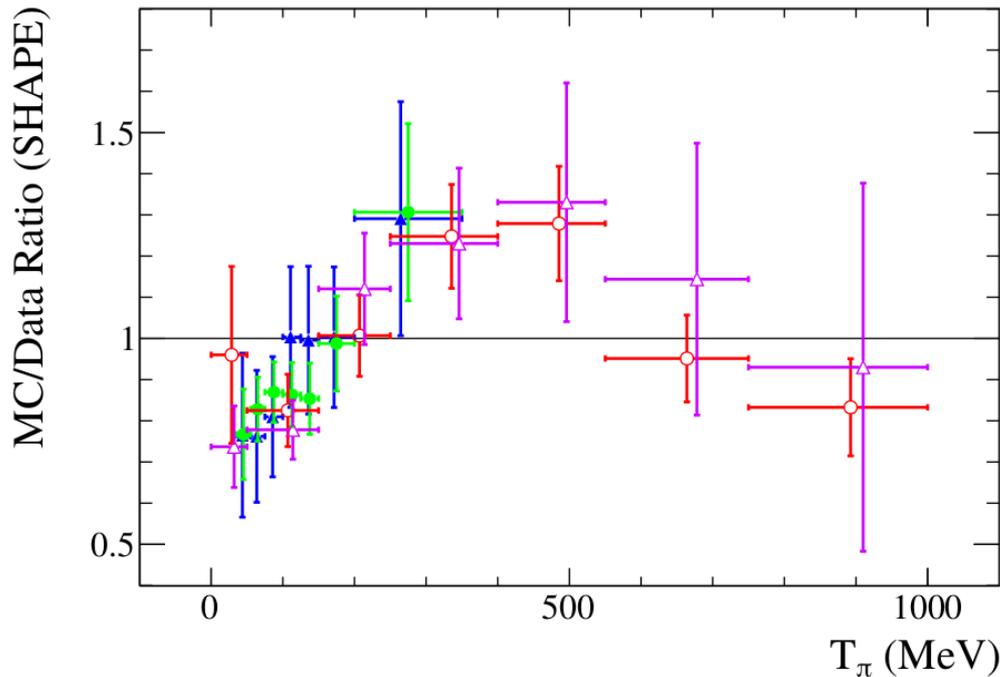
- Extracted  $Q^2$  correction similar to MINOS



- Charged pion and neutral pion channels are similar to each other and the job fit error band
- Clearly doesn't do a perfect job!

# Fitting, part II

- Less awesome is that the pion distributions are largely invariant to the tune; have this problem on T2K too
- Kind of expected since we've changed nucleon physics and made a  $Q^2$  tuning
  - Nothing explicitly working on the pions other than FSI and (non-)isotropic parameter



**Guess which plot is before  
and after tuning?**



# Conclusions



- Used MINERvA data to tune GENIE single pion production
- Tuning to nucleon level data worsens the prediction
- Tuning the nucleon level parameters with pion FSI added pulls the nucleon closer to GENIE nominal: clear tension
- CC1 $\pi^0$  data in tension with other distributions
- Introduce  $Q^2$  dependent correction, looking for a nuclear effect
- Alleviates tension with nucleon tune, but far from perfect
  
- Pion variables still aren't well explained
- Only as valid as the method
  - Lacking cross-correlations lead to somewhat arbitrary data selection and where the test-statistic comes from
  - Pion FSI parameters in GENIE meant only one-at-a-time FSI parameter tuning was possible



# Thanks!