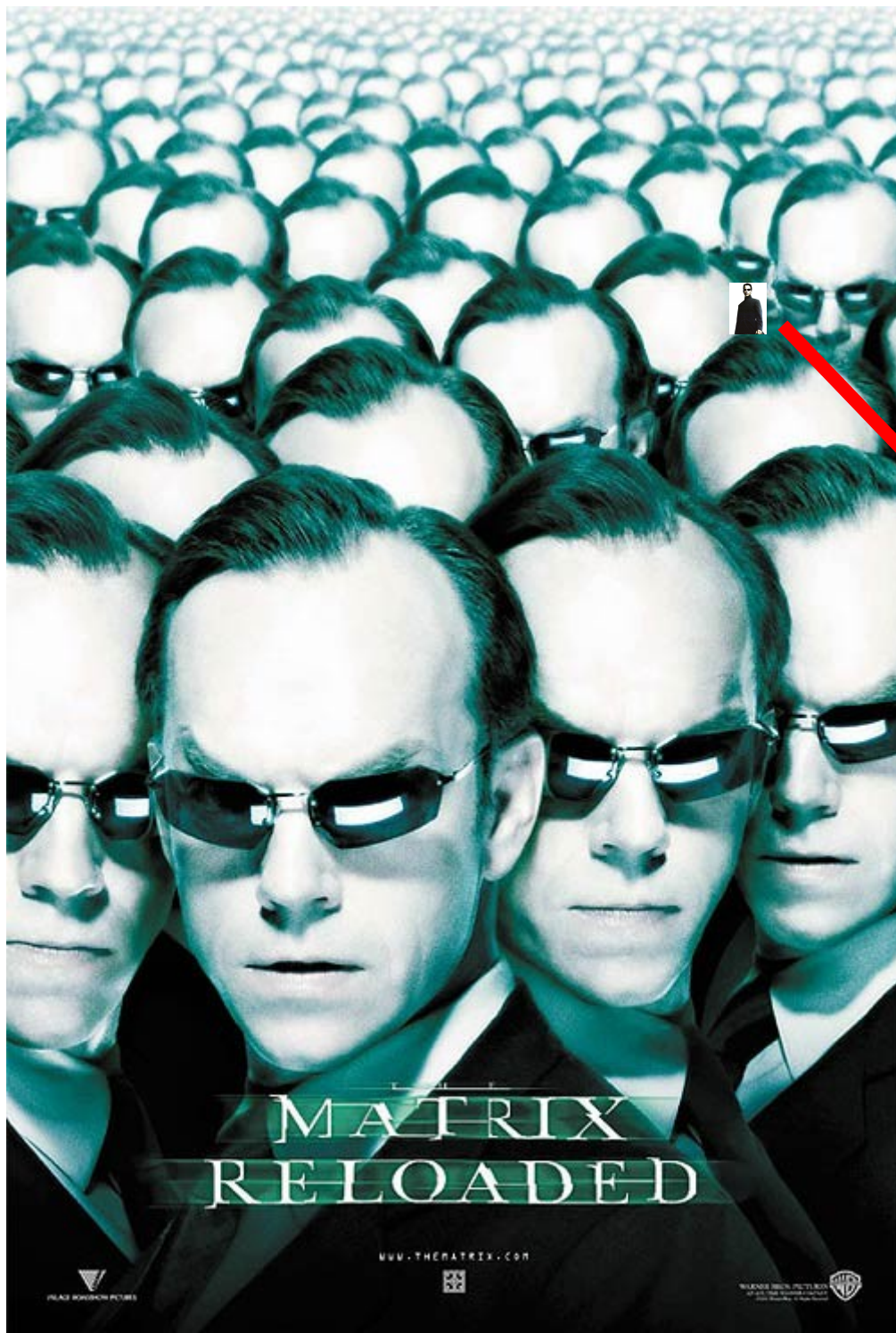


Simon Fraser University — Colloquium
Burnaby, December 10, 2007

The Matrix (Part IV): Searching for lonely top quarks





Or how to tap
into the Matrix to
find one guy
amidst millions of
bad guys

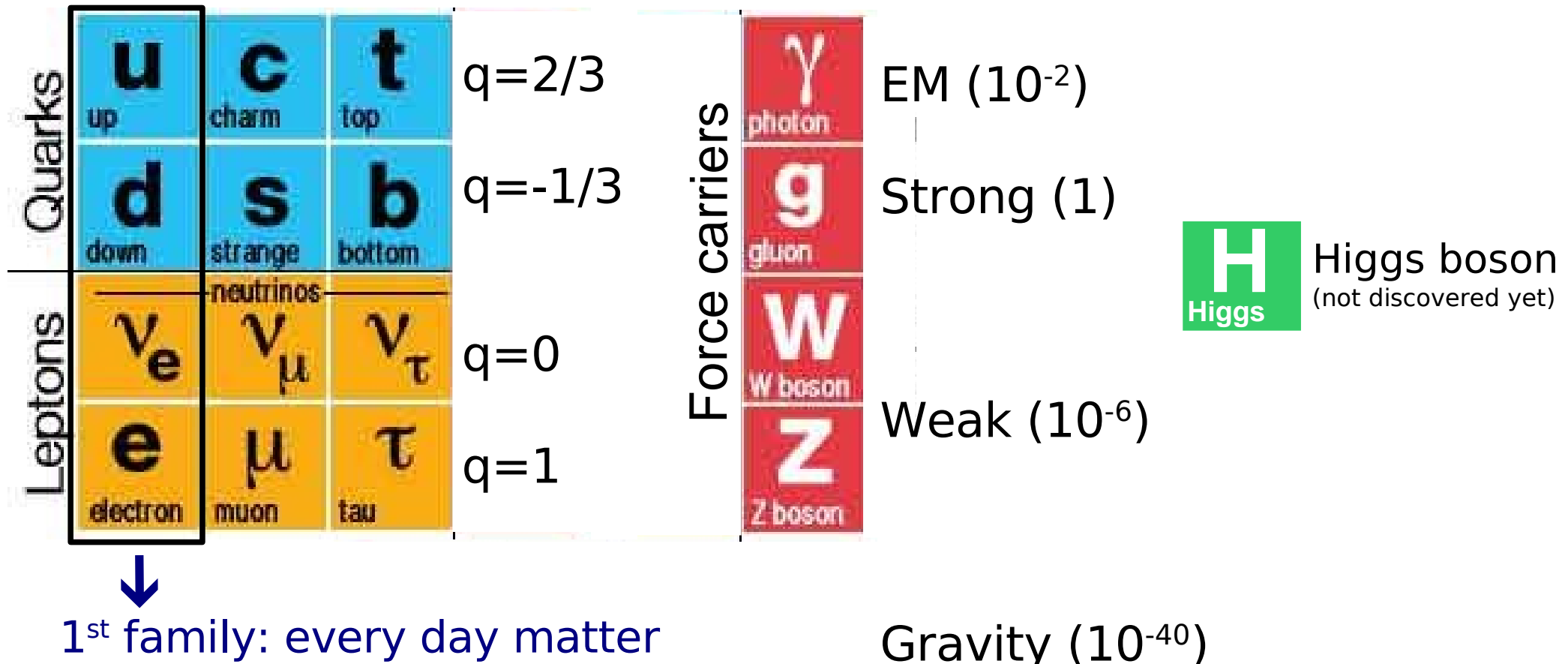


Particle Physics

- ▶ The quest for the nature of matter
- ▶ Questions we are trying to answer:
 - ▶ What is matter made of?
 - ▶ How do the constituents interact?
 - ▶ Are fundamental particles really fundamental?
 - ▶ What is the origin of mass?
 - ▶ Why is there more matter than antimatter in the Universe?
 - ▶ What is dark matter?
- ▶ So what do we know so far?

The Standard Model Theory

- ▶ Three families of spin- $\frac{1}{2}$ fermions
- ▶ Which interact through the exchange of spin-1 bosons
- ▶ Gauge theory: $SU(3)_C \times SU(2)_L \times U(1) \Rightarrow$ symmetry, local scale invariance

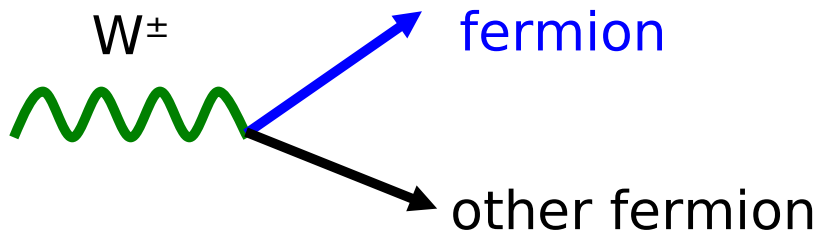


Interactions

Electroweak interactions

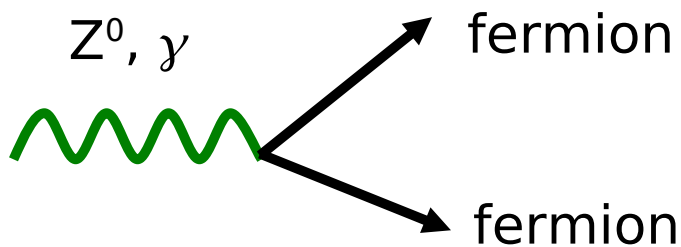
Charged current:

- nuclear beta decay



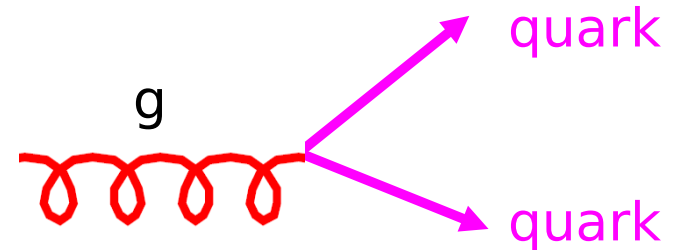
Neutral current:

- electromagnetism



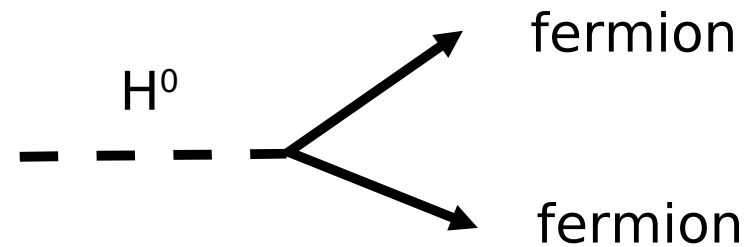
Strong interaction

- Holds atomic nucleus together

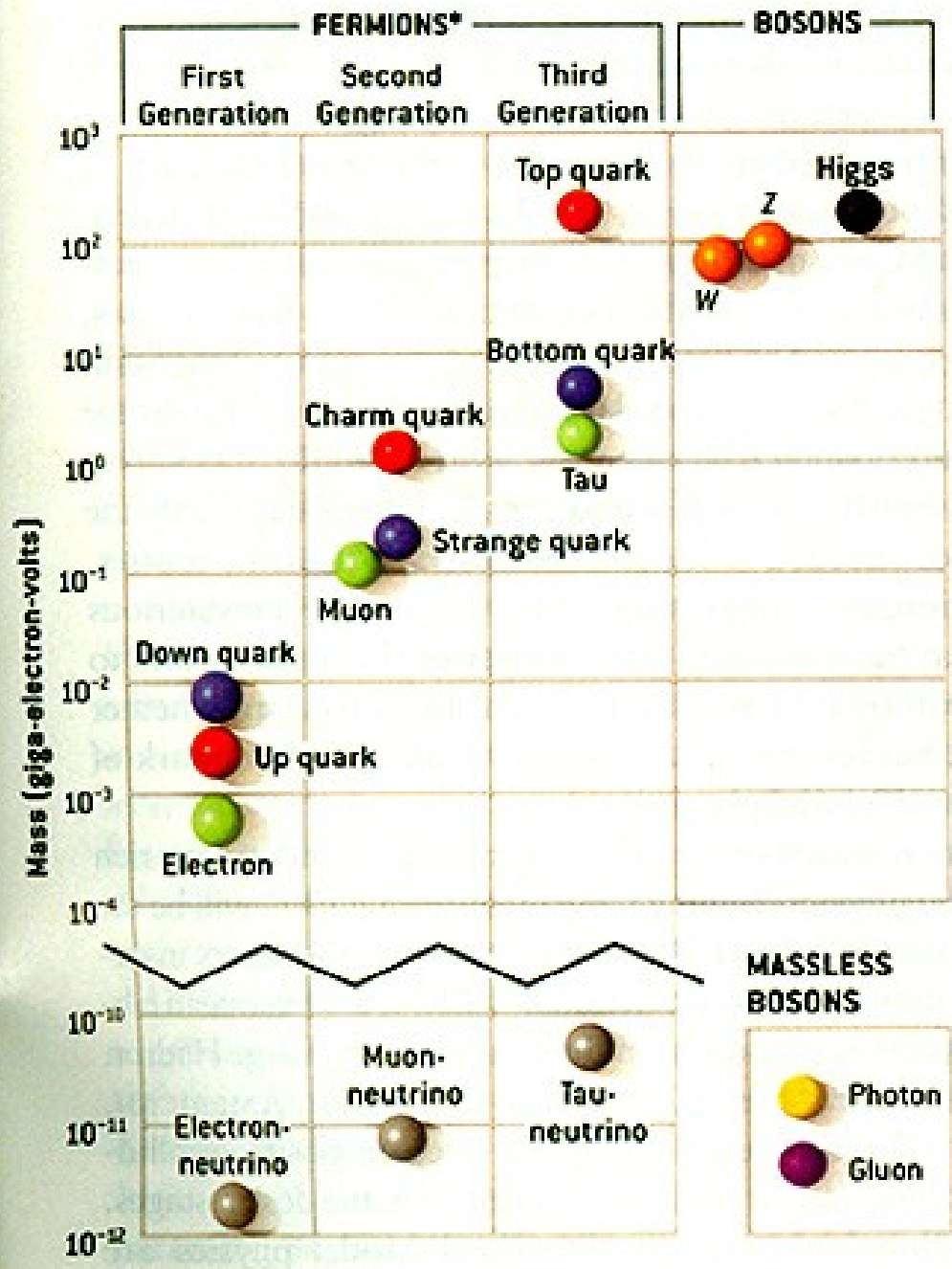


Yukawa coupling

- Particles acquire mass



Top quark: not just the sixth quark



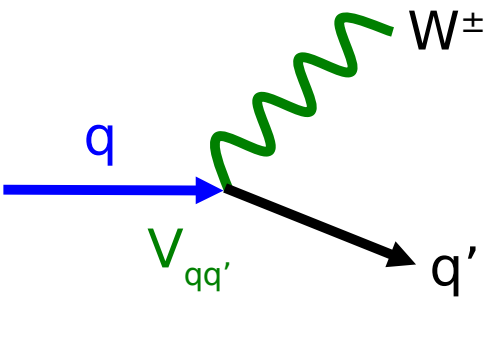
- ▶ Discovered in 1995 at CDF and DØ
- ▶ Heaviest known particle
40 times heavier than b (~Au atom)
- ▶ Only quark that decays before hadronization
 $t \rightarrow Wb$ in $\sim 10^{-25}s$
- ▶ Couples strongly to Higgs boson
Related to the origin of mass?
- ▶ Unique laboratory to study the SM and beyond

The SM under attack

- ▶ The SM is a fantastic success:
Predictions confirmed by discoveries (c, b, t, W, Z) and precise measurements
- ▶ But recently: Neutrino masses, dark matter
- ▶ So we know it is not a complete description of Nature
- ▶ Many unanswered questions:
 - ▶ Why three generations?
 - ▶ Is the Higgs mechanism actually responsible for the particles' masses?
 - ▶ Why that hierarchy of masses?
 - ▶ What's with so many free parameters?
 - ▶ Gravity is not in the picture
 - ▶ Unification of three couplings is not possible

It's all dubbya's fault

- ▶ Studying the electroweak sector is crucial to test the SM... and understand the asymmetry of matter and antimatter in the Universe
- ▶ Weak interactions treat matter and antimatter differently ...only possible because there are three families!
- ▶ Weak interaction and mass eigenstates aren't the same
→ **Mixing** (Cabibbo-Kowayashi-Maskawa matrix)

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$


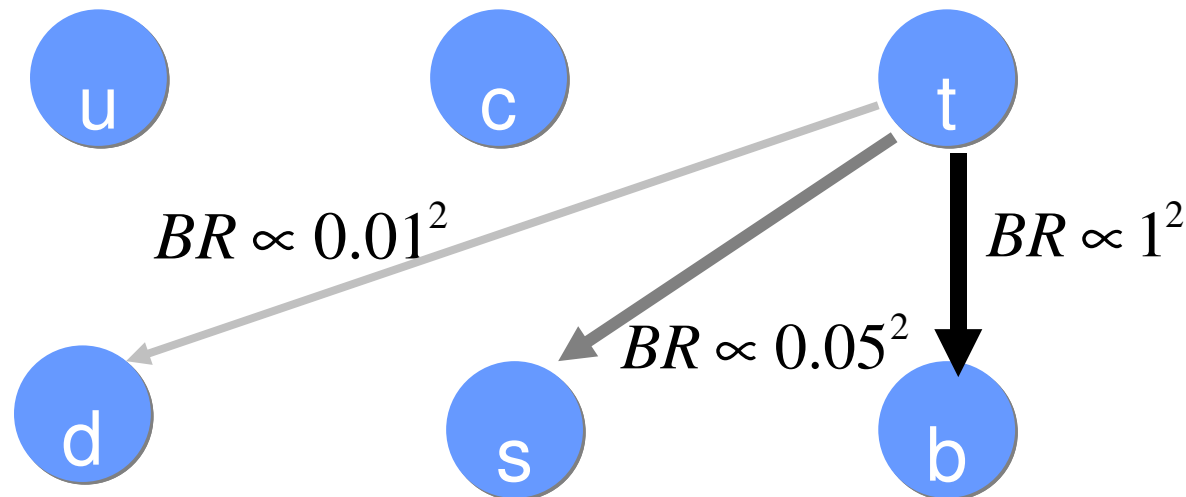
Only element not measured directly yet

- ▶ The CKM matrix is being scrutinized from many different angles: B-factories, Tevatron, nuclear experiments...

Flavor changing interactions

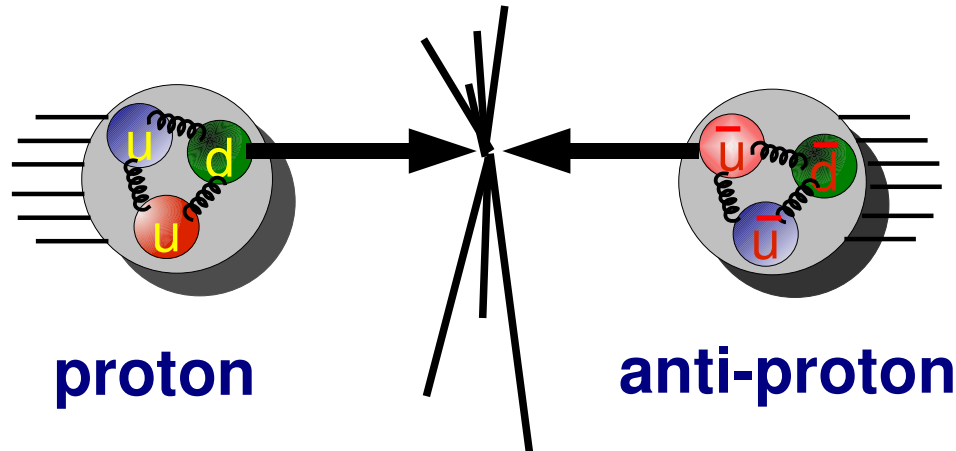
$$\begin{pmatrix} 0.9741 - 0.9756 & 0.219 - 0.226 & 0.002 - 0.005 \\ 0.219 - 0.226 & 0.9732 - 0.9748 & 0.038 - 0.044 \\ 0.004 - 0.014 & 0.037 - 0.044 & 0.9990 - 0.9993 \end{pmatrix}$$

- ▶ Observe hierarchy in flavor-changing transitions
- ▶ Probability of transition (branching ratio) within one family is the largest
- ▶ Transitions between families are suppressed:



Tools of the trade

- ▶ Particle physicists use high energy colliders to probe physics at small distances



Note on units: $N[\text{collisions}] = L[\text{pb}^{-1}]\sigma[\text{pb}]$

- ▶ **Picobarns** (pb) are a measure of “cross section” (σ =interaction probability). 1 barn = 10^{-24} cm².
- ▶ **Inverse picobarns** (pb) are a measure of the “integrated luminosity” (L=collected data)

Example: 100 pb^{-1} = sufficient data to observe 100 events of a process having 1 pb cross section

- ▶ **GeV** are used interchangeably for mass, energy and momentum

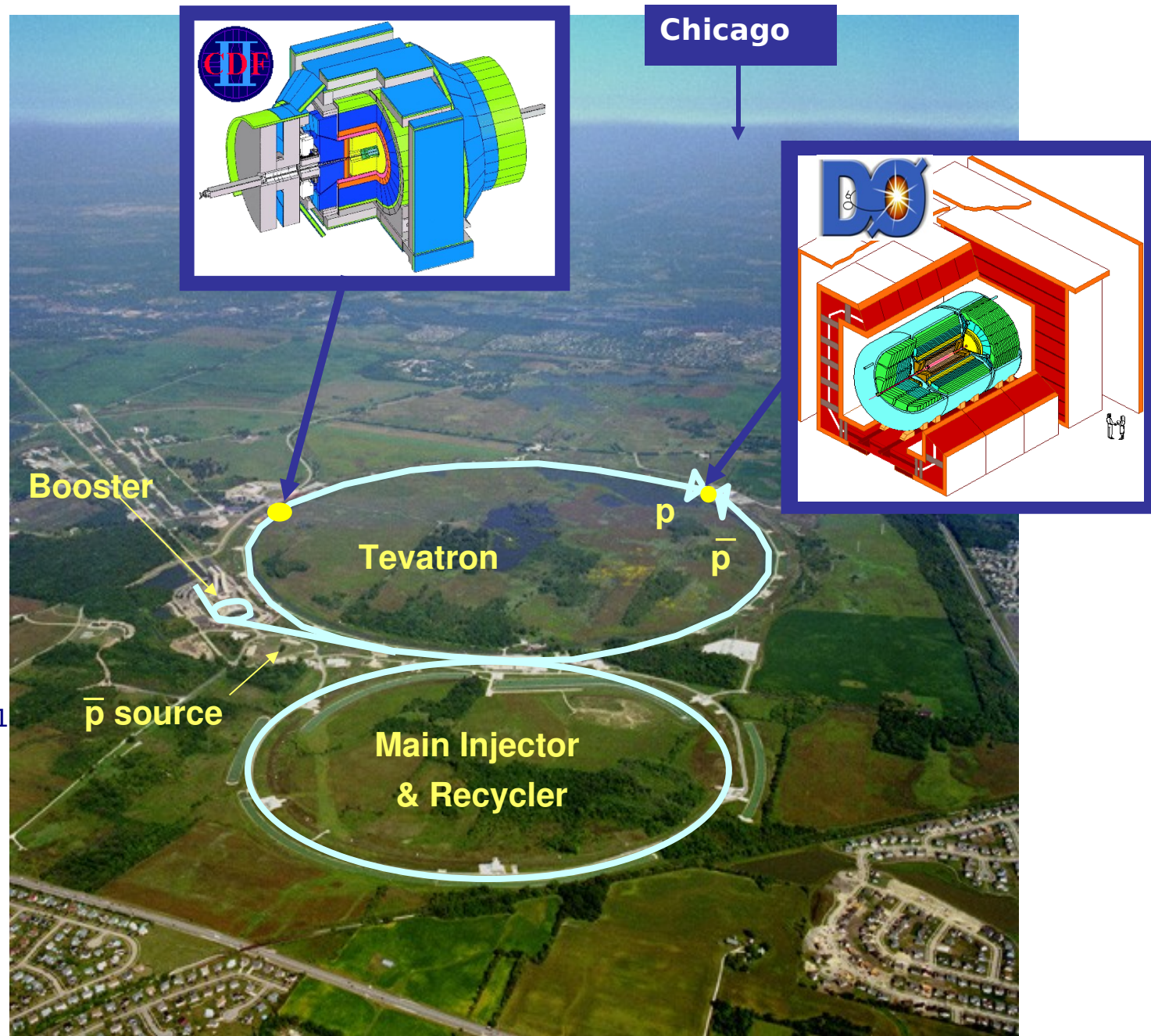
The Tevatron

The highest energy particle accelerator in the world!

Proton-antiproton collider 1km radius

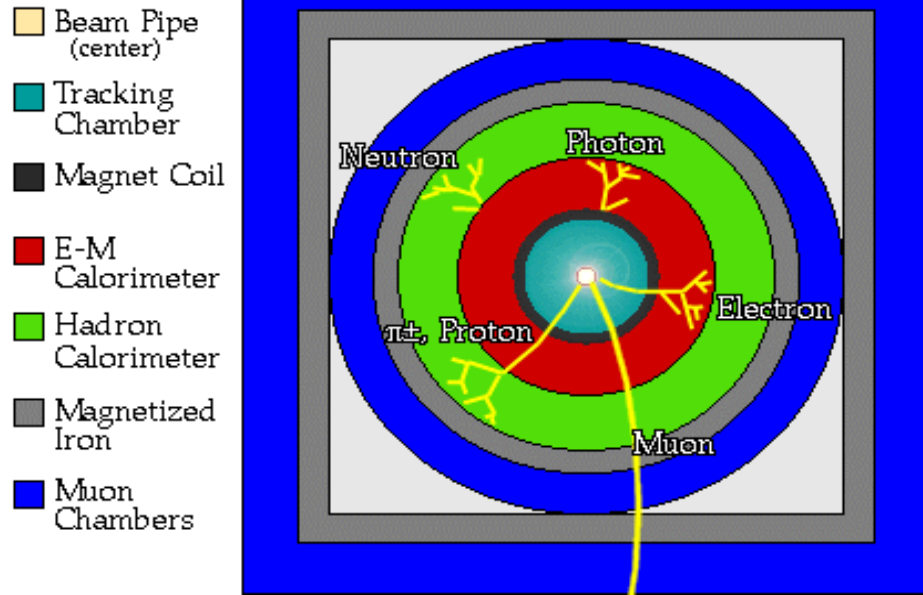
Run I 1992-1995
Top quark discovered!

Run II 2001-09(?)
 $\sqrt{s} = 1.96 \text{ TeV}$
 $\Delta t = 396 \text{ ns}$
> 3 fb^{-1} delivered
Peak Lum: $3 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$



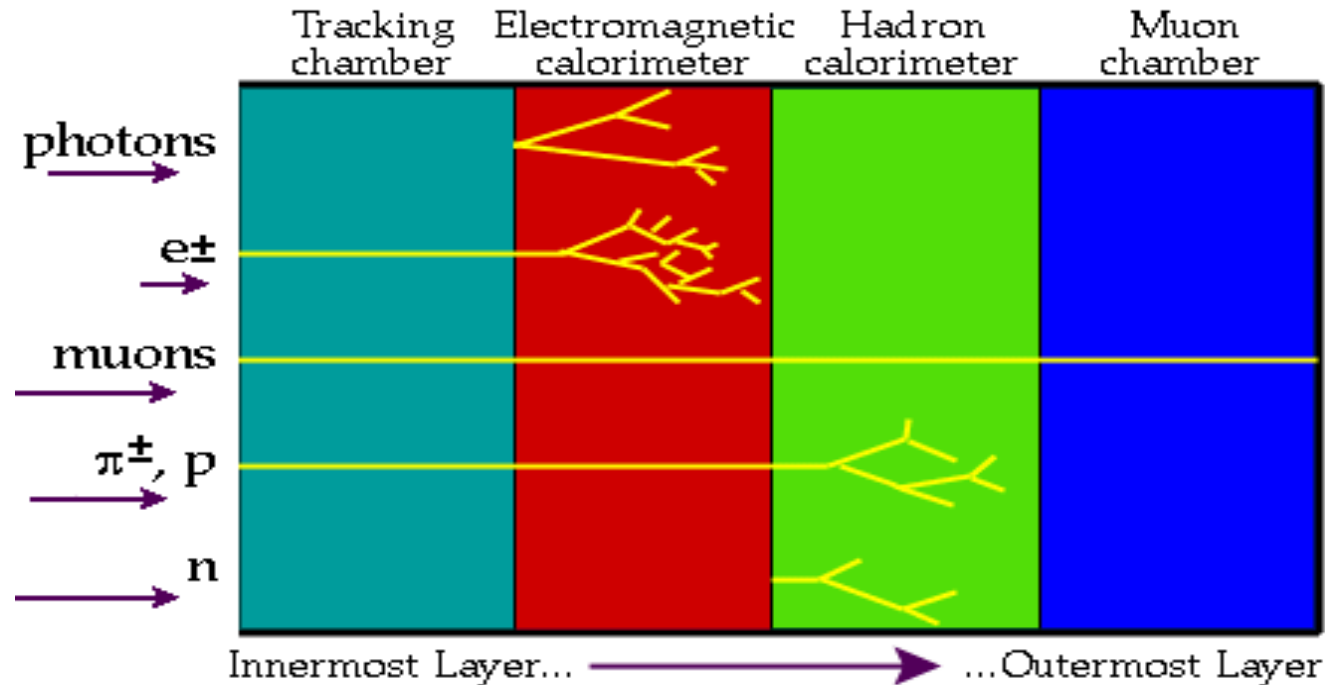
General detector and particle ID

A detector cross-section, showing particle paths

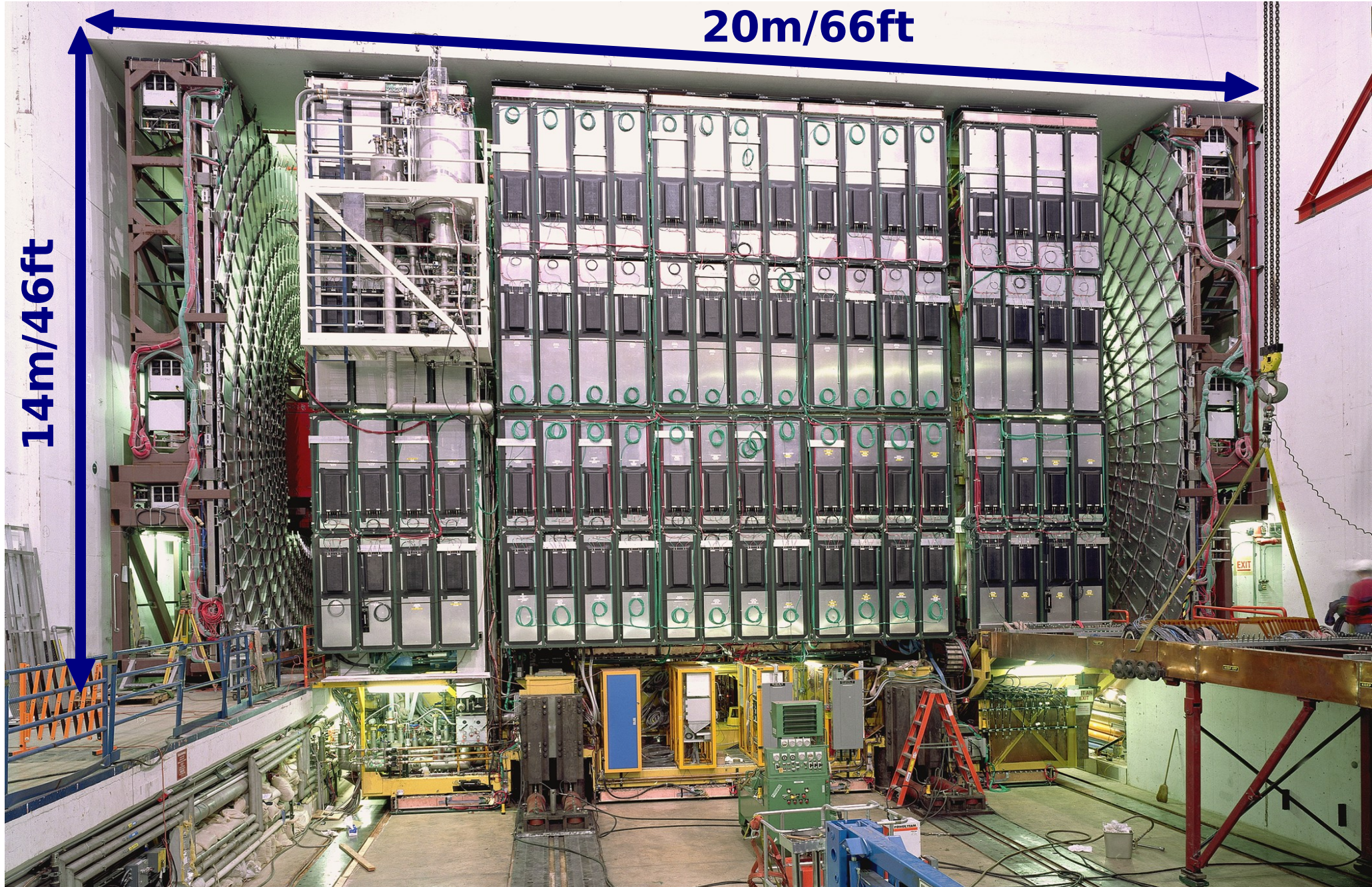


We detect particles by the EM and strong interaction fingerprints they leave behind

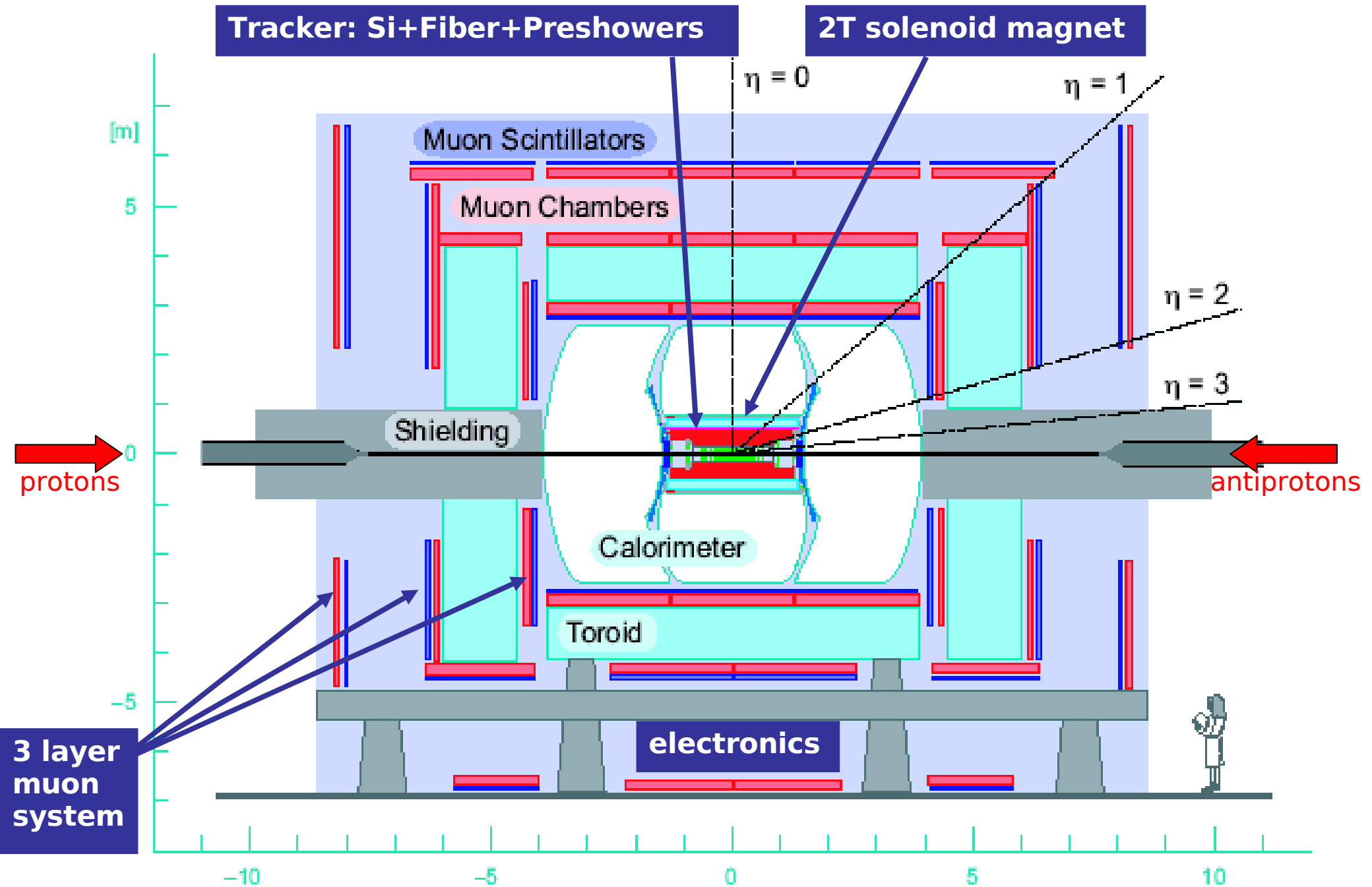
- ▶ Tracking is first (measure p_T)
- ▶ Calorimetry (EM and hadronic)
- ▶ Muons
- ▶ All the rest is neutrinos



The real thing: the DØ detector



DØ for Run II



Many, many people running it

19 countries, 80 institutions, 670 physicists



DØ Collaboration Meeting, Vancouver Canada, June 2005

A lot of convincing to do...

Since we are all signing the papers together you have to convince them all that what you are doing is sensible and deserves to be published!

Fermilab-Pub-08/27-E

Search for single top quark production in pp collisions at $\sqrt{s}=1.96$ TeV

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³⁴ INFN-CNR, Università di Ferrara, Ferrara, Italy
³⁵ INFN-CNR, Università di Ferrara, Ferrara, Italy
³⁶ INFN-CNR, Università di Ferrara, Ferrara, Italy
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⁴² INFN-CNR, Università di Ferrara, Ferrara, Italy
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⁴⁴ INFN-CNR, Università di Ferrara, Ferrara, Italy
⁴⁵ INFN-CNR, Università di Ferrara, Ferrara, Italy

(Draft: June 24, 2005)

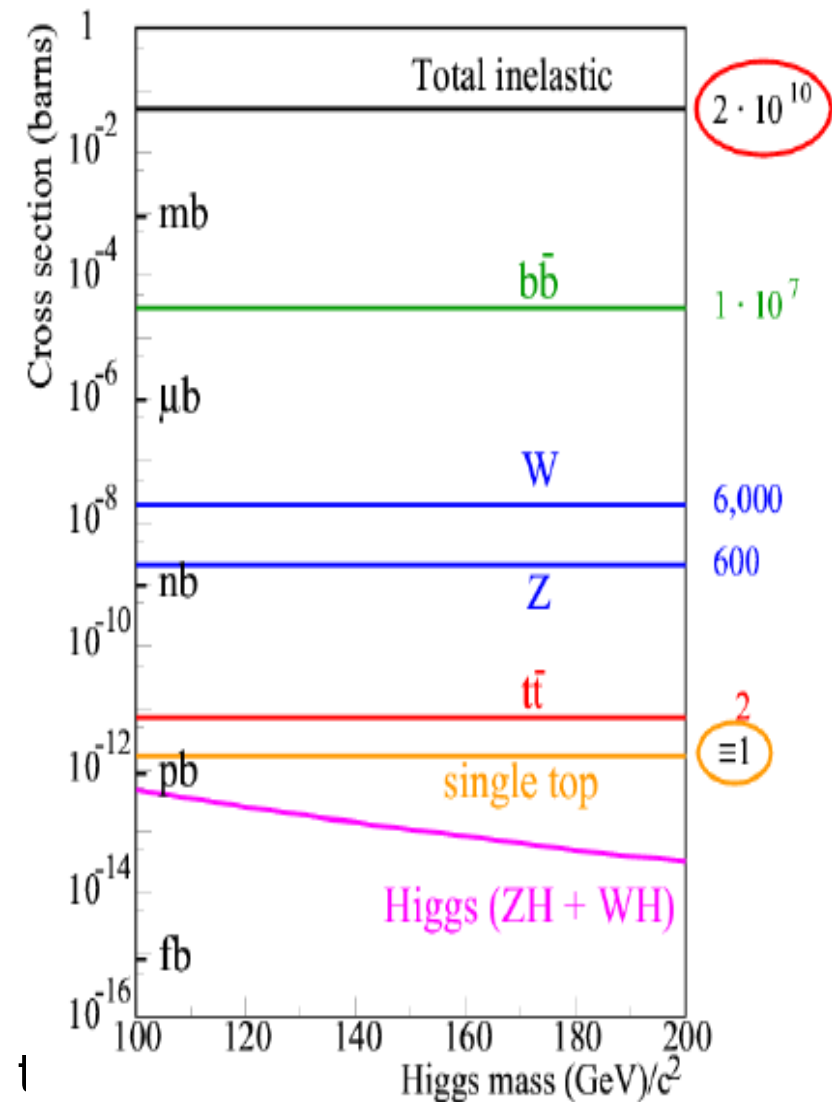
We present a search for electron-neutrino production of single top quarks in the e-channel and t-channel using neural networks for signal-background separation. We have analyzed 220 pb⁻¹ of data collected with the DØ detector at the Fermilab Tevatron Collider at a center-of-mass energy of 1.96 TeV and find no evidence for a single top quark signal. The resulting 95% confidence level upper limits on the single top quark production cross sections are 6.6 pb at the e-channel and 5.0 pb in the t-channel.

PACS number: 14.65.Hg, 12.15.Fj, 13.85.Qk

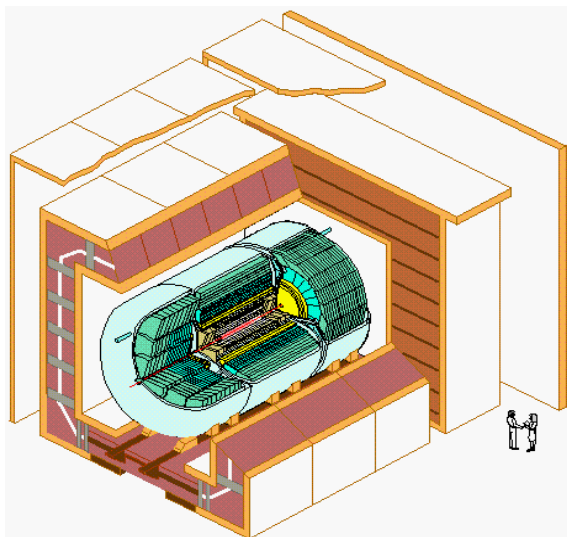
Physics at a hadron collider is like... drinking from a fire hose



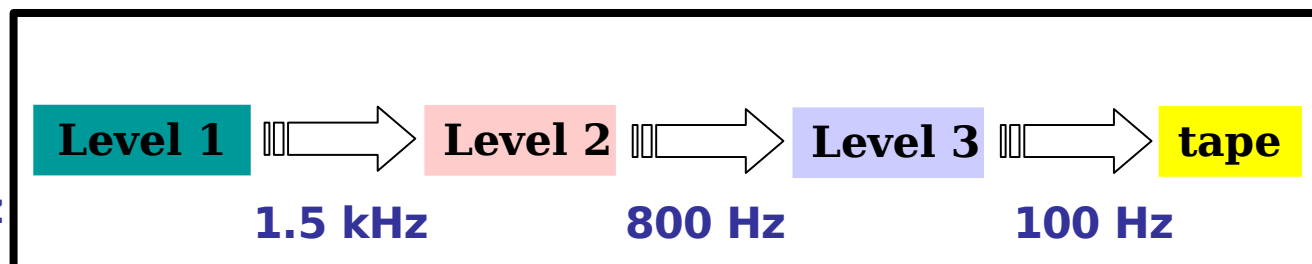
- ▶ Collision rate is huge
Every 396 ns \rightarrow ~ 1.7 MHz (live crossings)
- ▶ Total cross section ~ 0.1 b
2-3 interactions per collision at $L=10^{32}$
- ▶ But W, Z, t, H are rare!
Around 20 single top events per day
- ▶ Need trigger system to select interesting events
Only store manageable size ~ 25 MB/s



DØ data acquisition system



1.7 MHz

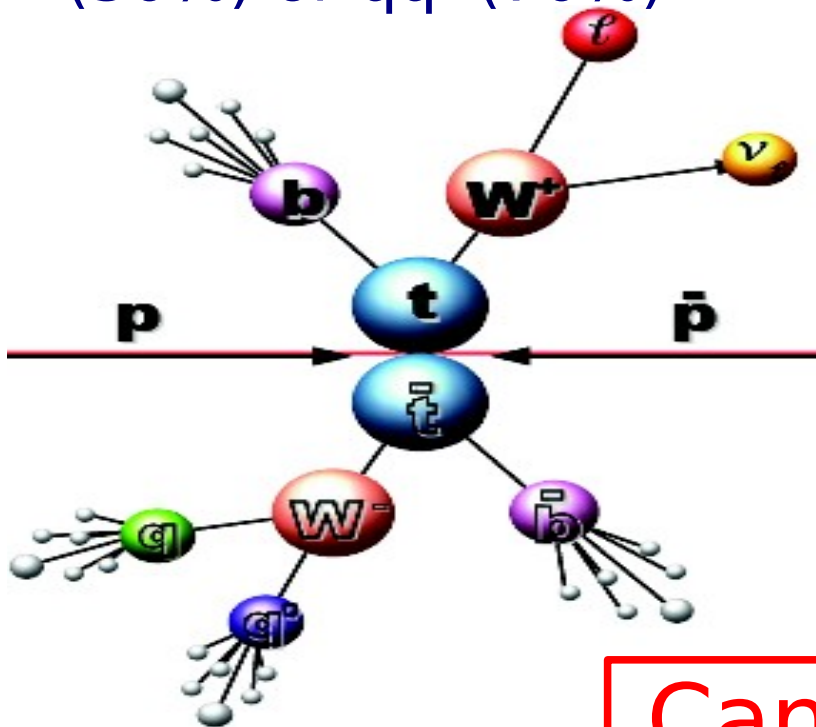
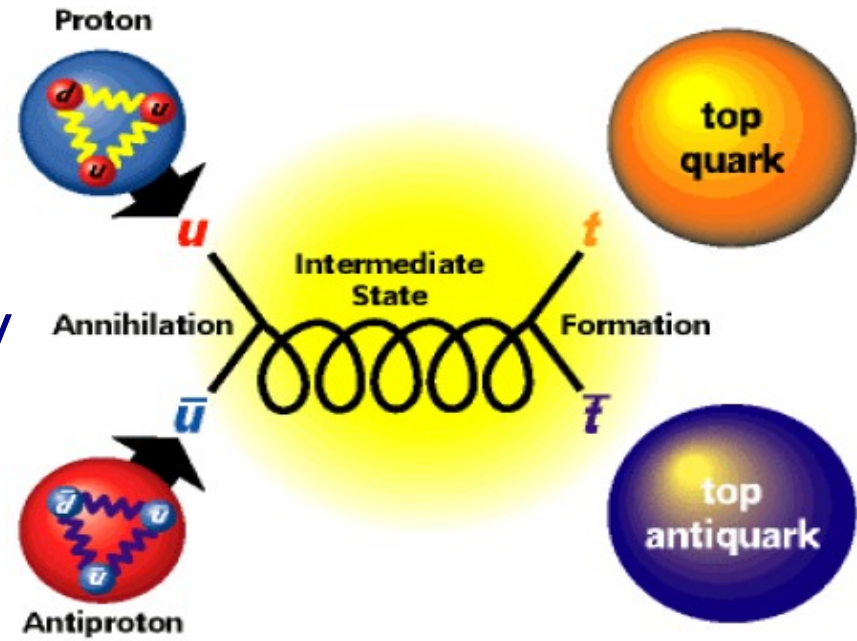


- ▶ Three level trigger
- ▶ Selects events containing high energy final state objects (e , μ , jets)
- ▶ Algorithms implemented in hardware/firmware at L1 & L2, software at L3
- ▶ Increasing level of sophistication, increasing time per decision, decreasing event accept rate



Close encounters of the 3rd generation

- ▶ Top quarks have only been seen so far produced in pairs of top and anti-top
- ▶ Then each top quark decays quickly into a W boson and a b-quark
- ▶ The W can then decay into $\ell\nu$ (30%) or qq' (70%)



- ▶ We have measured the pair production cross section:

$$\sigma = 8.2^{+0.9}_{-0.8} \text{ pb} \quad (D0 \quad L = 0.9 \text{ fb}^{-1})$$
- ▶ And its mass:

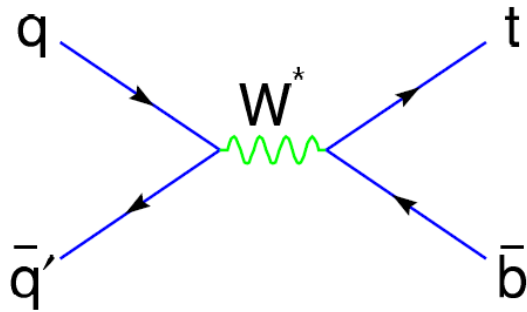
$$m_t = 170.9 \pm 1.8 \text{ GeV} \quad (CDF + D0)$$
- ▶ And some of its properties...

Can they be produced alone?

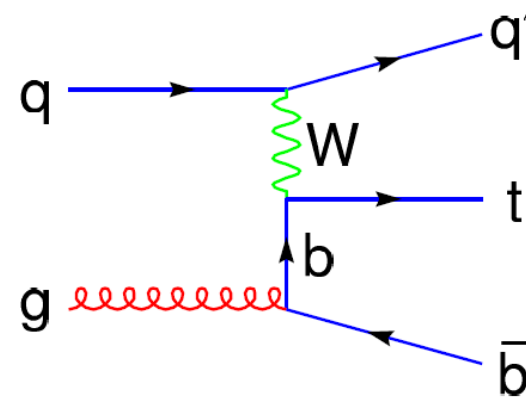
Yes! Top quarks can be lonely!

Electroweak production of single top quarks

Two main production modes at the Tevatron:



s-channel $\sigma_s \sim 1\text{pb}$



t-channel $\sigma_t \sim 2\text{pb}$

Why do we care?

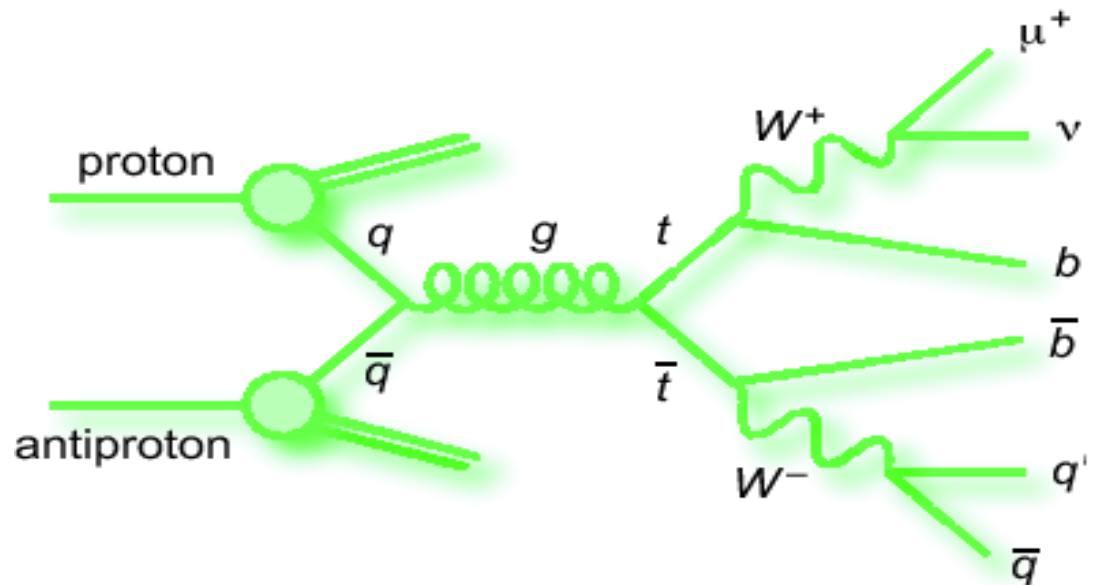
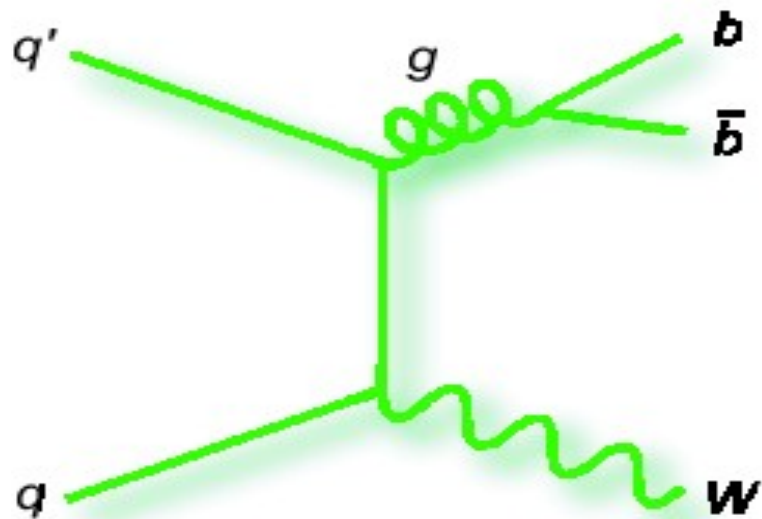
- ▶ Had not been seen before!
- ▶ Challenging signature!
- ▶ Probe V_{tb} at production
- ▶ Sensitive to new physics
- ▶ Necessary step towards Higgs discovery

Single top vs top pairs events

- Have less total energy
- Are less spherical
- Are produced less often
- Only have two jets, live in a higher noise environment

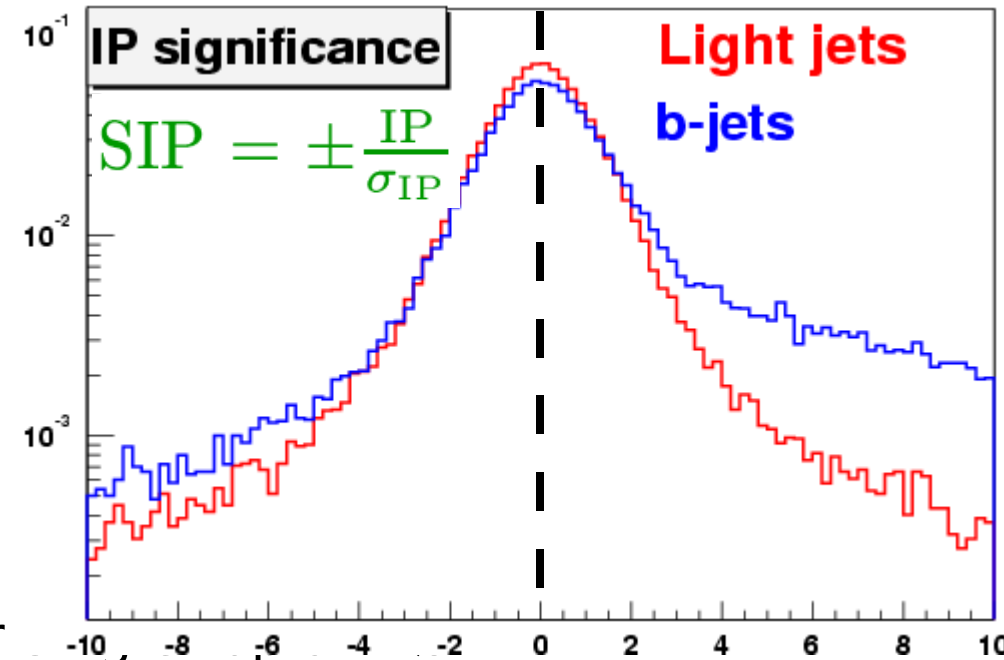
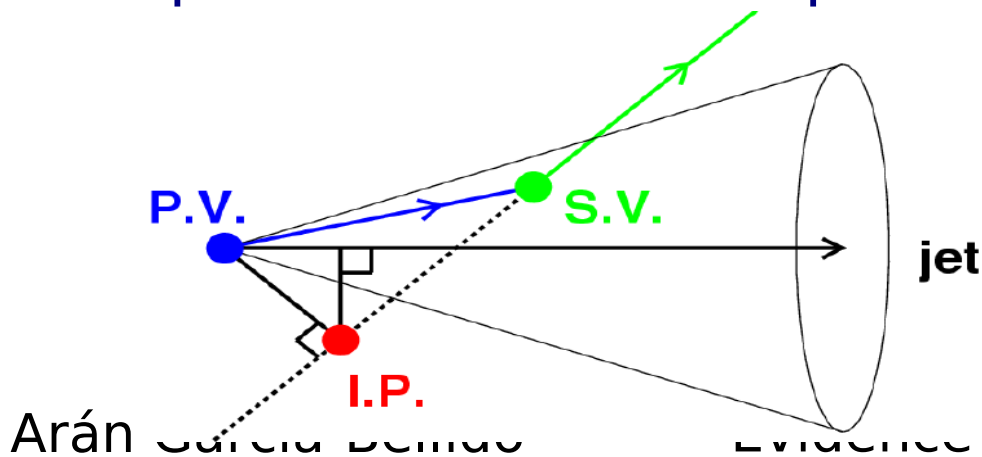
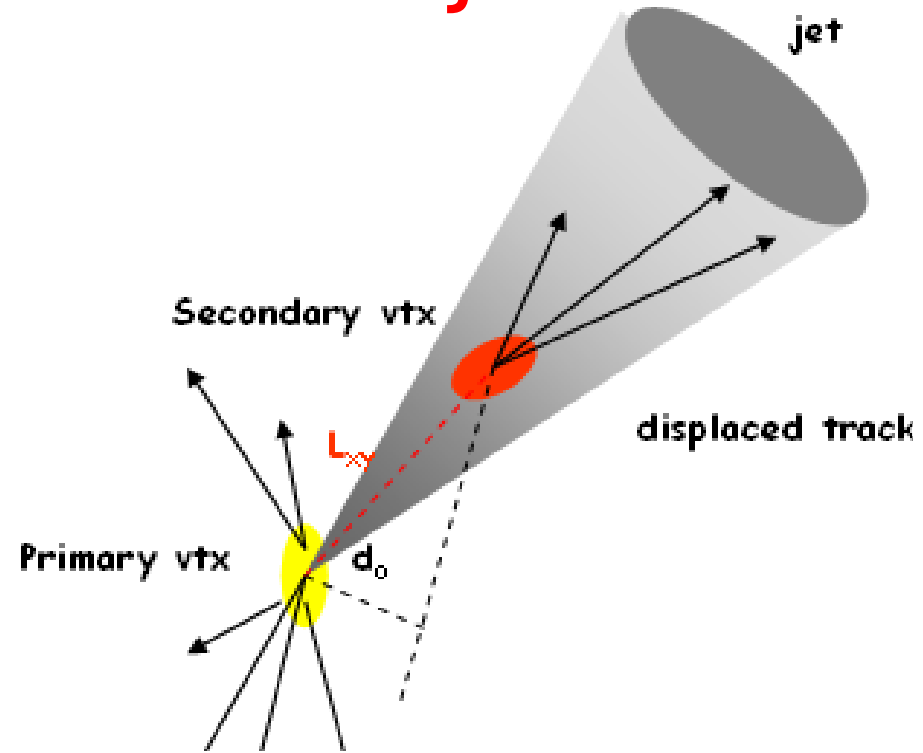
How do we find single tops?

- ▶ It's not easy!
- ▶ Out of ~ 1 billion recorded events we are looking for ~ 100 signal events
- ▶ And there are many other processes that mimic single top events: W +jets, $t\bar{t}$, multijets
- ▶ Our final state consists of 2, 3, or 4 jets (with at least one of them b) + lepton + neutrino (missing E_T)

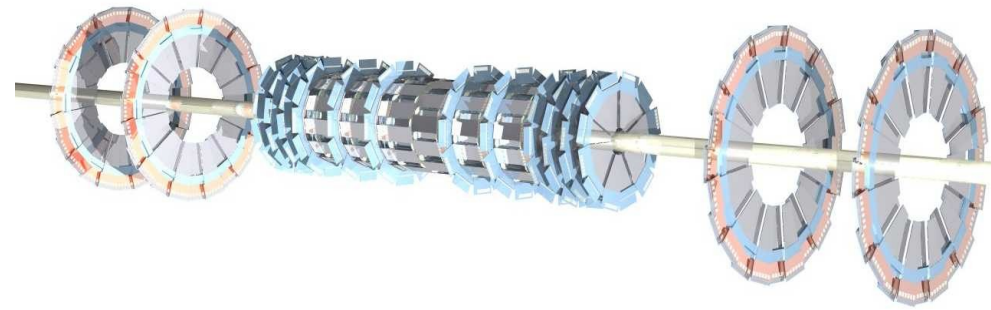


Did you see that bottom jet?

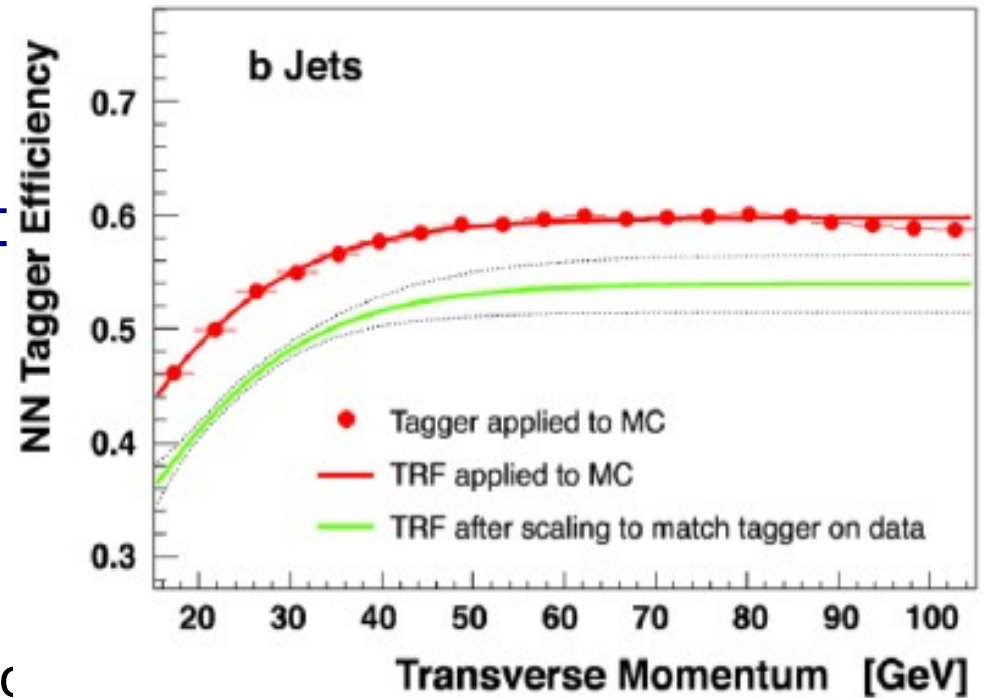
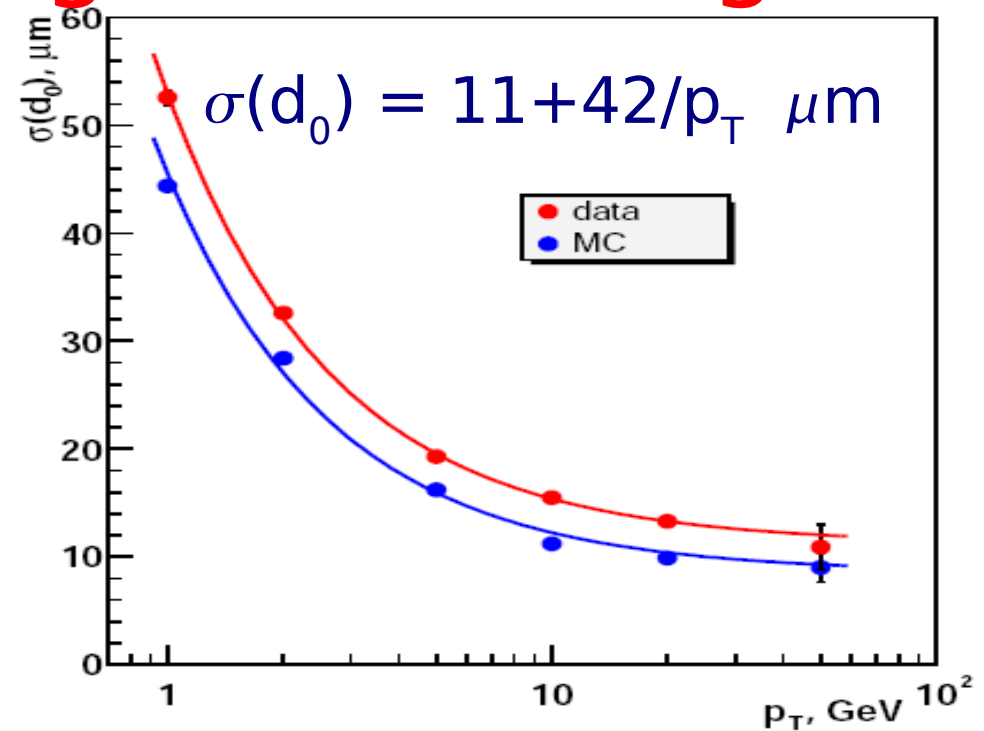
- ▶ Top quarks decay into b quarks
→ can we tell the difference between a b jet and any other jet originated from u, d, s or a gluon?
- ▶ b-quarks have a lifetime $\sim 10^{-12}$ s
→ they travel $\sim 500\mu\text{m}$ before decaying
- ▶ Look for tracks coming from a common vertex displaced from the original pp collision
- ▶ These tracks have a positive signed impact parameter with respect to the collision point



You better have good tracking



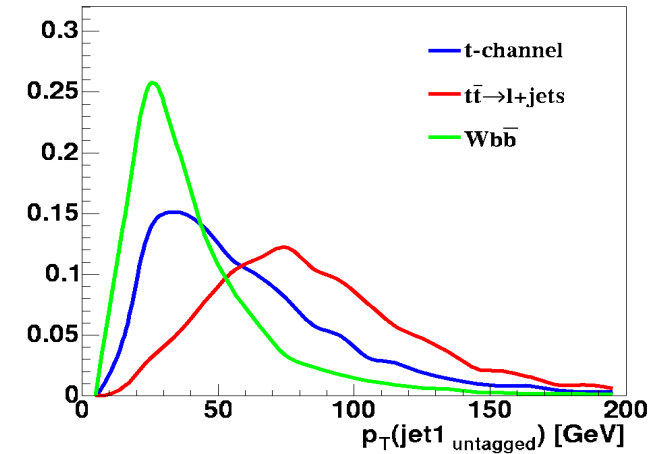
- ▶ The Silicon Microstrip Tracker allows resolutions of $\sim 10 \mu\text{m}$
- ▶ Inner radius: 1.7cm away from the interaction point
- ▶ Combine displaced tracks properties into a Neural Net
- ▶ Efficiency to identify a b-quark jet $\sim 50\%$
- ▶ Mistag-rate $\sim 0.5\%$



Analysis strategy

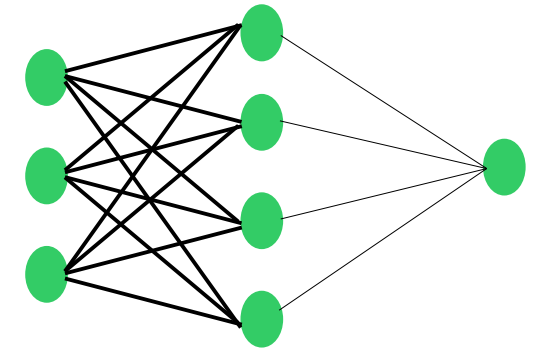
1) Event selection

- ▶ Select W+jets like events
- ▶ Maximize acceptance
- ▶ Model backgrounds well



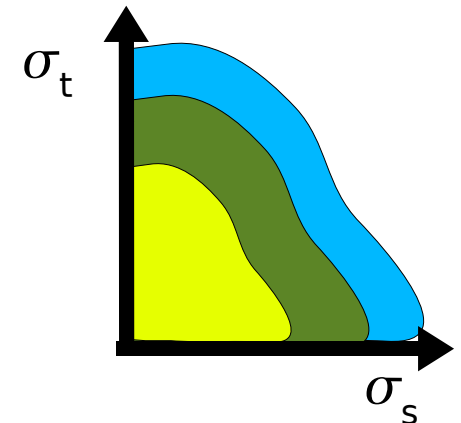
2) Separate signals from backgrounds

- ▶ Find discriminating variables
- ▶ Multivariate analysis



3) Measure the cross section

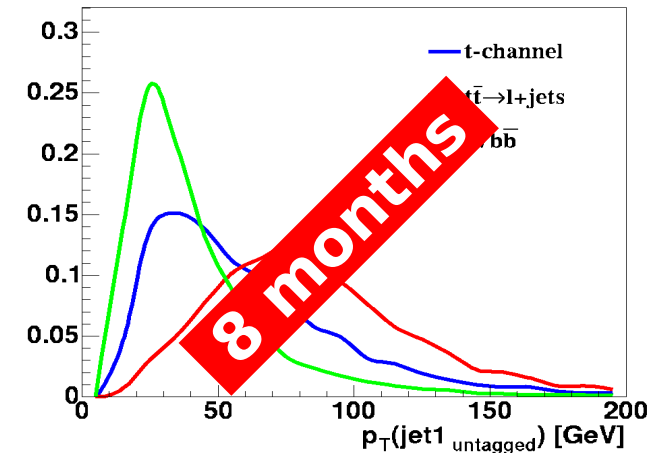
- ▶ Use shape information
- ▶ Bayesian statistical analysis
- ▶ Make sure this is not a fluctuation!



Analysis strategy

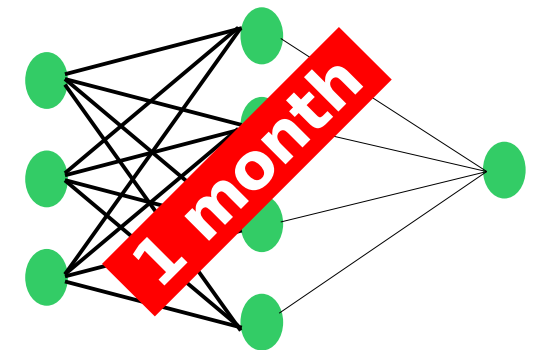
1) Event selection

- ▶ Select W+jets like events
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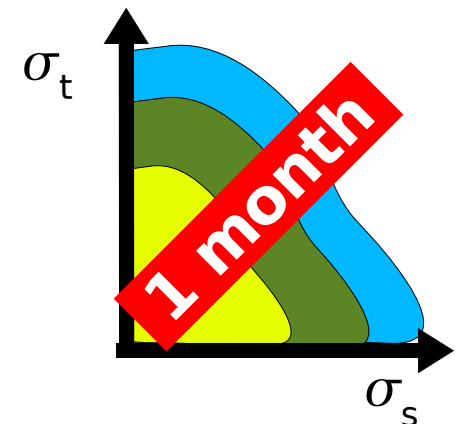
2) Separate signals from backgrounds

- ▶ Find discriminating variables
- ▶ Multivariate analysis

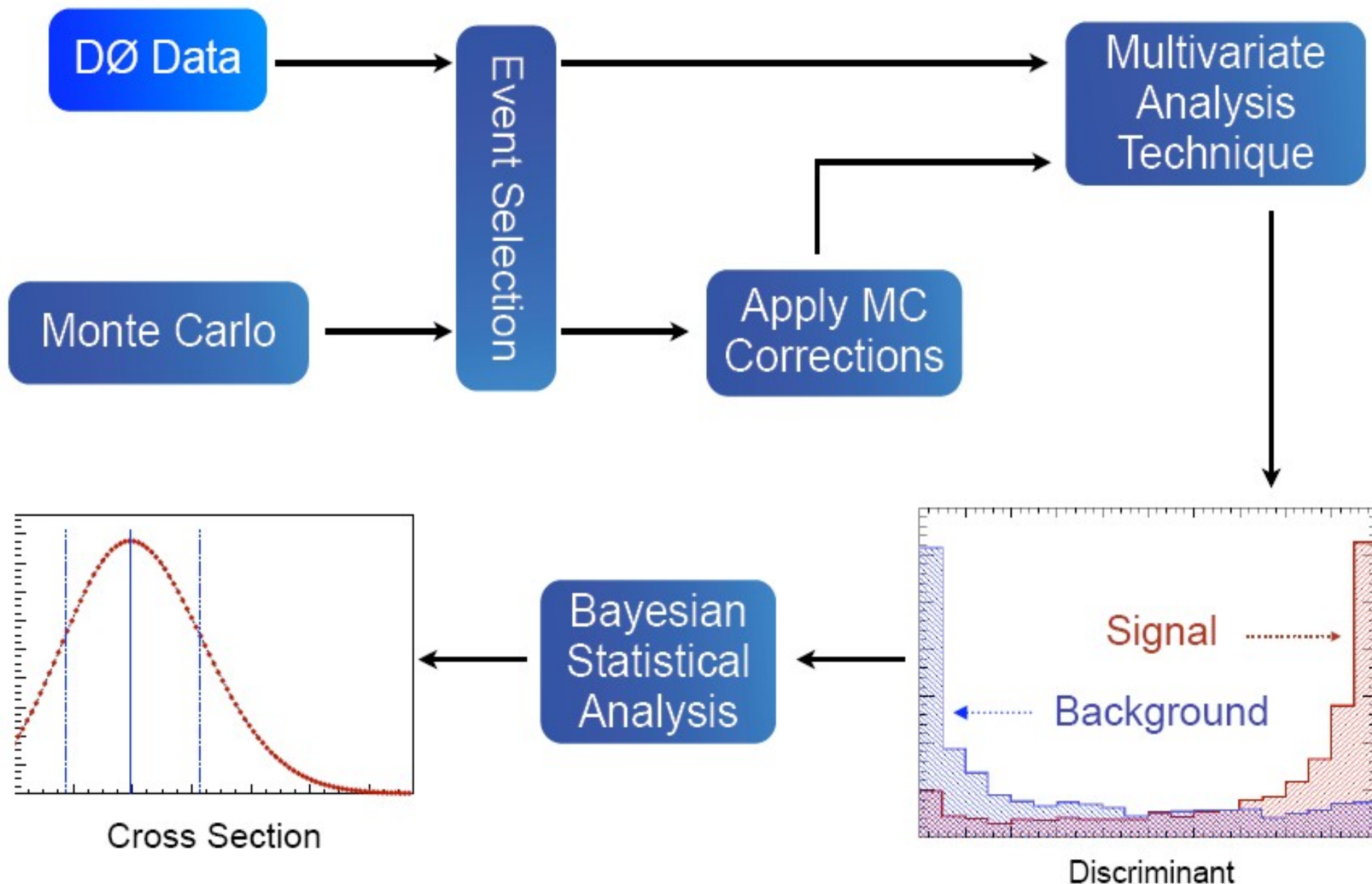


3) Measure the cross section

- ▶ Use shape information
- ▶ Bayesian statistical analysis
- ▶ Make sure this is not a fluctuation!



Analysis flow



1) Event Selection

- ▶ $2 \leq N_{\text{jets}} \leq 4$, $p_{\text{T}} > 25, 20, 15$ GeV
- ▶ 1 lepton $p_{\text{T}} > 15$ GeV
- ▶ $\text{MET} > 15$ GeV

Source	Event Yields in 0.9 fb^{-1} Data		
	Electron+muon, 1tag+2tags combined		
	2 jets	3 jets	4 jets
<i>tb</i>	16 ± 3	8 ± 2	2 ± 1
<i>tqb</i>	20 ± 4	12 ± 3	4 ± 1
$t\bar{t} \rightarrow l\bar{l}$	39 ± 9	32 ± 7	11 ± 3
$t\bar{t} \rightarrow l+\text{jets}$	20 ± 5	103 ± 25	143 ± 33
$W+b\bar{b}$	261 ± 55	120 ± 24	35 ± 7
$W+c\bar{c}$	151 ± 31	85 ± 17	23 ± 5
$W+jj$	119 ± 25	43 ± 9	12 ± 2
Multijets	95 ± 19	77 ± 15	29 ± 6
Total background	686 ± 41	460 ± 39	253 ± 38
Data	697	455	246



Before b-tagging

21,918 events (121 signal)



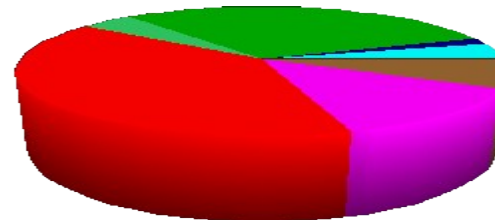
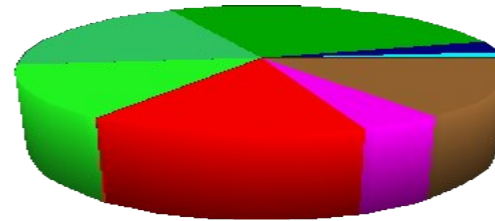
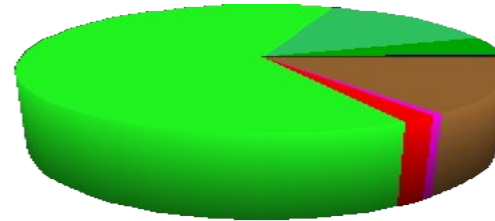
=1 b-tagged jet

1,227 events (53 signal)



≥ 2 b-tagged jets

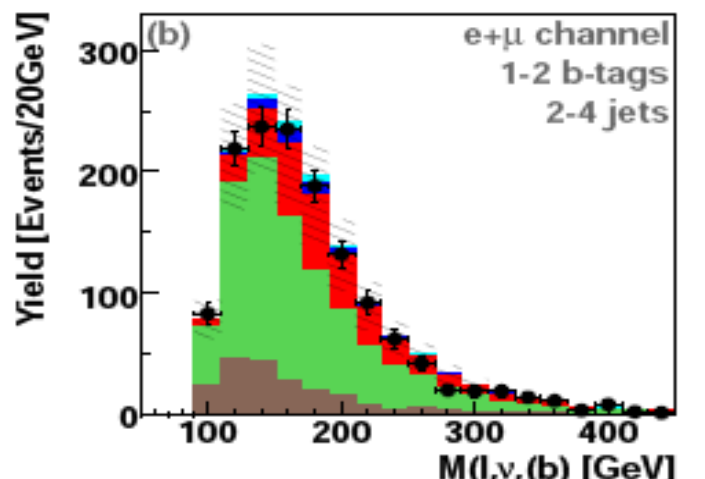
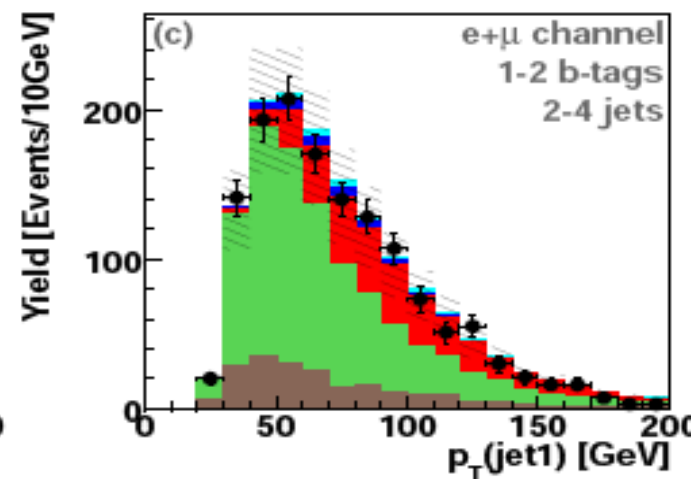
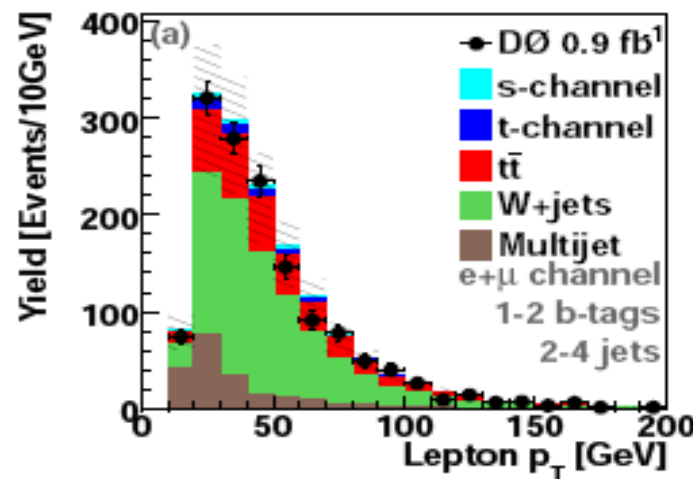
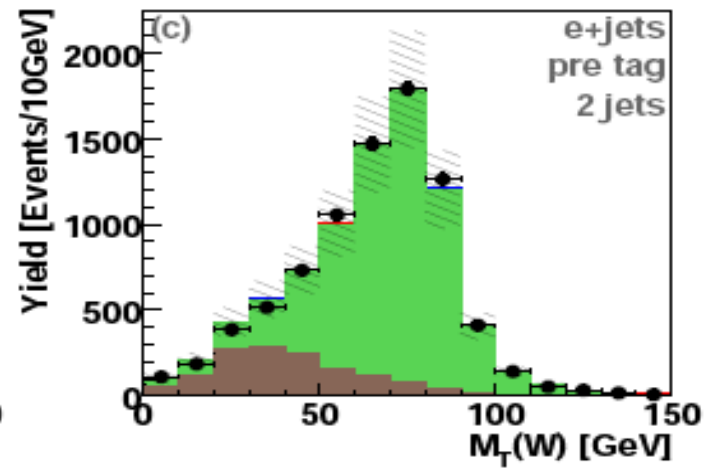
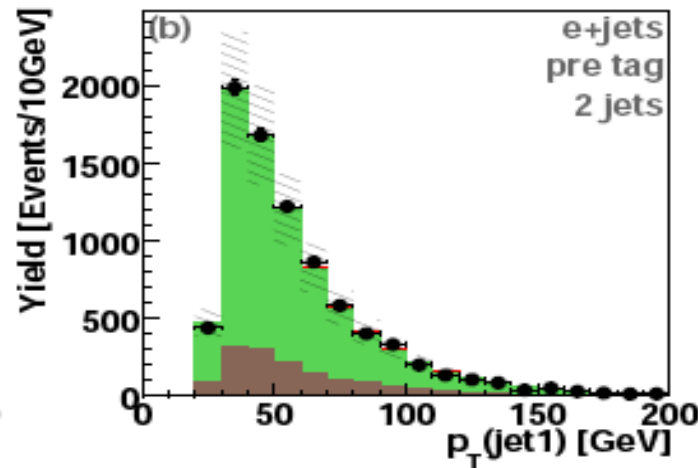
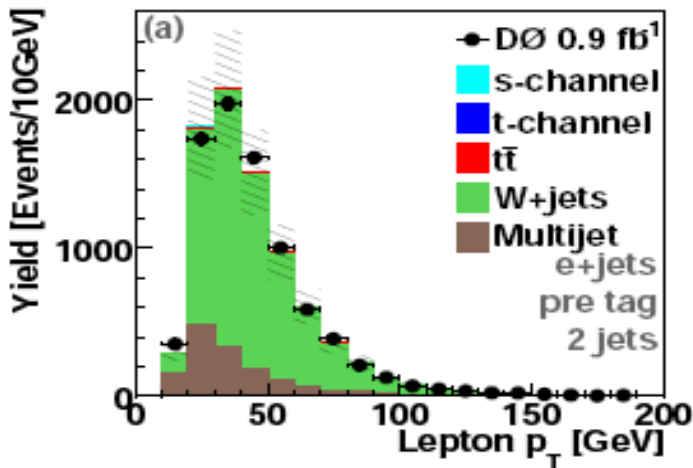
171 events (9 signal)



- *tb*
- *tqb*
- $W+b\bar{b}$
- $W+c\bar{c}$
- $W+jj$
- $t\bar{t} \rightarrow l+\text{jets}$
- $t\bar{t} \rightarrow l\bar{l}$
- Multijet

Data-Background agreement

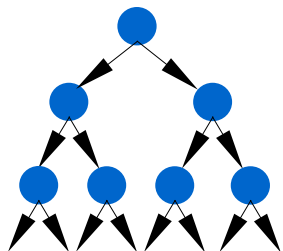
- ▶ The most challenging part of this analysis is to get an appropriate model for the backgrounds
- ▶ Kinematics are obtained from simulation
- ▶ We have used the data to normalize the main backgrounds
 - Before b-tagging: get multijet & W+jets composition
 - After b-tagging: estimate how much Wbb+Wcc



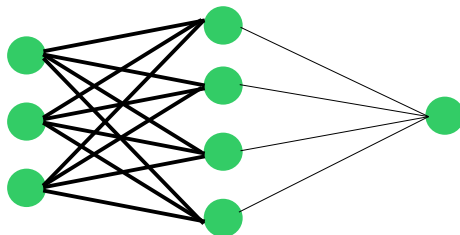
2) Separate signals from backgrounds

- ▶ Once we understand our data, need to measure the signal
- ▶ We cannot use simple cuts to extract the signal:
use **multivariate techniques**
- ▶ DØ has implemented three analysis methods to extract the signal from the **same dataset**:

Decision Trees



Bayesian NNs



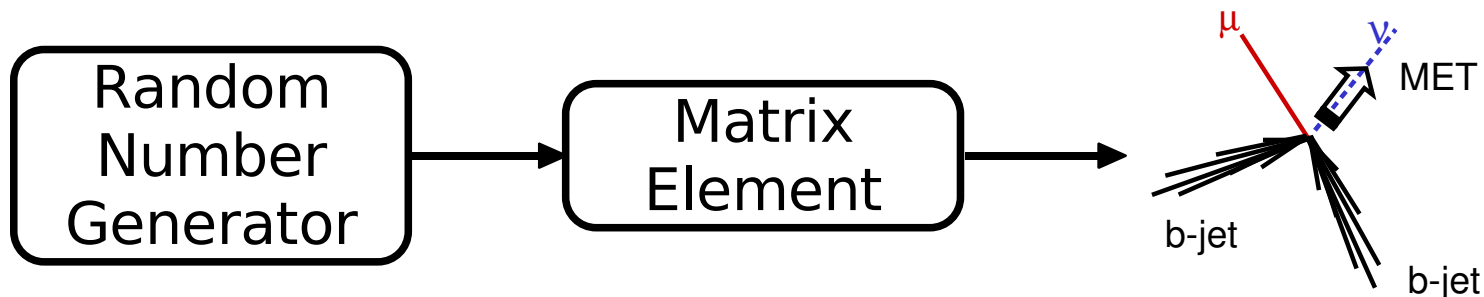
Matrix Elements

$$\int M$$

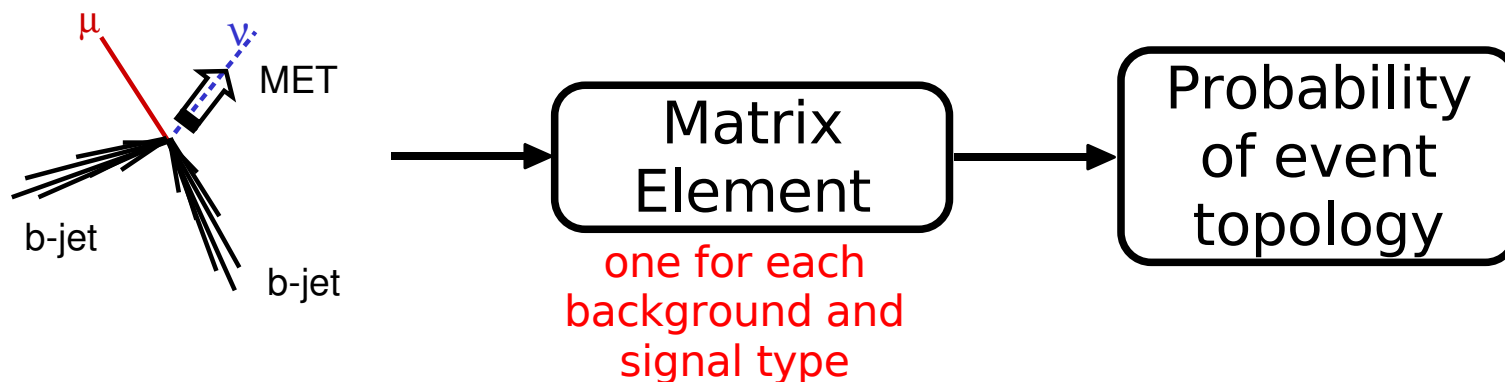
- **DT**: Simple cuts to obtain continuous distribution based on purity
- **BNN**: Average many different Neural Networks to be more efficient
- **ME**: Uses 4-vectors of reconstructed objects and full kinematic info
- Use same pool of discriminating variables for DT and BNN
- Optimized separately for s-channel, t-channel and s+t

Matrix element analogy

► Monte Carlo generator



► Reverse Monte Carlo generator



► Main problem:

- ME only knows about final state partons (4-vectors)
- But our data has detector and reconstruction effects!

Matrix Elements introduction

- Calculate an event probability that a measured final state x comes from a particular process M

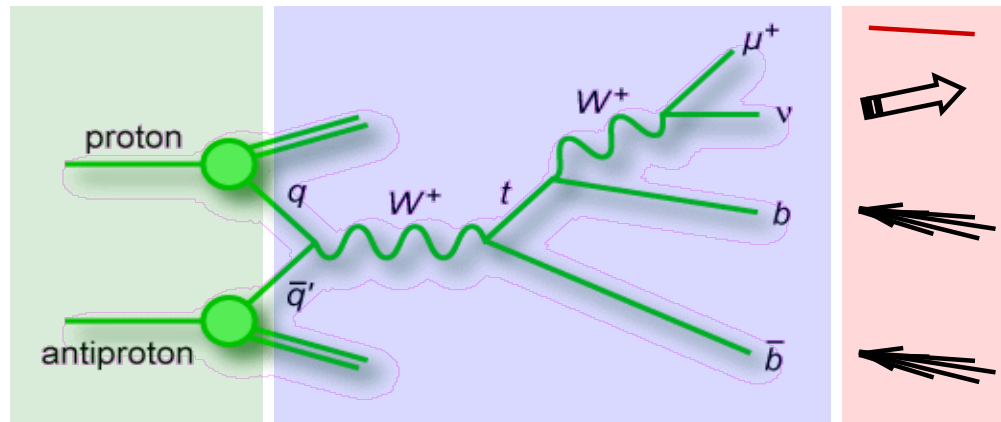
$$P(\vec{x}) = \frac{1}{\sigma} \int f(q_1; Q) dq_1 f(q_2; Q) dq_2 \times |M(\vec{y})|^2 \phi(\vec{y}) dy \times W(\vec{x}, \vec{y})$$

Parton distribution functions CTEQ6

Differential cross section (LO ME from Madgraph)

Transfer Function: maps parton level (y) to reconstructed variables (x)

Phase space and parton level cuts



- Requires that we match exactly every final state parton (ℓ, ν, b, \bar{b}) to a **reconstructed object** ($\ell, MET, jet1, jet2$)
- Uses the 4-vectors of all reconstructed ℓ s and jets
- This method: use only events with 2 or 3 jets
- Integrate over every possible final state configuration

ME: Integration

2 initial + 12 final = **14 degrees of freedom in 2jet events**

2 angles for 2 jets well measured: **4 constraints**

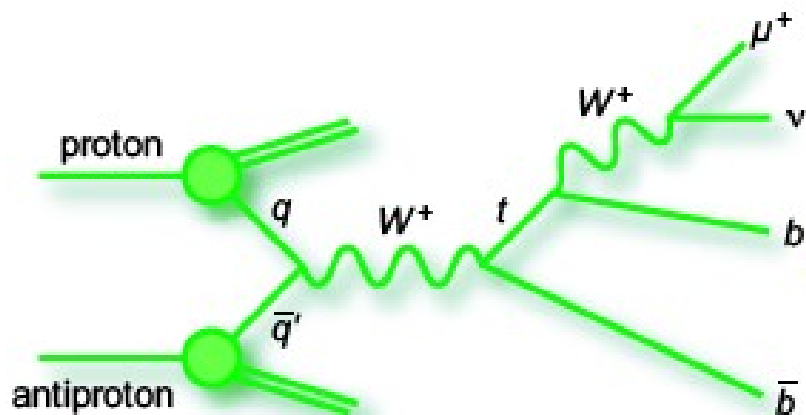
2 angles of lepton well measured: **2 constraints**

$3(P_{ini}=P_{fin}) + 1(E_{ini}=E_{fin})$ conservation: **4 constraints**

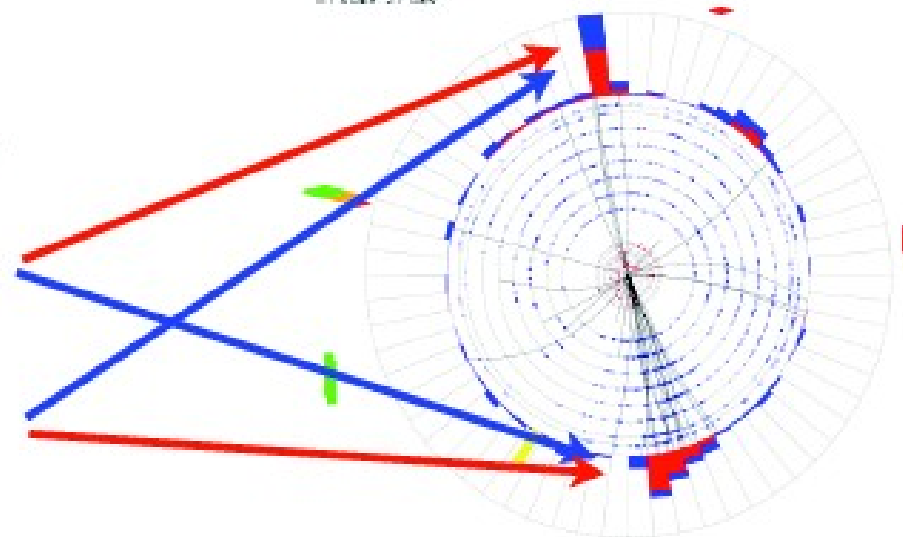
TOTAL 14 – 10: Four integrals (5 for 3jet events)

Integrate over neutrino p_z , energy of ℓ , j1, and j2

- ▶ Integration is slow: ~60 seconds per event
- ▶ Apply b-tagging information to weigh more a combination that assigns a b-parton to a b-tagged jet

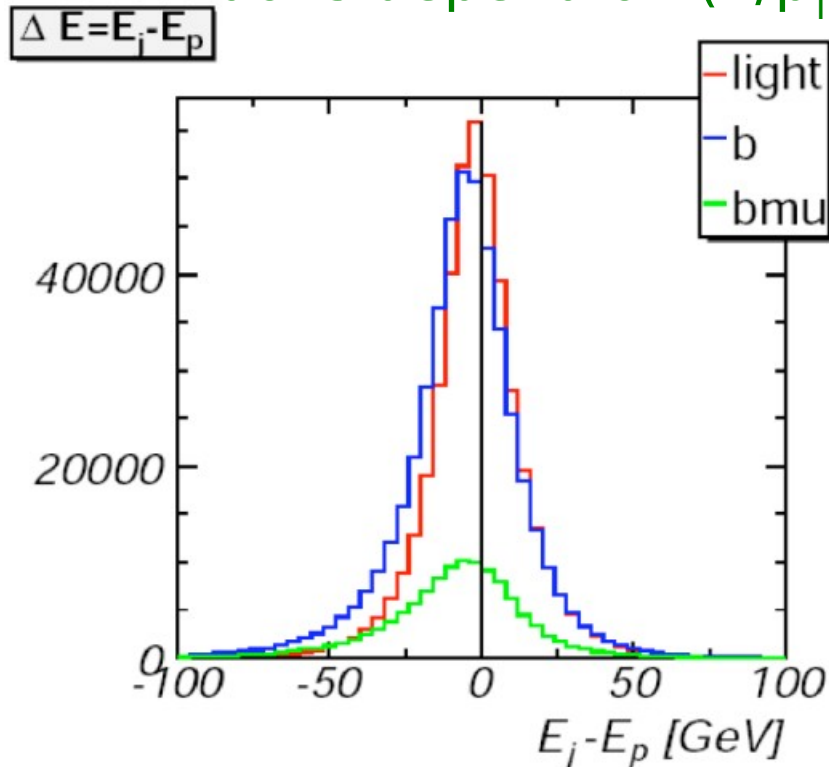


Plot 100000 Evl 4000040015el Mar 11 15:43 2009
GT scale: 21 GeV



Transfer functions

- ▶ Probability that a given reconstructed object came from a final state parton
- ▶ Assume the detector response is separable:
 $W(x,y) = W_{\text{jet1}}(x,y)W_{\text{jet2}}(x,y)W_{\text{electron}}(x,y)$
- ▶ $W(x,y)$ are determined from Monte Carlo
 - Jets depend on flavor, η and E
 - Electrons depend on η and E
 - Muons depend on $(1/p_T)$ and Silicon hit or not



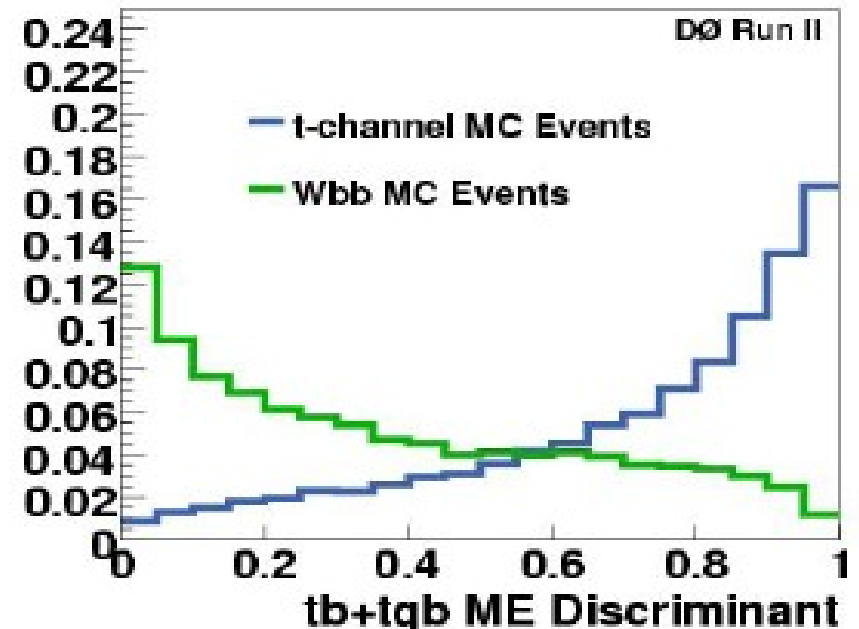
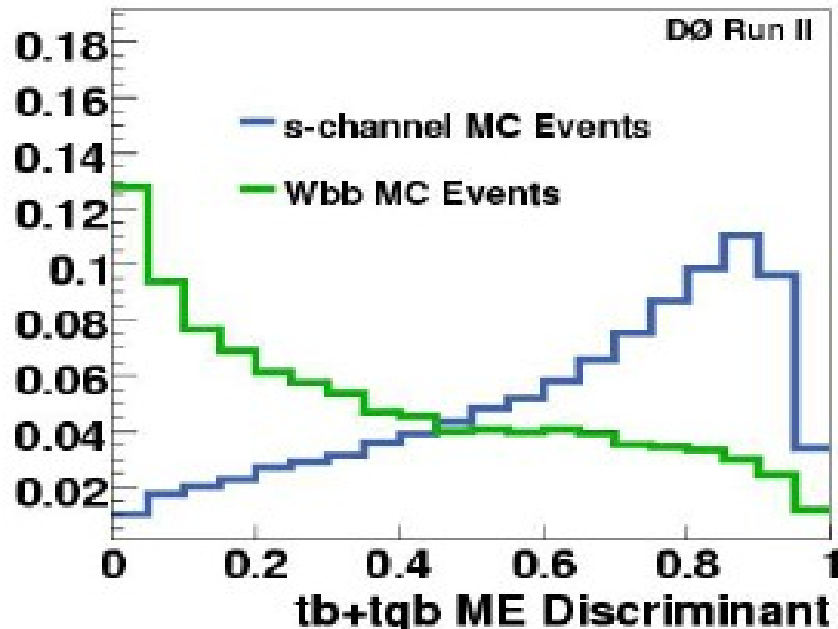
ence for single top at DØ

ME discriminant

- ▶ Define discriminant based on event probabilities for signal and background

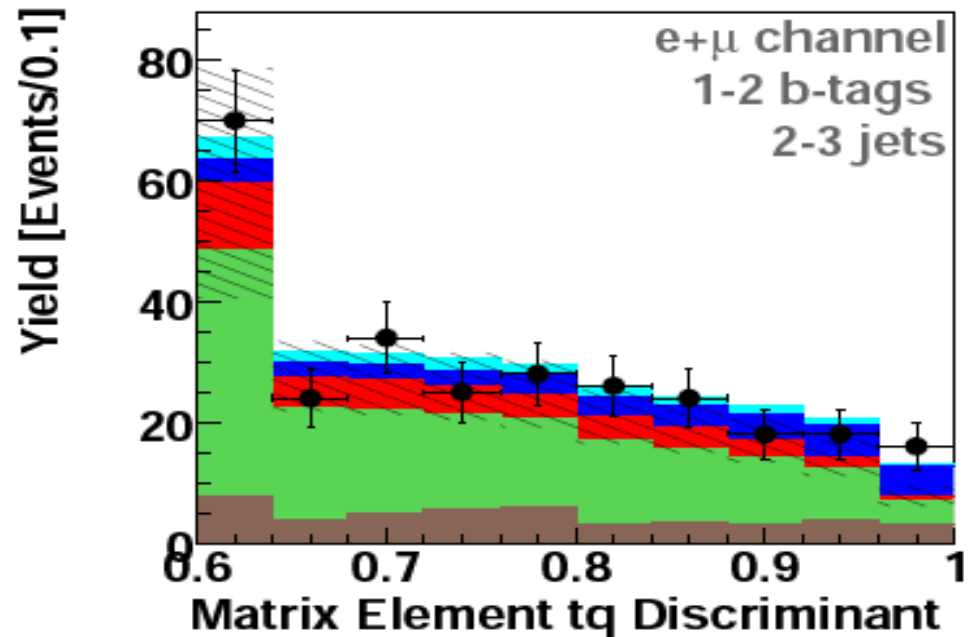
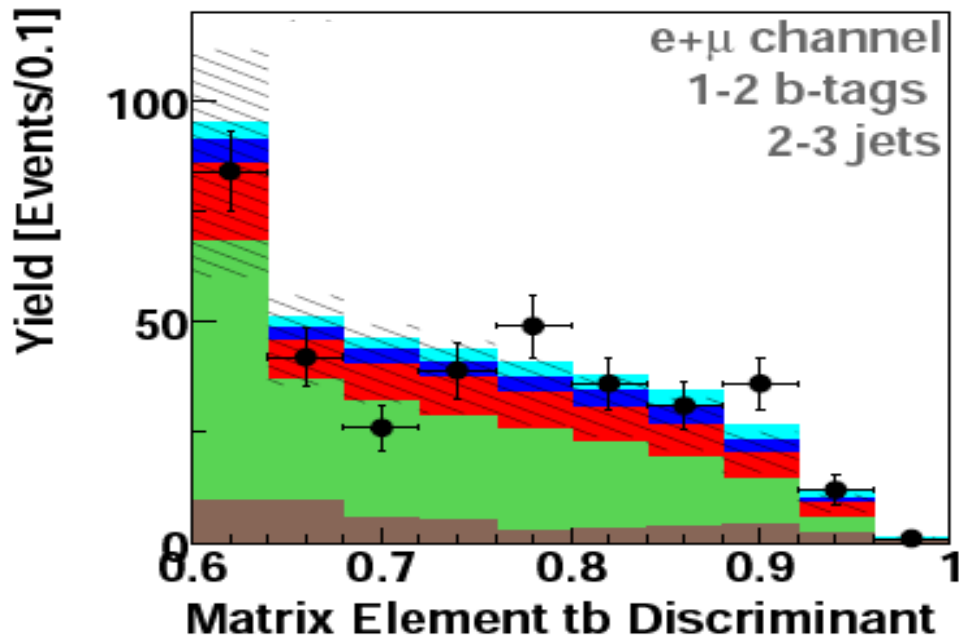
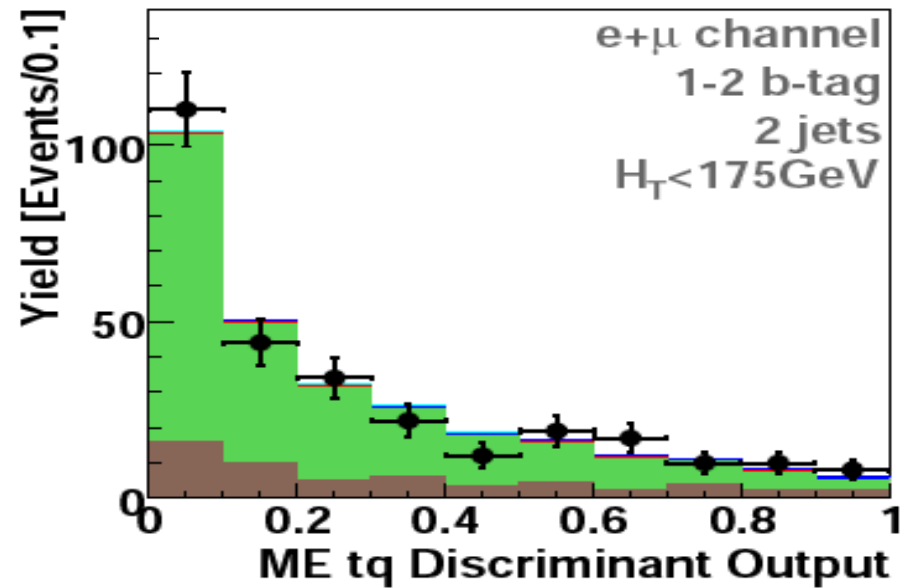
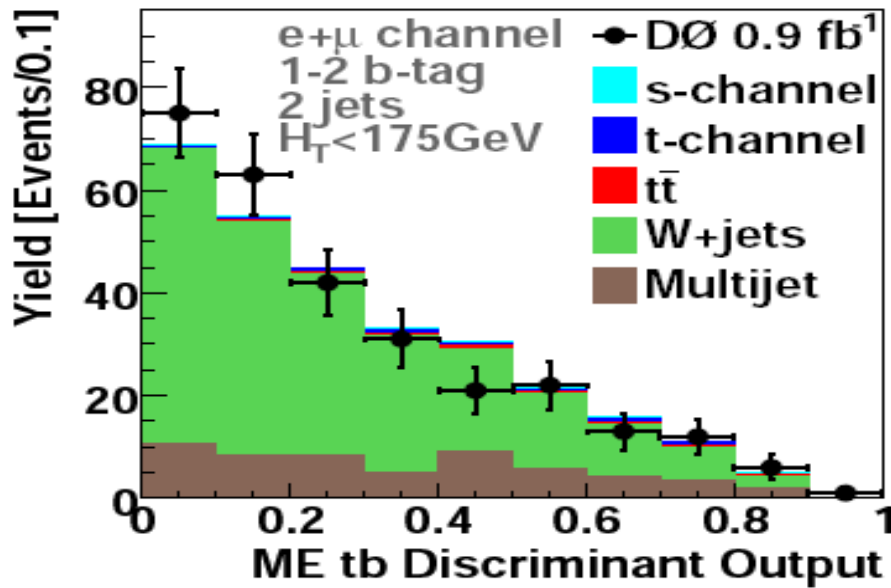
$$D_s(\vec{x}) = P(S|\vec{x}) = \frac{P_{Signal}(\vec{x})}{P_{Signal}(\vec{x}) + P_{Background}(\vec{x})}$$

- ▶ In 2 jet events: use ME for Wbb, Wcg and Wgg backgrounds
- ▶ In 3 jet events: use ME for Wbbg, Wggg and $tt \rightarrow \ell$ + jets backgrounds
- ▶ In tt events, we need to lose one jet: assume one q from W is lost (1.7 times more likely than b) or two jets are merged



ME cross checks

Check the description of the data in the ME output



3) Measure cross section

Decision Trees

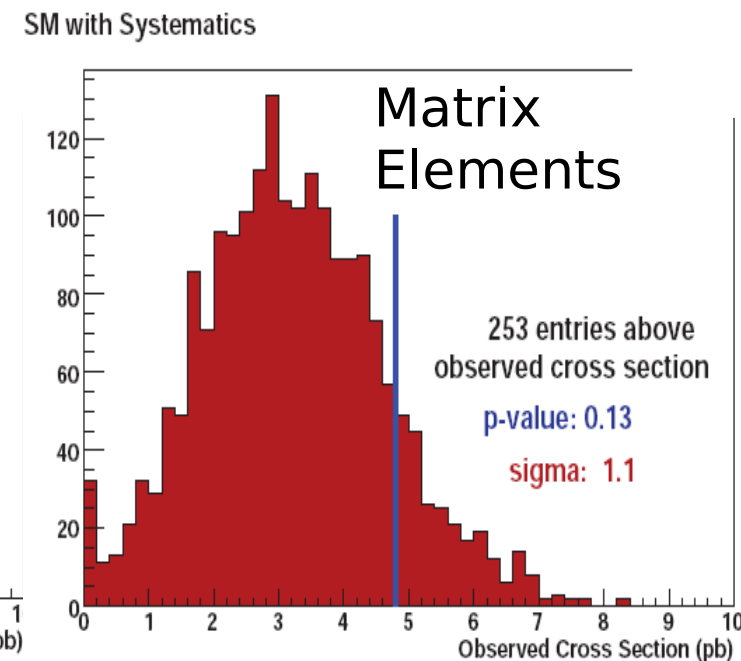
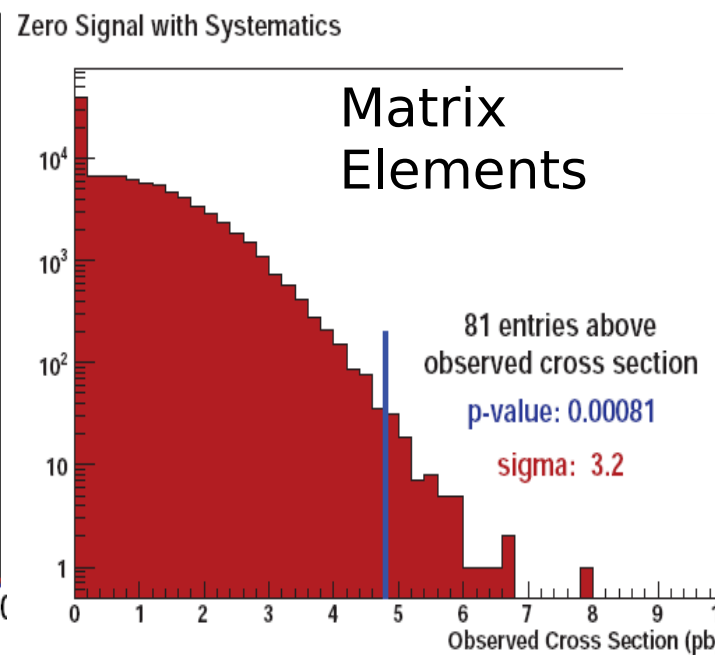
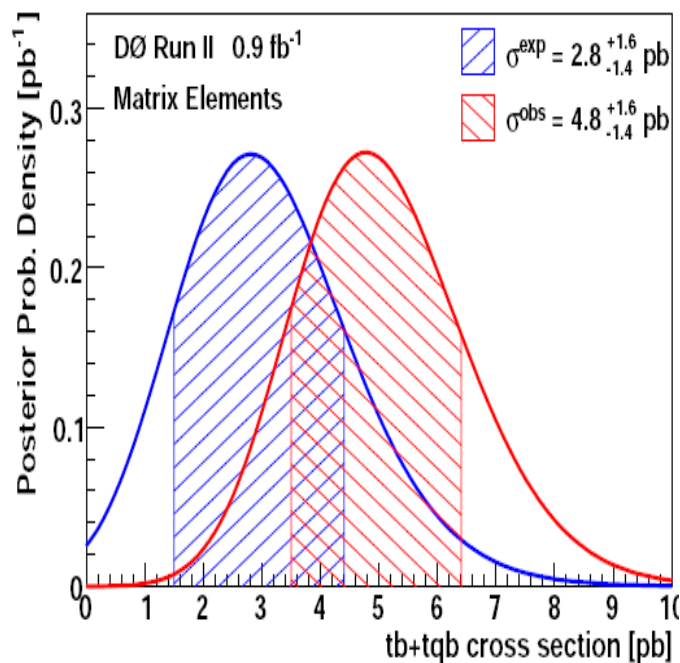
Matrix Elements

Bayesian NN

	Expected	Observed	Expected	Observed	Expected	Observed
$\sigma(\text{tb}+\text{qb})$ [pb]	$2.7^{+1.6}_{-1.4}$	4.9 ± 1.4	$2.8^{+1.6}_{-1.4}$	$4.8^{+1.6}_{-1.4}$	$2.7^{+1.5}_{-1.5}$	$4.4^{+1.6}_{-1.4}$
p-value $\times 1000$	17.7	0.37	30.7	0.81	10.5	0.14
significance	2.1σ	3.4σ	1.9σ	3.2σ	2.2σ	3.2σ

All three analyses measure $>3\sigma$! Evidence for single top production!

► Results are compatible with the SM at ~ 1 std. dev.



Announcement



SCIENTIFIC
AMERICAN

PHYSICS

Alone at the Top

CLOSER TO GOD: FERMILAB MAKES SOLD TOP QUARKS BY ALEXANDER HELLEMANS

CERN
COURIER

Scientists at the D0 experiment discover new path to the top

DZero finds evidence of rare single top quark; Observation marks a step closer to finding Higgs boson

Batavia, Ill.—Scientists of Fermi National Accelerator Laboratory on December 8, 2006 the first subatomic process involving top quarks. The observation confirms predictions made by part of the Standard Model. In the longer term, the test is a step closer to the search for an even more

HIGH-ENERGY PHYSICS

Top quarks go it alone

nature
physics

The first, long-sought evidence for the production of single top quarks, by the weak interaction, has been reported from a sophisticated analysis of a large number of proton-antiproton collisions at the Tevatron.

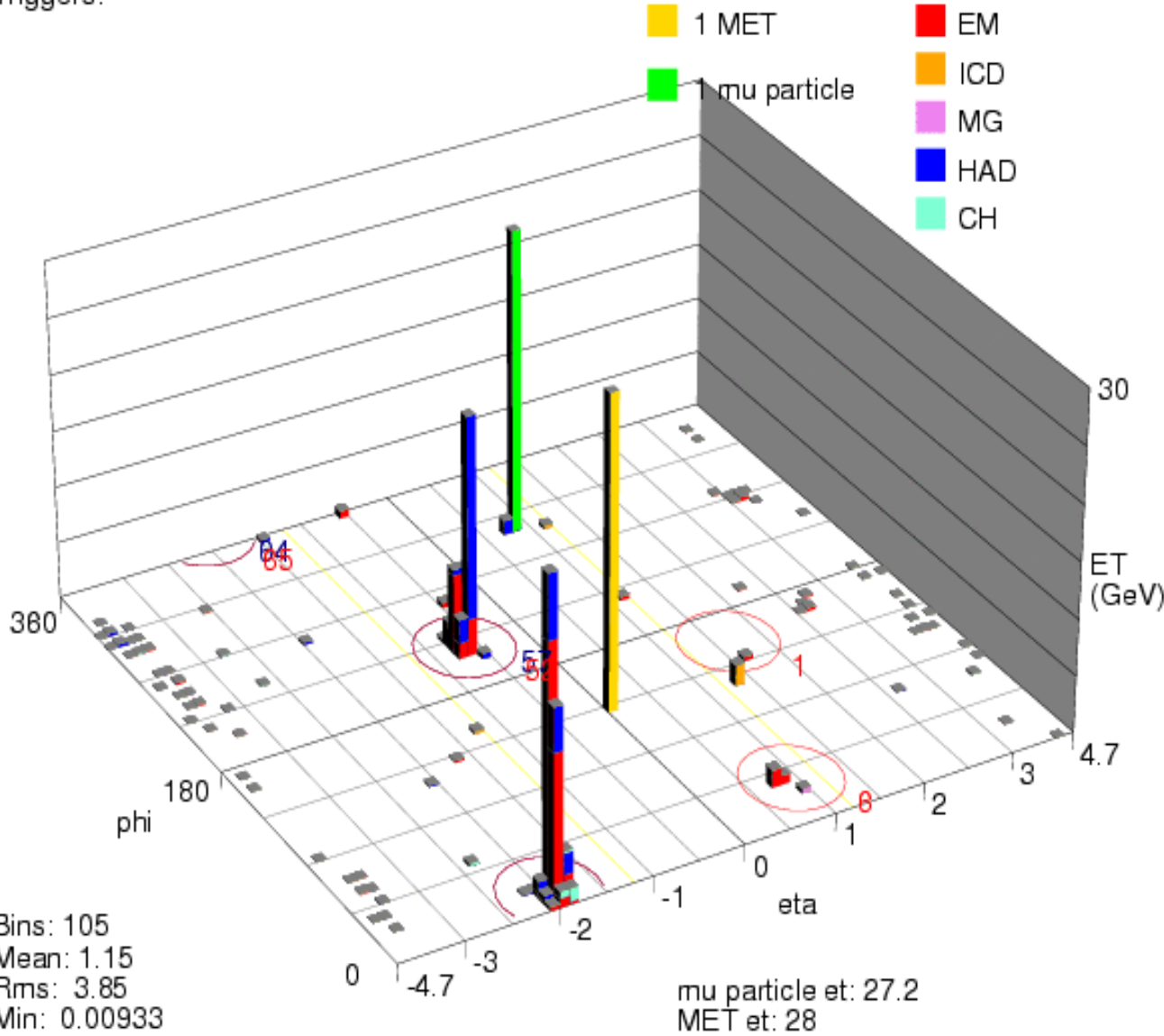
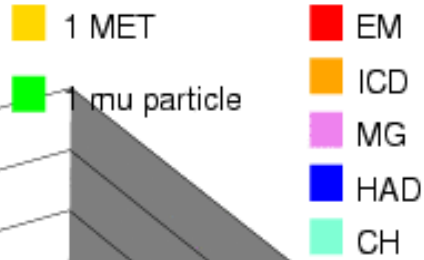
A candidate event

Run 177034 Evt 10482925

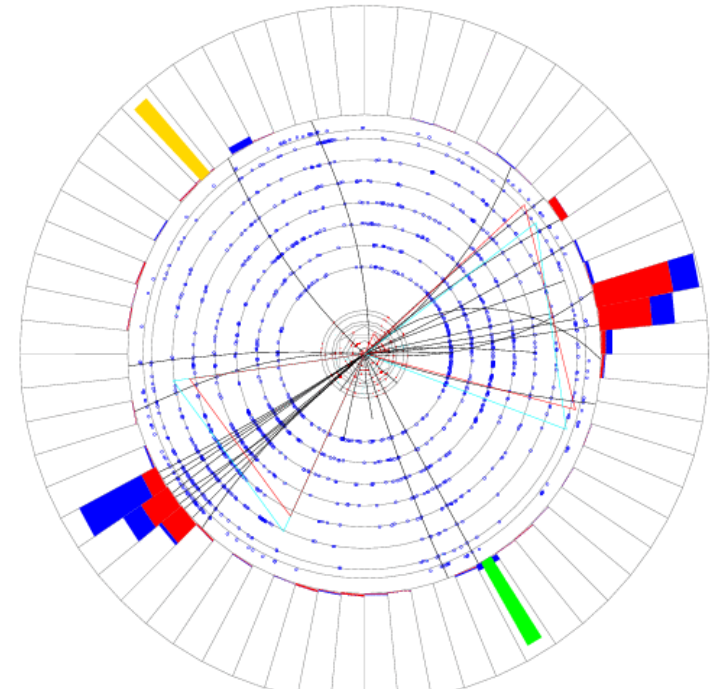
ale: 31 GeV

Run 177034 Evt 10482925

Triggers:



Bins: 105
 Mean: 1.15
 Rms: 3.85
 Min: 0.00933
 Max: 27.4



Ranked 3rd in ME, 4th in DT

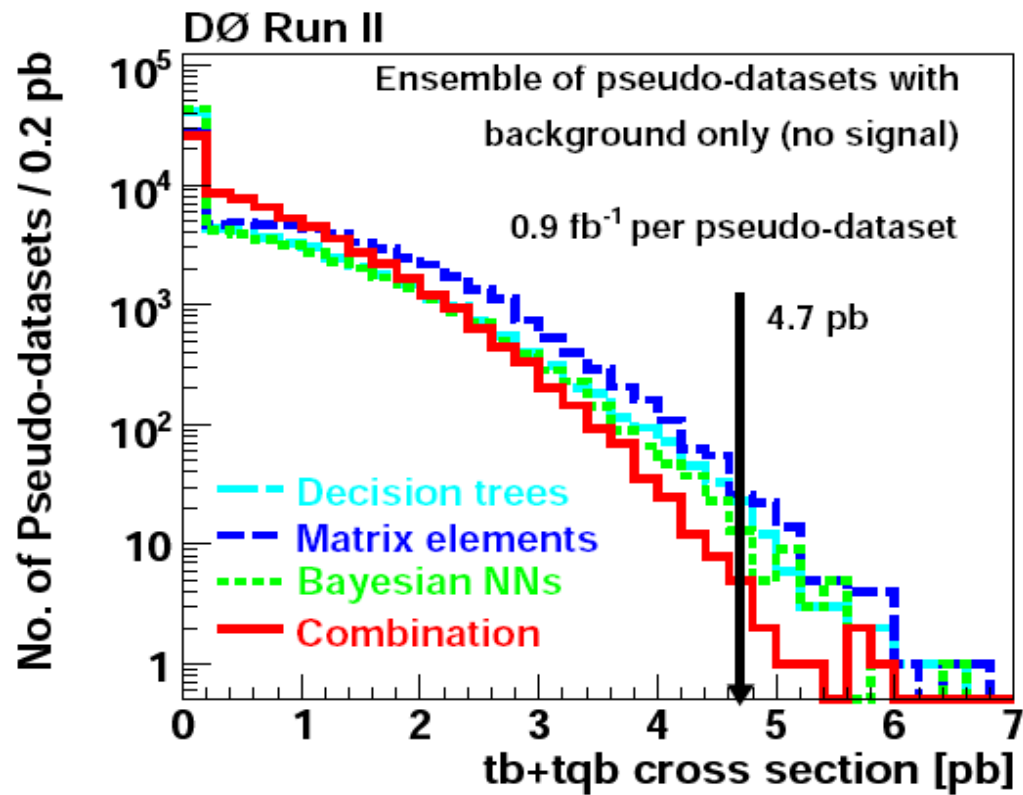
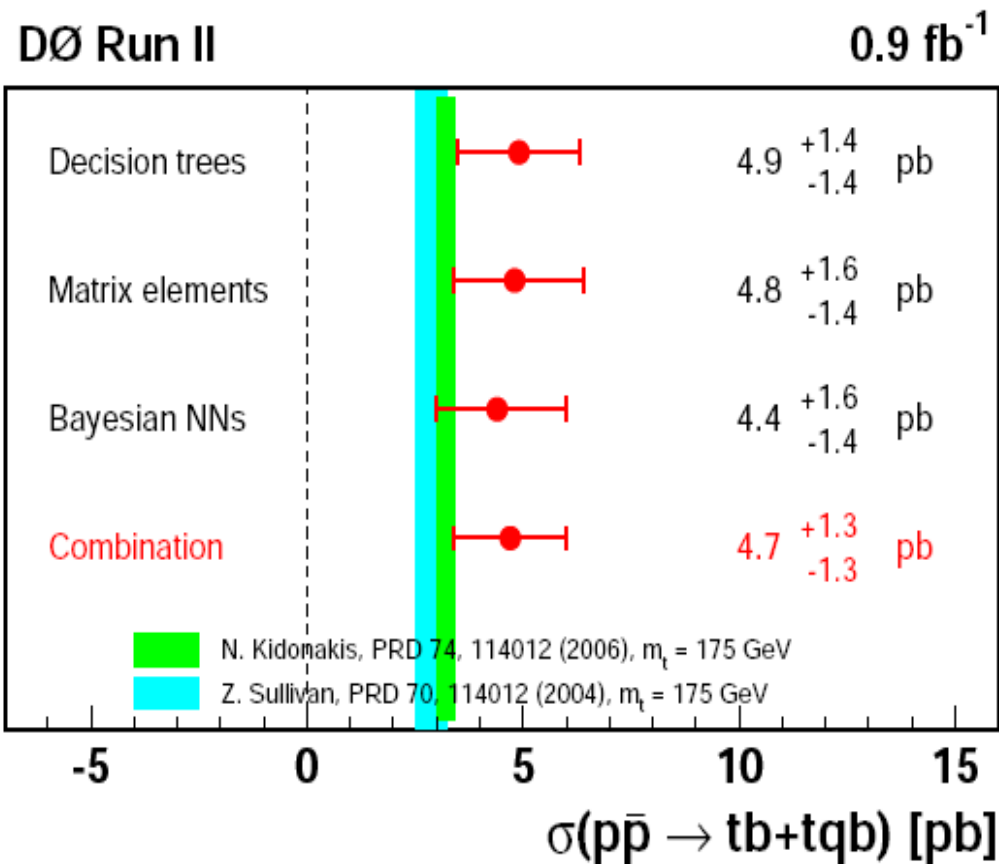
▶ $M_T(\ell, \nu) = 82 \text{ GeV}$

▶ $M(\ell, \nu, b) = 177 \text{ GeV}$

▶ $Q_{X\eta} = 1.88$

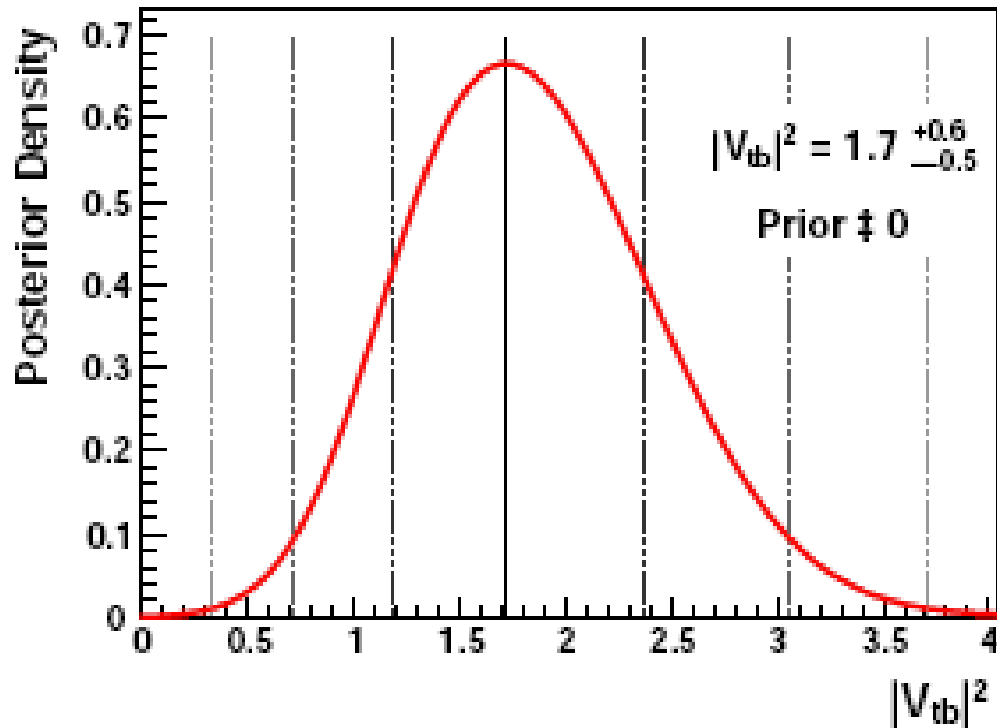
Combination of analyses

Combined result: 4.7 ± 1.3 pb \rightarrow Significance of 3.6 std. dev.

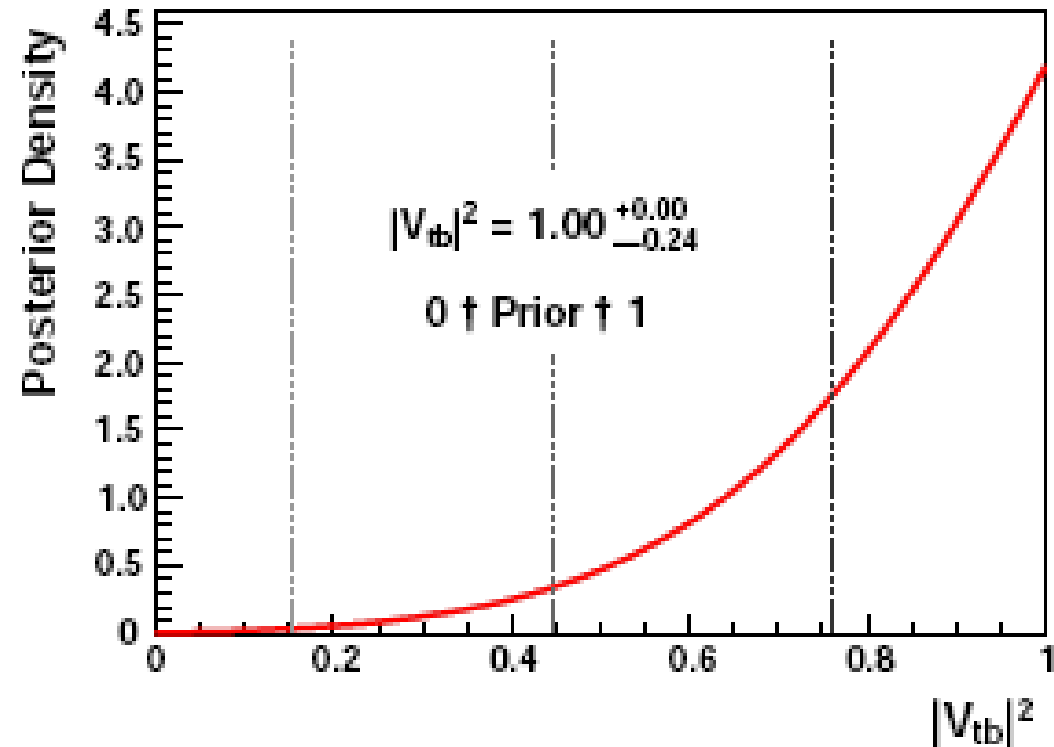


First direct measurement of $|V_{tb}|$

DØ Run II 0.9 fb⁻¹



DØ Run II 0.9 fb⁻¹



$$|V_{tb} f_1^L| = 1.3 \pm 0.2$$

$$|V_{tb}| > 0.68 \text{ @ 95 C.L.}$$

(assuming the SM)

This measurement does not assume 3 generations or unitarity

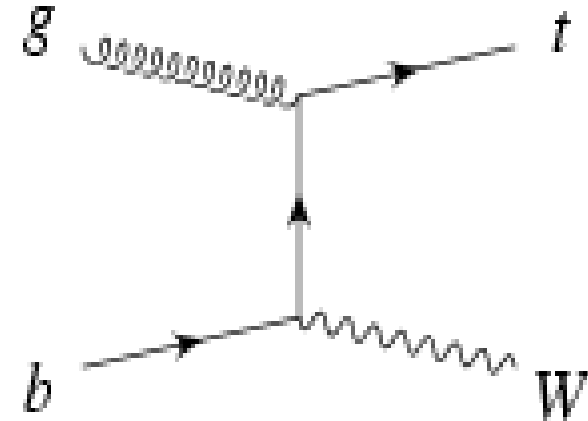
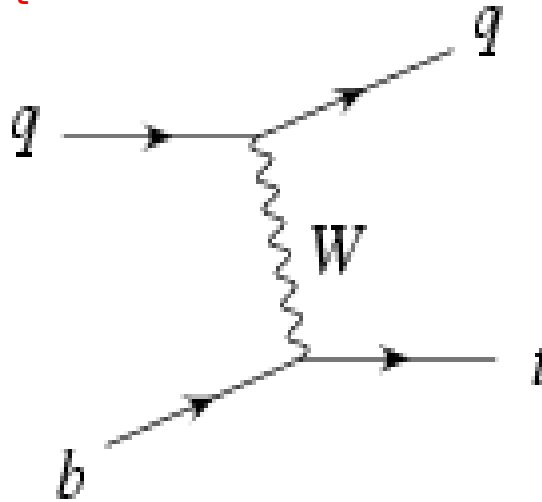
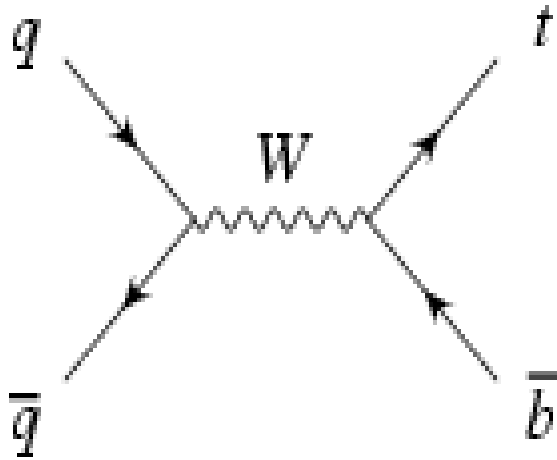
Single top prospects

- ▶ In 2008 work on the discovery, possible observation of t-channel alone
- ▶ Then the LHC will start with huge production rates:

$$\sigma_s = 10.6 \pm 1.1 \text{ pb}$$

$$\sigma_t = 246.6 \pm 17 \text{ pb}$$

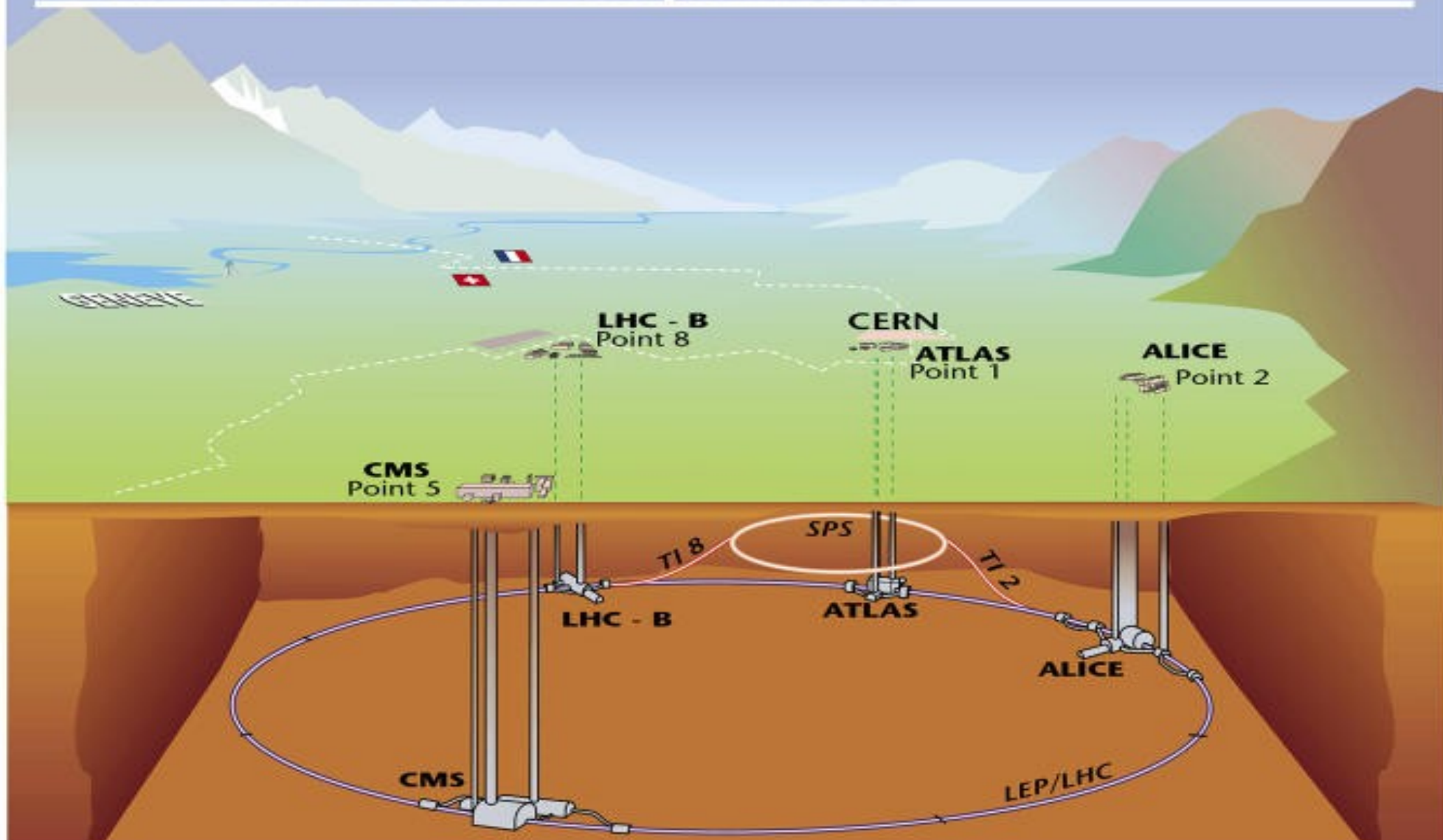
$$\sigma_{tW} = 62.0^{+16.6}_{-3.6} \text{ pb}$$



- ▶ Observe all three channels (s-channel will be tough)
- ▶ tW mode offers new window into top physics
- ▶ Measure V_{tb} to a few %
- ▶ Large samples: study properties

The Large Hadron Collider

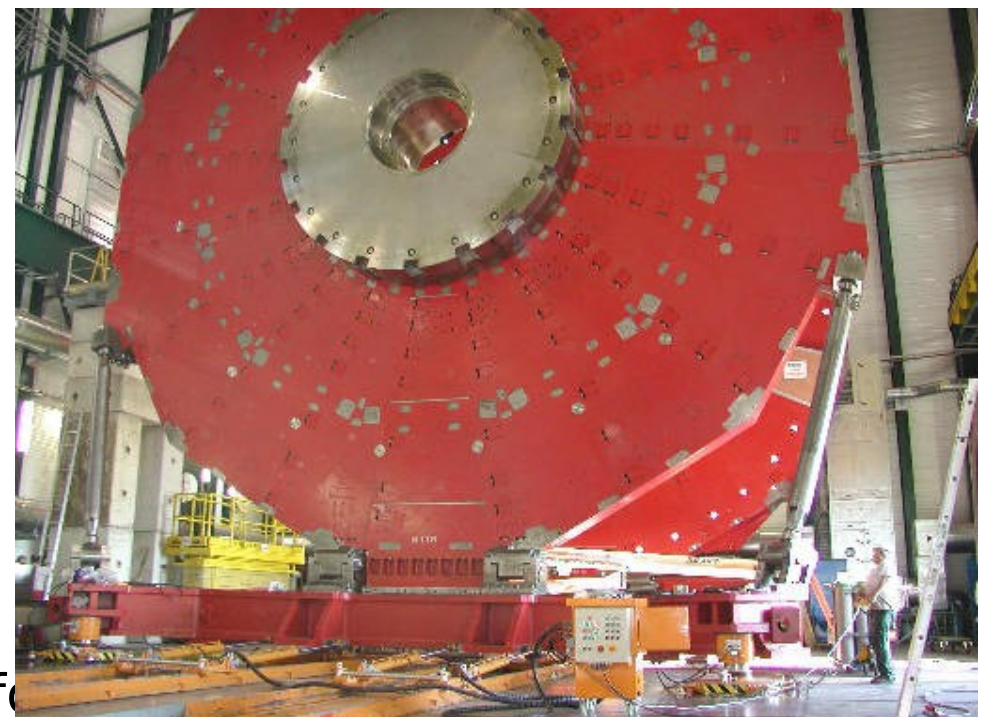
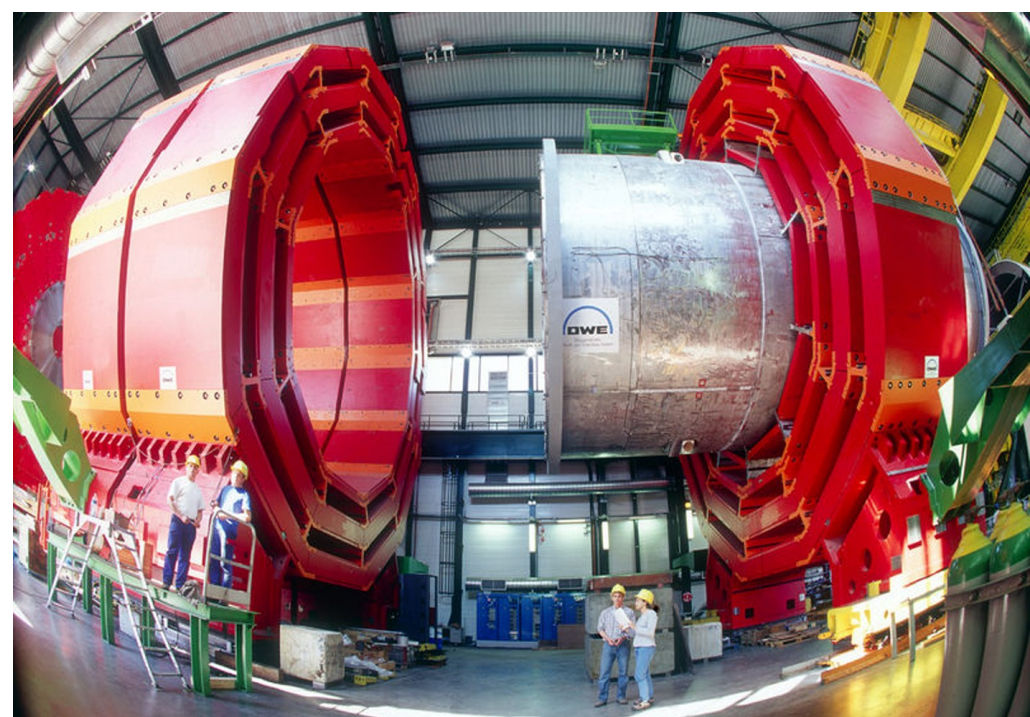
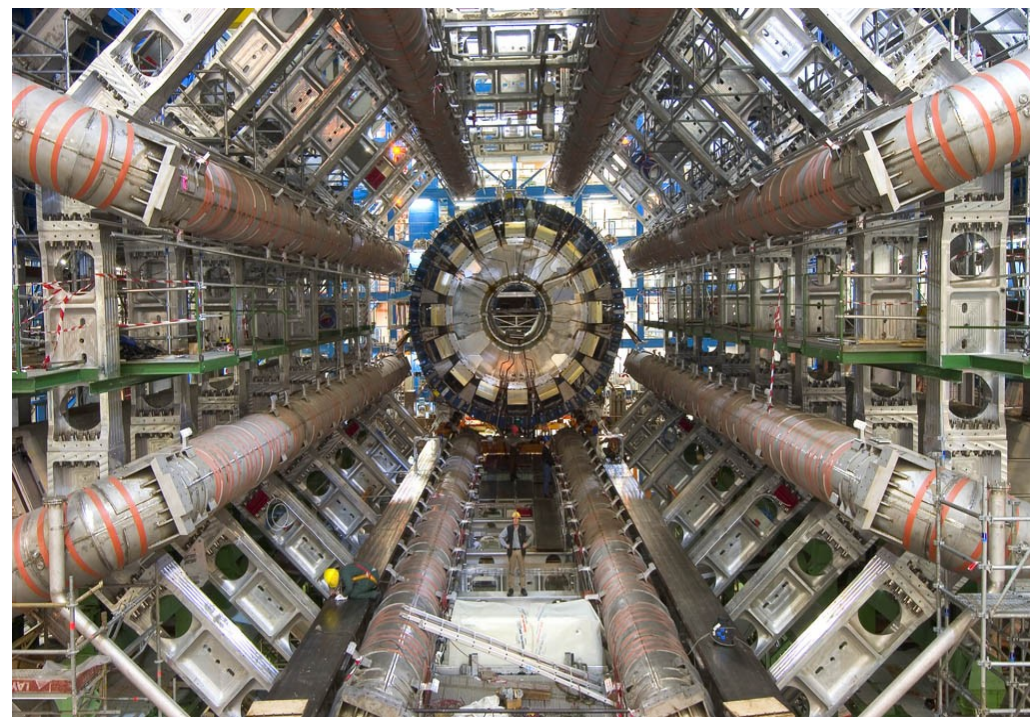
Overall view of the LHC experiments.



Proton-proton collider $\sqrt{s}=14$ TeV
Higgs, top, exotics factory

ES40 - V10/09/97

Starts next year!



f

Conclusions

First evidence for single top quark production
and direct measurement of $|V_{tb}|$

Published in PRL 98, 181802 (2007)

$$\sigma(s+t) = 4.7 \pm 1.3 \text{ pb}$$

3.6σ significance!

$$|V_{tb}| > 0.68 \text{ @ } 95\% \text{C.L.}$$

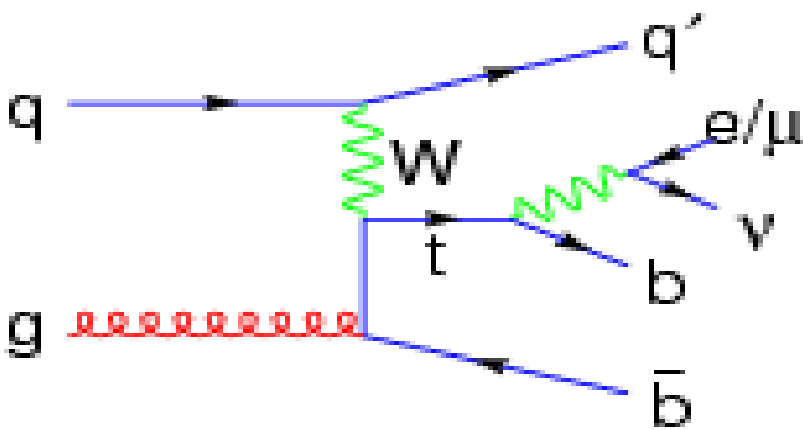
- Challenging analysis: small signal hidden in huge complex background
- These multivariate techniques are now used in many searches, in particular for Higgs
- We now have double the data to analyze!

Extra slides

For more information:

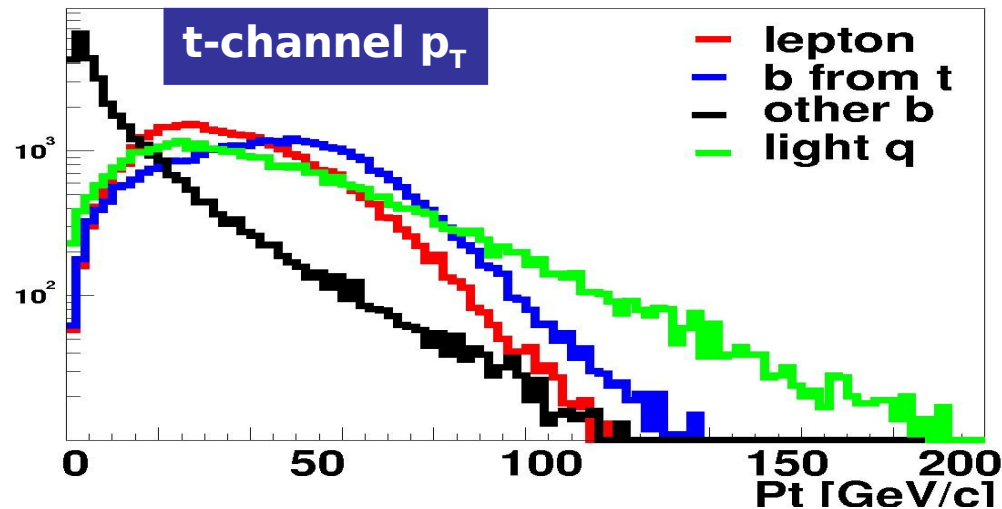
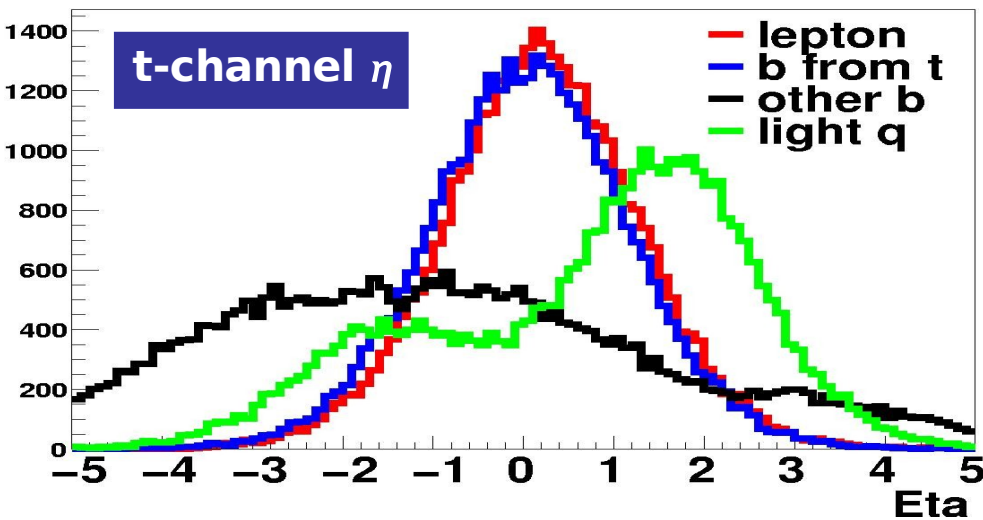
<http://www-d0.fnal.gov/Run2Physics/top/public/fall06/singletop/>

Signal selection



Signature:

- One high p_T isolated lepton (from W)
- MET (ν from W)
- One b -quark jet (from top)
- A light flavor jet and/or another b -jet

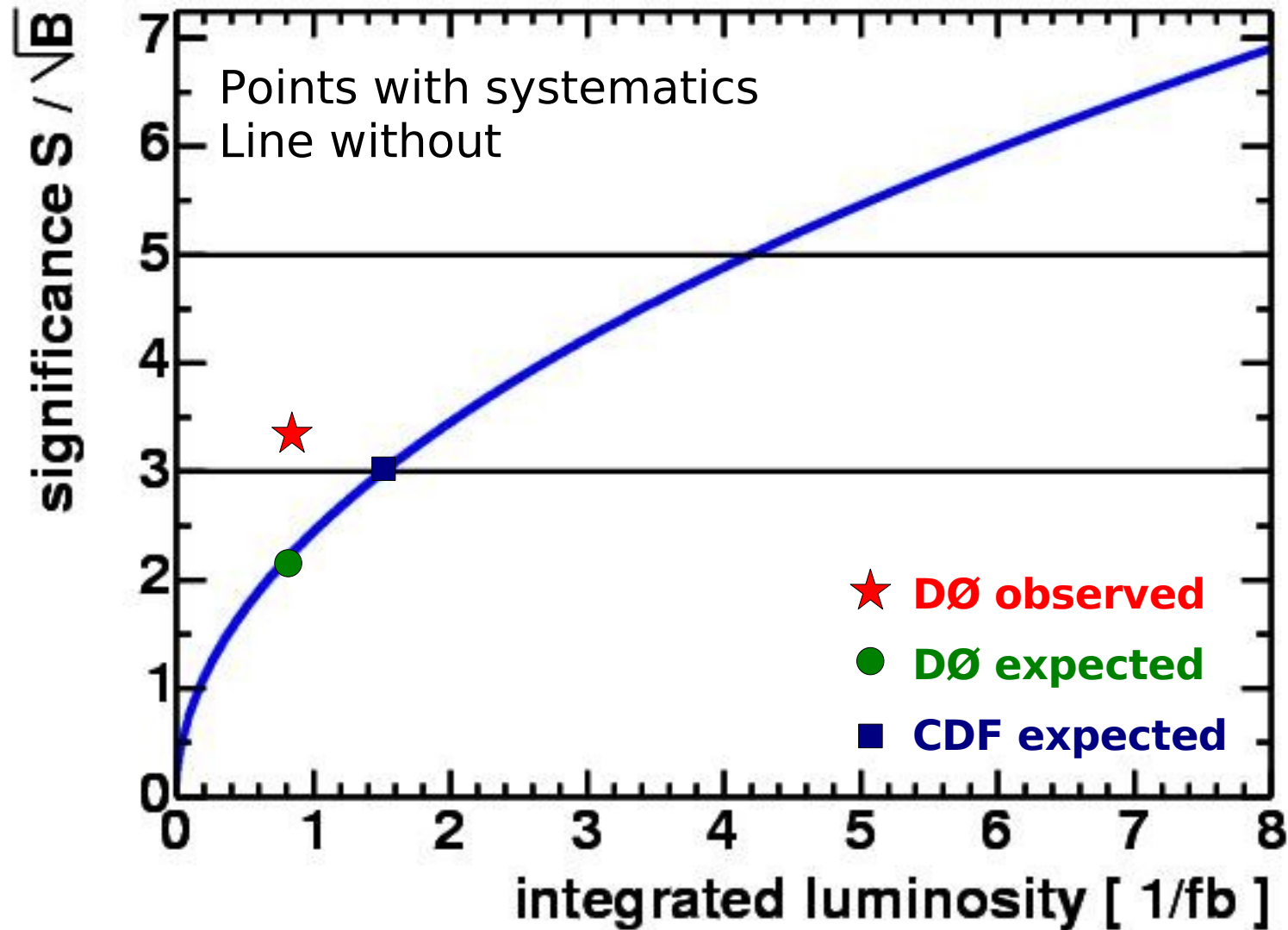


Event selection:

- ▶ Only one tight (no loose) lepton:
 - e : $p_T > 15$ GeV and $|\eta^{\text{det}}| < 1.1$
 - μ : $p_T > 18$ GeV and $|\eta^{\text{det}}| < 2.0$
- ▶ MET > 15 GeV
- ▶ 2-4 jets: $p_T > 15$ GeV and $|\eta^{\text{det}}| < 3.4$
 - Leading jet: $p_T > 25$ GeV ; $|\eta^{\text{det}}| < 2.5$
 - Second leading jet: $p_T > 20$ GeV
- ▶ One or two b -tagged jets

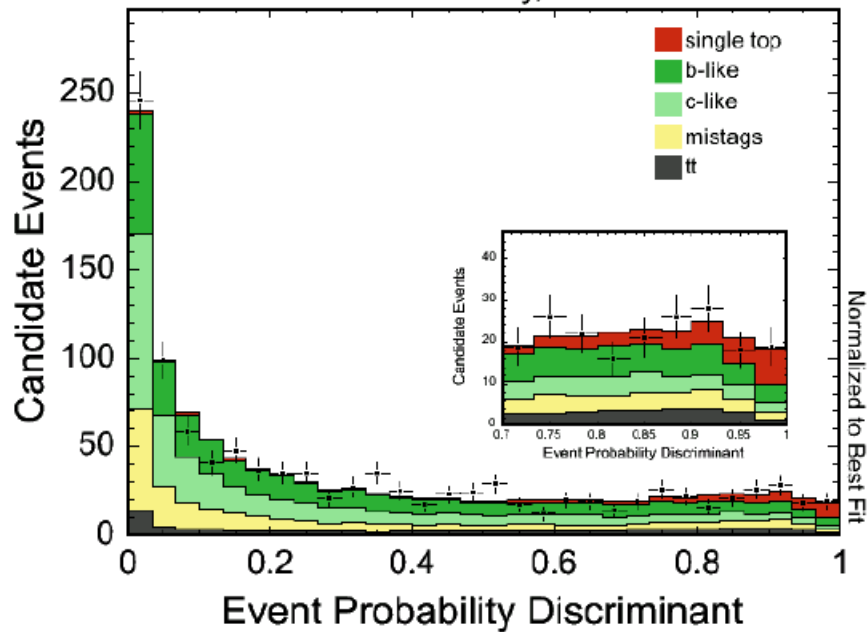
Projections for s+t

Projection by CDF for P5 in 2005



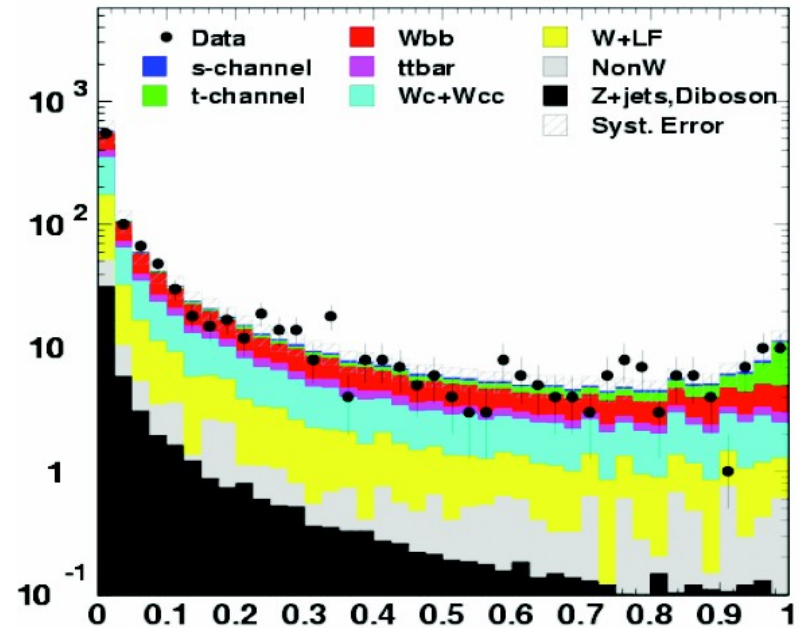
CDF's latest results

CDF Run II Preliminary, L=1.51 fb⁻¹



$\sigma_{s+t} = 3.0^{+1.2}_{-1.1} \text{ pb}$
 3.0 σ expected
 3.1 σ observed

CDF Run II Preliminary, L=1.5 fb⁻¹



$\sigma_{s+t} = 2.7^{+1.3}_{-1.1} \text{ pb}$
 2.9 σ expected
 2.7 σ observed

Preparing the way for the LHC

Studies at the Tevatron will help the LHC:

- ▶ Wbb measurement (will also help WH search) (DØ: [hep-ex/0410062](#))
Current limit at 4.6 pb for $p_T(b) > 20\text{GeV}$
- ▶ In general, W+jets background determination techniques
tt will be main background, but large uncertainties come from W+jets
Effect of jet vetoes ($N_{\text{jet}}=2$), check other methods planned in LHC analyses
- ▶ Study charge asymmetries (Bowen, Ellis, Strassler: [hep-ph/0412223](#))
Signal shows asymmetry in $(Q_\ell \times \eta_j, Q_\ell \times \eta_\ell)$ plane at TeV
- ▶ Study kinematics of forward jets in t-channel (WW→H at LHC)
- ▶ Even measure asymmetry in production rate (Yuan: [hep-ph/9412214](#))
(probe CP-violation in the top sector):

$$A_t = \frac{\sigma(p\bar{p} \rightarrow tX) - \sigma(p\bar{p} \rightarrow \bar{t}X)}{\sigma(p\bar{p} \rightarrow tX) + \sigma(p\bar{p} \rightarrow \bar{t}X)}$$

TeV4LHC workshop report: [0705.3251 \[hep-ph\]](#)

Crash course in Bayesian probability

Bayes' theorem expresses the degree of belief in a hypothesis A, given another B. "Conditional" probability $P(A|B)$:

$$P(A|B) = \frac{P(B|A)P(A)}{P(B)}$$

In HEP: $B \rightarrow N_{\text{observed}}$, $A \rightarrow n_{\text{predicted}} = n_{\text{signal}} + n_{\text{bkgd}}$, $n_s = \text{Acc} * L * \sigma$

$P(B|A)$: "model" density, or likelihood: $L(N_{\text{observed}} | n_{\text{predicted}}) = n^N e^{-n} / N!$

$P(A)$: "prior" probability density $\Pi(n_{\text{pred}}) = \Pi(\text{Acc} * L, n_b) \Pi(\sigma)$
 $\Pi(n_s, n_b)$ multivariate gaussian ; $\Pi(\sigma)$ assumed flat

$P(B)$: normalization constant Z: $P(N_{\text{observed}})$

$P(A|B)$: "posterior" probability density $P(n_{\text{predicted}} | N_{\text{observed}})$

$$P(n_{\text{predicted}} | N_{\text{observed}}) = 1/Z L(N_{\text{observed}} | n_{\text{predicted}}) \Pi(n_{\text{pred}})$$

W+jets normalization

- ▶ Find fractions of real and fake isolated ℓ in the data before b-tagging. Split samples in loose and tight isolation:

$$N^{loose} = N_{fake}^{loose} + N_{real}^{loose}$$

$$N^{tight} = \varepsilon_{fake} N_{fake}^{loose} + \varepsilon_{real} N_{real}^{loose}$$

Obtain: N_{real}^{loose} and N_{fake}^{loose}

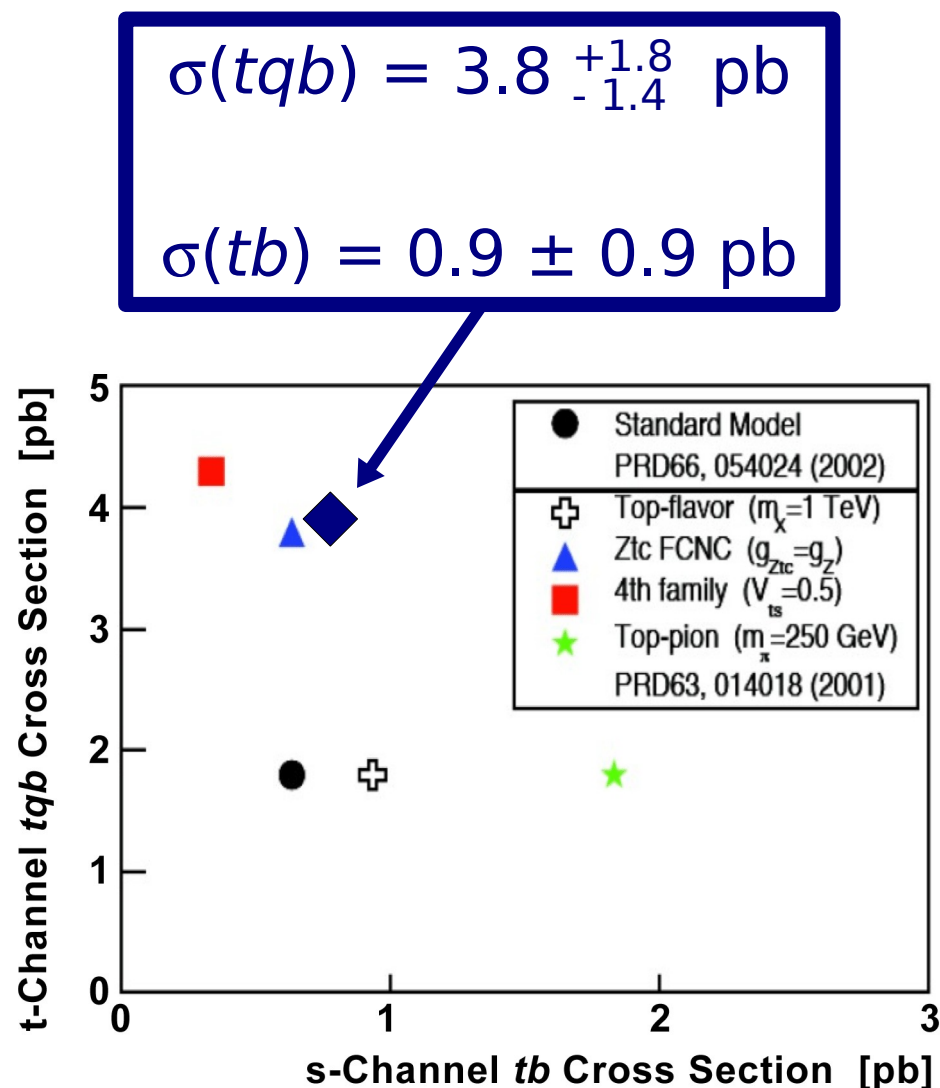
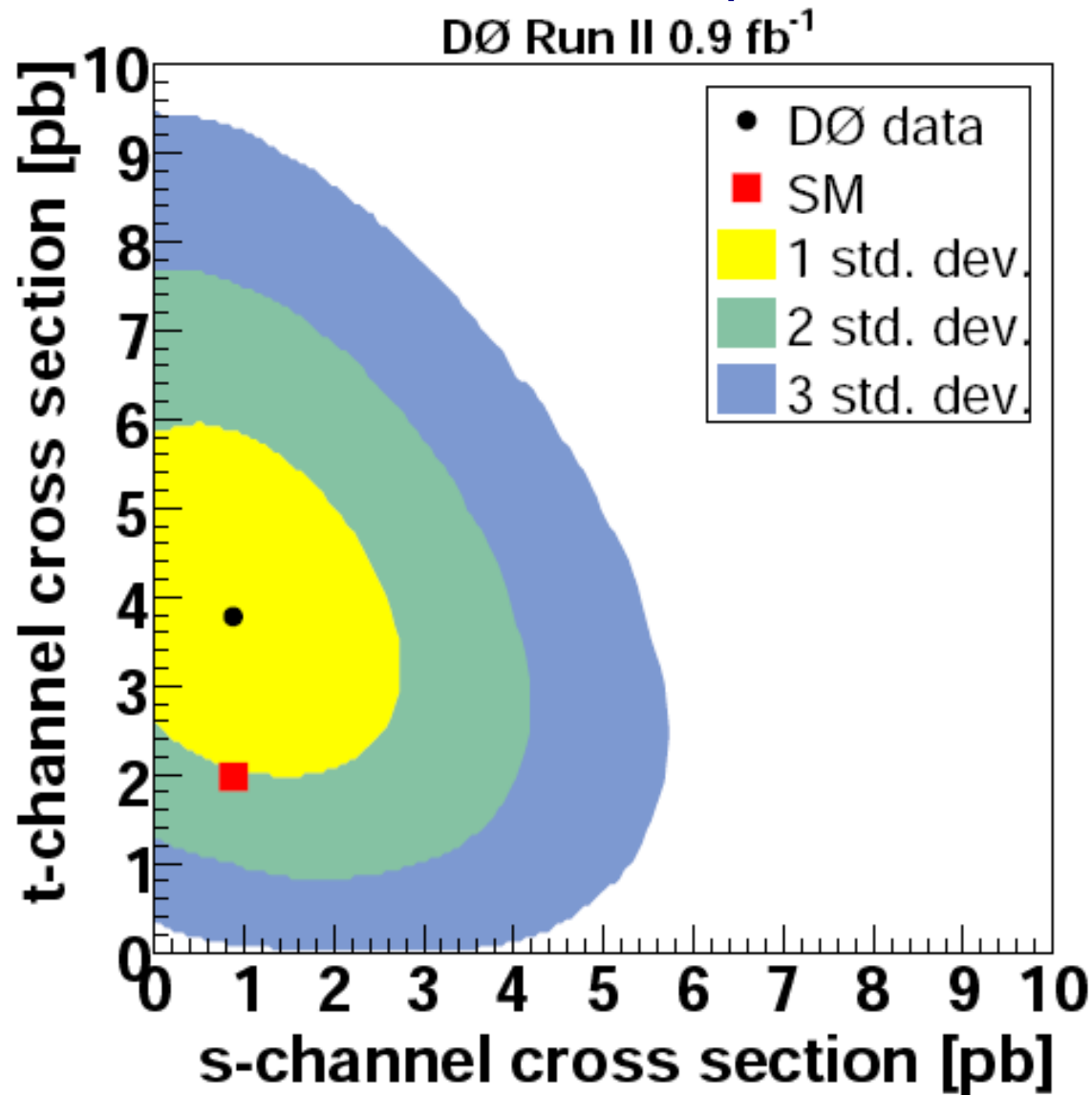
- ▶ Normalize the MC Wjj and Wbb samples to the real ℓ yield found in data, after correcting for the presence of tt events:

$$\varepsilon_{real} N_{real}^{loose} = SF [Y(Wjj) + Y(Wb\bar{b}) + Y(Wc\bar{c})] + Y(t\bar{t}) \quad SF=1.4$$

- ▶ The sum $Y(Wjj) + Y(Wbb) + Y(Wcc)$ is done according to the ratio of $(Wbb+Wcc)/Wjj$ found in 0-tag data $\rightarrow 1.5 \pm 0.5$
- ▶ Then apply b-tagging
 - ▶ Greatly reduce W+jets background ($Wbb \sim 1\%$ of Wjj)
 - ▶ Shift distributions, changes flavor composition

tb and tqb separately

- ▶ Remove the constraint of SM s:t ratio
- ▶ Measure model independent s- and t-channel cross sections



Event selection and S:B

Percentage of single top *tb+tb* selected events and S:B ratio (white squares = no plans to analyze)

Electron + Muon	1 jet	2 jets	3 jets	4 jets	≥ 5 jets
0 tags	10% 1 : 3,200	25% 1 : 390	12% 1 : 300	3% 1 : 270	1% 1 : 230
1 tag	6% 1 : 100	21% 1 : 20	11% 1 : 25	3% 1 : 40	1% 1 : 53
2 tags		3% 1 : 11	2% 1 : 15	1% 1 : 38	0% 1 : 43

Systematic uncertainties

- ▶ Uncertainties are assigned per background, jet multiplicity, lepton channel, and number of tags
- ▶ Uncertainties that affect both the **normalization** and the **shapes**: JES and tag rate functions
- ▶ Correlations between channels and sources are taken into account

Relative systematic uncertainties

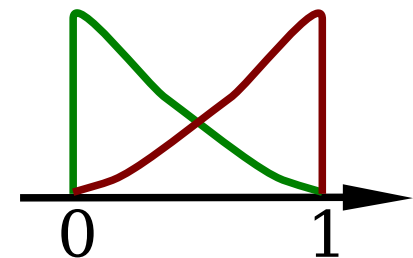
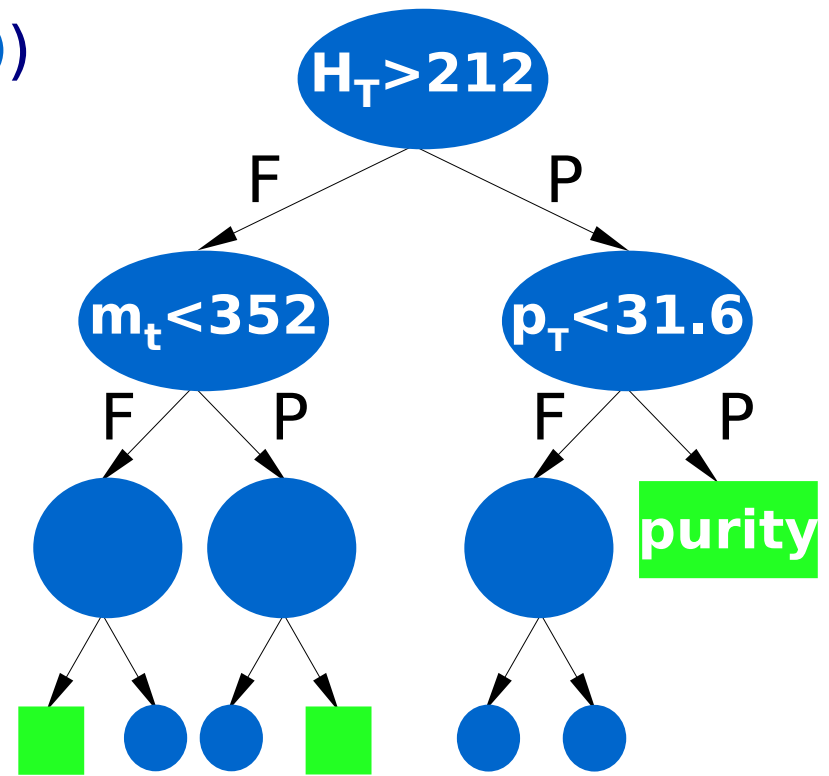
Component	Size
W+jets & QCD normalization	18 – 28%
top pair normalization	18%
Tag rate functions (+shape)	2 – 16%
Jet energy scale (+shape)	1 – 20%
Luminosity	6%
Trigger modeling	3 – 6%
Lepton ID	2 – 7%
Jet modeling	2 – 7%
Other small components	few%

Decision Trees

Machine learning technique widely used in social sciences

Idea: recover events that fail criteria in cut-based analysis

- ▶ Start with all events (first node ●)
- ▶ For each variable, find the splitting value with best separation between children
- ▶ Select best variable and cut: produce **P**ass and **F**ailed branches
- ▶ Repeat recursively on each node
- ▶ Stop when improvement stops or when too few events left
- ▶ Terminal node: leaf ■ with $\text{purity} = N_S / (N_S + N_B)$
- ▶ Output: purity for each event



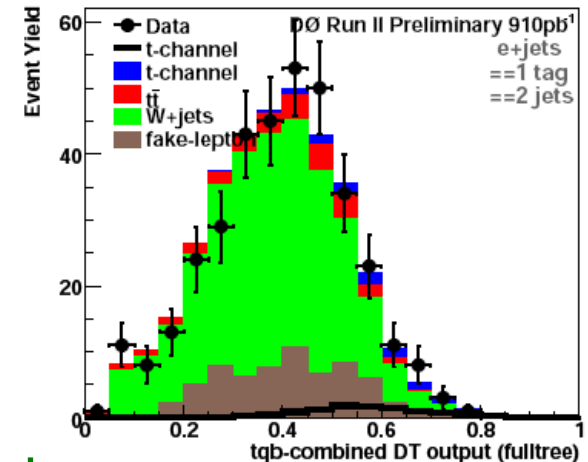
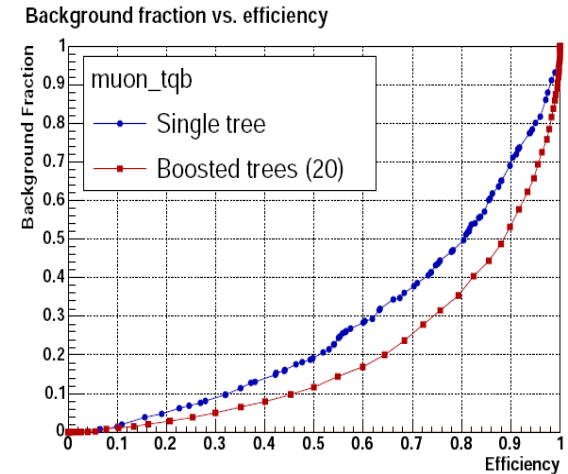
Decision Trees + Boosting

Boosting is a recent technique to improve the performance of any weak classifier: recently used in DTs by GLAST and MiniBooNE

AdaBoost algorithm: adaptive boosting

- 1) Train a tree T_k
- 2) Check which events are **misclassified** by T_k
- 3) Derive tree weight α_k
- 4) Increase weight of misclassified events
- 5) Train again to build T_{k+1}

- We have trained 36 separate trees: (s, t, s+t)x(e,mu)x(2,3,4 jets)x(1,2 tags)
- Use 1/3 of MC events for training
- For each signal, train against sum of backgrounds
- Signal leaf if purity > 0.5; Minimum leaf size = 100 events; Goodness of split: Gini factor; Adaboost $\beta = 0.2$; boosting cycles = 20



Decision Trees: 49 variables

Object Kinematics

$p_T(\text{jet1})$
 $p_T(\text{jet2})$
 $p_T(\text{jet3})$
 $p_T(\text{jet4})$
 $p_T(\text{best1})$
 $p_T(\text{notbest1})$
 $p_T(\text{notbest2})$
 $p_T(\text{tag1})$
 $p_T(\text{untag1})$
 $p_T(\text{untag2})$

Angular Correlations

$\Delta R(\text{jet1}, \text{jet2})$
 $\cos(\text{best1}, \text{lepton})_{\text{besttop}}$
 $\cos(\text{best1}, \text{notbest1})_{\text{besttop}}$
 $\cos(\text{tag1}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{tag1}, \text{lepton})_{\text{btaggedtop}}$
 $\cos(\text{jet1}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{jet1}, \text{lepton})_{\text{btaggedtop}}$
 $\cos(\text{jet2}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{jet2}, \text{lepton})_{\text{btaggedtop}}$
 $\cos(\text{lepton}, Q(\text{lepton}) \times z)_{\text{besttop}}$
 $\cos(\text{lepton}_{\text{besttop}}, \text{besttop}_{\text{CMframe}})$
 $\cos(\text{lepton}_{\text{btaggedtop}}, \text{btaggedtop}_{\text{CMframe}})$
 $\cos(\text{notbest}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{notbest}, \text{lepton})_{\text{besttop}}$
 $\cos(\text{untag1}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{untag1}, \text{lepton})_{\text{btaggedtop}}$

Event Kinematics

Aplanarity(alljets, W)
 $M(W, \text{best1})$ ("best" top mass)
 $M(W, \text{tag1})$ ("b-tagged" top mass)
 $H_T(\text{alljets})$
 $H_T(\text{alljets} - \text{best1})$
 $H_T(\text{alljets} - \text{tag1})$
 $H_T(\text{alljets}, W)$
 $H_T(\text{jet1}, \text{jet2})$
 $H_T(\text{jet1}, \text{jet2}, W)$
 $M(\text{alljets})$
 $M(\text{alljets} - \text{best1})$
 $M(\text{alljets} - \text{tag1})$
 $M(\text{jet1}, \text{jet2})$
 $M(\text{jet1}, \text{jet2}, W)$
 $M_T(\text{jet1}, \text{jet2})$
 $M_T(W)$
Missing E_T
 $p_T(\text{alljets} - \text{best1})$
 $p_T(\text{alljets} - \text{tag1})$
 $p_T(\text{jet1}, \text{jet2})$
 $Q(\text{lepton}) \times \eta(\text{untag1})$
 \sqrt{s}
Sphericity(alljets, W)

Most discrimination:

$M(\text{alljets})$

$M(W, \text{tag1})$

$\cos(\text{tag1}, \text{lepton})_{\text{btaggedtop}}$

$Q(\text{lepton}) \times \eta(\text{untag1})$

- Adding variables does not degrade performance
- Tested shorter lists, lose some sensitivity
- Same list used for all channels

Bayesian Neural Networks

A different sort of NN (<http://www.cs.toronto.edu/radford/fbm.software.html>):

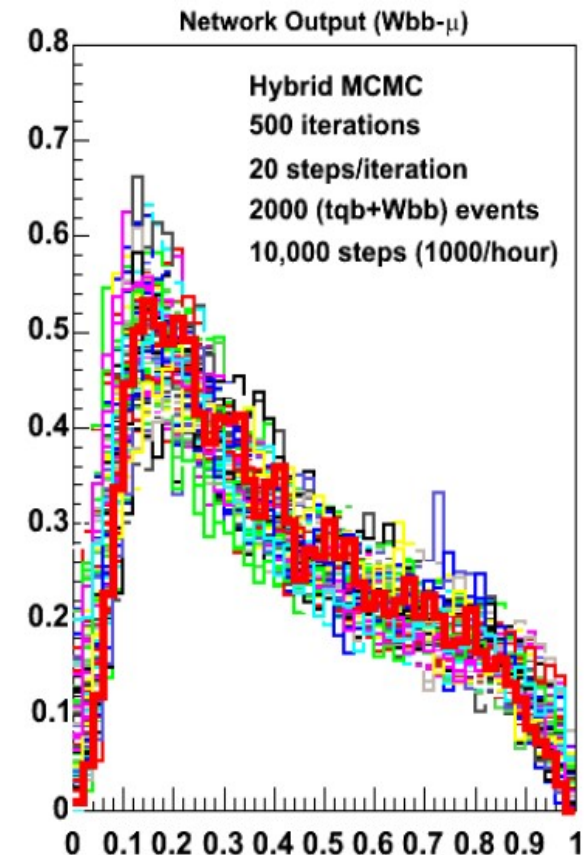
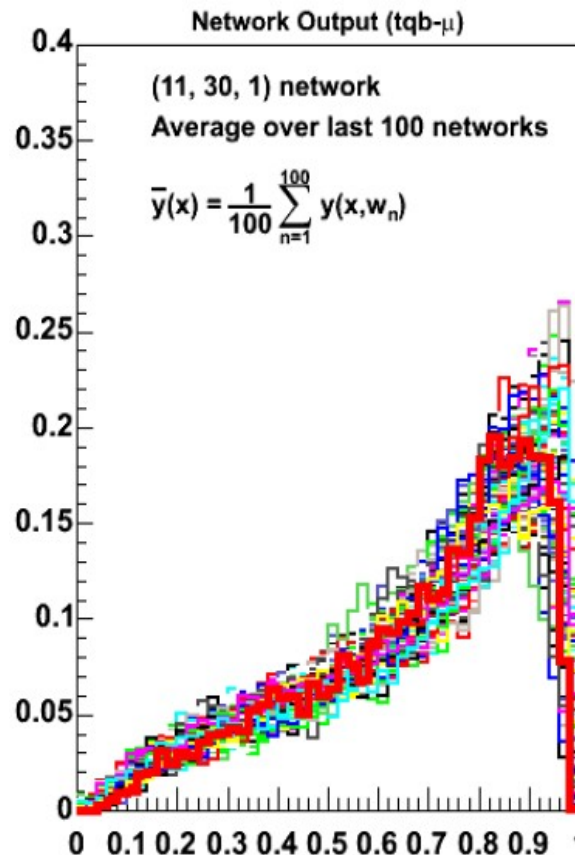
- ▶ Instead of choosing one set of weights, find posterior probability density over all possible weights
- ▶ Averages over many networks weighted by the probability of each network given the training data
- ▶ Use 24 variables (subset of the DT variables) and train against sum of backgrounds

Advantages:

- Less prone to overfitting, because of Bayesian averaging
- Network structure less important: can use large networks!
- Optimized performance

Disadvantages:

- Computationally demanding!

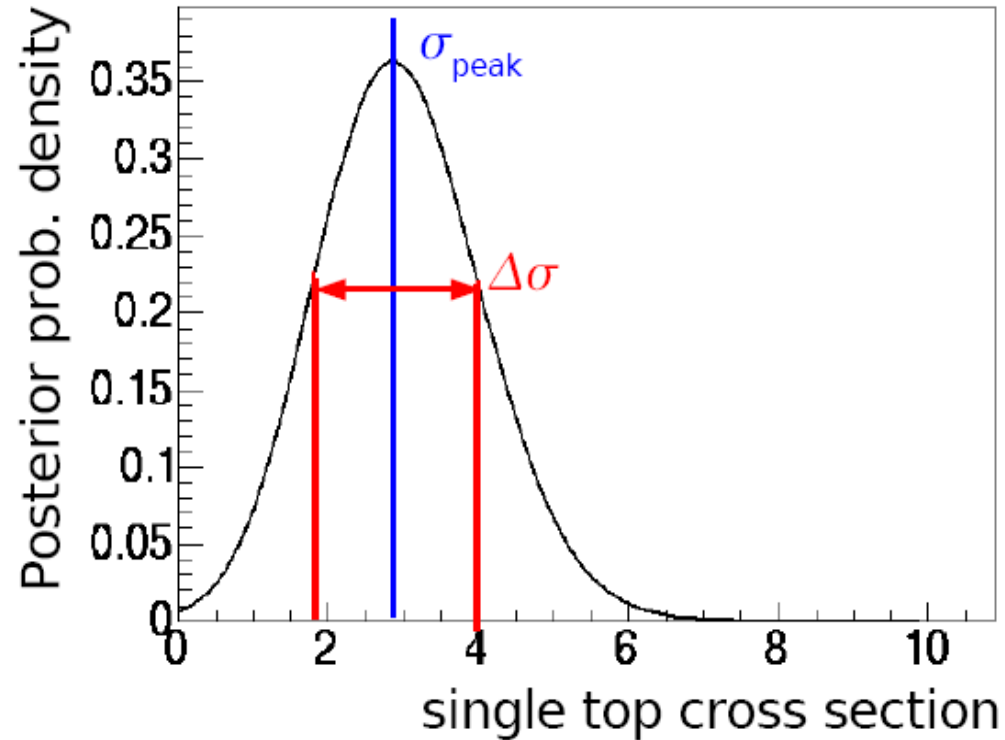


3) Measuring the cross section

- ▶ We form a binned likelihood from the discriminant outputs
- ▶ Probability to observe data distribution D , expecting y :

$$y = \underbrace{\alpha \mathcal{L} \sigma}_{\text{signal}} + \underbrace{\sum_{s=1}^N b_s}_{\text{bkgd.}} = a\sigma + \sum_{s=1}^N b_s$$

$$P(D|y) \equiv P(D|\sigma, a, b) = \prod_{i=1}^{nbins} P(D_i|y_i)$$



- ▶ And obtain a Bayesian posterior probability density as a function of the cross section:

$$Post(\sigma|D) \equiv P(\sigma|D) \propto \int_a \int_b P(D|\sigma, a, b) \text{Prior}(\sigma) \text{Prior}(a, b)$$

- Shape and normalization systematics treated as nuisance parameters
- Correlations between uncertainties properly accounted for
- Flat prior in signal cross section