Argonne National Laboratory HEP Seminar January 18, 2006 Search for Single Top at DØ

- The Tevatron and DØ
- Electroweak production of top quarks
- Search strategy:
 - Event selection, background estimation
 - Cuts, Decision Trees, NNs, likelihoods
 - Statistical analysis, limit extraction
- New physics reach, sensitivity for discovery
- Lessons learned & Conclusions

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The Tevatron

The highest energy particle accelerator in the world!

Proton-antiproton collider

Run I 1992-1995 Top quark discovered!

Run II 2001-09(?) $\sqrt{s} = 1.96 \text{ TeV}$ $\Delta t = 396 \text{ ns}$ >1fb⁻¹ delivered Peak Lumi: 10³²cm⁻²s⁻¹



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DØ for Run II



Data taking



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Top quark physics

The top quark is a very special fermion:

- Heaviest known particle: 172.7±2.9 GeV
 - ▶ $m_t \sim v/\sqrt{2}$, $\lambda_t \sim 1 \rightarrow \text{Related to EWSB}!$
 - Sensitive probe for new physics, FCNCs, ...
- **Decays as a free quark:** $\tau_{t} = 5 \times 10^{-25} \text{ s} \ll \Lambda_{\text{QCD}}^{-1}$
 - Spin information is passed to its decay products
 - Test V-A structure of the SM

We still don't know: charge, spin, width, lifetime
We know its mass, its cross section and its BR(t→Wb)~1
Plenty of room for new physics



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Top quark strong production Pair production through strong interaction

 σ (tt) ~ 7.0 pb at $\sqrt{s}=1.96$ TeV (NNLO CTEQ6M, Kidonakis et al.)

Main production mode at Tevatron
 30% higher \(\sigma\) (tt) than in Run I
 0.8 events/hour at recent Luminosities



0.8 events/second at initial (low) luminosities

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Top quark Electroweak production

Single top production via EW interaction

$$\sigma$$
(t) ~ 2.86 pb at $\sqrt{s=1.96TeV}$ (NLO Sullivan et al.)

Flagship measurement at Run II Dominant bkgds: Wjj, tt, QCD

- Measure s- and t-channel cross sections separately
- ▶ First direct probe of |V_{th}|



Why search for single top?

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Ζ



Access W-t-b

- ▶ measure V_{tb} directly
- test unitarity of CKM
- Test V-A structure of SM
- New physics:
 - s-channel sensitive to resonances:
 - W', top pions, SUSY, etc...
 - t-channel sensitive to FCNCs
- Study top polarization, mass



Single Top search at DØ

b (R



top moving direction



other b light g 200

Eta

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Signal modeling

Have to get the t-channel right:

Avoid double counting when different diagrams produce same final states in different kinematic regions

Use ZTOP as NLO benchmark http://home.fnal.gov/~zack/ZTOP

DØ: "Effective" NLO CompHEP (also used in CMS)

Match $2 \rightarrow 2$ and $2 \rightarrow 3$ processes using b p_{τ} for cross over, normalize to NLO

Resulting distributions agree well with ZTOP & MCFM

Recently available: MC@NLO, Alpgen 2, C.-P. Yuan et al.



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Background modeling

- W+jets (~300 pb)
 - Distributions from Alpgen
 - Normalization from data
 - Flavor fractions from NLO
 - NB: Few % of W+jets have heavy flavor in final state
- Top pairs (~7 pb)
 - Topologies: dilepton and ℓ +jets
 - Use Alpgen
- Multijet events (misidentified lepton)
 - From data
- Diboson WZ, WW (~3 pb)
 - Estimated with Alpgen

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Tagging b-jets



Three different algorithms for b-jet identification at DØ:

Two based on tracks with large IPs

One based on secondary vertex reconstruction

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Lifetime taggers performance

- Efficiency to identify a bquark jet ~50%
- Mistag-rate ~0.5%
- Soon to have NN tagger with much improved performance
- Use parametrisations derived in data to apply to MC samples





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Analysis strategy



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 $\sigma_{\rm s}$

t-channel

tt¯→l+iets Whh

150

200

1) Event Selection

Lepton(e, μ): p_T>15 GeV, $|\eta_{e(\mu)}|<1.1$ (2.0) Jets: $2 \le N_{iets} \le 4$, $E_T > 15 \text{ GeV}, |\eta| < 3.4$ Jet1: E₇>25 GeV MET: MET>15 GeV Other clean-up cuts

Pretagged 7100 events =1 b-tagged jet 252 events \geq 2 b-tagged jets 31 events

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Wjj

ťť

Wbb

WW/WZ

Yields after event selection

Optimize the selection to maximize acceptance
 Allow a lot of background at this stage!

Then use multiple distributions to separate signal-background

Source	s-channel search	t-channel search
tb	5.5 ± 1.3	4.7 ± 1.0
tqb	8.6 ± 1.9	8.5 ± 1.9
$t\overline{t}$	78.3 ± 18.3	75.9 ± 17.6
W+jets	169.1 ± 20.1	163.9 ± 18.7
Multijet	31.4 ± 3.3	31.3 ± 3.2
Total background	287.4 ± 43.6	275.8 ± 40.6
Observed events	283	271

Acceptance: 2.7±0.2% (s-channel) and 1.9±0.2% (t-channel)
 Main contributions:

- Wjj 47%, Wbb 12%
- tt ℓ +jets 21%, tt dilepton 6%
- Multijet 10%, s or t-channel as bkgd to the other 3%

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W+jets normalization

Find fractions of real and fake isolated *l* in the data before btagging. Split samples in loose and tight isolation:

$$N^{loose} = N^{loose}_{fake} + N^{loose}_{real}$$
$$N^{tight} = \varepsilon_{fake} N^{loose}_{fake} + \varepsilon_{real} N^{loose}_{real}$$

Obtain: N_{real}^{loose} and N_{fake}^{loose}

Normalize the MC Wjj and Wbb samples to the real *l* yield found in data, after correcting for the presence of tt and diboson events:

 $\varepsilon_{real} N_{real}^{loose} = SF[Y(Wjj) + Y(Wb\bar{b})] + Y(t\bar{t}) + Y(WW) + Y(WZ) SF = 1.05$

The sum Y(Wjj)+Y(Wbb) is done according to the NLO ratio of cross sections (MCFM) before b-tagging → 25% uncertainty

- Then apply b-tagging
 - Greatly reduce W+jets background (Wbb ~1% of Wjj)
 - Shift distributions, changes flavor composition

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Systematic Uncertainites

Monte Carlo Systematic Uncertainties		- Yield	180	DØ Run II Preliminary, 230pb ⁻¹ −●− Data	
Theory cross sections		15%	ent	160	Background sum
SVT modeling, single (de	ouble) tag	g 10%(20%)	л Ц	120	s-channel (×10)
Jet Energy Scale		10%)	100	
Trigger Modeling		6 %)	80	• • • • • • • • • • • • • • • • • • •
Jet Fragmentation		6 %)	60	
$\mathbf{Jet} \ \mathbf{ID}$		5%)	40	
ℓ ID		5%)		
			=	_	2 3 4 Number of Jets
Some systematic u also affect shape:	uncerta	inties	t Yield	60	DØ Run II Preliminary, 230pb ⁻¹ → Data I Background sum
JES, b-tag and tri	gger m	odeling	Even	50 	t-channel (×10) s-channel (×10)
Total uncertainty:				40	
	1 tag	2 tags		30–	
Signal acceptance	15%	25%		20-	
Background sum	10%	26%		10-	
Result is statistics	limited				
	minece	•		0	100 200 300 400 500 600 700 800
					\s [GeV]

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2) Separate signals from backgrounds

Make sure your data agrees well with your prediction

- Choose variables (final pool of 25) that show good discrimination
- Object kinematics, event kinematics, angular correlations



Event kinematics & angular variable



Analysis methods

DØ has implemented four analysis methods:



Use same pool of discriminating variables for all 4 analyses
Optimize separately for s-channel and t-channel
Focus on two dominant backgrounds: Wbb and tt
A total of 8 sets of cuts/trees/networks/likelihoods: tb-Wbb, tb-tt→ℓ+jets, tqb-Wbb & tqb-tt→ℓ+jets (for e and µ)

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Cut-based analysis

 Rate each discriminant variable according to its optimal cut Optimal cut values are obtained minimizing the expected limit with respect to cut values derived from the signal sample
 Try several sets of ANDed variables and re-optimize
 Select the set that yields the lowest expected limit

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	1,32	1,32
	s-channel	t-channel
tb	4.5 ± 1.0	3.2 ± 0.8
tqb	5.5 ± 1.2	7.0 ± 1.6
$t\bar{t}$	27.6 ± 7.6	55.9 ± 12.3
$W{+}\mathrm{jets}$	102.9 ± 13.7	72.6 ± 9.7
Mis-ID'd lepton	17.2 ± 2.0	17.0 ± 2.0
Background sum	153.1 ± 24.5	148.7 ± 24.8
Observed events	152	148
after cuts S/B	= 1/34	1/21

1/57

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hefore cuts S/R =

Cut-based analysis: cuts

	<i>s</i> -channel		<i>t</i> -channel	
Channel	Variables	Cuts	Variables	Cuts
Electron				
=1 Tag	$p_T(\text{jet1}_{tagged})$	$> 27 { m ~GeV}$	$H_T(\text{alljets})$	$> 71~{ m GeV}$
	$M(\text{alljets} - \text{jet1}_{tagged})$	$< 70~{ m GeV}$	$M({ m alljets})$	$> 57~{ m GeV}$
	$\sqrt{\hat{s}}$	$> 196 { m ~GeV}$	$\sqrt{\hat{s}}$	$> 203 { m ~GeV}$
			$ 175 - M(top_{tagged}) $	$< 57~{ m GeV}$
			$p_T(\text{jet1}_{tagged})$	$> 21~{ m GeV}$
$\geq 2 \text{ Tags}$	$p_T(\text{jet1}_{tagged})$	$>42~{ m GeV}$	$p_T(\text{jet1}_{tagged})$	$> 34~{ m GeV}$
	$M(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 98~{ m GeV}$	$M(\text{alljets} - \text{jet1}_{tagged})$	$< 75~{ m GeV}$
	$H(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 304~{ m GeV}$	$H(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 504~{ m GeV}$
	$H(\text{alljets} - \text{jet}_{\text{best}})$	$< 304~{ m GeV}$	$H(\text{alljets} - \text{jet}_{\text{best}})$	$< 504~{ m GeV}$
Muon				
=1 Tag	$p_T(\text{jet1}_{tagged})$	$> 33 { m ~GeV}$	$ 175 - M(top_{tagged}) $	$< 60~{ m GeV}$
	$M(\text{alljets} - \text{jet1}_{tagged})$	$< 74 {\rm ~GeV}$	$\sqrt{\hat{s}}$	$> 210~{ m GeV}$
	$H(\text{alljets} - \text{jet1}_{\text{tagged}})$	$< 504~{ m GeV}$	$M({\tt alljets})$	$> 70~{ m GeV}$
	$H(\text{alljets} - \text{jet}_{\text{best}})$	$<504~{\rm GeV}$	$H_T(\text{alljets})$	$> 58~{ m GeV}$
$\geq 2 \text{ Tags}$	$p_T(\text{jet1}_{tagged})$	$> 33 { m ~GeV}$	$ 175 - M(top_{tagged}) $	$< 213~{ m GeV}$
_	$M(\text{alljets} - \text{jet1}_{\text{targed}})$	$< 74 { m ~GeV}$		
	$H(\text{alljets} - \text{jet}1_{\text{tagged}})$	$< 504~{ m GeV}$		
	$H(\text{alljets} - \text{jet}_{\text{best}})$	$< 504~{\rm GeV}$		

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Decision Trees

Multivariate technique widely used in social sciences Recently applied to HEP: MiniBooNE (object ID), GLAST Gives probability for an event to be signal









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Training method and optimization

1) Initialize weights

- 2) Minimize error function on training sample
- 3) Update weights. This is the first epoch.
- 4) Repeat procedure. After each epoch, apply NN filter on independent testing sample. Stop training when testing error increases



Used 60% of events for training, 40% for testing
 Optimize number of training epochs and number of hidden nodes

MLPFit implementation, many others in the market

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Neural Networks separation

Why we only focused on two main backgrounds:
▶ Wbb takes care well of Wjj (j=g,u,d,s,c)
▶ tt dilepton is small compared to tt ℓ+jets



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Neural Networks output



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Analysis flow revisited



t limit

 Separate s- and t-channel in electron or muon and 1 or
 b-tags

2) Apply discrimination method

3) Take the Wbb and tt NN outputs and make a 2D histogram Construct a binned likelihood and evaluate signal hypothesis based on shape information

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s limit

3) Limits from binned likelihood

No evidence for single top signal
 Set 95% CL upper cross section limit with Bayesian approach
 Use 2D histograms as input for binned likelihood
 Including bin-by-bin systematics and correlations

data

single top

Sum bkgd

Used for DT and NN analyses Cut-based analysis uses likelihood from event count

tb-tt NN outpu tqb-tt NN output 12 12 10 10 8 8 0.5 0.5 6 6 4 4 s-chan 2 2 DØ Run II Preliminary, 230pb DØ Run II Preliminary, 230pb 0 0.5 Ω th-Whb NN outpu 0.5 1 tb-Wbb NN output tab-Wbb NN output Arán García-Bellido Single Top search at DØ 31

Results



Use Bayesian approach to combine channels (e, μ and 1 tag, 2 tags)
 Take systematics and correlations into account
 Decision Trees and Neural Networks have similar sensitivity
 Multivariate analysis + shape information from output:

 factor 2 better than simple cuts

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Likelihood discriminant results on 370 pb⁻¹ $L = \frac{P_{signal}(\vec{x})}{P_{signal}(\vec{x}) + P_{background}(\vec{x})} ; P(\vec{x}) = \prod_{vars} P(x_i)$

- Use same analysis strategy: Wbb and tt discriminants
- Between 7-10 variables in the likelihoods
- Use one tight and one loose tagged jets in double tag sample
- **b** Uncertainties: b-tag 6-17%, JES 5%, trigger 5%, theory σ 18%
- Achieved similar sensitivity to NNs

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Summary of present limits

95 % CL Observed Upper Limits in pb			
	s-channel, tb	t-channel, tqb	l
DØ Run I, 90 pb ⁻¹	17	22	-
CDF Run II, 162 pb^{-1}	13.6	10.1 PR	D 71, 012005
DØ Run II, 230 pb^{-1}			
Cuts	10.6	11.3	
DTs & binned likelihood	8.3	8.1	
NNs & binned likelihood	6.4	5.0 PLB	622, 265 (2005)
DØ Run II, 370 pb $^{-1}$			
LHs & binned likelihood	5.0	4.4	
NLO theory	= 0.88	= 1.98	_

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Model independent limits

Relax assumption of SM cross sections for s- and t-channel

Study sensitivity to models beyond SM in the ($\sigma_{\rm s},\sigma_{\rm t}$) plane



DØ Run II Preliminary, 370 pb⁻¹

Single Top – Expectation

Predictions for Run II were to be sensitive to single top production with ~500pb⁻¹ – Where is it?

We have recorded >1fb⁻¹ at DØ already Observation soon?



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Single Top – Expectation vs Reality

Predictions for Run II were to be sensitive to single top production with ~500pb⁻¹ – Where is it?



Many effects, all in the wrong direction!

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Sensitivity

With current analysis, we would need several fb⁻¹ for an observation of SM single top



Need to work on many fronts to improve:
Trigger efficiency
Object ID: e, μ, jet, b
Jets resolution
Add more channels
Background estimation
Reduction of systematics
Bkgnd-signal separation

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Single top in a couple of years

- By 2007 we will have observed single top and measured its cross section to ~10% at the Tevatron
- Then the LHC will start with huge production rates: $a = 10.6 \pm 1.1$ pb $a = 246.6 \pm 0.25$ pb a = -62



Observe all three channels (s-channel will be tough)
 tW mode offers new window into top physics
 Measure V_{tb} to a few %

Large samples: study properties

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Preparing the way for the LHC Studies at the Tevatron will help the LHC:

- Wbb measurement (will also help WH search) (DØ: hep-ex/0410062) Current limit at 4.6 pb for p_T(b)>20GeV
- In general, W+jets background determination techniques tt will be main background, but large uncertainties come from W+jets Effect of jet vetoes (N_{iet}=2), check other methods planned in LHC analyses
- Study charge asymmetries (Bowen, Ellis, Strassler: hep-ph/0412223) Signal shows asymmetry in $(Q_{\ell} \times \eta_{j}, Q_{\ell} \times \eta_{\ell})$ plane at TeV
- Study kinematics of forward jets in t-channel (WW \rightarrow H at LHC)
- Even measure asymmetry in production rate (Yuan: hep-ph/9412214) (probe CP-violation in the top sector):

$$A_{t} = \frac{\sigma(p\bar{p} \rightarrow tX) - \sigma(p\bar{p} \rightarrow \bar{t}X)}{\sigma(p\bar{p} \rightarrow tX) + \sigma(p\bar{p} \rightarrow \bar{t}X)}$$

TeV4LHC workshop report to appear soon

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Conclusions

- Single Top is a very exciting opportunity for Run II
 - Challenging signature: sandwiched between W+jets and tt
 - A lot of activity, both theoretical and experimental
 - Understanding the top sector is crucial for EWSB
 - Open door to physics beyond the SM
- The DØ Run II Single Top Search producing best limits so far: $\sigma_s < 5.0 \text{ pb}$ $\sigma_t < 4.4 \text{ pb}$ @ 95% CL
- Close to discovery: getting exciting!
- Already sensitive to new physics: expect the unexpected!
- The Tevatron experience will help the LHC
- Then enter the era of precision studies with large statistics

All possible by the work of many

DØ Single top group



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Tevatron luminosity prospects



Extra slides

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Discriminating variables Individual object kinematics

- p_T(jet1_{tagged})
- $p_T(\text{jet1}_{\text{untagged}})$
- $p_T(\text{jet2}_{\text{untagged}})$
- $p_T(\text{jet1}_{\text{nonbest}})$
- p_T(jet2_{nonbest}) Global event kinematics
- M_T (jet1, jet2)
- $p_T(jet1, jet2)$
- M(alljets)
- $= H_T(\text{alljets})$
- $M(\text{alljets} \text{jet1}_{\text{tagged}})$
- $H(\text{alljets} \text{jet1}_{\text{tagged}})$
- $H_T(\text{alljets} \text{jet1}_{\text{tagged}})$
- $p_T(\text{alljets} \text{jet1}_{\text{tagged}})$
- $M(\text{alljets} \text{jet}_{\text{best}})$
- H(alljets jet_{best})
- H_T(alljets jet_{best})
- $M(top_{best}) = M(W, jet_{best})$ $> \sqrt{\hat{s}}$

Angular variables **e**

- $\Delta R(\text{jet1}, \text{jet2})$
- $Q(\text{lepton}) \times \eta(\text{jet1}_{\text{untagged}})$
- $\cos(\text{lepton}, Q(\text{lepton}) \times z)_{\text{topbest}}$
- $\cos(\text{lepton}, \text{jet1}_{\text{untagged}})_{\text{top}_{\text{tagged}}}$
- $cos(alljets, jet1_{tagged})_{alljets}$

cos(alljets, jet_{nonbest})_{all jets} Arán Garcia-Bellido, UW



This is where our phenomenology friends come so handy!

Three broad categories: Object kinematics Global event kinematics Angular correlations

Reconstruct W: from ℓ and

To reconstruct the top quark:

s-channel: "best" jet algorithm

• $M(top_{tagged}) = M(W, jet1_{tagged})$ does the jet that gives m_t closest to 175GeV

t-channel: lead b-tagged jet + W

Reconstruct q': lead untagged jet

s-channel search only t-channel search only used in both

e+μ =1tag+2tag Input variables



Crash course in Bayesian probability

Bayes' theorem expresses the degree of belief in a hypothesis A, given another B. "Conditional" probability P(A|B):

$$P(A|B) = \frac{P(B|A)P(A)}{P(B)}$$

In HEP: $B \rightarrow N_{observed}$, $A \rightarrow n_{predicted} = n_{signal} + n_{bkgd}$, $n_s = Acc*L*\sigma$ P(B|A): "model" density, or likelihood: L(N_{observed}|n_{predicted})=n^Ne⁻ⁿ/N!

- P(A): "prior" probability density $\prod(n_{pred}) = \prod(Acc*L,n_b)\prod(\sigma)$ $\prod(n_s,n_b)$ multivariate gaussian ; $\prod(\sigma)$ assumed flat
- P(B): normalization constant Z: P(N_{observed})
- P(A|B): "posterior" probability density P(n_{predicted} |N_{observed})

$$P(n_{\text{predicted}} | N_{\text{observed}}) = 1/Z L(N_{\text{observed}} | n_{\text{predicted}}) \prod (n_{\text{pred}})$$

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Full 2D limits

The goal is to obtain σ_s , σ_t , and σ_{s+t} , without any SM assumption Previously we have used σ_s^{SM} to derive σ_t and vice versa As before, use likelihood from 2D discriminant output Float σ_s and σ_t and consider flat priors



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Single top beyond the SM

Plethora of possibilites:

- Wtb interaction: <u>anomalous couplings</u>, "beautiful mirrors", top see-saw (little Higgs)
- New particles: 4th generation *q*, W', H[±], SUSY, technicolor
- FCNC: probe tgu coupling (extends LEP limits because involves a g)
- Extra SU(2), Universal Extra Dimensions



Non-SM couplings

 Top is a good place to look for deviations from SM: under control, one dominant decay t→Wb, no top hadrons,...
 Generalized Lagrangian for the Wtb interaction (hep-ph/0503040):

$$\mathcal{L}_{tbW} = \frac{g}{\sqrt{2}} W_{\mu}^{-} \bar{b} \gamma^{\mu} \left(f_{1}^{L} P_{L} + f_{1}^{R} P_{R} \right) t$$

$$- \frac{g}{\sqrt{2} M_{W}} \partial_{\nu} W_{\mu}^{-} \bar{b} \sigma^{\mu\nu} \left(f_{2}^{L} P_{L} + f_{2}^{R} P_{R} \right) t + h.c.$$

$$f_{1}: \text{"vector"-like}$$

$$f_{2}: \text{"tensor"-like}$$

$$P_{R(L)} = (1 \pm \gamma_{5})/2$$

$$\ln SM: f_{1}^{-L} = V_{tb} \sim 1;$$

► Effective single top production cross section: $\sigma = A(f_1^L)^2 + B(f_1^R)^2 + C(f_1^L + f_2^R)^2 + D(f_2^L + f_1^R)^2$ There are strong bounds on tensor couplings: from unitarity $|f_2| < 0.6$, and from $b \rightarrow s_{\gamma}$: $|f_2^L| < 0.004$ But Tevatron can set direct limits. The goal is: • Set limits simultaneously on all four couplings • Set individual limits

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 $f_1^{R} = f_2^{L} = f_2^{R} = 0$

Non-SM couplings strategy

 f_1^{L} and f_1^{R} have same p_T distributions Angular variables and spin are different

- Separate data into s-channel (2 tags) and t-channel (1tag+≥1untag) samples based on NN output
- Top quark spin correlations separate between L and R couplings
 - tb: Helicity basis (lepton, top direction) tqb: Optimal basis (lepton, pbar)

► Use flat prior for four square terms: $|f_1^L|^2$, $|f_1^R|^2$, $|c_1^f_1^L+f_2^R|^2$, $|c_1^f_1^R+f_2^L|^2$ c_1 is a fixed constant

Obtain limits on these four terms



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