

When the coordinate axes are assumed to be given one sometimes writes the vector $\vec{r} = x_1 \hat{e}_1 + x_2 \hat{e}_2 + x_3 \hat{e}_3$ as the triple of numbers $(x_1, x_2, x_3) \leftarrow$ row vector or $\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$ column vector

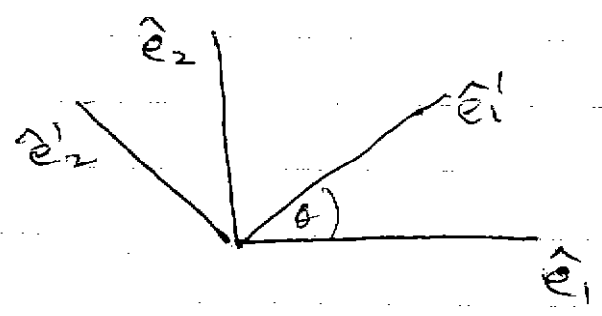
this notation will be convenient when dealing with matrices.

Rotation Matrix

Suppose we know the coordinates (x_1, x_2, x_3) of a vector with respect to a set of basis vectors $\hat{e}_1, \hat{e}_2, \hat{e}_3$, i.e. $\vec{r} = x_1 \hat{e}_1 + x_2 \hat{e}_2 + x_3 \hat{e}_3$

What will be the coordinates of \vec{r} (x'_1, x'_2, x'_3) with respect to a different set of basis vectors $\hat{e}'_1, \hat{e}'_2, \hat{e}'_3$ that or rotated with respect to the first?

Given (x_1, x_2, x_3) , how do we find (x'_1, x'_2, x'_3) ?



\leftarrow rotation in 2D.
 \hat{e}'_1, \hat{e}'_2 are rotated by angle θ with respect to \hat{e}_1, \hat{e}_2

Consider x'_1 - this is the projection of \vec{r} onto unit vector \hat{e}'_1 , so

$$x'_1 = \hat{e}'_1 \cdot \vec{r} = \hat{e}'_1 \cdot (x_1 \hat{e}_1 + x_2 \hat{e}_2 + x_3 \hat{e}_3) \\ = (\hat{e}'_1 \cdot \hat{e}_1) x_1 + (\hat{e}'_1 \cdot \hat{e}_2) x_2 + (\hat{e}'_1 \cdot \hat{e}_3) x_3$$

Similarly,

$$x'_2 = (\hat{e}'_2 \cdot \hat{e}_1) x_1 + (\hat{e}'_2 \cdot \hat{e}_2) x_2 + (\hat{e}'_2 \cdot \hat{e}_3) x_3 \\ x'_3 = (\hat{e}'_3 \cdot \hat{e}_1) x_1 + (\hat{e}'_3 \cdot \hat{e}_2) x_2 + (\hat{e}'_3 \cdot \hat{e}_3) x_3$$

or, with summation notation

$$x'_i = \sum_j (\hat{e}'_i \cdot \hat{e}_j) x_j \quad (\text{sum over } j \text{ is implied})$$

call $\lambda_{ij} \equiv (\hat{e}'_i \cdot \hat{e}_j) = \cos(\hat{e}'_i, \hat{e}_j)$

↑ cosine angle between \hat{e}'_i and \hat{e}_j
≡ "direction cosine" of \hat{e}'_i with respect to \hat{e}_j

In matrix notation

$$\begin{pmatrix} x'_1 \\ x'_2 \\ x'_3 \end{pmatrix} = \begin{pmatrix} \lambda_{11} & \lambda_{12} & \lambda_{13} \\ \lambda_{21} & \lambda_{22} & \lambda_{23} \\ \lambda_{31} & \lambda_{32} & \lambda_{33} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$$

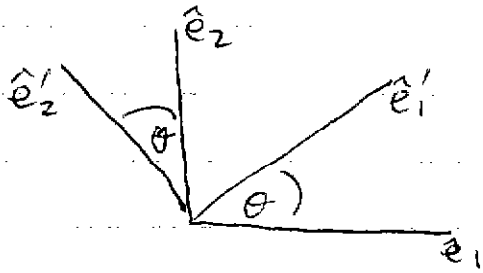
or $\begin{cases} x'_i = \sum_j \lambda_{ij} x_j \\ \vec{r}' = \vec{\lambda} \cdot \vec{r} \end{cases}$ ↑ matrix multiplication

↑ double arrow indicates a matrix

$$x'_1 = \lambda_{11} x_1 + \lambda_{12} x_2 + \lambda_{13} x_3 \\ x'_2 = \lambda_{21} x_1 + \lambda_{22} x_2 + \lambda_{23} x_3 \\ x'_3 = \lambda_{31} x_1 + \lambda_{32} x_2 + \lambda_{33} x_3$$

↔ $\vec{\lambda}$ is the rotation matrix.

in our 2D example



$$\lambda_{11} = (\hat{e}'_1 \cdot \hat{e}_1) = \cos \theta$$

$$\lambda_{22} = (\hat{e}'_2 \cdot \hat{e}_2) = \cos \theta$$

$$\lambda_{12} = (\hat{e}'_1 \cdot \hat{e}_2) = \cos(\frac{\pi}{2} - \theta) = \sin \theta$$

$$\lambda_{21} = (\hat{e}'_2 \cdot \hat{e}_1) = \cos(\frac{\pi}{2} + \theta) = -\sin \theta$$

Rotation matrix is $\vec{\lambda} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$

In a 3D rotation matrix, not all the nine λ_{ij} are independent of each other. In fact, there are only 3 independent parameters.

To get the constraints that the λ_{ij} satisfy, consider

$$\delta_{ij} = \hat{e}'_i \cdot \hat{e}'_j$$

we can write $\hat{e}'_i = (\hat{e}'_i \cdot \hat{e}_1) \hat{e}_1 + (\hat{e}'_i \cdot \hat{e}_2) \hat{e}_2 + (\hat{e}'_i \cdot \hat{e}_3) \hat{e}_3$

↑
since \hat{e}'_i are orthonormal basis vectors

$$\Rightarrow \hat{e}'_i = \sum_k \lambda_{ik} \hat{e}_k$$

similarly $\hat{e}'_j = \sum_m \lambda_{jm} \hat{e}_m$

$$\text{so } \hat{e}'_i \cdot \hat{e}'_j = \sum_{km} \lambda_{ik} \lambda_{jm} (\hat{e}_k \cdot \hat{e}_m)$$

$$= \sum_{km} \delta_{km} \lambda_{ik} \lambda_{jm}$$

$$\hat{e}'_i \cdot \hat{e}'_j = \sum_k \lambda_{ik} \lambda_{jk} = \delta_{ij}$$

For $i=j$ we get $\lambda_{i1}^2 + \lambda_{i2}^2 + \lambda_{i3}^2 = 1$

this represents 3 equations corresponding to $i=1, 2, 3$

For $i \neq j$ we get

$$\lambda_{i1} \lambda_{j1} + \lambda_{i2} \lambda_{j2} + \lambda_{i3} \lambda_{j3} = 0$$

this represents 3 equations corresponding to

$$(i, j) = (1, 3), (1, 2), (2, 3)$$

(note $i=1, j=3$ gives same equation as $i=3, j=1$)

In total we get 6 equations for the nine λ_{ij}

\Rightarrow there are 3 free parameters describing the rotation

One way to represent these three parameters is to say one has a rotation of angle θ about an axis oriented in direction \hat{m} . Since

$$\hat{m} \text{ is a unit vector, } m_1^2 + m_2^2 + m_3^2 = 1, \text{ so}$$

giving the direction \hat{m} uses 2 parameters, and giving the angle θ uses the 3rd parameter,

There are other ways to parameterize the rotation
(Euler angles used in solid body rotations)

Inverse rotation

Suppose we know the coordinates (x_1', x_2', x_3') with respect to basis $\hat{e}_1', \hat{e}_2', \hat{e}_3'$. Then what are coordinates ~~with~~ (x_1, x_2, x_3) with respect to basis $\hat{e}_1, \hat{e}_2, \hat{e}_3$?

everything is the same as before ~~except prime~~ except prime \leftrightarrow unprimed

$$\vec{r} = \overleftrightarrow{\lambda}' \cdot \vec{r}' \quad \text{where} \quad \lambda'_{ij} = (\hat{e}_i \cdot \hat{e}'_j) = \lambda_{ji}$$

$$\text{So if } \overleftrightarrow{\lambda} = \begin{pmatrix} \lambda_{11} & \lambda_{12} & \lambda_{13} \\ \lambda_{21} & \lambda_{22} & \lambda_{23} \\ \lambda_{31} & \lambda_{32} & \lambda_{33} \end{pmatrix}$$

$$\text{then } \overleftrightarrow{\lambda}' = \begin{pmatrix} \lambda_{11} & \lambda_{21} & \lambda_{31} \\ \lambda_{12} & \lambda_{22} & \lambda_{32} \\ \lambda_{13} & \lambda_{23} & \lambda_{33} \end{pmatrix}$$

we say that $\overleftrightarrow{\lambda}'$ is the transposed matrix of $\overleftrightarrow{\lambda}$

$$\overleftrightarrow{\lambda}' = \overleftrightarrow{\lambda}^t$$

the transpose of any matrix \overleftrightarrow{A} with elements a_{ij} is the matrix \overleftrightarrow{A}^t with elements

$$(\overleftrightarrow{A}^t)_{ij} = a_{ji}$$

$$(i,j)^{\text{th}} \text{ element of } \overleftrightarrow{A}^t = (j,i)^{\text{th}} \text{ element of } \overleftrightarrow{A}$$

Note also that $\overleftrightarrow{\lambda}'$ is the matrix of the inverse rotation of $\overleftrightarrow{\lambda}$. If one rotates by $\overleftrightarrow{\lambda}$ and then by $\overleftrightarrow{\lambda}'$, one winds up in the same original basis.

Algebraically: $\vec{r} = \overleftrightarrow{\lambda}' \cdot \vec{r}'$ but $\vec{r}' = \overleftrightarrow{\lambda} \cdot \vec{r}$

$$\text{So } \vec{r} = \overleftrightarrow{\lambda}' \cdot \vec{r}' = \overleftrightarrow{\lambda}' \cdot (\overleftrightarrow{\lambda} \cdot \vec{r}) = (\overleftrightarrow{\lambda}' \cdot \overleftrightarrow{\lambda}) \cdot \vec{r}$$

true for all \vec{r}

$$\Rightarrow (\overleftrightarrow{\lambda}' \cdot \overleftrightarrow{\lambda}) = \overleftrightarrow{I} \text{ identity matrix}$$

or in terms of indices

$$x_i = \sum_j \lambda'_{ij} x'_j$$

$$\text{and } x'_j = \sum_k \lambda_{jk} x_k$$

$$\rightarrow x_i = \sum_{jk} \lambda'_{ij} \lambda_{jk} x_k$$

above is true for all vectors \vec{r} $= \sum_k \left(\sum_j \lambda'_{ij} \lambda_{jk} \right) x_k$

$$\Rightarrow \sum_j \lambda'_{ij} \lambda_{jk} = \delta_{ij}$$

the inverse of a matrix \overleftrightarrow{A} is written as $\overleftrightarrow{A}^{-1}$,
 $\overleftrightarrow{A}^{-1} \cdot \overleftrightarrow{A} = \overleftrightarrow{A} \cdot \overleftrightarrow{A}^{-1} = \overleftrightarrow{I}$

So we conclude that $\overleftrightarrow{\lambda}' = \overleftrightarrow{\lambda}^{-1}$

Combining with earlier result $\overleftrightarrow{\lambda}' = \overleftrightarrow{\lambda}^T$

we get the very important result for rotation matrices that

$$\boxed{\vec{A}^{-1} = \vec{A}^t}$$

inverse = transpose

Aside: assumed you understood matrix multiplication

$$\vec{C} = \vec{A} \cdot \vec{B} \text{ means}$$

$$C_{ij} = \sum_k A_{ik} \cdot B_{kj}$$

~~$$C_{ij} = A_{i1}B_{1j} + A_{i2}B_{2j} + A_{i3}B_{3j}$$~~

Any matrix that satisfies the condition $\vec{A}^{-1} = \vec{A}^t$ is said to be an orthogonal matrix

Another property of orthogonal matrices is that if one takes their determinant, then $|\vec{A}|^2 = 1$

This follows from the following:

For any matrix \vec{A} , which has an inverse \vec{A}^{-1}

$$|\vec{A}^{-1}| = \frac{1}{|\vec{A}|} \text{ so } |\vec{A}| |\vec{A}^{-1}| = 1$$

also, for any matrix \vec{A} , $|\vec{A}| = |\vec{A}^t|$. For orthogonal, $\vec{A}^{-1} = \vec{A}^t$, so $|\vec{A}| |\vec{A}^{-1}| = |\vec{A}| |\vec{A}^t| = |\vec{A}|^2 = 1$

Another property of orthogonal matrices:

If \vec{A} and \vec{B} are orthogonal, so is $\vec{C} = \vec{A}\vec{B}$

Proof: $C^{-1} = (AB)^{-1} = B^{-1}A^{-1}$ see HW
 $= B^t A^t$ since A, B orthogonal

$$(C^{-1})_{ij} = \sum_k (B^t)_{ik} (A^t)_{kj} = \sum_k B_{ki} A_{jk}$$

$$= \sum_k A_{jk} B_{ki} = C_{ji} = (C^t)_{ij}$$

So $C^{-1} = C^t$ and C is orthogonal!

Physically this means that two successive rotations about different axes can always be viewed as a single rotation about a different axis, some appropriate other axis.

Proper and Improper Rotations

For an orthogonal ~~rotation~~ matrix \vec{A} , $|\vec{A}|^2 = 1$

If $|\vec{A}| = 1$ we say it is a proper rotation
If $|\vec{A}| = -1$ we say it is an improper rotation.

Example of improper rotation is inversion

$$\vec{\lambda} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \quad \hat{e}_i = -\hat{e}_i$$

turns a right handed coordinate basis into a left handed coordinate basis.

All orthogonal matrices obtained by a series of ordinary rotations have determinant = 1.

All orthogonal matrices which are improper rotations can be written as a product of proper rotations times an inversion.

Under inversion, the components of a vector are reflected.

$$\vec{A} = A_1 \hat{e}_1 + A_2 \hat{e}_2 + A_3 \hat{e}_3 \\ = -A_1 \hat{e}'_1 - A_2 \hat{e}'_2 - A_3 \hat{e}'_3 \quad \leftarrow \text{inverted basis}$$

$$\text{so } A'_i = -A_i$$

Consider cross product $\vec{C} = \vec{A} \times \vec{B}$ under

inversion
$$C_i = \sum_k \epsilon_{ijk} A_j B_k$$

under inversion

$$C'_i = \sum_k \epsilon_{ijk} A'_j B'_k = \sum_k \epsilon_{ijk} (-A_j)(-B_k)$$

$$= \sum_k \epsilon_{ijk} A_j B_k = C_i$$

$$C'_i = C_i$$

we say \vec{C} is a pseudo vector - under an inversion its coordinates are not reflected.

The laws of physics must be written so that all terms in an equation are either vectors or pseudo vectors.

Example: Lorentz force $\vec{F} = q\vec{E} + q\vec{v} \times \vec{B}$

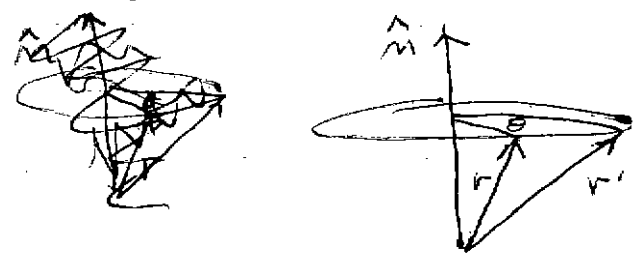
\vec{F} and \vec{v} are vectors $\rightarrow \vec{E}$ is a vector

\vec{B} is a pseudo vector

(since vector \times pseudo = vector)

Finite and Infinitesimal Rotations

A rotation can be described by 3 parameters. Since a vector also has 3 parameters, one might think that a rotation can be represented by a vector. For example, we might try to represent a rotation through angle θ about the axis of rotation \hat{m} by a vector $\vec{\theta} = \theta \hat{m}$



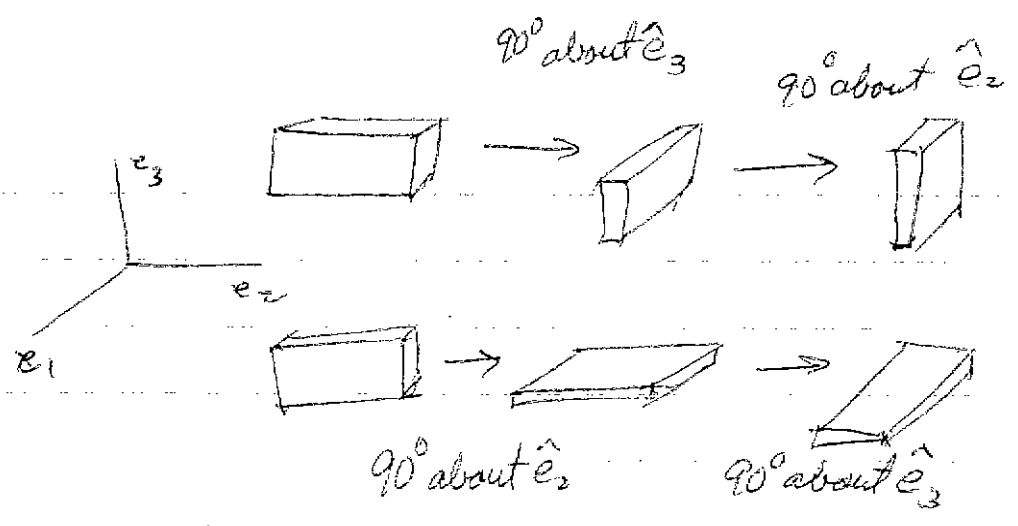
A second rotation through angle θ' about axis \hat{m}' would be described by a vector $\vec{\theta}' = \theta' \hat{m}'$.

But if this idea of a rotation vector makes sense, one would want that a rotation $\vec{\theta}$, followed by a rotation $\vec{\theta}'$ would be described by the vector $\vec{\theta} + \vec{\theta}'$.

But since vector addition is commutative, $\vec{\theta} + \vec{\theta}' = \vec{\theta}' + \vec{\theta}$, this would imply that rotations commute.

But this cannot be so because rotations are given by matrices and matrices do not in general commute

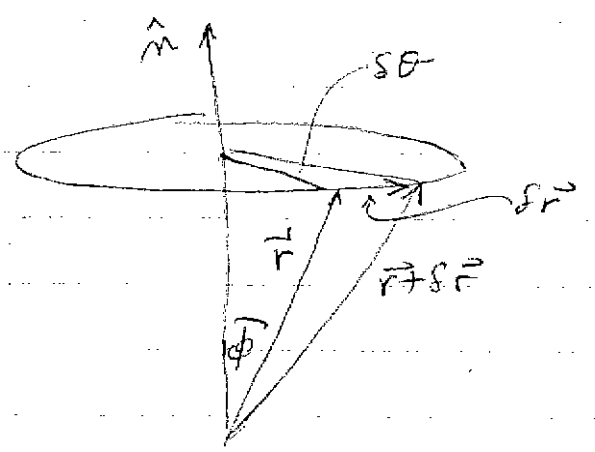
example: consider rotation by 90° about \hat{e}_3 followed by 90° rotation about \hat{e}_2 . this is not the same as rotation by 90° about \hat{e}_2 followed by a 90° rotation about \hat{e}_3 .



rotations do not commute.

However the above problem does not occur for infinitesimal rotations. Infinitesimal rotations do commute. This fact allows us to define the angular velocity vector $\vec{\omega}$.

Consider infinitesimal rotation through angle $\delta\theta$ about rotation axis \hat{m} , ~~and infinitesimal rotation~~



change in \vec{r} in above rotation is
 $|\delta\vec{r}| = r \sin\phi \delta\theta$
 direction of $\delta\vec{r}$ is direction of $\hat{m} \times \vec{r}$

$$\Rightarrow \delta\vec{r} = \delta\theta \hat{m} \times \vec{r} = \delta\vec{\theta} \times \vec{r}$$

where $\delta\vec{\theta} = \delta\theta \hat{m}$ is the vector describing the infinitesimal rotation.

Now consider a second rotation $\delta\vec{\theta}' = \delta\theta' \hat{m}'$

After the 1st rotation, ~~the~~ our vector \vec{r} is transformed into the vector $\vec{r} + \delta\vec{\theta} \times \vec{r}$.

After the 2nd rotation, the vector is transformed into

$$(\vec{r} + \delta\vec{\theta} \times \vec{r}) + \delta\vec{\theta}' \times (\vec{r} + \delta\vec{\theta} \times \vec{r})$$

$$= \vec{r} + \delta\vec{\theta} \times \vec{r} + \delta\vec{\theta}' \times \vec{r} + \delta\vec{\theta}' \times (\delta\vec{\theta} \times \vec{r})$$

↑ 2nd order in infinitesimals \Rightarrow ignore

So we wind up at vector

$$\vec{r} + \delta\vec{\theta} \times \vec{r} + \delta\vec{\theta}' \times \vec{r} = \vec{r} + (\delta\vec{\theta} + \delta\vec{\theta}') \times \vec{r}$$

If we now rotate by $\delta\vec{\theta}'$ first, we get $\vec{r} + \delta\vec{\theta}' \times \vec{r}$
Then if we next rotate by $\delta\vec{\theta}$ we get

$$(\vec{r} + \delta\vec{\theta}' \times \vec{r}) + \delta\vec{\theta} \times (\vec{r} + \delta\vec{\theta}' \times \vec{r})$$

$$= \vec{r} + \delta\vec{\theta}' \times \vec{r} + \delta\vec{\theta} \times \vec{r} + \delta\vec{\theta} \times (\delta\vec{\theta}' \times \vec{r})$$

↑ 2nd order so ignore

$$= \vec{r} + (\delta\vec{\theta}' + \delta\vec{\theta}) \times \vec{r}$$

So the two infinitesimal rotations do commute. Moreover, the product of the two rotations looks just like a single infinitesimal rotation with rotation vector $\delta\vec{\theta}' + \delta\vec{\theta}$ as expected if the infinitesimal rotation is indeed describable as a vector.

For a rotating solid body, the change in position of a point at position \vec{r} , after an infinitesimal rotation by $\delta\vec{\theta}$ is

$$\delta\vec{r} = \delta\vec{\theta} \times \vec{r}$$

If this rotation takes place in a time δt , then we get for the velocity of the point at \vec{r} ,

$$\vec{v} = \frac{\delta\vec{r}}{\delta t} = \frac{\delta\vec{\theta}}{\delta t} \times \vec{r}$$

We define the ~~angular~~ instantaneous angular velocity of the solid body as

$$\vec{\omega} = \frac{\delta\vec{\theta}}{\delta t}$$

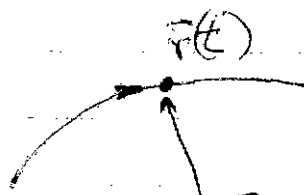
and then

$$\vec{v} = \vec{\omega} \times \vec{r}$$

The fact that $\delta\vec{\theta}$ is a vector \Rightarrow angular velocity $\vec{\omega}$ is a vector - points along the instantaneous axis of rotation.

For a point particle traveling on a trajectory $\vec{r}(t)$, we can also define an instantaneous angular velocity as follows. Consider the plane spanned by $\vec{v}(t) = \dot{\vec{r}}(t)$ and $\vec{a}(t) = \ddot{\vec{r}}(t)$. ~~Locally, the particle~~ Instantaneously, the particle is moving in this plane, on a path with an instantaneous radius of curvature.

plane containing \hat{r} and $\frac{d\hat{r}}{dt}$ at time t



$R =$ radius of curvature at point $\vec{r}(t)$ in this plane

Instantaneously, the particle looks as if it to going in a circle of radius R , in the plane spanned by \hat{r} and $\frac{d\hat{r}}{dt}$. An axis through point "O", perpendicular to this plane, is the instantaneous axis of rotation. If the particle moves an angular distance $d\theta$ in time dt , the instantaneous angular velocity is $\vec{\omega} = \frac{d\theta}{dt} \hat{n}$ where \hat{n} points along the instantaneous axis of rotation.

Then $\vec{v} = \vec{\omega} \times \vec{r}$