# Shear-Driven Flow of Athermal, Frictionless, Spherocylinder Suspensions in Two Dimensions: I. Stress, Jamming, and Contacts

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We use numerical simulations to study the flow of a bidisperse mixture of athermal, frictionless, soft-core two dimensional spherocylinders driven by a uniform steady state shear strain applied at a fixed finite rate. Energy dissipation occurs via a viscous drag with respect to a uniformly sheared host fluid, giving a simple model for flow in a non-Brownian suspension and resulting in a Newtonian rheology. We study the resulting pressure p and deviatoric shear stress  $\sigma$  of the interacting spherocylinders as a function of packing fraction  $\phi$ , strain rate  $\dot{\gamma}$ , and a parameter  $\alpha$  that measures the asphericity of the particles;  $\alpha$  is varied to consider the range from nearly circular disks to elongated rods. We consider the direction of anisotropy of the stress tensor, the macroscopic friction  $\mu = \sigma/p$ , and the divergence of the transport coefficient  $\eta_p = p/\dot{\gamma}$  as  $\phi$  is increased to the jamming transition  $\phi_J$ . From a phenomenological analysis of Herschel-Bulkley rheology above jamming, we estimate  $\phi_J$  as a function of asphericity  $\alpha$  and show that the variation of  $\phi_J$  with  $\alpha$ is the main cause for differences in rheology as  $\alpha$  is varied; when plotted as  $\phi/\phi_J$  rheological curves for different  $\alpha$  qualitatively agree. However a detailed scaling analysis of the divergence of  $\eta_p$  for our most elongated particles suggests that the jamming transition of spherocylinders may be in a different universality class than that of circular disks. We also compute the number of contacts per particle Z in the system and show that the value at jamming  $Z_J$  is a non-monotonic function of  $\alpha$ that is always smaller than the isostatic value. We measure the probability distribution of contacts per unit surface length  $\mathcal{P}(\vartheta)$  at polar angle  $\vartheta$  with respect to the spherocylinder spine, and find that as  $\alpha \to 0$  this distribution seems to diverge at  $\vartheta = \pi/2$ , giving a finite limiting probability for contacts on the vanishingly small flat sides of the spherocylinder. Finally we consider the variation of the average contact force as a function of location on the particle surface.

# I. INTRODUCTION

In a system of athermal granular particles with only repuslive contact interactions, as the packing fraction of particles  $\phi$  increases, the system undergoes a jamming transition [1, 2] at a critical  $\phi_J$ . For  $\phi < \phi_J$  the system behaves similar to a liquid, while for  $\phi > \phi_J$  the system behaves like a rigid but disordered solid. Since one is dealing with athermal particles (T = 0), details of the jamming transition may in principle depend on the physical protocol by which the system jams. It is useful to distinguish between two different types of jamming, *compression-driven* and *shear-driven* jamming.

In compression-driven jamming [1, 3] the particle packing  $\phi$  is increased by isotropically compressing the system. As  $\phi$  increases, particles come into contact with each other. At a critical  $\phi_J$  a mechanically stable rigid backbone of particles percolates across the system, the system pressure p becomes finite, and the system jams. For frictionless particles, p increases continuously [1] from zero as  $\phi$  increases above  $\phi_J$ . Since the compression is isotropic, the total shear stress  $\sigma$  in the system, even in the solid state, in principle vanishes. It is known that the precise value of  $\phi_J$  can vary somewhat with the details of the compression protocol, in particular the ensemble of configurations from which compression begins, and the rate of compression [4–6].

Shear-driven jamming [7–9] occurs when the system is sheared, at constant volume or constant pressure, with a uniform shear strain rate  $\dot{\gamma}$ . In steady state at sufficiently small  $\phi$  the system will have shear flow like a liquid. The shear stress  $\sigma$  in this liquid is finite for finite  $\dot{\gamma}$ , but vanishes as  $\dot{\gamma} \to 0$ , resulting in a finite transport coefficient,  $\lim_{\dot{\gamma}\to 0} [\sigma/\dot{\gamma}^m]$  (with m=1 for a system with Newtonian rheology [8], and m = 2 for a system with Bagnoldian rheology [9]). As  $\phi$  increases, a critical packing  $\phi_J$  is reached such that for  $\phi > \phi_J$  the system develops a finite yield stress  $\sigma_0$ , defined by  $\lim_{\dot{\gamma}\to 0} [\sigma] = \sigma_0 > 0$ . This  $\phi_J$  is the *shear-driven* jamming transition. For frictionless particles, shear-driven jamming behaves like a continuous phase transition [7]: the transport coefficient diverges continuously as  $\phi \to \phi_J$  from below, and  $\sigma_0$  increases continuously from zero as  $\phi$  increases above  $\phi_J$ . For  $\phi > \phi_J$ , if  $\sigma < \sigma_0$  the system is in a static jammed solid phase, while for  $\sigma > \sigma_0$  the system is in a yielded flowing plastic phase. The precise value of  $\phi_J$  is independent of the initial configuration from which the system begins to be sheared [5]. Our work in this paper will concern this shear-driven jamming transition.

Most numerical studies of the jamming transition, and granular materials more generally, have used spherical shaped particles for simplicity. It is therefore interesting to ask how behavior may be modified if the particles have shapes with a lower rotational symmetry [10]. Several recent numerical and experimental works have explored the effect of non-spherical shape on compression-driven jamming. Such works have included studies of monodisperse distributions of aspherical ellipsoids [11–14], oblate ellipsoids [12–14], and prolate ellipsoids [12–17] in three dimensions (3D), and bidisperse distributions of ellipses [14, 17–19] in two dimensions (2D). Spherocylinders, consisting of cylindrical tubes with hemispherical endcaps, have been used to model rod-shaped particles in 3D [20-24] and in 2D [19, 25]. Other work has considered cut spheres [21] in 3D, as well as particles with non-convex shapes [19, 26, 27]. For compression-driven jamming of elongated particles, such as ellipses/ellipsoids and spherocylinders, these works find several common features: (i) the critical jamming packing fraction  $\phi_J$  is a nonmonotonic function of the particle aspect ratio, increasing as the particle deviates from a sphere, and then decreasing as the particle gets increasingly elongated [11– 15, 19–22, 24, 25]; (ii) particle packings at  $\phi_J$  are hypostatic, with the average number of contacts per particle  $Z_J < 2d_f$  where  $d_f$  is the number of degrees of freedom of a particle, as determined by its rotational symmetries [12, 14, 16–22, 24, 25]; (iii) unlike particles in thermal equilibrium [28, 29], isotropically compressed athermal particles show no long range orientational order upon jamming [12, 13, 15, 24, 25]

The question of aspherical particles in steady state shear flow has only been considered more recently. Unlike uniformly compressed systems, uniformly sheared systems do show orientational ordering due to torques induced on the particles by the shear flow. Several numerical works focused on this shear-induced orientational ordering of ellipsoids [30] and rod-shaped particles [31, 32] of different aspect ratios in 3D approaching, but staying below, jamming. They found that orientational order increased with increasing packing  $\phi$ , and particles were oriented at a finite angle  $\theta_2 > 0$  with respect to the direction of the shear flow. Experiments and simulations of rod-shaped particles in 3D [33–36] found similar results, while also studying the rotation of particles in steady state shear, and the transient approaches to the steady state. Other experimental works have studied the transignt behavior of orientational ordering and pressure p of ellipses in 2D under quasistatic shearing [37, 38]. Numerical simulations measuring the dependence of the jamming packing  $\phi_J$ , the average number of contacts per particle  $Z_J$ , and particle orientation as a function of particle aspect ratio, and the rheological macroscopic friction  $\mu = \sigma/p$  as a function of inertial number  $I = \dot{\gamma} d/\sqrt{p/\rho}$ in the hard-core limit below jamming, have been carried out for frictional 3D spherocylinders sheared by biaxial compression [22, 23], frictionless 3D spherocylinders in steady state simple shear [39], and for both frictionless and frictional 2D ellipses in steady state simple shear [40]. The rheology of 3D frictional and frictionless spherocylinders in steady simple shear has also recently been simulated [41].

In this work work we consider the uniform steady state shearing of a system of 2D spherocylinders with varying aspect ratio. The above previous works [22, 23, 30– 36, 39–41] modeled dry granular materials, in which energy is dissipated in particle collisions, rheology is Bagnoldian, and there may be microscopic inter-particle Coulombic friction. In contrast, here we model particles in suspension, where energy is dissipated by a viscous drag, rheology is Newtonian, and particle interactions are frictionless. We use soft-core particles, which allows us to study behavior both below and above the jamming transition. In this present paper we will focus on rheological and structural aspects of our system as a function of particle aspect ratio, packing fraction, and shear strain rate. We will determine the particle pressure p, deviatoric shear stress  $\sigma$ , jamming transition  $\phi_J$ , and average contact number at jamming  $Z_J$ . We also consider the distribution of particle contact locations around the surface of the particle, as well as the distribution of the magnitude of the contact forces as a function of contact location. In a companion paper we will focus on the orientational and translational ordering of particles and particle rotations; some of our results on this latter topic have already been presented [42].

The remainder of this paper is organized as follows. In Sec. II we define our model and the quantities to be computed. In Sec. III we present our numerical results. In Sec. IV we summarize our conclusions.

### **II. MODEL AND SIMULATION METHOD**

### A. Model

A two dimensional spherocylinder consists of a rectangle with two circular end caps, as illustrated in Fig. 1. We denote the half length of the rectangular part of spherocylinder i as  $A_i$ , and the radius of the end cap, which is also the half width of the rectangle, we denote as  $R_i$ . We will refer to the axis of length  $2A_i$ , that goes down the center of the rectangle, as the "spine" of the spherocylinder. For every point on the perimeter of the spherocylinder, the shortest distance from the spine is  $R_i$ . We define the asphericity of the spherocylinder as,

$$\alpha_i = A_i / R_i \tag{1}$$

so that  $\alpha = 0$  describes a circular particle, and the lengthto-width aspect ratio is  $1 + \alpha$ . We denote the center of mass position of the particle by  $\mathbf{r}_i = (x_i, y_i)$ , and the orientation of the particle with respect to the flow direction along  $\hat{\mathbf{x}}$  as  $\theta_i$ , as shown in Fig. 1.

Our system consists of N spherocylinders in a box of fixed total area with length  $L_x$  and height  $L_x$ . In the flow direction  $\hat{\mathbf{x}}$  we use periodic boundary conditions, while in the transverse direction  $\hat{\mathbf{y}}$  we Lees-Edwards boundary conditions [44] to introduce a simple shear strain  $\gamma$ . Our system can therefore be viewed as a periodic tiling of space with the rhombic unit cell shown in Fig. 2. If  $\mathcal{A}_i$ is the area of particle *i*, then the packing fraction of the system is,

$$\phi = \frac{1}{L_x L_y} \sum_{i=1}^{N} \mathcal{A}_i, \qquad (2)$$



FIG. 1. An isolated spherocylinder indicating the spine halflength  $A_i$ , end cap radius  $R_i$ , center of mass position  $\mathbf{r}_i$ , and angle of orientation  $\theta_i$ .



FIG. 2. Unit cell of our numerical system of length  $L_x$  and height  $L_y$ . Periodic boundary conditions are taken along  $\hat{\mathbf{x}}$  while Lees-Edwards boundary conditions with shear strain  $\gamma$  are taken along  $\hat{\mathbf{y}}$ .

where for spherocylinders,

$$\mathcal{A}_{i} = \pi R_{i}^{2} + 4A_{i}R_{i} = R_{i}^{2}(\pi + 4\alpha_{i}).$$
(3)

In this work we take  $L_x = L_y \equiv L$ , and consider only systems in which all of the particles have the same asphericity  $\alpha$ . We take a bidisperse distribution of particle sizes to prevent crystallization, using equal numbers of big and small particles where the ratio of the big radius to the small radius is  $R_b/R_s = 1.4$ .

Our particles will move under the influence of elastic soft-core contact forces and a viscous drag force. The elastic forces arise when particles come into physical contact with each other. Two spherocylinders iand j come into contact when the shortest distance between their spines,  $r_{ij}$ , is less than the sum of their radii  $d_{ij} = R_i + R_j$ . An efficient algorithm for determining this distance  $r_{ij}$  is given in Ref. [45]. When  $r_{ij} < d_{ij}$ , the contact between the spherocylinders may be classified as one of three types, as illustrated in figures 3(a), 3(b), and 3(c), respectively: (i) tip-to-side, (ii) tip-totip, or (iii) side-to-side. We regard a contact as being side-to-side whenever the distances of two spine tips on opposite ends of the spherocylinders to the spine of the other spherocylinder, indicated as  $r_{ij}$  and  $r'_{ij}$  in Fig. 3(c), are both less than  $d_{ij}$ , so that there is overlap down an extended length of the spherocylinders' flat side. If one of these lengths is measurably smaller than the other,



FIG. 3. Geometry of spherocylinder contacts: (a) Two spherocylinders in tip-to-side contact, indicating the minimal spine separation  $r_{ij}$  and the moment arms  $\mathbf{s}_{ij}$  and  $\mathbf{s}_{ji}$ . (b) Two spherocylinders in tip-to-tip contact. (c) Two spherocylinders in side-to-side contact; if the lengths  $r_{ij}$  and  $r'_{ij}$  are equal within our numerical accuracy, then we take the location of the contact to be midway between, as illustrated by the dashed line.

say  $r_{ij} < r'_{ij}$ , we take the point of contact to be at that position; if to our numerical accuracy these lengths are the same, which occurs when the two spherocylinders are parallel to an accuracy  $|\theta_i - \theta_j| \leq 10^{-8}$ , then we put the point of contact to be midway between, as illustrated by the dashed line in Fig. 3(c).

Once the contacts have been identified, we define the elastic energy in our system using a one-sided repulsive harmonic interaction. The total elastic energy is given by,

$$U^{\rm el} = \frac{1}{2} \sum_{i=1}^{N} \sum_{j}' k_e (1 - r_{ij}/d_{ij})^2, \qquad (4)$$

where the primed sum is over only particles j in contact with i, i.e., with  $r_{ij} < d_{ij}$ .

The elastic force on particle i due to contact with j is given by,

$$\mathbf{F}_{ij}^{\text{el}} = -\frac{\partial U^{\text{el}}}{\partial \mathbf{r}_i} = (k_e/d_{ij})(1 - r_{ij}/d_{ij})\hat{\mathbf{r}}_{ij}, \qquad (5)$$

where  $\hat{\mathbf{r}}_{ij}$  is the normal pointing inwards to particle *i* along the bond  $r_{ij}$ , and the force acts at the point of

contact, specifically at a distance  $(R_i/d_{ij})r_{ij}$  from the spine of particle *i*. The total elastic force on the center of mass of particle *i* is then,

$$\mathbf{F}_{i}^{\mathrm{el}} = \sum_{j}^{\prime} \mathbf{F}_{ij}^{\mathrm{el}}.$$
 (6)

The elastic forces also give a torque on particle i,

$$\tau_i^{\rm el} = \hat{\mathbf{z}} \cdot \sum_j' \mathbf{s}_{ij} \times \mathbf{F}_{ij}^{\rm el},\tag{7}$$

where  $\mathbf{s}_{ij}$  is the moment arm from the center of mass  $\mathbf{r}_i$  of spherocylinder *i* to the point of contact with spherocylinder *j*, as illustrated in figure 3(a).

Our model is one of non-Brownian particles in suspension. We will take the local average velocity of the host medium at position  $\mathbf{r}$  to be  $\mathbf{v}_{host}(\mathbf{r})$ . Using a simple model [25, 26], that ignores hydrodynamic interactions but is expected to be good for large particle densities, we assume a local dissipative drag force per unit area acting at position  $\mathbf{r}$  on particle *i* to be,

$$\mathbf{f}_{i}^{\mathrm{dis}}(\mathbf{r}) = -k_{d}[\mathbf{v}_{i}(\mathbf{r}) - \mathbf{v}_{\mathrm{host}}(\mathbf{r})], \qquad (8)$$

where  $\mathbf{v}_i(\mathbf{r})$  is the local velocity of the particle at position  $\mathbf{r}$ ,

$$\mathbf{v}_i(\mathbf{r}) = \dot{\mathbf{r}}_i + \dot{\theta}_i \hat{\mathbf{z}} \times (\mathbf{r} - \mathbf{r}_i).$$
(9)

Here  $\dot{\mathbf{r}}_i = d\mathbf{r}_i/dt \equiv \mathbf{v}_i$  is the center of mass velocity of particle *i* and  $\dot{\theta}_i$  is the angular velocity about the center of mass. Integrating over the area of the particle, we then get the total dissipative force on particle *i*,

$$\mathbf{F}_{i}^{\mathrm{dis}} = \int_{i} d^{2}r \, \mathbf{f}_{i}^{\mathrm{dis}}(\mathbf{r}), \qquad (10)$$

and the total dissipative torque,

$$\tau_i^{\text{dis}} = \hat{\mathbf{z}} \cdot \int_i d^2 r \left[ (\mathbf{r} - \mathbf{r}_i) \times \mathbf{f}_i^{\text{dis}}(\mathbf{r}) \right].$$
(11)

In this work we study behavior in a simple shear flow under uniform constant shear strain rate  $\dot{\gamma}$ . We therefore take the shear strain  $\gamma$  that enters our Lees-Edwards boundary conditions and increase it with time according to  $\gamma(t) = \dot{\gamma}t$ , and assume a simple shear form for the velocity of the host medium,

$$\mathbf{v}_{\text{host}}(\mathbf{r}) = \dot{\gamma} y \mathbf{\hat{x}}.$$
 (12)

For this case the dissipative force of Eq. (10) simplifies to,

$$\mathbf{F}_{i}^{\mathrm{dis}} = -k_{d}\mathcal{A}_{i}[\dot{\mathbf{r}}_{i} - \dot{\gamma}y_{i}\hat{\mathbf{x}}], \qquad (13)$$

just as in the mean-field Durian bubble model [46]. Such a dissipative force has been used in many previous works [5, 7, 8, 47–51] to study shear driven jamming of spherical particles. In the appendix we show that the dissipative torque on particle *i*, given by Eq. (11), can be written in terms of the components of its moment of inertia tensor. If  $\theta_i$  is the orientation of the eigenvector, corresponding to the smaller eigenvalue of the moment of inertia tensor, with respect to the flow direction (this is just the orientation of the spine for spherocylinders), then

$$\tau_i^{\text{dis}} = -k_d \mathcal{A}_i I_i \left[ \dot{\theta}_i + \dot{\gamma} f(\theta_i) \right], \qquad (14)$$

with

$$f(\theta) = \frac{1}{2} \left[ 1 - \left( \Delta I_i / I_i \right) \cos 2\theta \right], \tag{15}$$

where  $I_i$  is the sum of the two eigenvalues of the moment of inertia tensor of particle *i*, and  $\Delta I_i$  is the absolute value of their difference. The values of  $I_i$  and  $\Delta I_i$ for spherocylinders of asphericity  $\alpha$  are given in the appendix; here we note that  $\Delta I_i = 0$  for circular particles with  $\alpha = 0$ , as required by symmetry.

The above elastic and dissipative forces are the only forces included in our model; there are no inter-particle dissipative or frictional forces. We will carry out our simulations in the overdampled (low particle mass) limit, where the total force and torque on each particle are damped to zero,

$$\mathbf{F}_{i}^{\mathrm{el}} + \mathbf{F}_{i}^{\mathrm{dis}} = 0, \tag{16}$$

$$\tau_i^{\rm el} + \tau_i^{\rm dis} = 0. \tag{17}$$

Using Eqs. (13) and (14) we then get for the translational and orientational equations of motion for particle i,

$$\dot{\mathbf{r}}_i = \dot{\gamma} y_i \hat{\mathbf{x}} + \frac{\mathbf{F}_i^{\text{el}}}{k_d \mathcal{A}_i},\tag{18}$$

$$\dot{\theta}_i = -\dot{\gamma}f(\theta_i) + \frac{\tau_i^{\rm el}}{k_d I_i \mathcal{A}_i}.$$
(19)

Note that, from the above equations of motion, an isolated particle with  $\mathbf{F}_i^{\text{el}} = 0$  and  $\tau_i^{\text{el}} = 0$ , will just translate at the local shear flow velocity  $\dot{\gamma}y_i\hat{\mathbf{x}}$ , while rotating clockwise with non-uniform angular velocity  $\dot{\theta}_i = -\dot{\gamma}f(\theta_i)$ . For a circular particle with  $\Delta I_i = \alpha = 0$ , the rotation is uniform with  $\dot{\theta}_i = -\dot{\gamma}/2$ . For non-circular particles with  $\Delta I_i \neq 0$ , the particle will tumble non-uniformly, and rotational motion is analogous to the Jeffery orbits of a non-spherical particle in suspension in a shear flow [52]; rotation is slowest when the particle is aligned parallel to the flow direction with  $\theta_i = 0$ , fastest when the particle is aligned transverse to the flow direction with  $\theta_i = 90^\circ$ , and the steady state probability to find the particle at orientation  $\theta$  is  $\mathcal{P}(\theta) \propto 1/f(\theta)$ .

For our simulations we will take  $2R_s = 1$  as the unit of distance,  $k_e = 1$  as the unit of energy, and  $t_0 = (2R_s)^2 k_d/k_e = 1$  as the unit of time. We numerically integrate the equations of motion (18) and (19) using a two-stage Heun method with a step size of  $\Delta t = 0.02$ . Unless otherwise stated, we begin each shearing run in

a finite energy configuration at the desired packing fraction  $\phi$  with random initial positions and orientations. To generate such initial configurations we place the spherocylinders in the system one-by-one, while rejecting and retrying any time a new placement would lead to an unphysical overlap where the spines of two spherocylinders intersect. We use N = 1024 particles. Most of our simulations extend to total strains of  $\gamma \sim 150$ . Discarding an initial  $\Delta \gamma \sim 20$  of the strain from the averaging so as to eliminate transients effects, we find that our steady state averages are generally insensitive to the particular starting configuration [5]. Note, we restrict the strain coordinate  $\gamma$  used in our Lees-Edwards boundary condition to the range  $\gamma \in \left(-\frac{L_x}{2L_y}, \frac{L_x}{2L_y}\right]$ ; whenever it exceeds this maximum it is reset by taking  $\gamma \to \gamma - \frac{L_x}{L_y}$ , allowing us to shear to arbitrarily large total strains. Our simulations use a range of strain rates from  $\dot{\gamma} = 10^{-4}$  down to  $10^{-6}$ for all  $\alpha$ ; for  $\alpha = 0.03$  and 4 we go down to  $\dot{\gamma} = 4 \times 10^{-7}$ . and for  $\alpha = 0.01$  and 0.001 we go to  $\dot{\gamma} = 10^{-7}$ .

#### B. Stress

In this work we will be concerned with the stress that results from shearing the system. We will ignore the constant isotropic pressure from the host medium and only consider the stress arising from the particles. There will be a contribution to the particle stress tensor from both the elastic and the dissipative forces.

The elastic part of the stress tensor  $\mathbf{p}$  is defined as usual [53],

$$\mathbf{p}^{\mathrm{el}} = -\frac{1}{L_x L_y} \sum_{i=1}^{N} \boldsymbol{\Sigma}_i^{\mathrm{el}}, \quad \boldsymbol{\Sigma}_i^{\mathrm{el}} = \sum_j' \mathbf{s}_{ij} \otimes \mathbf{F}_{ij}^{\mathrm{el}}, \qquad (20)$$

where the primed sum is over all particles j in contact with i. The dissipative part can be written as,

$$\mathbf{p}^{\text{dis}} = -\frac{1}{L_x L_y} \sum_{i=1}^N \mathbf{\Sigma}_i^{\text{dis}}, \quad \mathbf{\Sigma}_i^{\text{dis}} = \int_i d^2 r \left(\mathbf{r} - \mathbf{r}_i\right) \otimes \mathbf{f}_i^{\text{dis}}(\mathbf{r}),$$
(21)

where the integral is over the area of particle i. In the appendix we show that,

$$\boldsymbol{\Sigma}_{i}^{\text{dis}} = \kappa \begin{bmatrix} (\dot{\theta}_{i} + \dot{\gamma}) \frac{\Delta I_{i}}{I_{i}} \sin 2\theta_{i} & -\dot{\theta}_{i} (1 + \frac{\Delta I_{i}}{I_{i}} \cos 2\theta_{i}) \\ (\dot{\theta}_{i} + \dot{\gamma}) (1 - \frac{\Delta I_{i}}{I_{i}} \cos 2\theta_{i}) & -\dot{\theta}_{i} \frac{\Delta I_{i}}{I_{i}} \sin 2\theta_{i} \end{bmatrix}$$
(22)

with  $\kappa = k_d \mathcal{A}_i I_i / 2$ .

We note that since the torques  $\tau_i^{\text{el,dis}}$  are related to the force moment tensors  $\Sigma_i^{\text{el,dis}}$  by

$$\tau_i^{\text{el,dis}} = \Sigma_{i,xy}^{\text{el,dis}} - \Sigma_{i,yx}^{\text{el,dis}}, \qquad (23)$$

and since  $\tau_i^{\text{el}}$  and  $\tau_i^{\text{dis}}$  in general do not separately vanish, then  $\mathbf{p}^{\text{el}}$  and  $\mathbf{p}^{\text{dis}}$  are not separately symmetric tensors;

however because of our overdamped equation of motion (17), the total torque  $\tau_i^{\text{el}} + \tau_i^{\text{dis}}$  does vanish and so the total stress  $\mathbf{p} = \mathbf{p}^{\text{el}} + \mathbf{p}^{\text{dis}}$  is symmetric.

While we include the dissipative part of the stress in our calculations, we note that it is generally small, around  $\|\mathbf{p}^{\text{dis}}\| \lesssim 10^{-7}$  for all densities, shear rates, and aspect ratios that we study. This is generally smaller than the elastic part except for very dilute systems; near to the jamming transition it is negligible compared to the elastic part.

Measuring the stress tensor  $\mathbf{p}$  for individual configurations using Eqs. (20) and (22), we average it over our ensemble of sheared states to compute  $\langle \mathbf{p} \rangle$ . From this we find the pressure,

$$p = [\langle p_{xx} \rangle + \langle p_{yy} \rangle]/2. \tag{24}$$

Since p is the trace of **p**, it is an invariant of the stress tensor under rotation of the coordinate system. We are also interested in the shear stress  $\sigma_{xy} = -\langle p_{xy} \rangle$ . However, since the shear stress is not an invariant of the coordinate system, it is useful to look at the deviatoric shear stress,  $\sigma$ , which is defined as half the difference between the eigenvalues of the stress tensor. Diagonalizing the stress tensor, the deviatoric stress is given by

$$\sigma = \sqrt{\frac{1}{4}} [\langle p_{xx} \rangle - \langle p_{yy} \rangle]^2 + \langle p_{xy} \rangle^2.$$
 (25)

The two eigenvalues of the stress tensor can then be written as,

$$p_{\pm} = p \pm \sigma, \tag{26}$$

and if  $\hat{\mathbf{e}}_{\pm}$  are the corresponding orthonormal eigenvector directions, we define the orientation of the minimal stress axis  $\hat{\mathbf{e}}_{-}$  with respect to the flow direction  $\hat{\mathbf{x}}$  by the angle  $\theta_{-}$ , where  $\cos \theta_{-} = \hat{\mathbf{e}}_{-} \cdot \hat{\mathbf{x}}$ , and

$$\theta_{-} = \tan^{-1} \left( \frac{\frac{1}{2} [\langle p_{yy} \rangle - \langle p_{xx} \rangle] - \sigma}{\langle p_{xy} \rangle} \right).$$
(27)

The quantity  $N_1 \equiv \langle p_{yy} \rangle - \langle p_{xx} \rangle$  is referred to as the normal stress difference, and the rheology can be expressed by giving p,  $\sigma_{xy}$  and  $N_1$ . Instead, we will describe the rheology by computing p,  $\sigma$  and  $\theta_-$ . We can relate these by,

$$\frac{N_1}{\sigma_{xy}} = \frac{1}{\tan\theta_-} - \tan\theta_-. \tag{28}$$

If our system were a uniform continuum, then we would have  $N_1 = 0$  and  $\theta_- = 45^\circ$  since our simple shear in the  $\hat{\mathbf{x}}$  direction corresponds to a compression along  $-45^\circ$  and expansion along  $45^\circ$ , each at rate  $\dot{\gamma}/2$  so that the area remains constant.

Next we define the transport coefficients, the shear viscosity  $\eta$  and its analog for pressure  $\eta_p$ ,

$$\eta = \sigma/\dot{\gamma}, \quad \eta_p = p/\dot{\gamma}.$$
 (29)

Since our rheology is Newtonian, we expect that as  $\dot{\gamma} \to 0$ below jamming ( $\phi < \phi_J$ ),  $\eta \to \eta(\phi)$  and  $\eta_p \to \eta_p(\phi)$ become functions only of the packing  $\phi$  and that they diverge as  $\phi \to \phi_J$  from below. Above jamming ( $\phi > \phi_J$ ), as  $\dot{\gamma} \to 0$ , we expect  $p \to p_0(\phi)$  and  $\sigma \to \sigma_0(\phi)$  the finite yield stresses, and that these vanish as  $\phi \to \phi_J$  from above.

Finally we will compute the macroscopic friction coefficient,

$$\mu = \sigma/p. \tag{30}$$

Even though our particles have no microscopic frictional interactions, the macroscopic friction is nevertheless finite. Above the jamming  $\phi_J$ ,  $\lim_{\dot{\gamma}\to 0} \mu = \sigma_0/p_0$  measures the ability of the jammed solid to support a finite shear stress without flowing. Below jamming,  $\mu$  measures the ratio of shear stress to pressure in the flowing liquid state, where both  $\sigma$  and p are proportional to  $\dot{\gamma}$ . As  $\dot{\gamma} \to 0$ , the macroscopic friction takes a finite value  $\mu_J$  exactly at the jamming  $\phi_J$ , which we will see depends on the asphericity  $\alpha$  of the particles.

#### III. RESULTS

#### A. Stress

#### 1. Orientation of the Minimal Stress Axis

In Fig. 4(a) we present results for the direction of the minimal stress axis  $\theta_{-}$  vs  $\phi$  for several different particle asphericities  $\alpha$ , for  $\dot{\gamma} = 10^{-5}$ . The limiting value of  $\theta_{-}$ at small  $\phi \to 0$  should be well approximated by the case of an isolated particle. In that case the only contribution to the stress tensor is from the dissipative part  $\mathbf{p}^{\text{dis}}$ , the rotational equation of motion is just  $\dot{\theta}_i = -\dot{\gamma}f(\theta_i)$ , and so the probability to be at angle  $\theta$  is just  $\mathcal{P}(\theta) \propto 1/f(\theta)$ . Using these in Eq. (22) one sees that the diagonal elements of  $\mathbf{p}^{\text{dis}}$  vanish, the off diagonal elements are finite and equal, so that in this limit  $\theta_{-} = 45^{\circ}$ . In Fig. 4(a) we see support for this conclusion looking at the smaller  $\phi$  values of  $\theta_{-}$  for the larger values of  $\alpha \gtrsim 0.25$ . For these cases, however,  $\theta_{-}$  drops well below this limit as  $\phi$ increases; this decreases is larger and begins at lower  $\phi$ the larger is  $\alpha$ . For  $\alpha = 4$ , the result  $\theta_{-} \approx 20^{\circ}$  at high densities indicates a sizable normal stress difference; from Eq. (28) we get  $N_1/\sigma_{xy} \approx 2.4$ .

For small asphericities  $\alpha < 0.25$ , we see that  $\theta_{-} \approx 45^{\circ}$ at high densities near jamming. But as  $\phi$  decreases. we see that  $\theta_{-}$  decreases below 45°. For circular disks,  $\alpha =$ 0, we see that  $\theta_{-}$  starts to increase again as  $\phi$  decreases further, and we expect that in these small  $\alpha$  cases we will again find that  $\theta_{-} \rightarrow 45^{\circ}$  as  $\phi$  gets sufficiently small. The non-monotonic behavior we find for  $\theta_{-}$  is a consequence of the elastic collisions between particles, but we do not have a clearer understanding of its behavior. In Fig. 4(b) we plot  $\theta_{-}$  vs  $\phi$  at different  $\dot{\gamma}$  for our largest  $\alpha = 4$ . We



FIG. 4. Orientation  $\theta_{-}$  of the minimal stress axis of the stress tensor **p** vs packing fraction  $\phi$  for (a) different particle asphericities  $\alpha$  at  $\dot{\gamma} = 10^{-5}$ , and (b) different strain rates  $\dot{\gamma}$  at  $\alpha = 4$ . The dashed vertical line in (a) indicates the  $\alpha = 0$  jamming transition  $\phi_{J}^{(0)} \approx 0.843$ , while in (b) it indicates the  $\alpha = 4$  jamming transition at  $\phi_{J} \approx 0.906$ .

see that there is a negligible dependence on  $\dot{\gamma}$ , except perhaps for a small effect near the jamming  $\phi_J$ .

#### 2. Scaling of Pressure

Next we look at the pressure transport coefficient  $\eta_p = p/\dot{\gamma}$ . In Fig. 5(a) we plot  $\eta_p$  vs  $\phi$  for nearly circular particles with  $\alpha = 0.01$ ; in 5(b) we plot the corresponding pressure p vs  $\phi$ . Results are shown for different shear strain rates  $\dot{\gamma}$ . In Figs. 5(c) and (d) we show similar results for our most elongated particles with  $\alpha = 4$ . Dashed vertical lines locate the critical jamming density  $\phi_J$  (determined as described below) in each case.

The behavior is as expected. In the  $\dot{\gamma} \rightarrow 0$  limit we expect  $\eta_p$  to diverge algebraically as  $\phi \rightarrow \phi_J$ , and to be infinite for  $\phi > \phi_J$ . This  $\dot{\gamma} \rightarrow 0$  limiting curve represents the limit of hard-core particles where particle overlaps are negligible. For finite  $\dot{\gamma}$  we see in Figs. 5(a) and (c) that the curves of  $\eta_p$  vs  $\phi$  follow this limiting curve at low  $\phi$ , and then fall below it, becoming finite at  $\phi_J$  and above; as  $\dot{\gamma}$  decreases, the  $\phi$  at which this separation from the  $\dot{\gamma} \rightarrow 0$  limiting curve occurs shifts closer to  $\phi_J$ , and the value of  $\eta_p$  at  $\phi_J$  increases. The dependence of  $\eta_p$ on  $\dot{\gamma}$  represents soft-core effects, where particle overlaps cannot be ignored.

Analogously, in the  $\dot{\gamma} \to 0$  limit we expect that the pressure p vanishes for  $\phi < \phi_J$  and is equal to the yield stress  $p_0(\phi)$  for  $\phi > \phi_J$ ;  $p_0(\phi)$  vanishes continuously as  $\phi \to \phi_J$  from above. For finite  $\dot{\gamma}$  we see in Figs. 5(b) and (d) that the curves of p vs  $\phi$  appear to be approaching such a limiting form as  $\dot{\gamma}$  decreases. We find qualitatively the same behavior as in Fig. 5 when looking at the deviatoric shear stress  $\sigma$  and the shear viscosity  $\eta = \sigma/\dot{\gamma}$ .

These behaviors of  $\eta_p$  and p can in principle be captured by a scaling equation that describes the jamming



FIG. 5. (a) Pressure transport coefficient  $\eta_p = p/\dot{\gamma}$  and (b) pressure p vs packing  $\phi$  at different shear strain rates  $\dot{\gamma}$  for particles with asphericity  $\alpha = 0.01$ ; (c)  $\eta_p$  and (d) p vs  $\phi$  at different  $\dot{\gamma}$  for particles with  $\alpha = 4$ . Vertical dashed lines indicate the jamming  $\phi_J$ .

point as a continuous phase transition [8],

$$p(\phi, \dot{\gamma}) = \dot{\gamma}^{q} g\left(\frac{\phi - \phi_{J}}{\dot{\gamma}^{1/z\nu}}\right), \qquad (31)$$

where g(x) is a scaling function,  $\nu$  is the correlation length critical exponent, z is the dynamic critical exponent, and q is the exponent of the nonlinear rheology exactly at  $\phi_J$ :  $p \sim \dot{\gamma}^q$ . The condition that  $p \to p_0(\phi) > 0$ as  $\dot{\gamma} \to 0$  above  $\phi_J$  implies  $\lim_{x\to+\infty} g(x) \sim x^y$ , so that,

$$p_0(\phi) \sim (\phi - \phi_J)^y, \quad y = qz\nu. \tag{32}$$

The condition that  $\eta_p = p/\dot{\gamma}$  approaches a finite constant as  $\dot{\gamma} \to 0$  below  $\phi_J$  implies that  $\lim_{x\to-\infty} g(x) \sim x^{-\beta}$ , so that,

$$\eta_p \sim (\phi_J - \phi)^{-\beta}, \quad \beta = z\nu - y.$$
 (33)

Fitting the data for  $p(\phi, \dot{\gamma})$  to the scaling form of Eq. (31) is in principle the best way to determine the values of the critical  $\phi_J$  and the exponents  $\beta$  and y that describe behavior asymptotically close to  $\phi_J$ .

Such a scaling analysis has been been carried out previously for circular disks ( $\alpha = 0$ ) [8]. There it was found that *corrections-to-scaling* must be included, making the



FIG. 6. For spherocylinders of asphericity  $\alpha = 4$ : (a) Fitting parameters of the scaling equation (31),  $\phi_J$ ,  $\beta$ , and y, and  $\chi^2$ /dof of the fit, vs the maximum strain rate  $\dot{\gamma}_{\rm max}$  used in the fit. (b) Scaling collapse of the data using the fitting parameters obtained from  $\dot{\gamma}_{\rm max} = 4 \times 10^{-5}$ .

analysis significantly more complicated, and it was necessary to go to very small strain rates  $\dot{\gamma} = 10^{-8}$  in large systems with N = 65536 particles to obtain consistent results. Here we have not simulated such a large system, and with our smaller system of N = 1024 we cannot probe such small strain rates without having to worry about finite size effects. Thus we cannot attempt such a scaling analysis for small  $\alpha$ . For larger  $\alpha$ , however, it is worthwhile to see how well such a scaling analysis might do, as the importance of corrections-to-scaling may vary with  $\alpha$ . We therefore attempt a scaling analysis for our most elongated particles with  $\alpha = 4$ , where we have data down to  $\dot{\gamma} = 4 \times 10^{-7}$ . We choose to analyze pressure p rather than shear stress  $\sigma$ , since prior results on circular disks [8] indicate that corrections-to-scaling are significantly smaller for p than for  $\sigma$ . For our scaling analysis we use data from simulations with N = 1024 particles for all but our smallest strain rate. We have explicitly checked that for  $\dot{\gamma} \geq 10^{-6}$ , N = 1024 is sufficiently large to avoid finite size effects; however for  $\dot{\gamma} = 4 \times 10^{-7}$  a small finite size effect is observed for N = 1024, hence for this rate we use data from a larger system with N = 2048.

To fit to the scaling form of Eq. (31) we expand the logarithm of the scaling function g(x) as a fourth order polynomial, i.e.,  $g(x) = \exp(c_0 + c_1 x^2 + c_2 x^2 + c_3 x^3 + c_4 x^4)$ , and take as free fitting parameters  $\phi_J$ ,  $\beta$ , y, and the  $c_i$  (with  $z\nu = \beta + y$  and  $q = y/[\beta + y]$ ). Since scaling holds only asymptotically close to the critical point, we restrict the data to be used in our fit to packing fractions close to  $\phi_J$ ,  $0.88 \le \phi \le 0.911$ , and to strain rates  $\dot{\gamma} \le \dot{\gamma}_{\rm max}$ . We then vary  $\dot{\gamma}_{\rm max}$  to shrink the window of data closer to the critical point. If our fits are to be regarded as good and stable we hope to find that the  $\chi^2$  error per degree of freedom of the fit,  $\chi^2/{\rm dof} \approx 1$ , and that the fitted parameters stay constant, within the estimated statistical error, as  $\dot{\gamma}_{\rm max}$  decreases.

In Fig. 6(a) we plot our results for  $\phi_J$ ,  $\beta$ , y, and  $\chi^2/dof$ 

from this scaling fit vs  $\dot{\gamma}_{\rm max}$ , for  $\dot{\gamma}_{\rm max}$  from  $10^{-4}$  down to  $10^{-5}$ ; we cannot use a smaller  $\dot{\gamma}_{\rm max}$  as then the number of data points becomes too few. We find that  $\phi_J$  and y do appear to stay constant, within the estimated errors, but  $\beta$  seems to systematically increase as  $\dot{\gamma}_{\rm max}$  decreases. The  $\chi^2/{\rm dof} \sim 1.5$  stays roughly constant as  $\dot{\gamma}_{\rm max}$  varies. Taking the fitted parameters obtained from  $\dot{\gamma}_{\rm max} = 4 \times 10^{-5}$ , we have  $\phi_J = 0.9058 \pm 0.0004$ ,  $\beta = 2.98 \pm 0.07$ ,  $y = 0.85 \pm 0.02$ , which give  $q = y/(y + \beta) = 0.222 \pm 0.01$  and  $1/z\nu = 1/(y + \beta) = 0.26 \pm 0.01$ .

In Fig. 6(b) we show the resulting data collapse that results from these parameters, plotting  $p/\dot{\gamma}^q$  vs  $x = (\phi - \phi_J)/\dot{\gamma}^{1/z\nu}$ . In this plot we include data that lie outside the narrow range that was used to obtain the fit. We see what appears to be a reasonable collapse close to the jamming critical point x = 0. As |x| increases away from the critical point, we start to see deviations from the common scaling curve for the larger  $\dot{\gamma}$ . This is as expected since such points are too far from the critical point to lay in the scaling region.

We can compare the fitted exponents found here to those found previously [8, 54] for circular disks ( $\alpha = 0$ ),  $\beta = 2.77 \pm 0.02, y = 1.08 \pm 0.03, q = 0.28 \pm 0.02$ , and  $1/z\nu = 0.26 \pm 0.02$ . Comparing the critical exponents  $\beta$  and y for  $\alpha = 0$  with those for  $\alpha = 4$ , we find that while the exponents are close, they are nevertheless several standard deviations estimated statistical error different from each other. This suggests that the jamming of frictionless spherocylinders at finite  $\alpha$  may be in a different universality class than the jamming of circular disks. This might be expected since the universality class is generally determined from the symmetries of the system, and the  $\alpha = 0$  and  $\alpha > 0$  cases have different symmetries; spherocylinders have a finite nematic orientational order  $S_2 > 0$  [42], while circular disks, by rotational symmetry, necessarily have  $S_2 = 0$ . However our conclusion on this issue should be regarded as tentative. The increasing  $\beta$  that we observe as  $\dot{\gamma}_{\rm max}$  decreases suggests that corrections-to-scaling may not be negligible for our data, and so simulations of a larger system size N at smaller strain rates  $\dot{\gamma}$  may be needed to be more conclusive. Nevertheless, our result that  $\beta$  is *increasing* as  $\dot{\gamma}_{\rm max}$  decreases, i.e., as we get closer to the critical point, would seem to suggest that the true asymptotic value of  $\beta$  may be even further away from its  $\alpha = 0$  value than what we have found from our fits here.

#### 3. Herschel-Bulkley Rheology and Determination of $\phi_J$

To obtain the value of  $\phi_J$  for our other values of  $\alpha$ , we resort to a different approach. For  $\phi > \phi_J$  the rheological law is phenomenologically found to obey a Herschel-Bulkley form [55, 56],

$$p = p_0 + c\dot{\gamma}^n. \tag{34}$$

In Figs. 7(a) and (b) we plot p vs  $\dot{\gamma}$  at different  $\phi$  for  $\alpha = 0.01$  and 4, respectively. Fitting to Eq. (34) gives



FIG. 7. Pressure p vs shear strain rate  $\dot{\gamma}$  at different packings  $\phi$  for particles with asphericity (a)  $\alpha = 0.01$  and (b)  $\alpha = 4$ . Solid lines are fits to the Herschel-Bulkley form of Eq. (34).



FIG. 8. (a) Yield pressure  $p_0$  and (b) effective Herschel-Bulkley exponent n vs packing  $\phi$  for particles with different asphericities  $\alpha$ .

the solid lines in Fig. 7. For  $\phi < \phi_J$  we do not expect Eq. (34) to be a good fit, and in Fig. 7(a) we see that the fit is indeed poor at the smallest  $\dot{\gamma}$  for the smaller  $\phi$ . However for larger  $\phi$  the fits are reasonably good, and so in Fig. 8 we plot our results for  $p_0$  and n vs  $\phi$  for different  $\alpha$ ; we show only results which find  $p_0 \ge 0$ , since a fitted value  $p_0 < 0$  indicates that one is below jamming  $\phi < \phi_J$ . The Herschel-Bulkley form (34) has, in principle, a well defined value of n in the limit  $\dot{\gamma} \to 0$  [54]. But we do not have results at enough values of  $\dot{\gamma}$ , nor small enough  $\dot{\gamma}$ , to probe this asymptotic small  $\dot{\gamma}$  limit. Our results for the exponent n in Fig. 8 should therefore be regarded as only effective exponents for the range of  $\dot{\gamma}$  simulated; we note, however, that for our particles with small  $\alpha$ , the range  $0.3 \leq n \leq 0.45$  that we find agrees with values typically found in the literature [57].

Using the values of  $p_0$  in Fig. 8(a) as estimates of the yield stress, we then extrapolate in  $\phi$  to find the packing fraction at which  $p_0$  vanishes, and take this as our estimate of the jamming point  $\phi_J$ . Fitting to the form  $p_0 = c(\phi - \phi_J)^{\bar{y}}$ , we plot the resulting  $\phi_J$  in Fig. 9. For  $\alpha = 4$  this approach gives  $\phi_J = 0.906 \pm 0.001$ , in agreement with our result from the scaling analysis described above. In Fig. 9 we also plot, for comparison, the values of  $\phi_J$  vs  $\alpha$  that we have previously found [25] for the



FIG. 9. Packing fraction at the jamming transition  $\phi_J$  vs particle asphericity  $\alpha$  for shear-driven jamming and for jamming by isotropic compression (from Ref. [25]). For shear-driven jamming we show results from both our analysis of the pressure p and the deviatoric stress  $\sigma$ .

compression-driven jamming of this same system, when we isotropically compressed at a slow rate from random configurations at an initial small  $\phi_{\text{init}}$ . We see that at small  $\alpha \leq 0.5$  the  $\phi_J$  from compression are quite close to, though systematically slightly smaller than, the  $\phi_J$ from shearing. But as  $\alpha$  further increases,  $\phi_J$  from compression reaches a peak and then decreases, while  $\phi_J$  from shearing continues to slowly increase. The greater  $\phi_J$  for shearing as compared to compression, for the larger  $\alpha$ , is related to the nematic ordering that spherocylinders undergo when sheared [30–36, 39–43] as contrasted with the lack of such ordering when iostropically compressed [12, 13, 15, 24, 25].

The exponent  $\bar{y}$  in our above fits to  $p_0(\phi)$  should not be regarded as the same as the true critical exponent y of the scaling law Eq. (32). The latter holds only asymptotically as  $\phi \to \phi_I$ , while  $\bar{y}$  is obtained from the data in Fig. 8(a) by phenomenologically fitting over a relatively wide range of  $(\phi - \phi_I) > 0$ . We do not have data at enough values of  $\phi$  closer to  $\phi_J$  to probe the true asymptotic region; just as the exponent n in Fig. 8(b) should be regarded as only an effective Herschel-Bulkley exponent for the range of  $\dot{\gamma}$ used in the fit, so  $\bar{y}$  must be regarded as only an *effective* exponent for the the range of  $\phi$  used in our fit to  $p_0(\phi)$ . As  $\alpha$  varies, we find values of  $\bar{y}$  that vary between 0.98 and 1.21. For  $\alpha = 4$  we find  $\bar{y} = 0.98 \pm 0.11$ , which compares to the  $y = 0.85 \pm 0.02$  found from our scaling analysis. As a check on our method we have also tried a fit of  $p_0(\phi)$  to a quadratic polynomial,  $p_0 = c_1(\phi - \phi_J) +$  $c_2(\phi-\phi_J)^2$ , and find the resulting  $\phi_J$  to always be within 0.1% of the  $\phi_J$  found with the algebraic fit; the  $\chi^2/dof$ from this quadratic fitting is, however, usually an order of magnitude worse than from the algebraic fitting.

We can carry out a similar analysis as above, but using the deviatoric shear stress  $\sigma$  rather than pressure p. Fitting  $\sigma(\dot{\gamma})$  to a Herschel-Bulkley form at different  $\phi$  for different  $\alpha$ ,

$$\sigma = \sigma_0 + c' \dot{\gamma}^{n'}, \tag{35}$$

we show results for the deviatoric yield stress  $\sigma_0$  and



FIG. 10. (a) Deviatoric yield stress  $\sigma_0$  and (b) effective Herschel-Bulkley exponent n' vs packing  $\phi$  for particles with different asphericities  $\alpha$ .

effective Herschel-Bulkely exponent n' in Fig. 10. Extrapolating  $\sigma_0$  to zero for each different  $\alpha$  we arrive at an estimate for  $\phi_J$  which we plot in Fig. 9. We see that this estimate for  $\phi_J$  agrees quite well with our earlier estimate from the analysis of pressure p; the  $\phi_J$  obtained from  $\sigma$  is just slightly smaller than that obtained from p, but the difference is always less than 0.25%.

In Fig. 10(b) we plot our results for the exponent n' obtained from  $\sigma$ . Comparing to similar results for the exponent n from pressure p in Fig. 8(b), we see that n and n' fall within the same general range of values, however it is clear that  $n \neq n'$ , and for small  $\alpha$  the trend as  $\phi$  varies is opposite; the exponent n from p increases as  $\phi$  increases, while the n' from  $\sigma$  decreases. This observation lends support to our assertion that n and n' as computed here are only *effective* exponents for the range of  $\dot{\gamma}$  we simulate, rather than being the true asymptotic  $\dot{\gamma} \rightarrow 0$  values [54].

#### 4. Macroscopic Friction

Next we look at the macroscopic friction,  $\mu = \sigma/p$ . In Figs. 11(a) and (b) we plot our results for  $\mu$  vs  $\phi$  at different strain rates  $\dot{\gamma}$  for  $\alpha = 0.01$  and 4, respectively. We see at low  $\phi$  that  $\mu$  is nearly independent of  $\dot{\gamma}$ , however upon approaching  $\phi_I$ , and going above, the  $\dot{\gamma}$  dependence becomes significant. The low  $\phi$  behavior is a consequence of the hard-core limit, where both p and  $\sigma$  are proportional to  $\dot{\gamma}$  and so their ratio is a finite value independent of  $\dot{\gamma}$ . However for a fixed finite  $\dot{\gamma}$ , as one approaches  $\phi_J$ , Eq. (31) implies that one necessarily enters the region of soft-core behavior when  $(\phi_J - \phi) \sim \dot{\gamma}^{1/z\nu}$ , and  $\dot{\gamma}$  is then no longer small enough to be in the linear rheology region and a dependence of  $\mu$  on  $\dot{\gamma}$  appears; the smaller is  $\dot{\gamma}$ , the closer one needs to get to  $\phi_J$  to enter the soft-core region. Above  $\phi_J$  we have  $\lim_{\dot{\gamma}\to 0} \mu = \sigma_0/p_0$ , and as  $\sigma_0$  and  $p_0$ are both components of the stress tensor we expect them both to scale  $\sim (\phi - \phi_J)^y$  with the same exponent y (as has been explicitly verified for circular disks [8]). Thus we expect that  $\lim_{\dot{\gamma}\to 0} \mu$  is a finite constant. However the Herschel-Bulkley form of the rheology above  $\phi_{I}$ , given by



FIG. 11. Macroscopic friction  $\mu = \sigma/p$  vs packing  $\phi$  at different shear strain rates  $\dot{\gamma}$  for particles with asphericity (a)  $\alpha = 0.01$  and (b)  $\alpha = 4$ . Vertical dashed lines indicate the jamming  $\phi_J$ .

Eq. (34), suggests that  $\dot{\gamma}$  dependencies of p and  $\sigma$  will not cancel, as they do at low  $\phi$ , and hence we see the more noticeable dependence of  $\mu$  on  $\dot{\gamma}$ .

### 5. Variation of Rheology with Asphericity $\alpha$

Finally, we wish to explicitly compare the behavior of the system rheology as the particle asphericity  $\alpha$  varies. To do so we will consider our results taken at the fixed shear strain<sup>1</sup> rate  $\dot{\gamma} = 10^{-6}$ . In Fig. 12(a) we plot the pressure transport coefficient  $\eta_p = p/\dot{\gamma}$  vs  $\phi$  for different  $\alpha = 0$  to 4. We see that the largest variation between the curves of different  $\alpha$  takes place for  $0.01 \lesssim \alpha \lesssim 1$ , corresponding to the region where  $\phi_J$  varies most rapidly (see Fig. 9). Not surprisingly, for  $\phi \gtrsim 0.8$  we see that  $\eta_p$ decreases as  $\alpha$  increases; alignment of the elongated particles at high densities serves to reduce the stress. However, in Fig. 12(b) we plot  $\eta_p$  vs a normalize packing fraction  $\phi/\phi_J$ , where  $\phi_J$  is the  $\alpha$ -dependent critical jamming packing fraction shown in Fig. 9. We see that the curves of  $\eta_p$  for different  $\alpha$  are now in large measure the same, especially in the region approaching  $\phi/\phi_J \sim 1$ . At  $\phi/\phi_J > 1$  we see that  $\eta_p$  slightly decreases as  $\alpha$  increases, while at low  $\phi/\phi_J < 1$  we find that  $\eta_p$  slightly increases as  $\alpha$  increases; but plotting vs  $\phi/\phi_J$  we see that the difference in behavior of  $\eta_p$  for the different  $\alpha$ , as seen in Fig. 12(a), is primarily a consequence of the variation of  $\phi_{J}$  with  $\alpha$ .

In Figs. 13(a) and (b) we similarly plot the shear viscosity  $\eta = \sigma/\dot{\gamma}$  vs  $\phi$  and vs  $\phi/\phi_J$ , respectively, for different  $\alpha$ . We find the same qualitative behavior as found for  $\eta_p$ . Finally in Figs. 14(a) and (b) we plot the macroscopic



FIG. 12. Pressure transport coefficient  $\eta_p = p/\dot{\gamma}$  vs (a) packing  $\phi$  and vs (b) normalized packing  $\phi/\phi_J$  for particles with different asphericity  $\alpha$  at shear strain rate  $\dot{\gamma} = 10^{-6}$ . In (a) the lower vertical dashed line gives the jamming point  $\phi_J \approx 0.843$  for circular disks while the upper vertical dashed line gives the jamming point  $\phi \approx 0.906$  for spherocylinders with  $\alpha = 4$ . In (b) the vertical dashed line gives  $\phi/\phi_J = 1$ .

friction  $\mu = \sigma/p$  vs  $\phi$  and vs  $\phi/\phi_J$ . Again we see that the curves of  $\mu$  for different  $\alpha$  tend to qualitatively agree when plotted vs  $\phi/\phi_J$ , though the difference between the different  $\alpha$  is more pronounced than for  $\eta_p$  or  $\eta$ .

The above discussion has been framed in terms of simulations at constant volume, where the control parameters are packing fraction  $\phi$  and shear strain rate  $\dot{\gamma}$ . For dry particles with a Bagnoldian rheology, however, studies are often done at constant pressure rather than constant volume, and it has been common to introduce as a control parameter a quantity known as the *inertial number* I [58],

$$I = d\dot{\gamma}\sqrt{\rho/p},\tag{36}$$

where d is a typical particle diameter and  $\rho$  is the particle's mass density. For hard-core particles (or soft-core particles at sufficiently small  $\dot{\gamma}$ ), where below  $\phi_J$  Bagnoldian rheology gives  $p = B(\phi)\dot{\gamma}^2$ , the inertial number  $I \propto 1/\sqrt{B}$  does not depend on  $\dot{\gamma}$  or p separately, but only on the packing  $\phi$  [9]. The rheology is then described by the two "constitutive equations,"  $\phi(I)$  and  $\mu(I)$ .

For Newtonian suspensions, an analogous quantity called the *viscous number* J is defined as [59, 60],

$$J = \eta_{\text{host}} \dot{\gamma} / p, \qquad (37)$$

where  $\eta_{\text{host}}$  is the viscosity of the host medium. With our units  $\eta_{\text{host}} = 1$ , and so we have  $J = 1/\eta_p$ . The hard-core limit below  $\phi_J$  can then be described by  $\phi(J)$  and  $\mu(J)$ .

<sup>&</sup>lt;sup>1</sup> At low values of  $\phi$  we use larger values of  $\dot{\gamma}$ , as we are in the hard-core limit where the stress becomes independent of  $\dot{\gamma}$  for the  $\dot{\gamma}$  we are considering.

 $10^{4}$  $\dot{\gamma} = 1 \times 10^{-6}$ α  $10^{3}$ -0  $0.00^{\circ}$ 10 0.015 10 0.5 10  $10^{-10}$ (a) 10 0.5 0.6 0.8 0.9 1.0 0.7φ  $10^{4}$ α  $\dot{\gamma} = 1 \times 10^{-6}$  $10^{3}$ 0−0 ---0.001  $10^{2}$ 0.010.1210 0.5 $10^{\circ}$ 10 (b) 10<sup>-2</sup>∟ 0.6  $\phi/\phi_J^{\overline{0.9}}$ 0.7 1.0 1.1 0.8

FIG. 13. Shear viscosity  $\eta = \sigma/\dot{\gamma}$  vs (a) packing  $\phi$  and vs (b) normalized packing  $\phi/\phi_J$  for particles with different asphericity  $\alpha$  at shear strain rate  $\dot{\gamma} = 10^{-6}$ . In (a) the lower vertical dashed line gives the jamming point  $\phi_J \approx 0.843$  for circular disks while the upper vertical dashed line gives the jamming point  $\phi \approx 0.906$  for spherocylinders with  $\alpha = 4$ . In (b) the vertical dashed line gives  $\phi/\phi_J = 1$ .

In Fig. 15 we plot  $\mu$  vs J for particles of different asphericity  $\alpha$  at the fixed strain rate  $\dot{\gamma} = 10^{-6}$ . At this strain rate we see from Fig. 12 that one is in the hard-core limit when  $\eta_p \lesssim 500$ . When one goes above  $\eta_p \approx 500$ , the hard-core divergence of  $\eta_p$  gets cut off by soft-core effects as one approaches and goes above  $\phi_J$ . Thus for our system at  $\dot{\gamma} = 10^{-6}$ , we are in the hard core limit below  $\phi_J$  when  $J \gtrsim 0.002$ , but we are in the soft-core region, close to and above  $\phi_J$ , when  $J \lesssim 0.002$ . This crossover  $J_{\times} = 0.002$  is indicated by the dashed vertical line in Fig. 15. It is interesting to note that while the crossover from hard-core to soft-core behavior as one approaches and goes above  $\phi_J$  is immediately apparent in Figs. 12, 13 and 14 when plotting vs  $\phi$ , there is no apparent signature of this crossover in Fig. 15 when plotting vs J.

Comparing the curves of  $\mu$  vs J in Fig. 15 we see that they all follow the same qualitative shape, however there is clearly a spread in values as the asphericity  $\alpha$  varies. Looking carefully, we see that the variation with  $\alpha$  is nonmonotonic; the smallest and largest  $\alpha$  give the smallest  $\mu$ , while intermediate  $\alpha \approx 0.5$  have the largest  $\mu$  at small  $J > J_{\times}$ . A similar non-monoticity of  $\mu$  with  $\alpha$  has previously been reported in simulations of 3D ellipsoids [39] and 2D ellipses [40] obeying Bagnoldian rheology.



FIG. 14. Macroscopic friction  $\mu = \sigma/p$  vs (a) packing  $\phi$  and vs (b) normalized packing  $\phi/\phi_J$  for particles with different asphericity  $\alpha$  at shear strain rate  $\dot{\gamma} = 10^{-6}$ . In (a) the lower vertical dashed line gives the jamming point  $\phi_J \approx 0.843$  for circular disks while the upper vertical dashed line gives the jamming point  $\phi \approx 0.906$  for spherocylinders with  $\alpha = 4$ . In (b) the vertical dashed line gives  $\phi/\phi_J = 1$ .



FIG. 15. Macroscopic friction  $\mu = \sigma/p$  vs viscous number  $J = \dot{\gamma}/p$  for particles with different asphericity  $\alpha$  at fixed shear strain rate  $\dot{\gamma} = 10^{-6}$ . The vertical dashed line at  $J_{\times} = 0.002$  separates the region of hard-core behavior  $J \gtrsim J_{\times}$  from soft-core behavior  $J \lesssim J_{\times}$ .

### B. Contacts

#### 1. Average Contact Number Z

An important concept in jamming is the notion of isostaticity [1–3]. For a static, mechanically stable, jammed packing there should be enough particle contacts to constrain the motion of all the  $d_f$  degrees of freedom of each of the  $\tilde{N}$  particles that participate in the rigid backbone of the packing. When the number of force constraints  $N_c$ arising from the particle contacts is exactly equal to the number of particle degrees of freedom,  $N_c = N d_f$ , the system is said to be *isostatic*. For frictionless particles each contact force is normal to the particle's surface and gives one force constraint, so the total number of force constraints on the rigid backbone is  $N_c = NZ/2$ , where Z is the average number of contacts per backbone particle (the factor of 1/2 is because each contact is shared by two particles). For frictionless spheres in d dimensions, rotations of individual particles leave the configuration invariant, and so  $d_f = d$ , the number of translational degrees of freedom. Hence the isostatic condition for frictionless spheres is  $Z_{iso} = 2d = 4$  in 2D. For frictionless spherocylinders in 2D one must add one rotational degree of freedom, and so  $d_f = 3$ , giving  $Z_{iso} = 6$ .

It has been demonstrated numerically in 2D and 3D [1] that for frictionless spheres, the system is isostatic exactly at the compression-driven jamming transition  $\phi_J$ , i.e., the average number of contacts at the transition is  $Z_J = Z_{\rm iso} = 2d$ . Numerical studies [61] of the sheardriven jamming transition of frictionless disks in 2D have also claimed to find  $Z_J = Z_{iso}$ . However for many nonspherical frictionless particles, and in particular for spherocylinders [19–21, 25], packings are found to be hypostatic at the compression-driven jamming transition, with  $Z_J < Z_{\rm iso}$ , especially when the particles deviate only slightly from spheres [12, 14, 16–19, 21, 22, 24, 25]. The difference  $Z_{\rm iso} - Z_J$  has been attributed to eigenmodes of small displacements which are quartically, rather than quadratically, constrained in an expansion of the elastic energy about the energy minimum of the mechanically stable configuration at jamming [14, 17, 19, 25]. Our goal here is to investigate the value of  $Z_J$  for the sheardriven jamming of 2D spherocylinders, and compare it to what we have previously found [25] for compressiondriven jamming.

The first step in computing  $Z_J$  is to identify the  $\tilde{N}$  particles that participate in the rigid backbone of the packing. We can write  $\tilde{N} = N - N_r$ , where  $N_r$  is the number of *rattler* particles [1]. A rattler is any particle which is not at a strict local energy minimum, but may move without cost in energy in one or more directions. Such particles may exist in voids created by the rigid backbone. For circular disks in 2D, an effective algorithm to detect rattlers is to recursively remove any particle with less than three contacts. For 2D spherocylinders, however, the situation is more complicated; because of the flat sides, a particle may have a zero-energy sliding mode in the direction parallel to the spine, while still being important for the stability of the backbone. We therefore take a particle to be a rattler whenever it has less than three contacts, unless there are two contacts that are oriented on opposite flat sides parallel to the spine. Passing through the configuration to remove such rattlers, we then iterate the process until no further rattlers are found. We note that for compression-driven jamming, we have found [25] that the fraction of rattlers in the sys-



FIG. 16. Average contact number Z vs  $\phi$  at different shear strain rates  $\dot{\gamma}$  for (a)  $\alpha = 0.01$  and (b)  $\alpha = 4$ ; open symbols at  $\phi < \phi_J$  include rattler particles in the calculation of Z, while solid symbols at  $\phi > \phi_J$  exclude rattlers. Average contact number Z vs  $\phi$  at the smallest strain rate for (c)  $\alpha = 0.01$ and (d)  $\alpha = 4$ ; open symbols include rattler particles in the calculation of Z, while solid symbols exclude rattlers. Vertical dashed lines denote the jamming density  $\phi_J$ .

tem at jamming decreases significantly as the asphericity  $\alpha$  increases, varying from roughly 3.3% for  $\alpha = 0.01$  to 0.1% for  $\alpha = 4$ .

For the current study, with our system sheared at a finite rate  $\dot{\gamma} > 0$ , there is yet another complication because our flowing configurations are not in mechanically stable states; only in the limit  $\dot{\gamma} \rightarrow 0$  do we arrive at mechanically stable states. The Z that we seek should therefore be taken as the  $\dot{\gamma} \rightarrow 0$  limit of the Z computed at finite  $\dot{\gamma}$ . For the purpose of computing Z we count each sideto-side contact (as in Fig. 3(c)) as two contacts, since the contact of two flat sides constrains two degrees of freedom: translational motion transverse to the spine, and rotation [25, 62].

When we exclude rattlers from the calculation of Z, we find that most particles become rattlers as  $\phi$  decreases below  $\phi_J$ . Previous calculations of Z for sheared 2D circular disks [61] therefore included rattlers when presenting results for Z. In Fig. 16(a) and (b) we plot our results for Z vs  $\phi$  at different  $\dot{\gamma}$  for  $\alpha = 0.01$  and  $\alpha = 4$ , respectively. To allow for comparison with previous work [61], for  $\phi < \phi_J$  we plot the value of Z obtained when includ-



FIG. 17. Average contact number  $Z_J$  at jamming vs particle asphericity  $\alpha$ . Z is computed excluding rattlers and counting each side-to-side contact twice. Results for both shear-driven jamming (circles) and compression-driven jamming (squares; from Ref. [25]) are shown.

ing rattlers in the calculation (open symbols); for  $\phi > \phi_J$ , to allow for a more accurate counting of constraints, we exclude ratters when calculating Z (solid symbols). In both Figs. 16(a) and (b) the value of  $\phi_J$  is indicated by the vertical dashed line. We see that for small  $\alpha = 0.01$ the dependence of Z on  $\dot{\gamma}$  is significant as  $\phi$  approaches and goes above  $\phi_J$ ; for large  $\alpha = 4$  the  $\dot{\gamma}$  dependence is significantly reduced. In both cases we see that Z approaches a limiting curve as  $\dot{\gamma}$  decreases, and the values from our smallest strain rate,  $\dot{\gamma} = 10^{-7}$  for  $\alpha = 0.01$  and  $\dot{\gamma} = 4 \times 10^{-7}$  for  $\alpha = 4$ , give a good approximation to this limit. For  $\phi < \phi_J$ , Z varies roughly linearly with  $\phi$ as found previously for circular disks [61]. For  $\phi > \phi_J$  we see a hint of the  $Z - Z_J \propto (\phi - \phi_J)^{1/2}$  dependence found for compression-driven [1] and shear-driven [61] circular disks, however we do not have enough data at  $\phi$  close to, but above,  $\phi_J$  to check this form in detail.

For completeness, in Fig. 16(c) and (d) we plot Z vs  $\phi$ , again for  $\alpha = 0.01$  and  $\alpha = 4$  respectively, but this time only for our smallest strain rate. We show results for Z when both including and excluding rattlers in the calculation at all  $\phi$ . For  $\alpha = 0.01$  we see, as expected, that Z increases slightly near  $\phi_J$  when rattlers are excluded, but this difference decreases as  $\phi$  increases above  $\phi_J$  and the fraction of rattlers decreases. For  $\alpha = 4$  the difference is everywhere exceedingly small, reflecting the very small fraction of rattlers even at  $\phi_J$ .

Using the values of Z at our smallest  $\dot{\gamma}$  as computed excluding rattlers, and the values of  $\phi_J$  from Fig. 9, we plot our resulting estimate for  $Z_J$  vs  $\alpha$  in Fig. 17. For comparison, we also show our previous results [25] for  $Z_J$  from compression-driven jamming. We see that  $Z_J < Z_{iso} = 6$  for all  $\alpha$ , reaching a maximum  $Z_J \approx 5.9$  at  $\alpha \approx 1$ . Our system, therefore, is hypostatic at the sheardriven jamming transition for all  $\alpha > 0$ . We see that  $Z_J$ from shearing is slightly higher than from compression at large  $\alpha > 1$ , but slightly smaller for small  $\alpha < 0.03$ (though for our smallest  $\alpha$  there may still be finite  $\dot{\gamma}$ effects in our data, that would cause the true  $Z_J$  to be slightly higher). As  $\alpha \to 0$  we see that  $Z_J$  approaches,



FIG. 18. Average fraction of side-to-side, tip-to-side, and tip-to-tip contacts at  $\phi_J$  vs particle asphericity  $\alpha$ . For sheardriven jamming, solid symbols are results from the smallest strain rate  $\dot{\gamma}$  at each  $\alpha$ , while open symbols are from the next smallest  $\dot{\gamma}$ ; solid lines connect these data points. Results for compression-driven jamming are given by crosses connected by dashed lines

but remains slightly larger than, the isostatic value  $Z_{\rm iso} = 4$  for circular disks. This is because the fraction of sideto-side contacts remains finite as  $\alpha \to 0$  and, as discussed above, we have counted such contacts twice; if we count such contacts only once, then we do find that  $Z_J \to 4$  as  $\alpha \to 0$ .

To see this, in Fig. 18 we plot the fraction of side-toside, tip-to-side, and tip-to-tip contacts at the jamming  $\phi_J$  vs asphericity  $\alpha$ . As in our calculation of Z, each side-to-side contact is counted here with a double weight. We show results from the smallest strain rate  $\dot{\gamma}$  at each  $\alpha$  (solid symbols connected by solid lines) and from the next smallest  $\dot{\gamma}$  (open symbols connected by solid lines) to demonstrate that there remains a small but noticeable dependence on  $\dot{\gamma}$  for the smallest  $\alpha$ . Our results are qualitatively similar to those of Ref. [22]. For comparison, we show the corresponding results for compressiondriven jamming (crosses connected by dashed lines) [25]. The larger value for the fraction of side-to-side contacts that we see in shear-driven as compared to compressiondriven jamming as  $\alpha$  increases, is likely due to the increased orientational ordering of particles as  $\alpha$  increases [42]. As  $\alpha \to 0$ , we see that the fraction of side-to-side contacts appears to be approaching the finite value  $\sim 0.1$ . We return to this point further below.

#### 2. Contact Location Distribution

Having counted the number of contacts we now turn to ask where these contacts tend to lie on the surface of our particles. We define  $(r, \vartheta)$  as the radial distance and polar angle of a point on the surface with respect to the center of mass of the particle and the direction of the spine, as illustrated in Fig. 19. The probability density *per unit length* to find a contact at angle  $\vartheta$  is then  $\mathcal{P}(\vartheta)$ ,



FIG. 19. Radial distance r and polar angle  $\vartheta$  of a point on the surface of a 2D spherocylinder.

which is normalized so that,

$$1 = \frac{1}{\mathcal{L}} \int_0^{2\pi} d\vartheta \,\sqrt{r^2 + (dr/d\vartheta)^2} \,\mathcal{P}(\vartheta), \qquad (38)$$

where  $\mathcal{L} = 2\pi R_i + 4A_i$  is the perimeter length of the spherocylinder, and  $d\ell \equiv d\vartheta \sqrt{r^2 + (dr/d\vartheta)^2}$  is the differential surface length subtended by  $d\vartheta$  at polar angle  $\vartheta$ . A uniform probability per unit surface length is thus characterized by  $\mathcal{P}(\vartheta) = 1$ . Note, the angle  $\vartheta$  is measured with respect to the spine of the particle, rather than with respect to the direction of the shear flow. Since the spine rotates with the particle, and since our particles have no head nor tail, by symmetry we must have  $\mathcal{P}(\vartheta) = \mathcal{P}(\vartheta + \pi)$ , and we therefore restrict our plots below to the range  $\vartheta \in [0, \pi]$ . For the purpose of computing  $\mathcal{P}(\vartheta)$  we will take a side-to-side contact to have a weight of unity, but its location distributed uniformly over the segments of the flat surfaces that are in contact.

In Fig. 20 we plot  $\mathcal{P}(\vartheta)$  vs  $\vartheta$  for the cases of (a) a nearly spherical particle with  $\alpha = 0.01$  and (b) an elongated particle with  $\alpha = 4$ . We show results at a fixed packing fraction  $\phi$  close to each case's jamming  $\phi_J$ , for a range of shear strain rates  $\dot{\gamma}$ . For  $\alpha = 0.01$  in Fig. 20(a) we see a sharp peak in  $\mathcal{P}(\vartheta)$  at  $\vartheta = \pi/2$ , i.e., the largest probability is along the short flat sides of the spherocylinder. even though the flat sides represent only roughly 0.63%of the particle perimeter. This is in stark contrast to the uniform probability distribution expected for a perfectly circular particle. As  $\dot{\gamma}$  decreases, the heights of the sharp peaks increase, the depths of the minima decrease, and the distribution  $\mathcal{P}(\vartheta)$  appears to be approaching a well defined limit as  $\dot{\gamma} \to 0$ . The smaller sharp peaks near  $\vartheta \sim \pi/6$  and  $5\pi/6$  are shadows of the main peak at  $\vartheta = \pi/2$ . In a monodisperse system if a contact exists at  $\pi/2$ , the next particle contact can be no closer than  $\pi/3$ away, i.e. at  $\pi/6$  and  $5\pi/6$ . In a bidisperse system these shadow peaks at  $\pi/6$  and  $5\pi/6$  get split to allow for contacts between big-big, big-small, and small-small pairs. The smaller oscillations in  $\mathcal{P}(\vartheta)$  at other angles, that are seen at the smaller values of  $\dot{\gamma}$ , arise from excluded angle effects related to the spacing of additional next-neighbor particle contacts with respect to the reference particle at  $\pi/2.$ 

For elongated particles with  $\alpha = 4$  Fig. 20(b) shows qualitatively different behavior. While  $\vartheta = \pi/2$  remains a local maximum, that maximum is broad, and



FIG. 20. Probability per unit length  $\mathcal{P}(\vartheta)$  vs  $\vartheta$  for a particle to have a contact at polar angle  $\vartheta$  on its surface for different values of the shear strain rate  $\dot{\gamma}$ . (a) Nearly spherical particles with  $\alpha = 0.01$  at  $\phi = 0.845$  and (b) elongated particles with  $\alpha = 4$  at  $\phi = 0.905$ , close to their jamming fractions  $\phi_J = 0.8454$  and 0.906 respectively. For clarity, in (a) symbols on the different curves are shown only at the central peak at  $\vartheta = \pi/2$ ; in (b) symbols are shown on only every 20th data point. Note the logarithmic vertical scale in (a). Dashed horizontal lines represent the value  $\mathcal{P}(\vartheta) = 1$  that would describe a uniform distribution.

the largest probability is at the particle tips,  $\vartheta = 0$ ,  $\pi$ . Sharp discontinuities are seen at  $\vartheta = \pi/2 \pm \arctan(\alpha)$ , where the flat sides end and the semicircular end-caps begin. In contrast to  $\alpha = 0.01$ , we see essentially no dependence of  $\mathcal{P}(\vartheta)$  on the strain rate  $\dot{\gamma}$ .

In Fig. 21 we plot  $\mathcal{P}(\vartheta)$  vs  $\vartheta$  for different values of the packing fraction  $\phi$ , at our smallest value of  $\dot{\gamma}$ ; in (a) we show results for  $\alpha = 0.01$  at  $\dot{\gamma} = 10^{-7}$ , while in (b) we show results for  $\alpha = 4$  at  $\dot{\gamma} = 4 \times 10^{-7}$ . For  $\alpha = 0.01$ above  $\phi_J \simeq 0.845$  we see that the peak at  $\vartheta = \pi/2$  is exceedingly sharp and there are sharp shadow peaks at  $\vartheta \approx \pi/6$  and  $5\pi/6$ . In the region near  $\vartheta = \pi/2$ , but to the sides of the peak, the distribution decreases as  $\phi$ increases. Slightly below  $\phi_J$  the peak broadens and the distribution starts to flatten. Further below  $\phi_J$  ( $\phi = 0.80$ and 0.77 in Fig. 21(a)) the distribution gets rather flatter, but at  $\vartheta = \pi/2$  there now develops a sharp minimum with nearby peaks on either side (one must enlarge the figure in order to see this); the distributions also become slightly asymmetrical about  $\vartheta = \pi/2$ . For  $\alpha = 4$  the main variation as  $\phi$  decreases is a slight decrease in the local maximum at  $\vartheta = \pi/2$ , a sharpening of the discontinuity at the end of the flat sides  $\vartheta = \pi/2 \pm \arctan(\alpha)$ , and a decrease of the peaks at the particle tips  $\vartheta = 0$  and  $\pi$ .

Next, to compare different  $\alpha$ , in Fig. 22(a) we plot  $\mathcal{P}(\vartheta)$ vs  $\vartheta$  for different  $\alpha$ , at fixed  $\phi$  close to the  $\alpha$ -specific jamming fraction  $\phi \approx \phi_J(\alpha)$ , for the lowest strain rate  $\dot{\gamma}$  that we have simulated at that  $\alpha$ ; for each  $\alpha$  this  $\dot{\gamma}$  is small enough that  $\mathcal{P}(\vartheta)$  is close to its  $\dot{\gamma} \to 0$  limiting form. We see (as reported earlier by us for sheared 2D spherocylinders and 3D ellipsoids [42]) that as  $\alpha$  decreases, the



FIG. 21. Probability per unit length  $\mathcal{P}(\vartheta)$  vs  $\vartheta$  for a particle to have a contact at polar angle  $\vartheta$  on its surface for different values of the packing fraction  $\phi$  at our lowest strain rate  $\dot{\gamma}$ . (a) Nearly spherical particles with  $\alpha = 0.01$  at  $\dot{\gamma} = 10^{-7}$  and (b) elongated particles with  $\alpha = 4$  at  $\dot{\gamma} = 4 \times 10^{-7}$ . Note the logarithmic vertical scale in (a). Dashed horizontal lines represent the value  $\mathcal{P}(\vartheta) = 1$  that would describe a uniform distribution.

peak on the flat side at  $\vartheta = \pi/2$  increases in magnitude, while the width of this peak  $\Delta \vartheta = 2 \arctan(\alpha)$  decreases. Similar results have been previously reported for static jammed configurations of 2D spherocylinders and ellipses obtained by isotropic compression [19, 25]. Evidence suggesting such an effect has also been reported for sheared 2D ellipses [40]. Most recently, simulations on 3D spherocylinders [41] have found this effect to be reduced once interparticle Coulombic friction is included.

To measure the likelihood of a contact on a flat side, we can compute the total probability  $\mathcal{P}_{side}$  to have a contact anywhere on one of the flat sides of the particle,

$$\mathcal{P}_{\text{side}} = \frac{2}{\mathcal{L}} \int_{\vartheta_1}^{\vartheta_2} d\vartheta \sqrt{r^2 + (dr/d\vartheta)^2} \,\mathcal{P}(\vartheta), \qquad (39)$$

with  $\vartheta_{2,1} = \pi/2 \pm \arctan(\alpha)$ . In Fig. 22(b) we plot  $\mathcal{P}_{\text{side}}$  vs the relative packing fraction  $\phi/\phi_J$  for several smaller values of  $\alpha$ . We see that as  $\alpha$  decreases,  $\mathcal{P}_{\text{side}}$  stays roughly constant at  $\phi_J$ . Thus, as  $\alpha \to 0$  and particles approach a circular shape, the flat sides of the spherocylinders become a negligible fraction of the total perimeter but the probability for a contact to lie on a flat side remains constant.

We note that as  $\alpha$  gets smaller, we must go to smaller strain rates  $\dot{\gamma}$  for  $\mathcal{P}(\vartheta)$  to approach its  $\dot{\gamma} \to 0$  limit. If  $\alpha$  is decreased keeping  $\dot{\gamma}$  fixed to a constant, and one measured the peak height  $\mathcal{P}(\pi/2)$  at  $\phi = \phi_J(\alpha)$ , one would see  $\mathcal{P}(\pi/2)$  first increase, then reach a maximum, then decrease. We believe this may explain the results of Fig. 5 in Ref. [39], which studies sheared three dimensional spherocylinders with a collisional dissipation. For a fixed  $\dot{\gamma}$  at  $\alpha = 0.05$  they find that collisions strongly peak along the narrow cylindrical surface of their particles, but for  $\alpha = 0.01$  this effect is greatly reduced.



FIG. 22. (a) Probability per unit length  $\mathcal{P}(\vartheta)$  vs  $\vartheta$  for a particle to have a contact at polar angle  $\vartheta$  on its surface for different values of particle asphericity  $\alpha$ , at  $\phi \approx \phi_J(\alpha)$  and our lowest strain rate  $\dot{\gamma}$  for each  $\alpha$ . Note the logarithmic vertical scale. The dashed horizontal line represents the value  $\mathcal{P}(\vartheta) = 1$  that would describe a uniform distribution. (b) Total probability  $\mathcal{P}_{side}$  for a particle to have a contact anywhere on its flat sides vs relative packing fraction  $\phi/\phi_J$  for several small  $\alpha$ .

We believe this is because they keep  $\dot{\gamma}$  fixed. In order to see  $\mathcal{P}(\pi/2)$  continually grow as  $\alpha$  decreases, as in our Fig. 22(a), it is necessary to similarly decrease  $\dot{\gamma}$  so that  $\mathcal{P}(\vartheta)$  is close to its  $\dot{\gamma} \to 0$  limit. This is why we do not include results for  $\alpha = 0.001$  in Fig. 22; for this case our smallest  $\dot{\gamma} = 10^{-7}$  is not sufficiently small for  $\mathcal{P}(\vartheta)$  to be close to its  $\dot{\gamma} \to 0$  limit.

Finally, another way to characterize the contact distribution  $\mathcal{P}(\vartheta)$  is in terms of the orientational ordering of a director-like quantity. We define  $\hat{\mathbf{c}}$  as the unit vector pointing from the center of the spherocylinder to the point of contact on the surface at angle  $\vartheta$ . Noting the symmetry  $\mathcal{P}(\vartheta) = \mathcal{P}(\vartheta + \pi)$ , so that  $\hat{\mathbf{c}}$  and  $-\hat{\mathbf{c}}$  are equally likely, the order parameter measuring the orientation of  $\hat{\mathbf{c}}$  should be regarded as a director-like quantity (i.e. a head-less, tail-less, vector) similar to the order parameter of a nematic liquid crystal (note, the orientation of  $\hat{\mathbf{c}}$  we are considering here is defined with respect to axes fixed on the spherocylinder, and so gives no information about the orientation of the spherocylinder itself). We can then compute an order parameter measuring the mfold orientational order of  $\hat{\mathbf{c}}$ , which for a 2D system [63] has magnitude  $C_m$  given by,

$$C_m = \sqrt{\langle \cos m\vartheta \rangle^2 + \langle \sin m\vartheta \rangle^2},\tag{40}$$

and is oriented at angle  $\vartheta_m$  given by,

$$\tan m\vartheta_m = \frac{\langle \sin m\vartheta \rangle}{\langle \cos m\vartheta \rangle},\tag{41}$$

where  $\langle q(\vartheta) \rangle \equiv (1/\mathcal{L}) \int_0^{2\pi} d\vartheta \sqrt{r^2 + (dr/d\vartheta)^2} \mathcal{P}(\vartheta)q(\vartheta)$ . The magnitudes  $C_m$  measure the degree of anisotropy in the contact locations ( $C_m = 0$  for isotropic,  $C_m = 1$  for perfect alignment in a particular direction), while  $\vartheta_m$  give the directions in which the density of contacts have maxima. Note, angles  $\vartheta_m$  are meaningful only modulo  $2\pi/m$ .

The quantities  $C_m$  and  $\vartheta_m$  also give the *m*-th Fourier coefficient in a Fourier series expansion of  $\mathcal{P}(\vartheta)$ ,

$$\frac{1}{\mathcal{L}}\sqrt{r^2 + \left(\frac{dr}{d\vartheta}\right)^2}\mathcal{P}(\vartheta) = \frac{1}{2\pi} + \frac{1}{\pi}\sum_{m \text{ even}} C_m \cos m(\vartheta - \vartheta_m).$$
(42)

Since  $\mathcal{P}(\vartheta)$  has period  $\pi$ , only terms with even integer m appear in the sum.

In Fig. 23 we consider nearly circular particles with  $\alpha = 0.01$  and plot  $C_m$  and  $\theta_m$  vs  $\phi$  for m = 2, 4 and 6 at different  $\dot{\gamma}$ . We see that  $C_2$ ,  $C_4$  and  $C_6$  all increase rapidly as one approaches jamming, indicating an increase in the anisotropy of contact locations.  $C_2$  and  $C_4$  show a peak at  $\phi_J$  that sharpens as  $\dot{\gamma}$  decreases, while  $C_6$  levels off but continues to slowly grow as  $\phi$  increases above  $\phi_J$ . The larger value of  $C_6$  compared to  $C_2$  and  $C_4$ , and its different dependence on  $\phi$  above  $\phi_J$ , is a consequence of the increasing weight of the distribution  $\mathcal{P}(\vartheta)$  in the shadow peaks at  $\vartheta \approx \pi/6 = 30^{\circ}$  and  $5\pi/6 = 150^{\circ}$  as  $\phi$ increases, see Fig. 21(a). Considering the orientation angles, we see that all the  $\vartheta_m$  lock onto the value  $\pi/2 = 90^\circ$ once  $\phi > \phi_J$ . Thus, once the system jams, particles show a marked preference to have contacts on their flat sides, consistent with the results shown in Fig. 22(b), even though these sides form a small fraction of the particle perimeter.

We note that, as we presented in an earlier work [42] and will report on in more detail in a future work [43], the nematic order parameter  $S_2$  that describes the orientational orienting of the spherocylinder spines with respect to the flow direction  $\hat{\mathbf{x}}$  shows a similar qualitative behavior as  $C_2$  in Fig. 23(a), rising rapidly a  $\phi_J$  is approached from below, and then decreasing as  $\phi$  increases above jamming. We believe that the behavior of the  $\vartheta_m$ of Fig. 23 is strongly correlated with the orientational ordering of the nematic order parameter  $S_2$ . As we will report in a future work [43], for  $\alpha = 0.01$  at lower densities  $\phi \leq 0.80$ , although  $S_2$  is small, the particles on average align with their spines parallel to the direction of the shear flow  $\mathbf{\hat{x}}$ . In this case particle contacts tend to occur along the direction of maximum stress  $\theta_+ \approx 135^\circ$ , which similarly corresponds to  $\vartheta \approx 135^{\circ}$  as measured with respect to the spine direction. However as the particles jam,  $S_2$  is found to align parallel to the direction of minimum stress  $\theta_{-} \approx 45^{\circ}$ ; the direction of maximum stress, measured relative to the direction of the spine, is then  $\vartheta \approx 135^{\circ} - 45^{\circ} = 90^{\circ}$ , corresponding to the location of the flat sides. However, we believe that it is more a matter of increasing density and the energetics of minimizing particle overlaps, rather than a global alignment of particles, that causes contacts to proliferate on the small flat sides as  $\phi$  increases above  $\phi_J$ . Recall, results similar to those in Fig. 22(a) have also been reported for compression-driven jamming [19, 25], even though there



FIG. 23. Contact orientational order parameter magnitude  $C_m$  and director angle  $\vartheta_m$  vs  $\phi$  for (a) and (b) m = 2; (c) and (d) m = 4; (e) and (f) m = 6. Results are for particles with asphericity  $\alpha = 0.01$  at different shear strain rates  $\dot{\gamma}$ . The vertical dashed lines locate the jamming transition  $\phi_J \approx 0.845$ . The horizontal dashed lines at  $\vartheta_m = 90^\circ$  denote a director oriented towards the flat sides of the particle. Angles  $\vartheta_m$  are meaningful only modulo  $360^\circ/m$ .

is no nematic ordering of the particle spine directions, and so  $S_2 = 0$ , in that case.

In Fig. 24 we consider the behavior at other values of  $\alpha$ , plotting  $C_2$  and  $\vartheta_2$  vs the normalized packing fraction  $\phi/\phi_{J}$ . We show results only from our lowest value of the strain rate  $\dot{\gamma}$  at each  $\alpha$ . For nearly spherical particles with  $\alpha \leq 0.06$ , Figs. 24(a) and (b) show that results are qualitatively similar to what was shown for  $\alpha = 0.01$  in Figs. 23(a) and (b);  $C_2$  peaks near, or just a bit below,  $\phi_J$ and  $\vartheta_2$  locks onto the value 90° above  $\phi_J$ ; the width over which  $C_2$  rises to its peak value decreases as  $\alpha$  decreases. Our results for larger  $\alpha \ge 0.12$  are shown in Figs. 24(c) and (d). For  $\alpha = 0.12$  the behavior is similar to the smaller  $\alpha = 0.06$  in that  $S_2$  peaks somewhat below  $\phi_J$ and  $\vartheta_2 = 90^\circ$  above jamming. However for larger  $\alpha$  we see a qualitative change in behavior. For  $\alpha = 0.25$  and 0.5 as  $\phi$  increases,  $\vartheta_2$  follows the same behavior as that of  $\alpha = 0.12$ , but upon approaching  $\phi_J$ ,  $\vartheta_2$  shows an abrupt



FIG. 24. Contact orientational order parameter magnitude  $C_2$  and director angle  $\vartheta_2 \text{ vs } \phi/\phi_J$  for (a) and (b) particles with small asphericity  $\alpha \leq 0.12$ ; (c) and (d) particles with larger asphericity  $\alpha \geq 0.12$ . For each  $\alpha$  the results are for the lowest strain rate  $\dot{\gamma}$  that we have simulated. The vertical dashed lines locate the jamming transition  $\phi/\phi_J = 1$ . The horizontal dashed lines at  $\vartheta_2 = 90^\circ$  denote a director oriented towards the flat sides of the particle. In (d) the horizontal dashed line at  $\vartheta_2 = 180^\circ$  denotes a director oriented towards the tips of the particles. Angles  $\vartheta_2$  are meaningful only modulo 180°.

increase to  $\vartheta = 180^{\circ}$  and stays locked into that value as  $\phi$ increases above jamming; as  $\alpha$  increases, the location of this abrupt change decreases to lower  $\phi$ . Corresponding to this abrupt change in  $\vartheta_2$ , the magnitude  $C_2$  takes a dip almost to zero. The value  $\vartheta_2 = 180^{\circ}$  indicates that the contact distribution  $\mathcal{P}(\vartheta)$  is now peaking at the particle tips rather than the sides, as is evident in Fig. 21(b) for the larger value  $\alpha = 4$ . For larger values of  $\alpha = 1, 2$ and 4, we see a similar behavior but the variations in  $\vartheta_2$ and  $C_2$  are more gradual. The relatively large values of  $C_2$  that we find at low  $\phi$  for these larger values of  $\alpha$  is a result of the sizeable nematic ordering of the particle spine orientations with respect to the shear flow direction (with large  $S_2$ ) that we find for such elongated rods even at low  $\phi$  [42].

### 3. Contract Force Distribution

Having found the distribution of the location of the contacts  $\mathcal{P}(\vartheta)$ , we now wish to investigate the relative magnitude of these contact forces as  $\vartheta$  varies. We define the average magnitude of the force per unit length on the particle surface at polar angle  $\vartheta$  to be  $\mathcal{F}(\vartheta)$ . The force



FIG. 25. (a) Force ratio  $\mathcal{R}(\vartheta)$  of Eq. (45) vs  $\vartheta$  for different values of particle asphericity  $\alpha$ , at  $\phi \approx \phi_J(\alpha)$ . The dashed horizontal line represents the value  $\mathcal{R}(\vartheta) = 1$  that would describe a uniform force distribution. (b) Peak value  $\mathcal{R}(\pi/2)$  vs relative packing fraction  $\phi/\phi_J$  for different  $\alpha$ . Results in both (a) and (b) are from our lowest strain rate  $\dot{\gamma}$  for each  $\alpha$ .

per unit length is normalized so that,

$$\int_{0}^{2\pi} d\vartheta \sqrt{r^2 + (dr/d\vartheta)^2} \,\mathcal{F}(\vartheta) = F^{\text{total}},\qquad(43)$$

where  $F^{\text{total}}$  is just the average pressure on a particle's surface multiplied by the surface perimeter  $\mathcal{L}$ ,

$$F^{\text{total}} = \frac{1}{N} \sum_{i=1}^{N} \sum_{j}^{\prime} \left| \mathbf{F}_{ij}^{\text{el}} \right| = Z \langle |\mathbf{F}_{ij}^{\text{el}}| \rangle.$$
(44)

Here the second sum is over all particles j in contact with a given particle i, and we average over all particles i.

If the average magnitude of the contact force  $|\mathbf{F}_{ij}^{\text{el}}|$ was independent of where on the surface of the particle the contact lies, we would expect to have,  $\mathcal{F}(\vartheta) = \mathcal{P}(\vartheta)F^{\text{total}}/\mathcal{L}$ , that is the force on the surface at  $\vartheta$  would simply be determined by the probability to have a contact at  $\vartheta$ . To look for deviations from this we therefore plot in Fig. 25(a) the ratio,

$$\mathcal{R}(\vartheta) = \frac{\mathcal{F}(\vartheta)\mathcal{L}}{\mathcal{P}(\vartheta)F^{\text{total}}},\tag{45}$$

vs  $\vartheta$  for different values of  $\alpha$ . For each  $\alpha$  we show results close the the  $\alpha$ -specific jamming packing  $\phi \approx \phi_J(\alpha)$ , at the smallest strain rate  $\dot{\gamma}$  that we have for that  $\alpha$ ; we include results for  $\alpha = 0.001$  even though our smallest strain rate for that case,  $\dot{\gamma} = 10^{-7}$ , is still not close to the  $\dot{\gamma} \rightarrow 0$  limit. When  $\mathcal{R}(\vartheta) > 1$  then the average contact force at that  $\vartheta$  is larger than the average contact force. We see from Fig. 25(a) that forces located on the flat sides of the particles tend to be larger than the average, while forces on the semi-circular end caps are generally smaller than the average.

In Fig. 25(b) we plot the peak value  $\mathcal{R}(\pi/2)$  vs the relative packing  $\phi/\phi_J$  for different  $\alpha$ , at the smallest strain rate  $\dot{\gamma}$  that we have for that  $\alpha$ . For the larger  $\alpha$  we see that  $\mathcal{R}(\pi/2)$  varies little as  $\phi$  passes through the jamming  $\phi_J$ . But for small  $\alpha$  there is a clear peak somewhat below  $\phi_J$ , that moves closer to  $\phi_J$  as  $\alpha$  decreases. We will see in a subsequent companion paper [43] that the behavior of  $\mathcal{R}(\pi/2)$  behaves qualitatively similar to the behavior of the nematic order parameter  $S_2$ ; when particles are more aligned, the average force on the flat sides increases.

# IV. CONCLUSIONS

We have studied the behavior of an athermal, bidisperse, distribution of frictionless soft-core spherocyliners in two dimensions, driven by a uniform steady state shear strain applied at a fixed rate. Energy dissipation in our model is via a viscous drag with respect to a uniformly sheared host medium, thus modeling flow in a non-Brownian suspension and resulting in Newtonian rheology. We have studied behavior as a function of particle packing fraction  $\phi$ , shear strain rate  $\dot{\gamma}$ , and particle asphericity  $\alpha$ , focusing on behavior near the jamming transition  $\phi_{I}$ . Unlike compression-driven jamming, where  $\phi_I(\alpha)$  is a non-monotonic function of  $\alpha$  peaking near  $\alpha = 1$ , we find for shear-driven jamming that  $\phi_J(\alpha)$  is monotonically increasing in  $\alpha$ , at least to the largest  $\alpha = 4$  that we have studied. We believe this difference is due to the nematic orientational ordering of particles that takes place in shear-driven flow, allowing particles to pack more densely; no such ordering was observed in isotropically compressed states. However, as was found for compression-driven jamming, we find for shear-driven jamming that the average number of contacts at jamming,  $Z_J$ , is always hypostatic, varying nonmonotonically in  $\alpha$  with a peak near  $\alpha = 1$ .

Concerning the stress in the system, we find that the stress tensor is in general not co-aligned with the strain tensor, except for the case of nearly circular particles with small  $\alpha$ . Considering the viscosity transport coefficients  $\eta_p = p/\dot{\gamma}$  and  $\eta = \sigma/p$  for different  $\alpha$ , we find that these behave qualitatively the same as a function of the packing, provided one plots as a function of a normalized packing  $\phi/\phi_J(\alpha)$ . However a scaling analysis of pressure for our most elongated particles with  $\alpha = 4$  suggests that the universality class of the jamming transition for spherocylinders may be different from that of circular disks ( $\alpha = 0$ ), with the exponent  $\beta$  that describes the divergence of  $\eta_p$  being larger for spherocylinders than for circular disks.

We have also examined the Herschel-Bulkley rheology,  $p = p_0 + c\dot{\gamma}^n$  (and similarly  $\sigma = \sigma_0 + c'\dot{\gamma}^{n'}$ ) above jamming, fitting to this form for the entire range of  $\dot{\gamma} \leq 10^{-4}$ that we have simulated. We find that the empirically determined exponents n and n' take a range of values  $0.2 \leq n \leq 0.5$  as  $\phi$  and  $\alpha$  vary, and that n obtained from pressure p is generally not the same as n' obtained from shear stress  $\sigma$ . Thus we believe that the values of n and n' which we find here are just effective values obtained for our particular range of  $\dot{\gamma}$  and do not necessarily reflect the true asymptotic values that would describe behavior in the limit  $\dot{\gamma} \rightarrow 0$ .

Finally we have considered the probability per unit surface length  $\mathcal{P}(\vartheta)$  for a particle to have a contact on its surface at angle  $\vartheta$ , as measured with respect to the particle's spine (see Fig. 19). We find that  $\mathcal{P}(\vartheta)$  approaches a limiting form as the strain rate  $\dot{\gamma} \to 0$ . As  $\alpha \to 0$ , and particles approach circular, this limiting form develops a sharp peak at  $\vartheta = \pi/2$  (i.e., along the flat sides of the spherocylinders) as  $\phi$  approaches and goes above the jamming  $\phi_J$ . This is in contrast to the uniform distribution that would be expected for a perfectly circular particle. Moreover, in this small  $\alpha$  limit, we find that the total probability  $\mathcal{P}_{\rm side}$  for a particle to have a contact anywhere along its flat sides appears to approach an  $\alpha$ -independent constant at jamming. Thus, as  $\alpha \to 0$ and the length of the flat sides is shrinking to a negligible fraction of the particle perimeter, we find that the probability for a contact to be on the flat sides is nevertheless staying constant. This signals that the  $\alpha \to 0$  limit is in some sense singular. We have found similar results for ellipsoids in three dimensions [42], suggesting that this conclusion may hold for more generally aspherical particles rather than being specific to spherocylinders. We further find that, for all  $\alpha$ , the magnitude of the elastic force for contacts located on the flat sides is larger than the average; for forces at the particle tips it is smaller than the average.

In a sequel companion paper [43] we will present our results for the orientational and translational order in the system. We will find from that analysis other indicators that the  $\alpha \to 0$  limit is singular.

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#### APPENDIX

In this section we derive the force-moment tensor for particle *i* arising from the dissipative drag force,  $\Sigma_i^{\text{dis}}$ , for a uniform shear flow. We treat a generally shaped particle. Having found  $\Sigma_i^{\text{dis}}$  we will then use it to compute the dissipative torque on the particle,  $\tau_i^{\text{dis}}$ .

If  $\mathbf{r}_i$  is the center of mass of particle *i*, then we can write for a general position  $\mathbf{r}$  on the particle,

$$\mathbf{r} = \mathbf{r}_i + \delta \mathbf{r}.\tag{46}$$

We then have

$$\boldsymbol{\Sigma}_{i}^{\text{dis}} = \int_{i} d^{2} \delta r \, \delta \mathbf{r} \otimes \mathbf{f}_{i}^{\text{dis}}(\mathbf{r}), \qquad (47)$$

where the integral is over the area of particle i and from Eq. (8)

$$\mathbf{f}_{i}^{\text{dis}}(\mathbf{r}) = -k_{d} \left[ \mathbf{v}_{i} + \dot{\theta}_{i} \hat{\mathbf{z}} \times \delta \mathbf{r} - \mathbf{v}_{\text{host}}(\mathbf{r}) \right], \qquad (48)$$

with  $\mathbf{v}_i$  the center of mass velocity and  $\theta_i$  the angular velocity about the center of mass. We are interested in linear deformations of the host medium for which

$$\mathbf{v}_{\text{host}} = \dot{\boldsymbol{\gamma}} \cdot \mathbf{r},\tag{49}$$

where  $\dot{\gamma}$  is the strain rate tensor.

Taking a uniform mass density for the particle, the definition of the center of mass gives,

$$\int_{i} d^2 \delta r \, \delta \mathbf{r} = 0, \tag{50}$$

and the force-moment tensor reduces to,

$$\boldsymbol{\Sigma}_{i}^{\text{dis}} = -k_{d} \int_{i} d^{2} \delta r \, \delta \mathbf{r} \otimes \left[ \dot{\theta}_{i} \hat{\mathbf{z}} \times \delta \mathbf{r} - \dot{\boldsymbol{\gamma}} \cdot \delta \mathbf{r} \right].$$
(51)

In this work we are interested in simple shear with flow in the  $\hat{\mathbf{x}}$  direction,  $\mathbf{v}_{\text{host}} = \dot{\gamma} y \hat{\mathbf{x}}$ , for which

$$\dot{\boldsymbol{\gamma}}_{\rm ss} = \begin{bmatrix} 0 & \dot{\boldsymbol{\gamma}} \\ 0 & 0 \end{bmatrix},\tag{52}$$

and so we get

$$\boldsymbol{\Sigma}_{i}^{\mathrm{dis}} = k_{d} \int_{i} d^{2} \delta r \begin{bmatrix} (\dot{\theta}_{i} + \dot{\gamma}) \delta x \delta y & -\dot{\theta}_{i} \delta x^{2} \\ (\dot{\theta}_{i} + \dot{\gamma}) \delta y^{2} & -\dot{\theta}_{i} \delta x \delta y \end{bmatrix}.$$
 (53)

Assuming a uniform unit mass density for all particles, the moment of inertia tensor for particle i is,

$$\mathbf{I}_{i} = \frac{1}{\mathcal{A}_{i}} \int_{i} d^{2} \delta r \begin{bmatrix} \delta y^{2} & -\delta x \delta y \\ -\delta x \delta y & \delta x^{2} \end{bmatrix}, \qquad (54)$$

where  $\mathcal{A}_i$  is the area of the particle,

$$\mathcal{A}_i = \int_i d^2 \delta r. \tag{55}$$

Hence

$$\Sigma_{i}^{\text{dis}} = k_{d} \mathcal{A}_{i} \begin{bmatrix} -(\dot{\theta}_{i} + \dot{\gamma})I_{ixy} & -\dot{\theta}_{i}I_{iyy} \\ (\dot{\theta}_{i} + \dot{\gamma})I_{ixx} & \dot{\theta}_{i}I_{ixy} \end{bmatrix}.$$
 (56)

Since  $\mathbf{I}_i$  is a symmetric tensor, it may be diagonalized. Labeling its two eigenvalues as  $I_{i1}$  and  $I_{i2}$ , with  $I_{i2} \ge I_{i1} > 0$ , and the corresponding orthonormal eigenvector directions as  $\hat{\mathbf{e}}_{i1}$  and  $\hat{\mathbf{e}}_{i2}$ , we can denote the orientation of the axis  $\hat{\mathbf{e}}_{i1}$  with respect to the flow direction  $\hat{\mathbf{x}}$  by the angle  $\theta_i$ . For a spherocylinder,  $\hat{\mathbf{e}}_{i1}$  is just the direction along the spine. Using a rotation of coordinates transformation, one can then express  $I_{ixx}$ ,  $I_{iyy}$ , and  $I_{ixy}$ in terms of  $I_{i1}$ ,  $I_{i2}$ , and  $\theta_i$ . Defining

$$I_i = I_{i1} + I_{i2}$$
 and  $\Delta I_i = I_{i2} - I_{i1}$ , (57)

we have,

$$I_{ixx} = \frac{1}{2} \left( I_i - \Delta I_i \cos 2\theta_i \right) \tag{58}$$

$$I_{iyy} = \frac{1}{2} \left( I_i + \Delta I_i \cos 2\theta_i \right) \tag{59}$$

$$I_{ixy} = -\frac{1}{2}\Delta I_i \sin 2\theta_i, \tag{60}$$

so that,

$$\boldsymbol{\Sigma}_{i}^{\text{dis}} = \kappa \begin{bmatrix} (\dot{\theta}_{i} + \dot{\gamma}) \frac{\Delta I_{i}}{I_{i}} \sin 2\theta_{i} & -\dot{\theta}_{i} (1 + \frac{\Delta I_{i}}{I_{i}} \cos 2\theta_{i}) \\ (\dot{\theta}_{i} + \dot{\gamma}) (1 - \frac{\Delta I_{i}}{I_{i}} \cos 2\theta_{i}) & -\dot{\theta}_{i} \frac{\Delta I_{i}}{I_{i}} \sin 2\theta_{i} \\ (61) \end{bmatrix}$$

with  $\kappa = k_d \mathcal{A}_i I_i/2$ , which gives the result stated earlier as Eq. (22).

From the above we get the net dissipative torque on particle i,

$$\tau_i^{\rm dis} = \int_i d^2 r \left[ x f_{iy}^{\rm dis} - y f_{ix}^{\rm dis} \right] = \mathbf{\Sigma}_{ixy}^{\rm dis} - \mathbf{\Sigma}_{iyx}^{\rm dis} \tag{62}$$

$$= -k_d \mathcal{A}_i I_i \left[ \dot{\theta} + \frac{\dot{\gamma}}{2} - \frac{\dot{\gamma}}{2} \frac{\Delta I_i}{I_i} \cos 2\theta_i \right], \qquad (63)$$

which is the same result stated earlier as Eq. (14).

It is interesting to note that one can decompose a simple shear transformation into a pure shear plus a rotation,  $\dot{\gamma}_{ss} = \dot{\gamma}_{ps} + \dot{\gamma}_{rot}$ ,

$$\dot{\boldsymbol{\gamma}}_{\rm ss} = \begin{bmatrix} 0 & \dot{\boldsymbol{\gamma}} \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & \dot{\boldsymbol{\gamma}}/2 \\ \dot{\boldsymbol{\gamma}}/2 & 0 \end{bmatrix} + \begin{bmatrix} 0 & \dot{\boldsymbol{\gamma}}/2 \\ -\dot{\boldsymbol{\gamma}}/2 & 0 \end{bmatrix}.$$
 (64)

Here the first term  $\dot{\gamma}_{\rm ps}$  on the right corresponds to a pure shear with compression along the (1, -1) diagonal and expansion along the (1, 1) diagonal, both at rate  $\dot{\gamma}/2$ so as to keep the system area fixed; the second term  $\dot{\gamma}_{\rm rot}$ on the right corresponds to a rotation with angular velocity  $-(\dot{\gamma}/2)\hat{z}$ . It is straightforward to show that it is the pure shear contribution  $\dot{\gamma}_{\rm ps}$  that gives the orientation dependent  $\sim \cos 2\theta_i$  term in Eq. (63), while it is the rotational contribution  $\dot{\gamma}_{\rm rot}$  that gives the constant driving term  $\dot{\gamma}/2$ . It is this term that results in a steady-state rotation of particles under simple shear, while there is no such steady-state rotation for a pure shear deformation.

For the spherocylinders of the present work, it is easiest to compute the moment of inertial tensor in a coordinate frame aligned with the spherocylinder spine and with origin at the center of mass. In this frame I is diagonal, and so readily gives the eigenvalues  $I_1$  and  $I_2$ . Taking the spine as the direction of the x-axis,

$$I_1 = \frac{1}{\mathcal{A}} \int d^2 r \, y^2, \qquad I_2 = \frac{1}{\mathcal{A}} \int d^2 r \, x^2, \qquad (65)$$

where the integrals are over the area of the spherocylinder. To do these integrals it is convenient to integrate over the rectangular body and the semicircular end-caps separately. For the rectangular part we have,

$$\int_{\text{rectangle}} d^2 r \, y^2 = \int_{-R}^{R} dy \int_{-A}^{A} dx \, y^2 = \frac{4R^3 A}{3} \tag{66}$$

$$\int_{\text{rectangle}} d^2 r \, x^2 = \int_{-R}^{R} dy \int_{-A}^{A} dx \, x^2 = \frac{4RA^3}{3} \tag{67}$$

To integrate over the end-caps we parameterize the coordinates x and y in terms of polar coordinates s and  $\varphi$  centered about the spine tip:  $x = A + s \cos \varphi$  and  $y = s \sin \varphi$ . For one end-cap we then have,

$$\int_{\text{end-cap}} d^2 r \, y^2 = \int_{-\pi/2}^{\pi/2} d\varphi \int_0^R ds \, s(s\sin\varphi)^2 = \frac{\pi R^4}{8} \qquad (68)$$

$$\int_{\text{end-cap}} d^2 r \, x^2 = \int_{-\pi/2}^{\pi/2} d\varphi \int_0^R ds \, s (A + s \cos \varphi)^2$$
$$= \frac{\pi R^4}{8} + \frac{\pi R^2 A^2}{2} + \frac{4R^3 A}{3}$$
(69)

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Collecting terms, and noting that there are two end-caps, we then get,

$$I_1 = \frac{4R^3A}{3\mathcal{A}} + \frac{\pi R^4}{4\mathcal{A}} \tag{70}$$

$$I_2 = \frac{4RA^3}{3\mathcal{A}} + \frac{\pi R^4}{4\mathcal{A}} + \frac{\pi R^2 A^2}{\mathcal{A}} + \frac{8R^3 A}{3\mathcal{A}}.$$
 (71)

Finally, using  $\alpha = A/R$  and the spherocylinder area  $\mathcal{A} = \pi R^2 + 4RA = (\pi + 4\alpha)R^2$ , we get,

$$I = I_1 + I_2 = \left[\frac{3\pi + 24\alpha + 6\pi\alpha^2 + 8\alpha^3}{6(\pi + 4\alpha)}\right] R^2$$
 (72)

$$\Delta I = I_2 - I_1 = \left[\frac{4 + 3\pi\alpha + 4\alpha^2}{3(\pi + 4\alpha)}\right] \alpha R^2.$$
 (73)

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