

## Supplementary material

This material contains an extended discussion of the energetics (Sec. 2) and tables containing data in support of the Figures.

### Notes on Sec. 2

Earth's climate system is highly complex, but for the purpose of answering rather general questions based on conservation of energy it may be described as follows. It lies between two concentric spheres, an outer one that surrounds the whole system at an altitude of 20 km and an inner one that is beneath the deepest ocean (11 km). It may be divided into four broadly homogeneous components, the atmosphere (A), the land and shelves (L), the upper ocean (O), and the deep ocean (O'), shown schematically in Figure S-1. (Figures and Tables in this Material are designated S-1, S-2, etc.) The average ocean depth is 3800 m.

The principle of conservation of energy provides a strong connection between the energy fluxes through the two spheres and the rate of change of total energy within the CS. The connection reveals a remarkably high correlation between the variations of solar irradiance and the upper ocean heat content on annual to decadal time scales ([1], Chap. 13; [8]). To appreciate this correlation we must spell out the principle as it applies to the whole CS:

(S-1)

where the two area ( $A$ ) integrals cover the two enclosing spheres and the energy fluxes  $F_{\text{TOA}}$  and  $F_{\text{geo}}$  are respectively the net radiative and the geothermal fluxes in  $\text{W/m}^2$ , both defined as positive inward. The four volume ( $V$ ) integrals represent the total internal energy of the four components described above.

Three of the volume integrals may be dropped in a first look at the problem. As Pielke [2] points out, in regard to the volume integral, the thermal reservoir of the ocean is much larger than that of the atmosphere and of the land and that other heat reservoirs such as sea and continental ice are inconsequential. The Intergovernmental Panel on Climate Change (IPCC) report [9] agrees; it states that the oceans are the dominant portion of the global energy budget of the earth's climate system, accounting for more than 90% of the total. For example, the atmosphere's heat capacity is equivalent to that of about 2.4 m of sea water [10]. While these are true, it is the rate of change of the energy content that concerns us, so that some care must be taken.

The land is less easily dismissed than the atmosphere. It has a large heat capacity but only a thin layer has much time dependence because of the small rate of heat diffusion into the ground. Yet less easily dismissed is the deep ocean  $O'$ , which stores a substantial amount of thermal energy on long time scales, but our distinction between  $O$  and  $O'$  rules out  $O'$  by definition, namely,  $O$  is that part of the ocean that has an appreciable time dependence on the annual-to-decadal scale. The depth of  $O$  is subject to various estimates, as discussed in Sec. 3.

Under the assumption that the three terms are negligible, Eq. (1) reduces to

(S-2)

where  $H_O$  is the heat content of O. A further assumption is made here, namely, that the kinetic energy of O is negligible; see [1], pp. 204-206.

Let's now consider the flux integrals. The geothermal contribution is constant, but cannot be ignored because it contributes directly. The flux into the ocean and trenches averages  $101 \pm 2.2 \text{ mW/m}^2$  and that into the land and shelves averages  $65 \pm 1.6 \text{ mW/m}^2$  (globally averaged,  $87 \pm 2.0 \text{ mW/m}^2$ ) [11]. Some fraction of the entire  $87 \text{ mW/m}^2$ , called  $F_g$ , contributes to  $dH_O/dt$ . The land and shelves component, measured at the surface, heats the atmosphere and is disposed of the same way as the radiative input is (some out the top as part of  $F_{\text{rad}}$ , some to O). The ocean floor component, measured at the ocean floor, heats both O and O'. It is possible, therefore, that as little as  $30 \text{ mW/m}^2$  contributes to O.

$F_{\text{TOA}}$ , which is the net radiative flux at the outer surface, called top-of-atmosphere (TOA), has two sources, solar and terrestrial. It will be taken here as a measured quantity. The net inward solar part is 70% of the incident solar flux; of this, roughly 28% is absorbed by the atmosphere and 72% by the surface. Of the latter, 71% is incident upon O and 29% upon L. Therefore  $(0.72)*(0.71) = 51\%$  of the absorbed solar contributes directly to  $dH_O/dt$ . Although the other 49% absorbed by A and L is largely reradiated as the (negative) terrestrial contribution to  $F_{\text{TOA}}$ , it contributes indirectly through coupling to L and A and, in principle, carries some additional time delay. The outgoing thermal radiation from A, L, and O originates primarily in A and is therefore only indirectly associated with  $dH_O/dt$ . These statements are qualitative and refer to global averages.

We cannot address time delays for those parts of  $F_{\text{TOA}}$  that are indirectly coupled to  $dH_O/dt$  without a specific model of the interactions among the components of the CS. However, as is known [8, 12, 13], and as is seen in the text, there is almost no effective time delay on an annual scale, from which we conclude that either the time constants for energy transfer among O, L, and

A are short compared with one year or that negligible overall transfer takes place. The latter is inconsistent with the magnitude of transfer actually seen to occur.

Working with global averages, we may divide each term of Eq. (S-2) by the area of Earth, since this is negligibly different from the area of the outer and inner spherical surfaces being considered. Thus

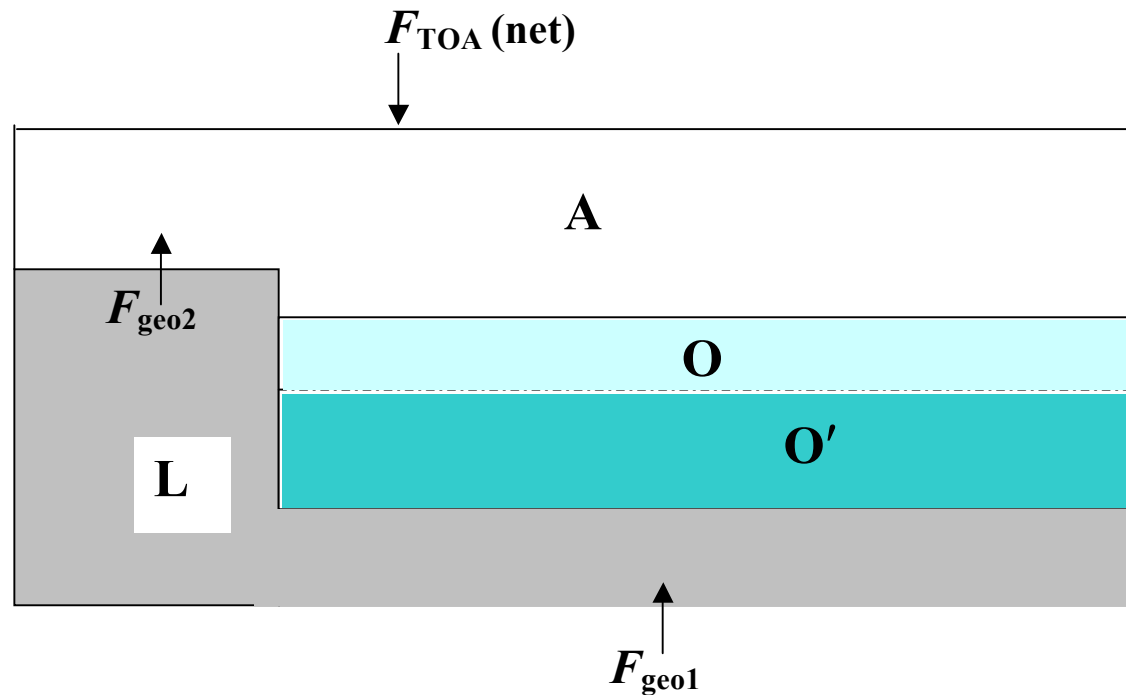
(S-3)

In the literature one generally finds the total ocean heat content expressed in units of  $10^{22}$  J = 10 ZJ and time scales in years.

When  $dH_O/dt$  is evaluated in these units, we have, in  $W/m^2$ ,

(S-4)

Figure S-1. Schematic of the climate system and its four homogeneous components as related to overall energetics. A = atmosphere, L = land, O = upper ocean whose energy content is variable on short time scales, O' = deeper ocean with constant or slowly varying energy content. The varying total energy input, given by the sum of the three fluxes shown with arrows, causes variations in the energy content of O (light blue shading), as discussed in the text.  $F_{\text{geo1}}$  and  $F_{\text{geo2}}$  are the partitions of  $F_g$  discussed qualitatively at the end of Sec. 2.



## References for Supplementary material

Numbers/references are identical to those in the main text.

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Table S-1. Ocean heat content ( $H_O$ ), from various sources as discussed in Sec. 4, and  $F_{TOA}$  from CERES

Domingues	Domingues		CERES	CERES	CERES		ARGO	ARGO	ARGO
year	$H_O$ ( $10^{22}$ J)		month	year	Net ( $W/m^2$ )		month	year	OHC ( $10^{22}$ J)
1950.5	-6.3		mar	2000	6.425		jul	2003	-0.867
1951.5	1.8		apr		1.951		aug		-3.165
1952.5	-4.3		may		-5.451		sep		-4.183
1953.5	-4.3		jun		-9.676		oct		-3.194
1954.5	2.1		jul		-8.167		nov		0.191
1955.5	-1		aug		-4.720		dec		0.243
1956.5	1		sep		-1.178		jan	2004	-0.334
1957.5	-0.1		oct		1.635		feb		1.334
1958.5	10.6		nov		4.264		mar		3.463
1959.5	0.7		dec		6.225		apr		3.896
1960.5	1		jan	2001	9.629		may		3.306
1961.5	0		feb		8.715		jun		2.857
1962.5	3.7		mar		7.595		jul		-0.652
1963.5	1.3		apr		1.552		aug		-1.615
1964.5	-1.4		may		-5.728		sep		-1.993
1965.5	-2.3		jun		-8.426		oct		-3.164
1966.5	3.2		jul		-8.089		nov		-3.581
1967.5	2.1		aug		-5.471		dec		-2.418
1968.5	-2.3		sep		0.066		jan	2005	-1.515
1969.5	-3		oct		1.584		feb		1.819
1970.5	-2.3		nov		4.737		mar		1.836
1971.5	1.8		dec		6.009		apr		1.889
1972.5	-0.1		jan	2002	8.508		may		1.775
1973.5	0.5		feb		8.920		jun		-1.316

1974.5	<b>0.3</b>		mar		<b>7.475</b>		jul		<b>-3.785</b>
1975.5	<b>-0.3</b>		apr		<b>1.291</b>		aug		<b>-3.968</b>
1976.5	<b>-2.3</b>		may		<b>-5.103</b>		sep		<b>-2.887</b>
1977.5	<b>2.4</b>		jun		<b>-9.641</b>		oct		<b>-2.109</b>
1978.5	<b>0</b>		jul		<b>-9.444</b>		nov		<b>-2.846</b>
1979.5	<b>0.5</b>		aug		<b>-6.183</b>		dec		<b>1.604</b>
1980.5	<b>7.4</b>		sep		<b>-1.222</b>		jan	2006	<b>1.682</b>
1981.5	<b>3</b>		oct		<b>2.691</b>		feb		<b>1.722</b>
1982.5	<b>3</b>		nov		<b>4.381</b>		mar		<b>2.502</b>
1983.5	<b>3.9</b>		dec		<b>6.333</b>		apr		<b>1.755</b>
1984.5	<b>3.3</b>		jan	2003	<b>7.975</b>		may		<b>2.090</b>
1985.5	<b>1.7</b>		feb		<b>9.120</b>		jun		<b>1.570</b>
1986.5	<b>4.9</b>		mar		<b>6.989</b>		jul		<b>-2.162</b>
1987.5	<b>6.1</b>		apr		<b>1.974</b>		aug		<b>-3.420</b>
1988.5	<b>7.3</b>		may		<b>-5.697</b>		sep		<b>-3.344</b>
1989.5	<b>9.2</b>		jun		<b>-8.929</b>		oct		<b>-2.117</b>
1990.5	<b>7.1</b>		jul		<b>-8.340</b>		nov		<b>-2.482</b>
1991.5	<b>8.5</b>		aug		<b>-4.313</b>		dec		<b>-0.650</b>
1992.5	<b>6</b>		sep		<b>-0.725</b>		jan	2007	<b>-0.691</b>
1993.5	<b>7.7</b>		oct		<b>2.049</b>		feb		<b>0.087</b>
1994.5	<b>8.6</b>		nov		<b>4.440</b>		mar		<b>0.612</b>
1995.5	<b>8.2</b>		dec		<b>6.047</b>		apr		<b>0.393</b>
1996.5	<b>11.2</b>		jan	2004	<b>9.117</b>		may		<b>0.162</b>
1997.5	<b>11.6</b>		feb		<b>9.721</b>		jun		<b>-1.586</b>
1998.5	<b>7.9</b>		mar		<b>5.973</b>		jul		<b>-1.642</b>
1999.5	<b>7.8</b>		apr		<b>2.840</b>		aug		<b>-5.994</b>
2000.5	<b>9.1</b>		may		<b>-5.952</b>		sep		<b>-4.272</b>
2001.5	<b>10.4</b>		jun		<b>-9.928</b>		oct		<b>-3.422</b>
2002.5	<b>13.5</b>		jul		<b>-7.360</b>		nov		<b>0.003</b>
2003.5	<b>11.8</b>		aug		<b>-4.507</b>		dec		<b>-0.204</b>
			sep		<b>-0.207</b>		jan	2008	<b>0.742</b>



			oct		<b>2.036</b>		feb		<b>4.926</b>
			nov		<b>4.901</b>		mar		<b>3.466</b>
			dec		<b>7.728</b>		apr		<b>4.837</b>
			jan	2005	<b>8.736</b>		may		<b>3.339</b>
			feb		<b>8.615</b>		jun		<b>0.967</b>
			mar		<b>7.210</b>				
			apr		<b>1.988</b>				
			may		<b>-3.631</b>				
			jun		<b>-9.083</b>				
			jul		<b>-8.982</b>				
			aug		<b>-5.188</b>				
			sep		<b>-0.431</b>				
			oct		<b>2.039</b>				

Table S-2. Properties of the top-of-atmosphere radiation balance during 2000-2008 as measured (CERES) and as implied by ocean heat content variation (Argo), compared with the principal forcing (solar eccentricity effect).  $F_{TOA}$  values are in  $W/m^2$ .

	<b>CERES [24] Mar 2000 to oct 2005</b>	<b>Argo [19] Jul 2003 to jun 2008</b>	<b>Solar eccentricity effect</b>	<b>Comment</b>
Mean of $F_{TOA}$	$-0.303 \pm 0.187$ Systematic error = 4.3 [22]. Adjust to mean of Argo in overlap period	$-0.244 \pm 0.990$ [includes $-0.087$ from interior heat of earth]	0	
Slope of $F_{TOA}$	$-0.485 (W/m^2)/yr$	$0.001 \pm$ large (end effects)		Solar is in decreasing part of 11 year cycle $-0.055(W/m^2)/yr$ 2001 to 2007
peak-to-peak span of $F_{TOA}$	$18.7 \pm 0.7$ Depends on instrument calibration	$17.3 \pm 2$ Depends on instrument calibration and 0.62.	22.7 Depends on $S_0$ ; No uncertainty	All in phase. Max near January. CERES $\approx$ Argo;
Slope of peak-to-peak of $F_{TOA}$	$-0.016 \pm 0.86$ $W/m^2/year$ Expect 0 from eccentricity effect	$-1.16 \pm 3.19$	0	CERES and ARGO consistent with zero

Table S-3. Summary of implied and measured values of  $F_{\text{TOA}}$ . Values in the fourth column that are derived from the third column are reduced by  $F_g = 0.087 \text{ W/m}^2$  because of the geothermal energy contribution.

Source	Time period	$dH_O/dt$ in flux units ( $\text{W/m}^2$ )	Implied or measured $F_{\text{TOA}}$ ( $\text{W/m}^2$ )	Comment
Levitus <i>et al.</i> [16]	1955–1998	+0.20	+0.113	0 to 3000m $\Delta H_O$ data
Levitus <i>et al.</i> [18]	1969-2003	0.198±0.03	0.111±0.03	
Levitus <i>et al.</i> [18]	2004-2007	0±0.03	-0.087±0.03	
IPCC [9] chap 5, page 387	1961–2003	+0.21±0.04	+0.123±0.04	0 to 3000m Based on [16]
Domingues <i>et al.</i> [14]	1961–2003	+0.23±0.04	+0.143±0.04	0 to 700m $\Delta H_O$ data
Domingues [15]; this paper	1960–1975	-0.06±0.11	-0.15±0.11	0 to 700m $\Delta H_O$ data
Domingues [15]; this paper	1975–2000	+0.24±0.07	+0.15±0.07	0 to 700m $\Delta H_O$ data
Argo [13]; this paper	2003.7–2008.5	-0.153±0.99	-0.240±0.99	0 to 750m $\Delta H_O$ data
CERES [24]; this paper	2000.5–2005.5		-0.303±0.19	Mean from Argo TOA matched 2003-2005
Hansen <i>et al.</i> [28]	value at 2003		+0.85±0.15	Model calculation
IPCC 2007, Fig SPM.2, page 5	value at 2005		+1.6 (0.6 to 2.4)	Differs from IPCC [9]
Argo [26]	2003–2008	-0.22±0.3	-0.31±0.2	