

## ac electric conductivity

$$\vec{E}(t) = \operatorname{Re} [\vec{E}_\omega e^{-i\omega t}]$$

harmonic oscillating  
electric field

$$\frac{d\vec{p}}{dt} = -\frac{\vec{p}}{\tau} - e\vec{E}(t)$$

Duode eqn of motion

assume solution is also harmonic oscillation

$$\vec{p}(t) = \operatorname{Re} [\vec{P}_\omega e^{-i\omega t}]$$

$$\rightarrow -i\omega \vec{P}_\omega = -\frac{\vec{P}_\omega}{\tau} - e\vec{E}_\omega$$

$$(\frac{1}{\tau} - i\omega) \vec{P}_\omega = -e\vec{E}_\omega$$

$$\vec{P}_\omega = \frac{-e}{\frac{1}{\tau} - i\omega} \vec{E}_\omega = \frac{-e\tau}{1 - i\omega\tau} \vec{E}_\omega$$

current  $\vec{j}(t) = \operatorname{Re} [\vec{f}_\omega e^{-i\omega t}]$

$$\vec{j} = -en\vec{v}$$

$$= -en\frac{\vec{p}}{m}$$

$$\vec{f}_\omega = -em\frac{\vec{P}_\omega}{m}$$

$$= \frac{me^2\tau}{m(1 - i\omega\tau)} \vec{E}_\omega$$

## a.c. conductivity

$$\vec{f}_\omega = \sigma(\omega) \vec{E}_\omega$$

$$\Rightarrow \sigma(\omega) = \frac{me^2\tau}{m(1 - i\omega\tau)} = \frac{\sigma_{dc}}{1 - i\omega\tau}$$

where  $\sigma_{dc} = \frac{ne^2c}{m}$  is d.c. Drude conductivity

as  $\omega \rightarrow 0$ ,  $\sigma(\omega) \rightarrow \sigma_{dc}$

as  $\omega \rightarrow \infty$ ,  $\sigma(\omega) \rightarrow \frac{ne^2c}{-i\omega cm} = \frac{ine^2}{m\omega}$  indep of  $\epsilon$

for  $\omega\tau \gg 1$ , i.e.  $\omega \gg \frac{1}{\tau}$ , oscillator is fast compared to collision rate, so  $\sigma(\omega)$  becomes independent of  $\epsilon$ .

### Electromagnetic wave propagation in a metal

approx 1) In CGS units, for a propagating electromagnetic plane wave  $|\vec{E}| = |\vec{H}|$ .

so for the forces the EM wave exerts on a conduction electron

$$\frac{|\vec{F}_{mag}|}{|\vec{F}_{electr}|} = \frac{-e \left[ \frac{\vec{v}}{c} \times \vec{H} \right]}{-e |\vec{E}|} \sim \frac{v}{c} \ll 1$$

so we will ignore the force that the  $\vec{H}$  component of the wave exerts on the electron

approx 2) when wavelength  $\lambda$  of EM wave is much larger than mean free path  $l$  of collisions,  $\lambda \gg l$ , the electric field that an electron sees over the time between collisions is roughly ~~const~~ uniform in space. Good for waves in visible spectrum where  $\lambda \sim 5000\text{\AA}$ ,  $l \sim 10\text{\AA}$ .

(1)+(2)  $\Rightarrow$  we can use the above computed a.c. conductivity  $\sigma(\omega)$  to fit the relation

between the electric field of the EM wave ad the resulting current due to the conduction electrons

For a single harmonic electromagnetic wave we can write for the fields:

$$\vec{E}(\vec{r}, t) = \text{Re} [\vec{E}_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}] \quad \text{electric field}$$

$$\vec{H}(\vec{r}, t) = \text{Re} [\vec{H}_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}] \quad \text{magnetic field}$$

The relation between the amplitudes  $\vec{E}_0$  ad  $\vec{H}_0$  and between the wave vector  $\vec{k}$  ad frequency  $\omega$  are determined by Maxwell's Equations.

We will look for solutions for a transverse propagating wave, ie with  $\vec{E}_0 \perp \vec{k}$ .

Macroscopic Maxwell's Equations (in CGS units)

$$\text{Gauss } \vec{\nabla} \cdot \vec{D} = 4\pi\rho$$

$$\vec{\nabla} \cdot \vec{B} = 0 \quad \text{Gauss}$$

$$\text{Ampere } \vec{\nabla} \times \vec{H} = \frac{4\pi}{c} \vec{j} + \frac{1}{c} \frac{\partial \vec{D}}{\partial t}$$

$$\vec{\nabla} \times \vec{E} = -\frac{1}{c} \frac{\partial \vec{B}}{\partial t} \quad \text{Faraday}$$

We will ignore magnetization effects, ie  $\mu=0$  ad  $\vec{B}=\vec{H}$

We will ignore polarization from bound electrons, ie  $E=1$  ad  $\vec{E}=j$   
 $j$  is current due to conduction electrons

$j$  is any locally non-neutral charge density due to variations in conduction electron density

Above become

$$\vec{\nabla} \cdot \vec{E} = \frac{4\pi}{c} \rho$$

$$\vec{\nabla} \times \vec{H} = \frac{4\pi}{c} \vec{j} + \frac{1}{c} \frac{\partial \vec{E}}{\partial t}$$

$$\vec{\nabla} \times \vec{H} = 0$$

$$\vec{\nabla} \times \vec{E} = -\frac{1}{c} \frac{\partial \vec{H}}{\partial t}$$

Substitute into the above the single harmonic forms for  $\vec{E}$  and  $\vec{H}$ .

Gauss  $\vec{\nabla} \cdot \vec{E} = i \vec{k} \cdot \vec{E}_0 e^{i(k \cdot r - \omega t)} = 0$  since assumed  $\vec{E}_0 \perp \vec{k}$

$\Rightarrow \rho = 0$  transverse EM wave induces no local charge density

Gauss  $\vec{\nabla} \cdot \vec{H} = i \vec{k} \cdot \vec{H}_0 e^{i(k \cdot r - \omega t)} = 0$

$\Rightarrow \vec{H}_0 \perp \vec{k}$  so magnetic field is also transverse

(1) Faraday  $\vec{\nabla} \times \vec{E} = -\frac{1}{c} \frac{\partial \vec{H}}{\partial t} \Rightarrow i \vec{k} \times \vec{E}_0 = i \frac{\omega}{c} \vec{H}_0$

(2) Ampere  $\vec{\nabla} \times \vec{H} = \frac{4\pi}{c} \vec{j} + \frac{1}{c} \frac{\partial \vec{E}}{\partial t} \Rightarrow i \vec{k} \times \vec{H}_0 = \frac{4\pi}{c} \vec{j}_0 - i \frac{\omega}{c} \vec{E}_0$

where assumed  $\vec{j}(r, t) = \text{Re} \left\{ \vec{j}_0 e^{i(k \cdot r - \omega t)} \right\}$

Multiply (1) by  $\vec{k} \times$

$$i \vec{k} \times (\vec{k} \times \vec{E}_0) = i \underbrace{(\vec{k} \cdot \vec{E}_0) \vec{k}}_{=0 \text{ since } \vec{k} \perp \vec{E}_0} - i k^2 \vec{E}_0 = i \frac{\omega}{c} \vec{k} \times \vec{H}_0$$

$$\text{so } i \vec{k} \times \vec{H}_0 = -i \frac{k^2 c}{\omega} \vec{E}_0$$

Substitute from (2)

$$i \vec{k} \times \vec{H}_0 = -i \frac{k^2 c}{\omega} \vec{E}_0 = \frac{4\pi}{c} \vec{j}_0 - i \frac{\omega}{c} \vec{E}_0$$

$$\Rightarrow \vec{E}_0 \left( k^2 - \frac{\omega^2}{c^2} \right) = \frac{4\pi i \omega}{c^2} \vec{j}_0$$

$$\text{For a vacuum, } \vec{j}_0 = 0 \Rightarrow \omega^2 = c^2 k^2$$

For a metal  $\vec{j}_0 = \sigma(\omega) \vec{E}_0$   $\sigma(\omega)$  is ac conductivity

$$\Rightarrow \vec{E}_0 \left( k^2 - \frac{\omega^2}{c^2} \right) = \frac{4\pi i \omega}{c^2} \sigma(\omega) \vec{E}_0$$

$$\Rightarrow \vec{E}_0 \left( k^2 - \frac{\omega^2}{c^2} - \frac{4\pi i \omega}{c^2} \sigma(\omega) \right) = 0$$

$$\Rightarrow k^2 = \frac{\omega^2}{c^2} \left( 1 + \frac{4\pi i \sigma(\omega)}{\omega} \right)$$

$\leftrightarrow$  "dispersion relation" for EM waves in a metal.

$$\sigma(\omega) = \frac{\sigma_{dc}}{1 - i\omega\tau} \quad \sigma_{dc} = \frac{ne^2\tau}{m}$$

For low frequencies,  $\omega\tau \ll 1$ , i.e. frequency much smaller than collision rate,  $\sigma(\omega) \approx \sigma_{dc}$

$$\text{then } k^2 = \frac{\omega^2}{c^2} \left( 1 + \frac{4\pi i \sigma_{dc}}{\omega} \right)$$

For fixed real  $\omega$ ,  $k$  will have a large imaginary part. When  $\omega$  low enough that  $4\pi \sigma_{dc}/\omega \gg 1$  then

$$k^2 = \frac{\omega^2}{c^2} \frac{4\pi i \sigma_{dc}}{\omega} \quad \text{# Absorbance}$$

$$k = \frac{1}{c} \sqrt{4\pi\sigma_{dc} \omega} \left( \frac{1+i}{\sqrt{2}} \right)$$

$k$  is complex number with equal real and imaginary parts.

$$\text{since } \vec{E} = \text{Re} \left[ \vec{E}_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)} \right]$$

$$\text{if we write } \vec{k} = \vec{k}_1 + i\vec{k}_2$$

$$E = \text{Re} \left[ E_0 e^{i(\vec{k}_1 \cdot \vec{r} - \omega t)} \right] e^{-\vec{k}_2 \cdot \vec{r}}$$

wave decays as it propagates into metal

In low freq limit where  $k_1 \approx k_2$ , wave ~~propagates~~ decays by factor  $\lambda e$  for every wavelength it penetrates.

More interesting behavior in higher frequency limit,  $\omega \tau \gg 1$ , i.e. frequency large compared to collision rate.

$$\text{then } \sigma(\omega) \approx \frac{\sigma_{dc}}{-i\omega\tau} = \frac{me^2\tau}{m\omega\tau} i = \frac{me^2}{m\omega} i$$

$$k^2 = \frac{\omega^2}{c^2} \left( 1 - \frac{4\pi me^2}{m\omega^2} \right)$$

call  $\omega_p = \sqrt{\frac{4\pi me^2}{m}}$  the "plasma frequency"

$$k^2 = \frac{\omega^2}{c^2} \left(1 - \left(\frac{\omega_p}{\omega}\right)^2\right)$$

dispersion relation is  
independent of  $\epsilon$

In general  $\omega_p \gg \frac{1}{c}$

For freq  $\omega > \omega_p$  we have

$$k^2 = \frac{\omega^2}{c^2} \left(1 - \left(\frac{\omega_p}{\omega}\right)^2\right) \text{ is positive real number}$$

$$k = \frac{\omega}{c} \sqrt{1 - (\omega_p/\omega)^2} \text{ is real}$$

EM wave propagates through the metal with no attenuation. For  $\omega > \omega_p$ , the metal is transparent to EM waves!

But for freq  $\frac{1}{c} \ll \omega < \omega_p$

$$k^2 = \frac{\omega^2}{c^2} \left(1 - \left(\frac{\omega_p}{\omega}\right)^2\right) < 0 \text{ is negative}$$

$$k = i \frac{\omega}{c} \sqrt{1 - (\omega_p/\omega)^2} \text{ is pure imaginary}$$

$$\vec{E}(\vec{r}, t) = \cancel{\text{RE}} \vec{E}_0 e^{i(kr - \omega t)}$$

$$\text{Re} \left[ \vec{E}_0 e^{i(kr - \omega t)} \right]$$

$$= \text{Re} \left[ \vec{E}_0 e^{i\omega t} \int e^{-ik|\vec{r}|} \right]$$

field decays exponentially - waves do not propagate

$\omega < \omega_p$ , EM waves get absorbed by the metal

The crossover from absorption to transparent occurs at  $\omega = \omega_p$ , or at wavelength

$$\lambda_p = 2\pi c/\omega_p$$

$$\omega_p = \sqrt{\frac{4\pi me^2}{m}}$$

depends only on electron density,

Compare this Drude prediction to experiment

<u>metal</u>	<u><math>\lambda_p</math> (Drude)</u>	<u><math>\lambda_p</math> (expt)</u>	( $10^8 \text{ Å}$ )
Li	1.5	2.0	
Na	2.0	2.1	
K	2.8	3.1	
Rb	3.1	3.6	
Cs	3.5	4.4	

agreement is not bad given all the simplifying approximations that we have made!

## Thermal Conductivity of metals

Apply temperature gradient  $\vec{\nabla}T$  across sample.  
A thermal current (energy current)  $\vec{j}^q$  will flow.

From thermodynamics:  $dE = TdS - pdV$ .

Here  $dV = 0$  so  $dE = TdS$ .

Heat  $dQ = \vec{T}dS$  so  $dQ = dE$

$\Rightarrow$  heat current (or thermal current) = energy current

$$\vec{j}^q = -K \vec{\nabla}T \quad \text{heat equation}$$

defines thermal conductivity  $K$

heat flows from hot to cold so  $K > 0$

(that's why we define  $K$  with a  $-$  sign in the heat equation)

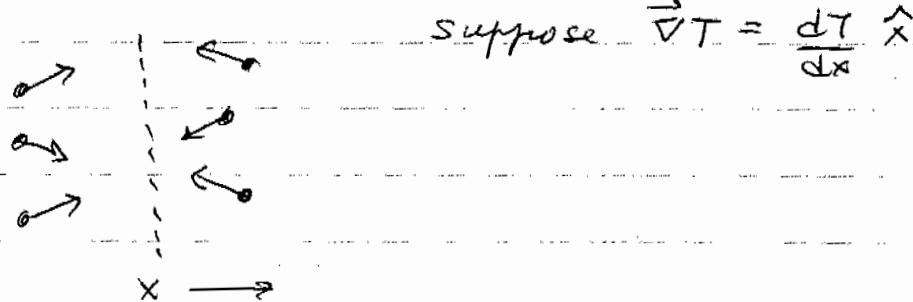
Experiment observed the following empirical relation between  $K$  and the dc conductivity  $\sigma$

Niedermann - Franz law

$$\frac{K}{\sigma} \sim \text{const} \times T$$

where  $\text{const} \approx 2 \times 10^{-8}$  watt-ohm/ $\text{K}^2$   
is roughly the same for all metals.

Consider the energy flowing through a plane  
 $\perp$  to  $\vec{\nabla}T$



Electrons crossing from left to right have had their last collision on average at time  $\tau$  earlier at position  $x^L = x - v_x^L \tau$ , where  $v_x^L$  is the average speed of these electrons in the  $x$  direction.

The electrons thus have average energy  $E(T(x - v_x^L \tau))$  where  $E(T)$  is the average energy at temperature  $T$ .

Similarly the electrons crossing from right to left have had their last collision at position  $x^R = x + v_x^R \tau$  and carry average energy  $E(T(x + v_x^R \tau))$ .

The number of electrons crossing left to right is  $\frac{1}{2} n v_x^L$ . (per unit time per unit area)

The number of electrons per unit time per unit area crossing right to left is  $\frac{1}{2} n v_x^R$ .

(factor  $\frac{1}{2}$  since half of electrons go in  $+\hat{x}$  direction and  $\frac{1}{2}$  go in  $-\hat{x}$  direction)

$$\Rightarrow j^q = \frac{1}{2} m v_x^L \epsilon (T(x) - v_x^L c)$$

$$- \frac{1}{2} m v_x^R \epsilon (T(x) + v_x^R c)$$

For slow temperature variation  $\frac{dT}{dx} < \frac{T_0}{l}$   $\leftarrow$  ave temp  
 we can expand

$$j^q = \frac{1}{2} m v_x^L \left[ \epsilon(T(x)) - v_x^L c \frac{d\epsilon}{dT} \frac{dT}{dx} \right]$$

$$- \frac{1}{2} m v_x^R \left[ \epsilon(T(x)) + v_x^R c \frac{d\epsilon}{dT} \frac{dT}{dx} \right]$$

$$= \frac{1}{2} m c \frac{d\epsilon}{dT} \frac{dT}{dx} \left[ (v_x^L)^2 + (v_x^R)^2 \right]$$

$$+ \frac{1}{2} m \epsilon(T(x)) [v_x^L - v_x^R]$$

first term:  $\frac{1}{2} (v_x^L)^2 + \frac{1}{2} (v_x^R)^2 \approx \langle v_x^2 \rangle = \frac{1}{3} \langle v^2 \rangle$

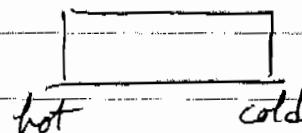
$$\langle v_x^2 \rangle = \frac{1}{3} \langle v^2 \rangle = \frac{1}{3} \frac{3k_B T}{m}$$

(using  $\frac{1}{2} m \langle v^2 \rangle = \frac{3}{2} k_B T$  equipartition theorem)

So first term is  $- \frac{1}{3} m c \langle v^2 \rangle \frac{d\epsilon}{dT} \frac{dT}{dx}$

2nd term:  $v_x^L - v_x^R = \langle v_x \rangle$  at position x

But since thermal conductivity is usually measured in an open circuit



no current flows in  $x$  direction  $\Rightarrow \langle v_x \rangle = 0$   
 So 2nd term vanishes! (see more on this later!)

$$\Rightarrow j^0 = -\frac{1}{3} n e^2 \langle v^2 \rangle \frac{dE}{dT} \frac{dT}{dx} = -K \frac{dT}{dx}$$

now  $\frac{dE}{dT} \propto \frac{dT}{dx}$

Now  $E$  is average energy per electron at temp  $T$ .

$\Rightarrow N E$  is total average energy

$\frac{N}{V} E = n E$  is average energy density

$$\Rightarrow n \frac{dE}{dT} = c_v \quad \text{specific heat per volume at constant volume}$$

$$K = \frac{1}{3} T C_V \langle v^2 \rangle = \frac{1}{3} k_B T c_v \quad \text{where } \cancel{k} = c_v \\ v = \sqrt{\langle v^2 \rangle}$$

$$\sigma = \frac{ne^2c}{m}$$

~~$K = \frac{C_V \langle v^2 \rangle}{m}$~~

$$\frac{K}{\sigma} = \frac{\frac{1}{3} T C_V \langle v^2 \rangle}{\frac{ne^2c}{m}} = \frac{m c_v \langle v^2 \rangle}{3 m e^2} \quad \text{indep of } T$$

$$E = \frac{1}{2} m \langle v^2 \rangle = \frac{3}{2} k_B T \quad C_V = \frac{3}{2} m k_B$$

$$\frac{K}{\sigma} = \frac{C_V k_B T}{m e^2} = \boxed{\frac{3}{2} \frac{k_B^2}{e^2} T = \frac{K}{\sigma}}$$

Weidemann-Franz const 5  $\frac{3}{2} \frac{k_B^2}{e^2} = 1.11 \times 10^{-8}$  watt-ohm $^{-1}$

This is  $\sqrt{\frac{1}{2}}$  the experimental value!

In his calculation Drude made a factor 2 error, so he reported a result  $2.22 \times 10^{-8}$  watt-ohm/ $^{\circ}\text{K}^2$  in excellent agreement with experiment!

This success was just luck. We will see, when we treat the gas electron gas quantum mechanically that the correct  $\langle v^2 \rangle$  is  $\sim 100$  times larger than Drude's classical result, but  $C_V$  is  $\sim 100$  times smaller. So these two factors cancel to give a reasonable result, but just by accident!

Even in Drude's day it was known that something was not right since no electronic contribution to specific heat was ever found as large as  $\frac{3}{2} n k_B$

### Thermal electric effect

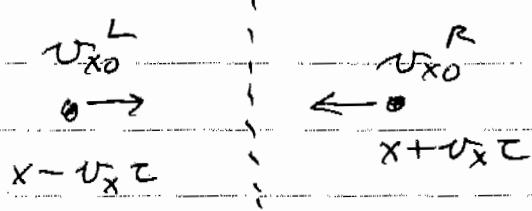
We said that  $v_x^L - v_x^R = \langle v_x \rangle = 0$  in our open circuit. But since  $T(x_L) > T(x_R)$  one would expect that  $v_x^L > v_x^R$ .

⇒ In steady state an electric field must be generated, in same direction as  $\vec{\nabla}T$ , that exactly compensates for the thermal difference in velocities, so that velocities of both right going and left going electrons are equal as they cross the same plane at  $x$ .

This is the thermo electric field  $\vec{E}$  and it is proportional to  ~~$\frac{1}{T}$~~   $\frac{1}{T}$ . We define the "thermo power" by

$$\vec{E} = Q \frac{\vec{v}}{T}$$

To estimate  $Q$ .



hot      x      cold

$v_x^L$  is from equilib thermal distrib at  $T(x - v_x \tau)$

$v_x^R$  is from equilib thermal distrib at  $T(x + v_x \tau)$

when the thermo electric field  $\vec{E}$  is present  
the speed of the right going electrons when they  
pass the plane at  $x$  is

$$v_x^L = v_{x0}^L - \frac{eE\tau}{m} \quad \text{or change in velocity due to acceleration by electric field}$$

Similarly

$$v_x^R = v_{x0}^R + \frac{eE\tau}{m}$$

$$\text{So } v_x^L - v_x^R = v_{x0}^L - v_{x0}^R - \frac{2eE\tau}{m} = 0$$

determines value of  $E$

$$v_{x0}^L = v_x(T(x - v_x \tau)) = v_x(T(x)) - \frac{dv_x}{dT} \frac{dT}{dx} v_x \tau$$

$$v_{x0}^R = v_x(T(x + v_x \tau)) = v_x(T(x)) + \frac{dv_x}{dT} \frac{dT}{dx} v_x \tau$$

$$v_x^L - v_x^R = -2 \frac{dv_x}{dT} \frac{dT}{dx} v_x \tau - \frac{2eE\tau}{m} = 0$$

After use  $\left(\frac{dv_x}{dT}\right) v_x = \frac{1}{2} \frac{d v_x^2}{dT}$

$$\text{So } E = -\frac{m}{2e} \frac{d\langle v_x^2 \rangle}{dT} \frac{dT}{dx}$$

$$\langle v_x^2 \rangle = \frac{1}{3} \langle v^2 \rangle, \quad \cancel{\langle v^2 \rangle = \frac{mv^2}{m}}$$

$$= \frac{2}{3m} E \quad \text{where } E = \frac{1}{2} m \langle v^2 \rangle$$

$$E = -\frac{1}{3e} \frac{dE}{dT} \frac{dT}{dx}$$

$$Cv = m \frac{dE}{dT}$$

$$E = -\frac{1}{3me} Cv \frac{dT}{dx} = Q \frac{dT}{dx}$$

~~on a constant assembly~~

$$\Rightarrow Q = -\frac{Cv}{3me}$$

$$\text{Classically } Cv = \frac{3}{2} n k_B \Rightarrow Q = -\frac{k_B}{2e}$$

$$= -0.4 \times 10^{-4} \frac{\text{volt}}{\text{ok}}$$

observed  $Q$  is  $\sim 100$  times smaller  
than the classical Druide result

we will get more reasonable value  
for  $Q$  when we use correct quantum  
mechanical result for  $Cv$ .