

Quarks for Dummies [™] Modeling (e/ µ /)-N Cross Sections from Low to High Energies: from DIS to Resonance, to Quasielastic Scattering

Modified LO PDFs, ξ_w scaling, Quarks and Duality

Fermilab Wine/Cheese Talk August 16, 2002 (updated Aug. 30,2002) Arie Bodek, Univ. of Rochester Un-Ki Yang, Univ. of Chicago



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Some of this QCD/PDF work has been published in

- 1. Studies in QCD <u>NLO</u>+TM+ renormalon HT Yang, Bodek Phys. Rev. Lett 82, 2467 (1999)
- 2. Studies in QCD <u>NNLO</u>+TM+ renormalon HT Yang, Bodek: Eur. Phys. J. C13, 241 (2000)

Scaling variable PDF Studies (X , ξ , ξ , ξ)

- Oth ORDER PDF (QPM + X w scaling) studies A. Bodek, et al PRD 20, 1471 (1979) + earlier papers in the 1970's.
- LO + Modified PDFs (X w scaling) studies -Bodek, Yang: hep-ex/0203009 (2002) to appear in proc of NuInt01-KEK (Nuclear Physics B) +DPF02
- LO + Modified PDFs (ξ_w scaling) studies -Presented at NuFact 02-London (*July 2002*) - being written.
 - covered in THIS TALK

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Neutrino cross sections at low energy

- Neutrino <u>oscillation experiments</u> (K2K, MINOS, CNGS, MiniBooNE, and future experiments with Superbeams at JHF,NUMI, CERN) are in the few GeV region
- Important to correctly model neutrino-nucleon and neutrino-nucleus reactions at 0.5 to 4 GeV (essential for precise next generation neutrino oscillation experiments with super neutrino beams) as well as at the 15-30 GeV (for future ν factories) NuInt, Nufac
- The very high energy region in neutrino-nucleon scatterings (50-300 GeV) is well understood at the few percent level in terms QCD and Parton Distributions Functions (PDFs) within the framework of the quark-parton model (data from a series of $e/\mu/v$ DIS experiments)
- However, neutrino differential cross sections and final states in the few GeV region are poorly understood. (especially, resonance and low Q² DIS contributions). In contrast, there is enormous amount of e-N data from SLAC and Jlab in this region.
- Intellectually Understanding Low Energy neutrino and electron scattering Processes is also a very way to understand quarks and QCD. - common ground between the QCD community and the weak interaction community, and between medium and HEP physicists.

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The Importance of Precision Measurement of the Oscillation Probability $P(v_{\mu} \rightarrow v_{e})$ with Neutrino Superbeams

- Conventional "superbeams" (e.g. NUMI) will be our only windows into this suppressed transition
 - Analogous to |V_{ub}| in quark sector
 - (Next steps: µ sources or "beams" too far away)
- Studying P(_µ-> _e) in neutrinos and antineutrinos gives us magnitude and phase information on |U_{e3}|



Examples of Current Low Energy Neutrino Data: Quasi-elastic cross section



Examples of Low Energy Neutrino Data: Total (inelastic and quasielastic) cross section



E GeV

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Examples of Current Low Energy Neutrino Data: Single charged and neutral pion production



Old bubble chamber language live of Rochester

Status of Cross-Sections

• Not well-known, especially in region of NUMI 0.7^o off-axis proposal (~2 GeV)





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7/2D/02 6:48 AM



Note: 2nd conf. NuIntO2 to Be held at UC Irvine Dec 12-15,2002 Needed even for the Low statistics at K2K Bring people of All languages And nuclear and Particle physicists Together. Nulat01

Nulnt01 : The First International Workshop on Neutrino-Nucleus Interactions in the Few GeV Region

December 13-16, 2001, KEK, Tsukuba, Japan



What do we want to know about low energy neutrino reactions and why-1

Reasons

- Intellectual Reasons:
- Understand how QCD works in both neutrino and electron scattering at low energies different spectator quark effects. (There are fascinating issues here as we will show)
- How is fragmentation into final state hadrons affected by nuclear effects in electron versus neutrino reactions.
- Of interest to : Nuclear Physics/Medium Energy, QCD/ Jlab communities

Practical Reasons:

- Determining the neutrino sector mass and mixing matrix precisely
- requires knowledge of both Neutral Current (NC) and Charged Current(CC) differential Cross Sections and Final States
- These are needed for the NUCLEAR TARGET from which the Neutrino Detector is constructed (e.g Water, Carbon, Iron).
- Particle Physics/ HEP/ FNAL /KEK/ Neutrino communities

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Neutrino Processes of Interest-2

Charged - Current: both differential cross sections and final states

- <u>Neutrino mass \(\Delta M^2: ->\)</u> <u>Charged Current Cross</u> <u>Sections and Final</u> <u>States are needed:</u>
- The level of neutrino charged current cross sections versus energy provide the baseline against which one measures ΔM^2 at the oscillation maximum.

 $\nu_{\mu} \mathbb{N} \longrightarrow \mu^{-} \mathbb{X}$ IHEP/JINR96 = GOM79/7





Neutrino Processes of Interest-3

Neutral - Current both differential cross sections and final states



How are PDFs Extracted from global fits to High Q2 Deep Inelastic e/µ/v Data

MRSR2 PDFs 1.50 1.25 1.00 =25 MRS(R2) xf(x) 0.75 0.50 0.25

10⁻³

10-2

Х

^{نا} 0.00 ^{لا} 10

-4

Note: additional information on Antiquarks from Drell-Yan and on

Gluons from p-pbar jets also used. $u_v + d_v = \overline{from} = F_2^v = x(u + \overline{u}) + x(d + \overline{d})$ xF_3^{\vee} $x(u-\bar{u}) + x(d-\bar{d})$ $u + \bar{u}^{from} F_2^p - \frac{4}{9}x(u + \bar{u}) + \frac{1}{9}x(d + \bar{d})$ $d+\bar{d}$ from ${}^{\mu}F_2^n$ $\frac{1}{\alpha}x(u+\bar{u})+\frac{4}{\alpha}x(d+\bar{d})$ nuclear effects ${}^{\mu}F_{2}^{n} = 2 \frac{{}^{\mu}F_{2}^{d}}{{}^{\mu}F_{2}^{p}} - 1$ typically ignored $p \bar{p} W^{Asymmetry} = \frac{d/u(x_1) - d/u(x_2)}{d/u(x_1) + d/u(x_2)}$ from d/u

At high x, deuteron binding effects introduce an uncertainty in the d distribution extracted from F2d data (but not from the W asymmetry data).

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 10^{0}

-1

10

Neutrino cross sections at low energy

Neutrino interactions --

- Quasi-Elastic / Elastic (W=Mp) ν_μ + n --> μ⁻ + p (x =1, W=Mp) well measured and described by form factors (but need to account for Fermi Motion/binding effects in nucleus) e.g. Bodek and Ritchie (Phys. Rev. D23, 1070 (1981)
- Resonance (low Q², W< 2) ν_μ + p --> μ⁻ + p + π Poorly measured and only 1st resonance described by Rein and Seghal
- Deep Inelastic $v_{\mu} + p \rightarrow \mu^{-} + X$ (high Q², W> 2) well measured by high energy experiments and well described by quark-parton model (pQCD with NLO PDFs), but doesn't work well at low Q² region.



- The challenge is to describe ALL THREE processes at ALL neutrino (or electron) energies
- HOW CAN THIS BE DONE? -Subject of this TALK

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MIT SLAC DATA 1972 e.g. E0 = 4.5 and 6.5 GeV

e-P scattering A. Bodek PhD thesis 1972

[PRD 20, 1471(1979)] Proton Data



- ' The electron scattering data in the Resonance Region is the "Frank Hertz Experiment" of the Proton. The Deep Inelastic Region is the "Rutherford Experiment" of the proton'
- V. Weisskopf * (former faculty member at Rochester and at MIT when he showed these data at an MIT Colloquium in

1971 (* died April 2002 at age 93)

What do The Frank Hertz" and "Rutherford Experiment" of the proton' have in common? A: Quarks! And QCD



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Building up a model for all Q².

				Challenges	
•	Can we build up a model to describe all Q ² region from high down to very low energies ? [resonance, DIS, even photo production]	 Understanding of high x PDFs at very low Q²? There is a of wealth SLAC, JLAB data, but it requires understanding of non-perturbative QCD effects. Need better understanding of resonance scattering in terms of the quark-parton model? (duality works, many studies by UAD) 			
•	Advantage if we describe it in terms of the quark-parton model.				
•	convert charged-lepton scattering cross sections into neutrino cross section. (just matter of different couplings)	J • N C • A s	LAB) leed to s l ² =0. .t high Q um rules	satisfy photoproduce 1 ² should agree wit	ction limits at h QCD PDFs and
0	Final state hadrons implemented in terms of fragmentation functions.	• A s • S	t ALL Q um rules hould h	2 should agree wit s. ave theoretical ba	h Current Algebra
0	Nuclear dependence of PDFs and fragmentation functions can be included.	• If P is	one kno DFs) an easier	ows where the roa nd ends (Q2=0 pho to build it.	d begins (high Q2 oto-production), it

Initial quark mass m_{\parallel} and final mass $m_{F}=m^{*}$ bound in a proton of mass M - Summary: INCLUDE quark initial Pt) Get ξ scaling (not $x=Q^{2}/2M_{V}$) ξ Is the correct variable which is Invariant in any frame : q3 and P in opposite directions. PI, PO = q3, q0 $P_{F}=P_{I}^{0}, P_{I}^{3}, m_{I}$ $P_{F}=P_{I}^{0}, P_{I}^{3}, m_{I}$ $P_{F}=P_{I}^{0}, P_{I}^{3}, m_{I}$ $P_{F}=P_{I}^{0}, P_{I}^{3}, m_{I}$

quark photon

 $\xi = \frac{Q^2 + m_F^2}{M\nu[1 + \sqrt{(1 + Q^2/\nu^2)}]} \qquad \text{for } m_I^2, Pt = 0$

 $(q + P_I)^2 = P_F^2$ $q^2 + 2P_I q + P_I^2 = m_F^2$

 $\xi = \frac{P_I^0 + P_I^3}{P_P^0 + P_P^3}$

Special cases: Numerator m_F^2 : Slow Rescaling ξ as in charm production

Denominator: Target mass effect, e.g. Nachtman Variable ξ , Light Cone Variable ξ , Georgi Politzer Target Mass ξ

Most General Case: $\xi'_{w} = [Q'^2 + B] / [M_{V} (1+(1+Q^2/v^2))^{1/2} + A]$ where $2Q'^2 = [Q^2 + m_F^2 - m_1^2] + [(Q^2 + m_F^2 - m_1^2)^2 + 4Q^2 (m_1^2 + P^2t)]^{1/2}$ For the case of Pt2=0 see R. Barbieri et al Phys. Lett. 64B, 1717 (1976) and Nucl. Phys. B117, 50 (1976) Add B and A to account for effects of additional Δm^2 from NLO and NNLO (up to infinite order) QCD effects.

Initial quark mass m₁ and final mass ,m_F=m ^{*} bound in a proton of mass M -- Page 1 INCLUDE quark initial Pt) Get ξ scaling (not x=Q²/2M_V) DETAILS

	q=q3,q0
ξ Is the correct variable which is Invariant in any frame : q3 and P in opposite directions. PI,P0 q3,q0	$\xi = P_{F}^{0}, P_{I}^{3}, m_{I}$ $P_{F}^{0} = P_{F}^{0}, P_{I}^{3}, m_{I}$ $P_{F}^{0} = P_{F}^{0}, P_{F}^{3}, m_{F}^{0} = m^{*}$ $(q + P_{I})^{2} = P_{F}^{2} \qquad q^{2} + 2P_{I} \qquad q + P_{I}^{2} = m_{F}^{2}$
$\xi = \frac{P_I^0 + P_I^3}{P_P^0 + P_P^3} \qquad quark \qquad photon$	$2(P_I^0 q^0 + P_I^3 q^3) = Q^2 + m_F^2 - m_I^2 \qquad Q^2 = -q^2 = (q^3)^2 - (q^0)^2$ In - LAB - Frame : $Q^2 = -q^2 = (q^3)^2 - \chi^2$
$In - LAB - Frame: \qquad P_P^0 = M, P_P^3 = 0$	$[\xi M + (m_t^2 + Pt^2)/(\xi M)] \vee + [\xi M - (m_t^2 + Pt^2)/(\xi M)] q^3$
$\xi = \frac{P_{I-LAB}^{0} + P_{I-LAB}^{3}}{M} \qquad P_{I-LAB}^{0} + P_{I-LAB}^{3} = \xi M$	$=Q^{2} + m_{F}^{2} - m_{I}^{2} : General$
$\xi = \frac{\left(P_{I}^{0} + P_{I}^{3}\right)\left(P_{I}^{0} - P_{I}^{3}\right)}{M(P_{I}^{0} - P_{I}^{3})} = \frac{\left(P_{I}^{0}\right)^{2} - \left(P_{I}^{3}\right)^{2}}{M(P_{I}^{0} - P_{I}^{3})}$	Set: m_I^2 , $Pt = 0$ (for now) $\xi M_{NL} + \xi M a^3 = O^2 + m^2$
$\xi M(P_I^0 - P_I^3) = (m_I^2 + Pt^2)$ $P_I^0 - P_I^3 = (m_I^2 + Pt^2)/(\xi M)$	$\xi = \frac{Q^2 + m_F^2}{M(\nu + q^3)} = \frac{Q^2 + m_F^2}{M\nu(1 + q^3/\nu)} \text{for } m_I^2, Pt = 0$
(1): $P_{I}^{\circ} - P_{I}^{\circ} = (m_{I}^{2} + Pt^{2})/(\xi M)$ (2): $P_{I}^{\circ} + P_{I}^{3} = \xi M$ $2P_{I}^{\circ} = \xi M + (m_{I}^{2} + Pt^{2})/(\xi M) \xrightarrow{m_{I}, Pt = 0} \xi M$	$\xi = \frac{Q^2 + m_F^2}{M\nu[1 + \sqrt{(1 + Q^2/\nu^2)}]} \qquad \text{for } m_I^2, Pt = 0$ Special cases : Denom – TM term, Num – Slow rescaling
$2P_{I}^{3} = \xi M - (m_{I}^{2} + Pt^{2}) / (\xi M) \qquad $	Arie Bodek, Univ. of Rochester 18

initial quark mass m₁ and final mass m_F=m^{*} bound in a proton of mass M -- Page 2 INCLUDE quark initial Pt) **DETAILS** q=q3,q0

$\xi \text{ For the case of non zero } m_I, P_t$ (note P and q3 are opposite) $PI, P0 \qquad q3, q0$ $\xi = \frac{P_I^0 + P_I^3}{P_P^0 + P_P^3} \qquad quark \qquad photon$	$\xi = P_{F} = P_{I}^{0}, P_{I}^{3}, m_{I}$ $P_{F} = P_{F}^{0}, P_{F}^{3}, m_{F} = m^{*}$ $(q + P_{I})^{2} = P_{F}^{2} \qquad q^{2} + 2P_{I} \qquad q + P_{I}^{2} = m_{F}^{2}$ $Q^{2} = -q^{2} = (q^{3})^{2} - v^{2}$
$In - LAB - Frame: P_P^0 = M, P_P^3 = 0$ (1) : $2P_I^0 = \xi M + (m_I^2 + Pt^2) / (\xi M)$ (1) : $2P_I^3 = \xi M - (m_I^2 + Pt^2) / (\xi M)$	$[\xi M + (m_I^2 + Pt^2)/(\xi M)]v + [\xi M - (m_I^2 + Pt^2)/(\xi M)]q^3$ = Q ² + m _F ² - m _I ²

Keep all terms here and : multiply by § M and group terms in § qnd § ² § ² M ² (v+q3) - § M [Q²+ m_F²-m₁²] + [m₁²+Pt² (v-q3)²] = 0 General Equation **a b c** => solution of quadratic equation § = [-b + (b² - 4ac) ^{1/2}] / 2a use (v²-q3²) = q² = -Q² and (v+q3) = v + v [1 + Q²/v²] ^{1/2} = v + v [1 + 4M² x²/Q²] ^{1/2} § '_w = [Q'²+B] / [Mv (1+(1+Q²/v²)) ^{1/2} +A] where 2Q'² = [Q² + m_F² - m₁²] + [(Q²+m_F² - m₁²)² + 4Q² (m₁² + P²t)] ^{1/2} Add B and A to account for effects of additional Δ m² from NLO and NNLO effects. or 2Q'² = [Q²+m_F²-m₁²] + [Q⁴ + 2Q²(m_F² + m₁² + 2P²t) + (m_F² - m₁²)²] ^{1/2} § w = [Q'²+B] / [M v (1 + [1 + 4M² x²/Q²] ^{1/2}) +A] (equivalent form) § w = x [2Q'² + 2B] / [Q² + (Q⁴ + 4x² M²Q²) ^{1/2} +2Ax] (equivalent form)

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QCD is an asymptotic series, not a converging series- at any order, there are power corrections



In pQCD the (1/ Q^2) terms from the interacting quark are the missing higher order terms. Hence, $a_{2,N}$ and $a_{4,N}$ should become smaller with N. The only other HT terms are from the final state interaction with the spectator quarks, which should only affect the low W region. Our studies have shown that to a good approximation, if one includes the known target mass (TM) effects, the spectator quarks do not affect the average level of the low W cross section as predicted by pQCD if the power corrections from the interacting quark are included.

Preview: Will model multi-gluon emission with Mi, Pti, Mf

What are Higher Twist Effects- page 1

Higher Twist Effects are terms in the structure functions that behave like a power series in (1/Q²) or [Q²/(Q⁴+A)],... (1/Q⁴) etc....



(a)Higher Twist: Interaction between Interacting and Spectator guarks via gluon exchange at Low Q2-at low W (b) Interacting quark TM binding, initial Pt and Missing Higher Order QCD terms DIS region. ->($1/Q^2$) or $[Q^2/(Q^4+A)],...(1/Q^4)$.

•While pQCD predicts terms in α_s^2 (~1/[In(Q²/ Λ^2)])... α_s^4 etc...

In the few GeV region, the terms of the •(i.e. LO, NLO, NNLO etc.) two power series cannot be distinguished,



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What are Higher Twist Effects - Page 2-details

- Nature has "evolved" the high Q² PDF from the low Q² PDF, therefore, the high Q² PDF include the information about the higher twists.
- High Q² manifestations of higher twist/non perturbative effects include: difference between u and d, the difference between d-bar, u-bar and s-bar etc. High Q² PDFs "remember" the higher twists, which originate from the non-perturbative QCD terms.
- Evolving back the high Q² PDFs to low Q² (e.g. NLO-QCD) and comparing to low Q² data is one way to check for the effects of higher order terms.
- What do these higher twists come from?
 - Kinematic higher twist initial state target mass binding (Mp, TM) initial state and final state quark masses (e.g. charm production)- TM important at high x
 - Dynamic higher twist correlations between quarks in initial or final state.==> Examples : Initial or final state multiquark correlations: diquarks, elastic scattering, excitation of quarks to higher bound states e.g. resonance production, exchange of many gluons: important at low W
 - Non-perturbative effects to satisfy gauge invariance and connection to photoproduction [e.g. F₂(v,Q²=0) = Q²/[Q²+C]=0]. important at very low Q2.
 - Higher Order QCD effects to e.g. NNLO+ multi-gluon emission"looks like" Power higher twist corrections since a LO or NLO calculation do not take these into account, also quark intrinsic P_T (terms like P_T²/Q²). Important at all x (look like Dynamic Higher Twist)

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Old Picture of fixed W scattering - form factors (the Frank Hertz Picture)

- OLD Picture fixed W: Elastic Scattering, Resonance Production. Electric and Magnetic Form Factors (G_E and G_M) versus Q² measure size of object (the electric charge and magnetization distributions).
- Elastic scattering W = M^p = M, single final state nucleon: Form factor measures size of nucleon.Matrix element squared | |² between initial and final state lepton plane waves. Which becomes:
- $| < e^{-i k2. r} | V(r) | e^{+i k1. r} > |^2$
- q = k1 k2 = momentum transfer
- $G_E(q) = \int \{e^{iq \cdot r} \rho(r) d^3r \} =$ Electric form factor is the Fourier transform of the charge distribution. Similarly for the magnetization distribution for G_M Form factors are relates to structure function by:
 - $2xF_1(x,Q^2)_{elastic} = x^2 G_M^2_{elastic}(Q^2) \delta(x-1)$
- Resonance Production, W=M^R, Measure transition form factor between a quark in the ground state and a quark in the first excited state. For the Delta 1.238 GeV first resonance, we have a Breit-Wigner instead of (x-1).
- $2xF_1(x, Q^2)_{\text{resonance}} \sim x^2 G_M^2_{\text{Res. transition}} (Q^2) BW (W-1.238)$

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e +i k2 . r

e +i k1

rMp

Duality: Parton Model Pictures of Elastic and Resonance Production at Low W (High Q2)

Elastic Scattering, Resonance Production: Scatter from one quark with the correct parton momentum , and the two spectator are just right such that a final state interaction A_w (w, Q²) makes up a proton, or a resonance.

Elastic scattering $W = M^p = M$, single nucleon in final state.

The scattering is from a quark with a very high value of , is such that one cannot produce a single pion in the final state and the final state interaction makes a proton.





 A_w (w, Q²) = δ (x-1) and the level is the {integral over , from pion threshold to =1 }: local duality (This is a check of local duality in the extreme, better to use measured Ge,Gm, Ga, Gv)

Note: in Neutrinos (axial form factor within 20% of vector form factor) Resonance Production, W=M^R, e.g. delta 1.238 resonance. The scattering is from a quark with a high value of , is such that that the final state interaction makes a low mass resonance. A_w (w, Q²) includes Breit-Wigners. Local duality Also a check of local duality for electrons and neutrinos

With the correct scaling variable, and if we account for low W and low Q2 higher twist effects, the prediction using QCD PDFs $q(, Q^2)$ should give an average of F2 in the elastic scattering and in the resonance region. (including both resonance and continuum contributions). If we modulate the PDFs with a final state interaction resonance A (w, Q²) we could also reproduce the various Breit-Wigners + continuum.

Photo-production Limit Q²=0 **Non-Perturbative - QCD evolution freezes**

- Photo-production Limit: Transverse Virtual and Real Photo-production cross sections must be equal at Q²=0. Non-perturbative effect. •
- There are no longitudinally polarized photons at Q²=0 •

•
$$\sigma_{L}(v, Q^{2}) = 0$$

• Implies R $(v, Q^{2}) = \sigma_{L}/\sigma_{T} \sim Q^{2} / [Q^{2} + const] \rightarrow 0$
• $\sigma(\gamma - proton, v) = \sigma_{T}(v, Q^{2})$
• implies $\sigma(\gamma - proton, v) = 0.112 \text{ mb } 2xF_{1}(v, Q^{2}) / (KQ^{2})$
• $\sigma(\gamma - proton, v) = 0.112 \text{ mb } F_{2}(v, Q^{2}) D / (KQ^{2})$
• $\sigma(\gamma - proton, v) = 0.112 \text{ mb } F_{2}(v, Q^{2}) D / (KQ^{2})$
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• $\sigma(\gamma - proton, v) = 0.112 \text{ mb } F_{2}(v, Q^{2}) D / (KQ^{2})$
• $\sigma(\gamma - proton, v) = 0.112 \text{ mb } F_{2}(v, Q^{2}) D / (F_{2}(v, Q^{2}))$

- If we want PDFs to work down to Q²=0 where pQCD freezes •
 - The PDFs must be multiplied by a factor $Q^2 / [Q^2 + C]$ (where C is a small number).
 - The scaling variable x does not work since $\sigma(\gamma$ -proton, v) = $\sigma_T(v, Q^2)$
 - At $Q^2 = 0$ $F_2(v, Q^2) = F_2(x, Q^2)$ with $x = Q^2/(2M_V)$ reduces to one point x=0
 - However, a scaling variable $\xi_w = (Q^2 + B) / (2Mv)$ works at $Q^2 = 0$ $F_2(v, Q^2) = F_2(\xi_c, Q^2) = F_2[B/(2Mv), 0]$ limit as $Q^2 >0$

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How do we "measure" higher twist (HT)

- Take a set of QCD PDF which were fit to high Q² (e/µ/) data (in Leading Order-LO, or NLO, or NNLO)
- Evolve to low Q2 (NNLO, NLO to $Q^2=1$ GeV²) (LO to $Q^2=0.24$)
- Include the "known" kinematic higher twist from initial target mass (proton mass) and final heavy quark masses (e.g. charm production).
- Compare to low Q²data in the DIS region (e.g. SLAC)
- The difference between data and QCD+target mass predictions is the extracted "effective" dynamic higher twists.
- Describe the extracted "effective" dynamic higher twist within a specific HT model (e.g. QCD renormalons, or a purely empirical model).
- Obviously results will depend on the QCD order LO, NLO, NNLO (since in the 1 GeV region 1/Q²and 1/LnQ² are similar). In lower orders, the "effective higher twist" will also account for missing QCD higher order terms. The question is the relative size of the terms.
 - o Studies in NLO Yang and Bodek: Phys. Rev. Lett 82, 2467 (1999) ;ibid 84, 3456 (2000)
 - o Studies in NNLO Yang and Bodek: Eur. Phys. J. C13, 241 (2000)
 - o Studies in LO Bodek and Yang: hep-ex/0203009 (2002)
 - o Studies in QPM 0th order Bodek, el al PRD 20, 1471 (1979)

Arie Bodek, Univ. of Rochester

Lessons from Two 99,00 QCD studies

- Our NLO study comparing NLO PDFs to DIS SLAC, NMC, and BCDMS e/µ scattering data on H and D targets shows (for $Q^2 > 1 \text{ GeV}^2$) [ref:Yang and Bodek: Phys. Rev. Lett 82, 2467 (1999)]
 - o Kinematic Higher Twist (target mass) effects are large and important at large x, and must be included in the form of Georgi & Politzer scaling.
 - o Dynamic Higher Twist effects are smaller, but need to be included. (A second NNLO study established their origin)
 - The ratio of d/u at high x must be increased if nuclear binding effects in the deuteron are taken into account.
 - o The Very high x (=0.9) region is described by NLO QCD (if target mass and renormalon higher twist effects are included) to better than 10%. SPECTATOR QUARKS modulate A(W,Q²) ONLY.
 - o Resonance region: NLO pQCD + Target mass + Higher Twist describes average F_2 in the resonance region (duality works). Include A_w (w, Q²) resonance modulating function from spectator guarks later.
- A similar NNLO study using NNLO QCD we find that the "empirically measured "effective" **Dynamic Higher Twist Effects** in the NLO study come from the **missing** NNLO higher order QCD terms. [ref: Yang and Bodek Eur. Phys. J. C13, 241 (2000)]

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Kinematic Higher-Twist (GP target mass:TM)



Georgi and Politzer Phys. Rev. D14, 1829 (1976): Well known

Kinematic Higher-Twist (target mass:TM)

 $\xi_{\text{TM}} = Q^2 / [M (1 + (1 + Q^2 / v^2))^{1/2})]$



Arie Bodek, Univ. of Rochester

Dynamic Higher Twist- Renormalon Model

Use: Renormalon QCD model of Webber&Dasgupta- Phys. Lett. B382, 272 (1996), Two parameters a₂ and a₄. This model includes the (1/Q²) and (1/Q⁴) terms from gluon radiation turning into virtual quark antiquark fermion loops (from the <u>interacting quark only</u>, the <u>spectator quarks are not involved</u>).



- $F_2^{\text{theory}}(x,Q^2) = F_2^{PQCD+TM} [1 + D_2(x,Q^2) + D_4(x,Q^2)]$ $D_2(x,Q^2) = (1/Q^2) [a_2/q(x,Q^2)] \circ (dz/z) c_2(z) q(x/z,Q^2)$ $D_4(x,Q^2) = (1/Q^4) [a_4 \text{ times function of } x]$
- In this model, the higher twist effects are different for $2xF_1$, xF_3 , F_2 . With complicated x dependences which are defined by only two parameters a_2 and a_4 . (the D_2 (x,Q²) term is the same for $2xF_1$ and , xF_3)

Fit a_2 and a_4 to experimental data for F_2 and $R=F_L/2xF_{1.}$

 $F_2^{data}(x,Q^2) = [F_2^{measured} + \lambda F_2^{syst}](1 + N)$: ² weighted by errors where **N** is the fitted normalization (within errors) and F_2^{syst} is the is the fitted correlated systematic error BCDMS (within errors).

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F₂, R comparison of <u>QCD+TM plot</u> (Q²>1) <u>vs. NLO QCD+TM+HT</u> (use QCD Renormalon Model for HT)

PDFs and QCD in NLO + TM + QCD Renormalon Model for Dynamic HTdescribe the F2 and R data very well, with only 2 parameters. Dynamic HT effects are there but small



Same study showing the <u>QCD-only Plot</u> (Q²>1) <u>vs. NLO QCD+TM+HT</u> (use QCD Renormalon Model for HT)

PDFs and QCD in NLO + TM + QCD Renormalon Model for Dynamic Higher Twist describe the F2 and R data reasonably well. TM Effects are LARGE





Look at Q²= 8, 15, 25 GeV² very high x data-backup slide*



F₂, R comparison with <u>NNLO QCD-works</u> => NLO HT are missing NNLO terms (Q²>1)

Size of the higher twist effect with NNLO analysis is really small (but not 0) a2= -0.009 (in NNLO) versus -0.1(in NLO) -> factor of 10 smaller, a4 nonzero



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At LOW x, Q² "NNLO terms" look similar to "kinematic final state mass higher twist" or "effective final state quark mass -> "enhanced" QCD


At High x, "NNLO QCD terms" have a similar form to the "kinematic -Georgi-Politzer ξ_™ TM effects" -> look like "enhanced" QCD evolution at low Q



Modified LO PDFs for all Q² region?

Philosophy

- 1. We find that NNLO QCD+tgt mass works very well for $Q^2 > 1$ GeV².
- 2. That target mass and missing NNLO terms "explain" what we extract as higher twists in a NLO analysis. i.e. SPECTATOR QUARKS ONLY MODULATE THE CROSS SECTION AT LOW W. THEY DO NOT CONTRIBUTE TO DIS HT.
- 2. However, we want to go down all the way to Q²=0. All NNLO and NLO terms blow up. However, higher twist formalism in terms of initial state target mass binding and Pt, and final state mass are valid below Q²=1, and mimic the higher order QCD terms for Q²>1 (in terms of effective masses, Pt due to gluon emission).
- 3. While the original approach was to explain the "empirical higher twists" in terms of NNLO QCD at low Q² (and extract NNLO PDFs), we can reverse the approach and have "higher twist" model non-perturbative QCD, down to Q²=0, by using LO PDFs and "effective target mass and final state masses" to account for initial target mass, final target mass, and missing NLO and NNLO terms. I.e. Do a fit with:
- 4. $F_2(x, Q^2) = Q^2 / [Q^2+C] F_{2QCD}(\xi w, Q^2) A(w, Q^2)$ (set $A_w(w, Q^2) = 1$ for now spectator quarks) C is the photo-production limit Non-perturbative term.
- 5. ξ w= [Q²+B] / [M_V (1+(1+Q²/v²) ^{1/2}) + A] or Xw = [Q²+B] /[2M_V + A]
- 6. B=effective final state quark mass. A=enhanced TM term, [Ref:Bodek and Yang hep-ex/0203009]

Modified LO PDFs for all Q² (including 0)

Construction				Results	
 Start with GRV94 LO (Q²mi) - describe F2 data at high Q Replace X with a new so = x= [Q²] / [2Mv] Xw= [Q²+B] / [2Mv+ A: initial binding/targe plus NLO +NNLO terms + B: final state mass eff (but also photo produ 2B. Or Replace X with a new § w= [Q²+B] / [Mv (1+(1+Q²/v²) Multiply all PDFs by a fact for photo prod. Limit+not F₂(x, Q²) = Q²/[Q²+C] F_{2QCD}(§ w, Q Freeze the evolution at Q -F₂(x, Q² < 0.24) = Q²/[Q²+C] F₂ A. Do a fit to SLAC/NMC/BQ data Allow the normali experiments and the BC systematic error to float HERE INCLUDE DATA W is not in the resonance 	aling, Xw A] et mass effect (A) et mass effect (A) et mass effect (A) et mass effect (A) (A) (A) (A) (A) (A) (A) (A)	• • •	Modifie paramo § w) de (SLAC) A=1.73 for Xw A=0.70 better a MASS Keep fin modula be inclu Compa (not us Compa (not us Compa data (n extent very hi [Ref:Boo	ed LO GRV94 eters (a new escribe DIS F /BCDMS/NMC 55, B=0.624, at , A includes t 00, B=0.327, at as expected N FROM HIGHE hal state interact ting function A ided in the future are with SLAC sed in our fit) are with photo sed in our fit)- are with mediu not used in our that GRV94 of igh energy da	PDFs with three scaling variable, Xw, 2 H, D data b) well. and C=0.188 Xw (note he Proton M) and C=0.197 \S w works MEASURE PROTON ER TWIST FITTING ction resonance (w, Q ²)=1 for now (will re). Fit DIS Only C/Jlab resonance data -> A (w, Q ²) b) production data c> check on C um energy neutrino ur fit)- except to the originally included ta on xF ₃ ep-ex/0203009]





Comparison with F2 resonance data [SLAC/Jlab] (These data were not included in this W fit) 0.5 The modified LO GRV94 PDFs with a new Q 0.4 0.4 scaling variable, Ew describe the 0.5 0.5 SLAC/Jlab resonance data very well (on 0.Z 0.2 average). 0.1 0.1 Even down to $Q^2 = 0.07 \text{ GeV}^2$ 0.0 0.02 0.0 0.20 0.20 2 0.3 0.4 x [Q⁸=0.22] 0.05 0.07 0.10 0.1 0.2 0.5 0.6 $x [Q^9 = 0.07]$ **Duality works: The DIS curve** 0.5 0.4 describes the average over resonance SLAC SLAC resonance fit (Keppel+Stuart) 0.4 лаъ 0.3 region F2(10:GRV94) 0.5 F2(10+HT:GRV94) 0.2 Ew fit. D.Z 0.1 0.1 For now, lets compare to neutrino data 0.0 -D.1 0.0 0.3 0.4 0.6 $\times [Q^8 = 0.85]$ 0.2 0.5 04 11 7 1.0 and photoproduction x [Q⁸=1.4] 0.20 0.0100 0.16 Later. repeat with other PDFs and f(x) • 0.0050 0.10 Note QCD evolution between Q2=0.85 gnd . 0.0010 0.0005 0.05 Q2=0.25 small. Later: add the A_w (w, Q²) modulating 0.0001 L... 0.70 0.00 0.60 0.86 0.90 0.96 0.4 1.0 0.76 1.00 $x [Q^8 = 3]$ x [Q⁸=9] function. (to account for interaction with 10-2 0-2 spectator quarks at low W) 10-3 Later: check the x=1 Elasic Scattering ₀–8 • Limit for electrons, neutrino, and 1st 10^{-4} <u>0</u>-4 resonance. 10-5 ₀-б 0.60 0.86 0.90 0.95 1.00 0.850 0.875 0.900 0.925 0.950 0.975 1.000 $x [Q^{2}=15]$ x [Q⁸=25] Arie Bodek, Univ. of Rochester 42

Comparison of LO+HT to neutrino data on Iron [CCFR] (not used in this xw fit)





The modified GRV94 LO PDFs with a new scaling variable, Xw describe the CCFR diff. cross section data (E =30–300 GeV) well. Will repeat with **W**

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The modified LO GRV94 PDFs with a new scaling variable, **W** also describe photo production data (Q²=0) to within 15%: To get better agreement at high v= 100 GeV (very low **W**), the GRV94 need to be updated to fit latest HERA data at very low x and low Q². Can switch to other 2002 LO PDFs. If we include these photoproduction data in the fit, we will get C of about 0.22, and <u>agreement at the</u> <u>few percent level</u>. To evaluate D = (1+ Q²/ v²)/(1+R) more precisely, we also need to look at the measured Jlab R data in the Resonance Region at Q² =0.24.

Comparison of u quark PDF for GRV94 and CTEQ4L and CTEQ6L (more modern PDFs)



GRV94 LO PDFs need to be updated.at very low x, but this is not important in the few GeV region

The GRV LO need to be updated to fit latest HERA data at very low x and low Q².

We used GRV94 since they are the only PDFs to evolve down to Q2=0.24 GeV². All other PDFs (LO) e.g. GRV98 stop at 1 GeV² or 0.8 GeV². Now it looks like we can freeze at Q2=0.8 and have no problems. So switch to modern PDFs.





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Fitting results with F2 proton and deuteron data.Much better fit with GRV98 Chi2/DOF = 1017/958 using ξ w. Chi2/DOF = 1017/9581351/958 1555/958 GRV94 <mark>& w</mark> GRV98 ξ w (includes TM) GRV94xw (includes TM) (no TM) *Ehanced tgt mass a = 0.25 + 0.02 = 0.701.74 Final state mass b = 0.10 + 0.01 = 0.330.62 Photolimit c = 0.18 + 0.004 = 0.200 1 9 nslacP = 0.9852 +- 0.002 Note: Free parameters a, b,c nslacD = 0.9804 + 0.002are already very small. GeV2. nbcdmsP = 0.9488 + 0.002nbcdmsD = 0.9677 + 0.002nmcP = 0.9813 + 0.003nmcD = 0.9835 + 0.003BCDMS Lambda = 2.21 + 0.16Arie Bodek, Univ. of Rochester 47



GRV98 When does duality break down

[SLAC/ Jlab] (These data were not included in this W fit)



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Very low Q2: Revenge of the Spectator Quarks F2(elastic) versus Q2 (GeV2)



Revenge of the Spectator Quarks Stein et al PRD 12, 1884 (1975)-1

$$\nu W_{2p}(q^2,\nu) = \left[1 - W_2^{\rm el}(q^2)\right] F_{2p}(\omega') , \qquad (13)$$

where $F_{2p}(\omega')$ is the scaling limit structure function and

$$W_2^{\rm el}(q^2) = \frac{G_E^2(q^2) + \tau G_{\mathbf{N}}^2(q^2)}{1 + \tau} , \quad \tau = \frac{q^2}{4M^2}$$
(14)

is the counterpart of W_2 for elastic scattering (see Appendix B), where G_E and G_M are, respectively, the elastic electric and magnetic form factors for the proton. This form satisfies the constraint that W_2 vanish at $q^2 = 0$. Integrating W_{2p} over all values of ν yields

$$\int_{\text{inelastic}} d\nu W_{2p}(q^2, \nu) = \left[1 - W_2^{\text{el}}(q^2)\right] \int_{\text{inelastic}} \frac{d\nu}{\nu} F_{2p}(\omega') \,.$$
(15)

But this is the Gottfried sum rule27 for the proton,

where

$$\int_{\text{inelastic}} \frac{d\nu}{\nu} F_{2p}(\omega') = \sum_{i} q_{i}^{2}$$
(16)

is the sum of the parton charges squared.

2. Application

We can now apply these results to the proton and neutron if we consider them as being made of constituents. These yield immediately

$$\int_{\text{inel}} d\nu \ W_{2p}(q^2, \nu) = \left(\sum_{i=1}^{N} e_i^2\right)_p [1 - |F_{\text{el}}^P(q^2)|^2] + C_p(q^2) \left(\sum_{i\neq j}^{N} \sum_{e_i} e_i e_j\right)_p,$$
(B15)
$$\int_{\text{inel}} d\nu \ W_{2n}(q^2, \nu) = \left(\sum_{i=1}^{N} e_i^2\right)_n [1 - |F_{\text{el}}^N(q^2)|^2] + C_n(q^2) \left(\sum_{i\neq j}^{N} \sum_{e_i} e_i e_j\right)_n.$$
(B16)

 F_{e1}^{p} and F_{e1}^{n} would be equal if the momentum distributions of the constituents were the same in the proton and neutron, so if the correlation terms were negligible, one might expect W_{2n}/W_{2p} to scale to lower values of q^{2} than either W_{2p} or W_{2n} alone. Gottfried noted that in the simple quark model the charge sum in the correlation contribution vanishes for the proton, but not for the neutron.²⁷

For the case of particles with spin, magnetic moments, and more realistic ground states, the results get much more complicated. There are several more detailed accounts in the case of nuclear scattering in the literature.⁴¹ However, the simple approach stated here agrees with the spirit of the more complex analyses. Revenge of the Spectator Quarks Stein etal PRD 12, 1884 (1975)-2

$$\begin{split} G_{e1}(q^2) &= \left| \sum_{i=1}^{N} e_i \right|^2 \left| F_{e1}(q^2) \right|^2, \\ G_{inel}(q^2) &= \sum_{i=1}^{N} e_i^2 [1 - \left| F_{e1}(q^2) \right|^2] \\ &+ C(q^2) \sum_{i \neq j}^{N} \sum_{i \neq j} e_i e_j. \end{split}$$

$$\nu W_{2p}(q^2,\nu) = \left[1 - W_2^{\rm ei}(q^2)\right] F_{2p}(\omega') , \qquad (13)$$

where $F_{2p}(\omega')$ is the scaling limit structure function and

$$W_2^{el}(q^2) = \frac{G_{E}^{2}(q^2) + \tau G_{M}^{2}(q^2)}{1 + \tau}, \quad \tau = \frac{q^2}{4M^2}$$
 (14)

$$G_{E\phi} = P(q^2)/(1+q^2/0.71)^2$$
,

P is close to 1 and gives deviations Arie Bodek, Univ. of Rochester From Dipole form factor (5%)

⁴¹For more detailed treatment of closure, see, for example O. Kofoed-Hanson and C. Wilkin, Ann. Phys. (N.Y.) <u>63</u>, 309 (1971); K. W. McVoy and L. Van Hove, Phys. Rev. 125, 1034 (1962).

27K. Gottfried, Phys. Rev. Lett. 18, 1174 (1967).

(B14) Note: at low Q2

$$[1 - W_2^{el}] = 1 - 1/(1 + Q^2/0.71)^4$$

 $= 1 - (1 - 4Q^2/0.71) =$
 $= 1 - (1 - Q^2/0.178) =$
(13) -> Q²/0.178 as Q²->0

Versus Our GRV98 fit with

$$Q^2/(Q^2+C) \rightarrow Q^2/C$$

 $c = 0.1797 + 0.0036$

Revenge of the Spectator Quarks -3 - History of Inelastic Sum rules C. H. Llewellyn Smith hep-ph/981230

Talk given at the Sid Drell Symposium SLAC, Stanford, California, July 31st, 1998

Gottfried noted that in the 'breathtakingly crude' naïve three-quark model the second term in the following equation vanishes for the proton (it also vanishes for the neutron, but neutrons are not mentioned):

$$\sum_{i,j} Q_i Q_j \equiv \sum_i Q_i^2 + \sum_{i \neq j} Q_i Q_j .$$
(5)

Thus for any charge-weighted, flavour-independent, one-body operator all correlations vanish, and therefore using the closure approximation the following sum rule can be derived:

$$\int_{\nu_0} W_2^{ep}(\nu, q^2) d\nu = 1 - \frac{G_E^2 - q^2 G_M^2 / 4m^2}{1 - q^2 / 4m^2} , \qquad (6)$$

where ν_0 is the inelastic threshold (the methods used to derive this sum rule are those that have long been used to derive sum rules in atomic and nuclear physics, for example the sum rule [13] derived in 1955 by Drell and Schwarz). After observing that this sum

Revenge of the Spectator Quarks -4 - History of Inelastic Sum rules C. H. Llewellyn Smith hep-ph/981230

rule appears to be oversaturated in photoproduction (we now know that the integral is actually infinite in the deep inelastic region), Gottfried asked whether it was 'idiotic', and stated that if, on the contrary there is some truth in it, one would want a 'derivation that a well-educated person could believe'.

In his talk at the 1967 SLAC conference Bj quoted Gottfried's paper and stated that diffractive contributions should presumably be excluded from the integral, which could be done by taking the difference between protons and neutrons, leading to the following result, in modern notation:

$$\int \left(F_2^{ep}(x,q^2) - F_2^{en}(x,q^2) \right) \frac{dx}{x} = \frac{1}{3} .$$
(7)

This result, which is generally known as the Gottfried sum rule, is not respected by the data which give the value [14] 0.235 ± 0.026 . In parton notation, the left-hand side can be written

$$\frac{1}{3}(n_u + n_{\bar{u}} - n_d - n_{\bar{d}}) = \frac{1}{3} + \frac{2}{3}(n_{\bar{u}} - n_{\bar{d}}) , \qquad (8)$$

Arie Bodek, Univ. of Rochester

S. Adler, Phys. Rev. 143, 1144 (1966) Exact Sum rules from Current Algebra. Valid at all Q2 from zero to infinity. - 5

Strangeness-Conserving Case

The kinematic analysis of Sec. 3 shows that we may write the reaction differential cross section in the form

$$d^{2}\sigma\left(\binom{\nu}{\bar{\nu}}+p\rightarrow\binom{l}{\bar{l}}+\beta(S=0)\right)/d\Omega_{l}dE_{l}=\frac{G^{2}\cos^{2}\theta_{C}}{(2\pi)^{2}}\frac{E_{l}}{E_{\nu}}\times\left[q^{2}\alpha^{(\pm)}(q^{2},W)+2E_{\nu}E_{l}\cos^{2}(\frac{1}{2}\phi)\beta^{(\pm)}(q^{2},W)\mp(E_{\nu}+E_{l})q^{2}\gamma^{(\pm)}(q^{2},W)\right].$$
 (13)

By measuring $d^2\sigma/d\Omega_l dE_l$ for various values of the neutrino energy E_r , the lepton energy E_l , and the leptonneutrino angle ϕ , we can determine the form factors $\alpha^{(\pm)}, \beta^{(\pm)}$, and $\gamma^{(\pm)}$ for all $q^2 > 0$ and for all W above threshold. In Sec. 4 we prove that:

(i) the local commutation relations of Eq. (1a) and Eq. (1c) imply

$$2 = g_A(q^2)^2 + F_1^V(q^2)^2 + q^2 F_2^V(q^2)^2 + \int_{M_N + M_\pi}^{\infty} \frac{W}{M_N} dW [\beta^{(-)}(q^2, W) - \beta^{(+)}(q^2, W)];$$
(14)

Strangeness-Changing Case

$$(4,2) = \int \frac{W}{M_N} dW [\beta_{(p,n)}^{(-)}(q^2, W) - \beta_{(p,n)}^{(+)}(q^2, W)];$$
(18)

The integrals of Eqs. (18)-(20) have discrete contributions at $W = M_{\Lambda}$ and/or M_{Σ} and a continuum extending from $W = M_{A} + M_{\pi}$ or from $W = M_{Z} + M_{\pi}$ to $W = \infty$. We have not explicitly separated off the discrete contributions to the integrals, as was done in Eqs. (14)-(16) for the strangeness-conserving case. It would, of course, be straightforward to do this.

F. Gillman, Phys. Rev. 167, 1365 (1968)-6 Adler like Sum rules for electron scattering.

$$\alpha = W_1/M_N,$$

$$\beta = W_2/M_N.$$

The vector current part of the original sum rule of Adler for neutrino scattering can be written

$$\int_{0}^{\infty} dq_0 [\beta^{(-)}(q_0, q^2) - \beta^{(+)}(q_0, q^2)] = 1.$$
 (18)

The functions $\beta^{(\pm)}(q_0,q^2)$ are defined just as in Eq. (7) except that in place of the electromagnetic currents $J_{\mu}(0)$ and $J_{\mu}(0)$ we have put the isospin raising or

lowering F-spin currents $\mathfrak{F}_{(1\pm i^2)\mu}(0)$ [recall that $\mathfrak{F}_{3\mu}(0)$ is just the isovector part of the electromagnetic current]. If we explicitly separate out the nucleon Born term in Eq. (18), we have

$$\begin{bmatrix} F_{1}^{V}(q^{2}) \end{bmatrix}^{2} + q^{2} \left(\frac{\mu^{V}}{2M_{N}}\right)^{2} \begin{bmatrix} F_{2}^{V}(q^{2}) \end{bmatrix}^{2} \\ + \int_{M_{\pi}^{+}(q^{2}+M_{\pi}^{2})/2M_{N}}^{\infty} dq_{0} \begin{bmatrix} \beta^{(-)}(q_{0},q^{2}) - \beta^{(8)}(q_{0},q^{2}) \end{bmatrix} = 1,$$
(19)

where the superscript V denotes the fact that we are dealing with the isovector part of the current; the isovector anomalous magnetic moment $\mu^{V} = \mu_{p}' - \mu_{n}' = 3.70$. As $q^2 \rightarrow 0$, we see from Eq. (10) or (17) that only the first term, $[F_1^{V}(q^2)]^2$, on the left-hand side of Eq. (19) survives, and as $q^2 \rightarrow 0$ it goes to 1, in agreement with the left-hand side.

In the derivation³ of Eq. (18) only two assumptions enter: (1) the commutation relation Eq. (3a) of the *F*-spin densities, and (2) an unsubtracted dispersion relation for the forward Compton scattering amplitudes (which are the coefficients of $p_{\mu}p_{\nu}$ and $q_{\mu}q_{\nu}$ in the expansion of $T_{\mu\nu}$) corresponding to $\beta(q_{0},q^{2})$. It is of course the second assumption which is most open to question. However, we note the following:

(a) The fact that as $q^2 \rightarrow 0$ the left- and right-hand sides of Eq. (19) as it now stands automatically become equal rules out a q^2 -independent subtraction. This just means we have done nothing grossly wrong, e.g., introduced a kinematic singularity in q^2 in one of our amplitudes.

Arie Bodek, Univ. of Rochester

F. Gillman, Phys. Rev. 167, 1365 (1968)-7 Adler like Sum rules for electron scattering.

 $\alpha = W_1/M_N,$ $\beta = W_2/M_N.$

Therefore the factor	And C is probably somewhat different			
$[1 - W_2^{el}] = 1 - \frac{1}{2}$	for the sea quarks.			
$1/(1+Q^2/0.71)^4$	F2nu-p(vector) = d+ubar			
$= 1 - (1 - 4Q^2 / 0.71) =$	F2nubar-p(vector) = u+dbar			
$= 1 - (1 - Q^2 / 0.178) =$	1=F2nubar-p-F2nu-p= (u+dbar)-(d+ubar)			
$-> Q^2 / 0.178$ as $Q^2 -> 0$	= (u-ubar)- (d-dbar) $=$ 1			
Is valid for VALENCE	ED INCLUDING the			
SUM RULE FOR the Vector	$r_{x=1}$ Flastic contribution			
part of the interaction	Therefore the inelastic part is			
Versus Our GRV98 fit w	ith reduced by the electric $y=1$ torm			
$Q^2/(Q^2+C) \rightarrow Q^2/C$	reduced by the elastic x=1 term.			

c = 0.1797 + 0.003 for Bodek, Univ. of Rochester

Comparison of GRV98 photo production data (not included in this w fit) Note K=1 also valid (virtual photon flux definition is what arbitrary except at Q2=0, so overall normalization can change)



Here use GRV98 with R1998. Note that GRV98 freeze at Q2=0.8 GeV2. So this Is an extrapolation all the way from Q2=0.8 to Q2=0. Higher precision is not really needed, but could use (1-F2(elastic) instead if we want better agreement for Q2=0.

- Try: R = 0. -> It is important now to get all the Q²/ v² terms
 R = Q²/ v² (evaluated at Q² =0.24, 0.8) Will work on this next
 R = R1998 (evaluated at Q² =0.24, 0.8) LOOKS like K=1 works better
- Note: F2 is zero at Q2=0, this is just the SLOPE of F2 at Q2=0.

1st Summary

- Our modified GRV LO PDFs with a modified scaling variables, Xw and w describe all SLAC/BCDMS/NMC DIS data. GRV98 w works best
- The modified PDFs also yields the average value over the resonance region as expected from duality argument, ALL THE WAY TO $Q^2 = 0$
- Could get all the Q²/v² terms in for exact photoproduction prediction, and more refined form with W2elastic. Now good to 20% at Q2=0.
- Also good agreement with high energy neutrino data.
- Therefore, this model should also describe a low energy neutrino cross sections reasonably well- to be tested next.
- For Now, ONLY USE this model for W above quasielastic and First resonance (Use old form factor picture for 1st resonance and quasi.).
- We will investigate further refinements to w, What are the further improvement in w Mostly to reduce the size of the three free parameters a, b, c as more theoretically motivated terms are added into the formalism (mostly intellectual curiosity, since the model is already good enough). E.g. Add Pt² from Drell Yan data.
- Also As we did with our NLO and NNLO studies, can introduce f(x) to make PDF fit better (can easily be done for x>0.1 since all QCD sum rules dominated by low x).Right now assume LO PDFs are perfect
- This work is continuing... focus on further improvement to w (although very good already) and Ai, j, k (W, Q²) (low W + spectator quark modulating function). Test in limit of x=1, 45% festion and the second seco

Summary continued

- Do some more work on the Q2=0 limit (terms in Q2/Nu2, PT2 need to be included)
- Future studies involving both neutrino and electron scattering including new experiments are of interest.
- As x gets close to 1, local Duality is very dependent on the spectator quarks (e.g. different for Gep. Gen, Gmp, Gmn, Gaxial, Gvector neutrinos and antineutrinos
- In DIS language it is a function of Q2 and is different for W1, W2, W3 (or transverse (--left and right, and longitudinal cross sections for neutrinos and antineutrinos on neutrons and protons.
- This is why the present model is probably good in the 2nd resonance region and above, and needs to be further studied in the region of the first resonance and quasielastic scattering region.
- Nuclear Fermi motion studies are of interest, best done at Jla with electrons.
- Nuclear dependence of hadronic final state of interest.
- Nuclei of interest, C12, P16, Fe56. (common materials for neutrino detectors). Arie Bodek, Univ. of Rochester 60







On Protons both quasielastic

NUMI Off-Axis Near Detector Larget Pre NuMI Target Service Building Narrow band beam, similar to far • Enclosure Larget Rochester detector Larget Shat Can study cross-sections (NBB) EOI Near/far for $v_{\mu} \rightarrow v_{\mu}$; Decay Region backgrounds for $\nu_{\mu} \;$ –> ν_{e} (with Jlab 2 Air Exhausts and Locate off of Hadron Absorber access drift others) MINOS Service Building Muon Alcoves 116' MINOS Shaft View from above 21.3 MINOS Enclosure Air Exhaust Beam Direction View from side Beam Direction (5.83% slop 10.5 Not to Scale, all distances approximate

Event Spectra in Near Off-Axis, Near On-Axis and Far Detectors



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Fully-Active Off-Axis Near Detector (Conceptual)



Future Progress

Next Update on this Work, NuInt02, Dec. 15,2002 At Irvine. Finalize modified PDFs and do duality tests with electron scattering data and Whatever neutrino data exists.

Also --> Get A(w,Q2) for electron proton and deuteron scattering cases (collaborate with Jlab Physicists on this next stage).

Meanwhile, Rochester and Jlab/Hampton physicists Have formed the nucleus of a collaboration to expand the present Rochester EOI to a formal NUMI Near Detector off-axis neutrino proposal (Compare Neutrino data to existing and future data from Jlab). --contact person, Kevin McFarland.

Tests of Local Duality at high x, How local Electron Scattering Case

- INELASTIC High Q² x-->1.
- QCD at High Q² Note d refers to d quark in the proton, which is the same as u in the neutron. d/u=0.2; x=1.
- F2 (e-P) = (4/9)u+(1/9)d = (4/9+1/45) u = (21/45) u
- F2(e-N) = (4/9)d+(1/9)u = (4/45+5/45) u = (9/45) u
- F2(e-N) /F2 (e-P) = 9/21=0.43

- Elastic/quasielastic +resonance at high Q² dominated by magnetic form factors which have a dipole form factor times the magnetic moment
- F2 (e-P) = A G²mP(el) +BG²mN(res c=+1)
- F2 (e-N) = AG²mN(el) +BG²mN(res c=0)
- TAKE ELASTIC TERM ONLY
- F2(e-N) /F2 (e-P) (elastic) =

 $\mu^{2}(N)/\beta(P) = (1.913/2.793)$ =0.47

Close if we just take the elastic/quasielastic x=1 term.

Different at low Q2, where Gep,Gen dominate.

Since Gep=0.

Tests of Local Duality at high x, How local **Neutrino Charged current Scattering Case**

- INELASTIC High Q2, x-->1. • QCD at High Q2: Note d refers to d quark in the proton, which is the same as u in the neutron. d/u=0.2; x=1.
- F2 (-P) = 2d
- F2(-N) = 2u
- F2(bar P) = 2u
- F2(bar-N) = 2d
- F2(-P)/F2(-N) = d/u =0.2
- F2(-P) /F2 (bar-P) = d/u = 0.2
- F2(-P) / F2(bar-N) = 1
- F2(-N)/F2(bar-P) = 1

- Elastic/quasielastic +resonance at high Q² dominated by magnetic form factors which have a dipole form factor times the magnetic moment
- F2(-P) = A 0 (quasiel) +B(Resonance c=+2)
- F2(-N) = A Gm (quasiel) + B(Resonance c=+1)
- F2 (bar -P) = A Gm (quasiel) + B(Resonance c=0)
- F2(bar-N) = A 0(quasiel) + B(Resonance c=-1)

TAKE quasi ELASTIC TERM ONLY

- F2(-P)/F2(-N) = 0
- F2(-P) /F2 (bar-P) =0 F2(-P) / F2(bar-N) =0/0
- $F_{2}(-N)/F_{2}(bar-P) = 1$ FAILS TEST MUST TRY TO COMBINE Quasielastic and first resonance)

Comparison of Xw Fit and ξw Fit backup slide *

Same construction for Xw and ξw fits	Comparison
$Xw = [Q^{2}+B] / [2M_{V}+A] used in 1972$ $\xi w = [Q^{2}+B] / [M_{V} (1+(1+Q^{2}/v^{2})^{1/2}) + A]$ (theoretically derived) Multiply all PDFs by a factor of Q^{2}/[Q^{2}+C] Fitted normalizations HT fitting with Xw p d SLAC 0.979 +-0.0024 0.967 +- 0.0025 NMC 0.993 +-0.0032 0.990 +- 0.0028 BCDMS 0.956 +-0.0015 0.974 +- 0.0020 BCDMS Lambda = 1.01 +-0.156 HT fitting with W p d SLAC 0.982 +-0.0024 0.973 +- 0.0025 NMC 0.995 +-0.0032 0.994 +- 0.0028 BCDMS 0.958 +-0.0015 0.975 +- 0.0020 BCDMS Lambda = 0.976 +- 0.156.	 Modified LO GRV94 PDFs with three parameters and the scaling variable, Xw, describe DIS F2 H, D data (SLAC/BCDMS/NMC) reasonably well. A=1.735, B=0.624, and C=0.188 (+-0.022) (+-0.014) (+-0.004) ² = 1555 /958 DOF With § w A and B are smaller Modified LO GRV94 PDFs with three parameters and the scaling variable, § w describe DIS F2 H, D data (SLAC/BCDMS/NMC) EVEN BETTER A=0.700, B=0.327, and C=0.197 (+-0.020) (+-0.012) (+-0.004) ² = 1351 /958 DOF Note: No systematic errors (except for normalization and BCDMS B field error) were included. GRV94 Assumed to be PEFECT (no f(x) floating factors). Better fits expected with GRV98 and floating factors f(x)

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Pseudo Next to Leading Order Calculations

	Use LC	D: Look at PDFs(Xw) times (Q ² /Q ² +C)	And	PDFs (ξ w) times (Q²/Q²	+C)	
	Χw= ξ w=	[Q +B] / [2M _V +A] [Q' ² +B] / [M _V (1+(1+Q ² / _V ²) ^{1/2}) +A]		Pi= Pi ⁰ ,Pi ³ ,m	f, m _۶ =m*	
Where $2Q'^2 = [Q^2 + m_F^2 - m_1^2] + [(Q^2 + m_F^2 - m_1^2)^2 + 4Q^2(m_1^2 + P^2t)]^{1/2}$ (for now set P ² t =0, masses =0 excerpt for charm.						
Add B and A account for effects of additional Δm^2 from NLO and NNLO effects.						
	There are NN	e many examples of taking Leading Order C LO effects using external inputs from meas	alculation	ons and correcting them for I ts or additional calculations:	NLO and e.g.	

- 2. Direct Photon Production account for initial quark intrinsic Pt and Pt due to initial state gluon emission in NLO and NNLO processes by smearing the calculation with the MEASURED Pt extracted from the Pt spectrum of Drell Yan dileptons as a function of Q2 (mass).
- 3. W and Z production in hadron colliders. Calculate from LO, multiply by K factor to get NLO, smear the final state W Pt from fits to Z Pt data (within gluon resummation model parameters) to account for initial state multi-gluon emission.
- 4. K factors to convert Drell-Yan LO calculations to NLO cross sections. Measure final state Pt.
- 3. K factors to convert NLO PDFs to NNLO PDFs
- 4. Prediction of 2xF1 from leading order fits to F2 data, and imputing an empirical parametrization of R (since R=0 in QCD leading order).
- 5. THIS IS THE APPROACH TAKEN HERE. i.e. a Leading Order Calculation with input of effective initial quark masses and Pt and final quark masses, all from gluon emission.

Model	χ²/ DOF	Data Fit	PDF used	Scaling Variable	Power Param	Photo limit	A(W,Q2) Reson.	Ref.
QPM-0 Published 1979		e-N DIS/Res Q2>0	F2p F2d * f(x)	Xw= (Q2+B)/ (2M∨+A)	A=1.64 B=0.38	X/Xw C=B =0.38	A _P (W,Q) A _D (W,Q)	Bodek et al PRD-79
NLO-2 Published 1999	1470 /928 DOF	e/ μN, DIS Q2>1	MRSR2 * f(x)	ξ _{TM} =Q2/TM+ Renormaion model for 1/Q2	a2= -0.104 a4= - 0.003	Q2>1 NA	1.0- average	Yang/ Bodek PRL -99
NNLO-3 Published 2000	1406 /928 DOF	e/ μN, DIS Q2>1	MRSR2 * f(x)	ξ _{TM} =Q2/TM+ Renormaion model for 1/Q2	a2= -0.009 a4= -0.013	Q2>1 NA	1.0- average	Yang/ Bodek EPJC -00
LO-1 published 2001	1555 /958 _{DOF}	e/μN, DIS Q2>0	GRV94 f(x)=1	Xw= (Q2+B)/ (2M∨+A)	A=1.74 B=0.62	Q2/ (Q2+C) C=0.19	1.0- average	Bodek/ Yang Nulnt01
LO-1- Current	1351 /958	e/ μN, DIS	GRV94 f(x)=1	દુ w= (Q2+B)/	A=0.70 B=0.33	Q2/ (Q2+C)	1.0- average	Bodek/ Yang
2002	DOF	Q2>0		(TM+A)		C=0.20		NUFacu2
LO-1- Future work 2002-3	ТВА	e/ μN, N, N, DIS/Res Q2>0	GRV? or other * f(x)	ち 'w= (Q2+BPt ²)/ (TM+A) Arie Bodek, Univ. of Ro	A=TBA B=TBA Pt ² = TBA chester	Q2/ (Q2+C) C= TBA	Au(W,Q) Ad(W,Q) ? Spect. Quark dependent	Bodek/ Yang Nutin02 +PRD 72
e-P, e-D: Xw scaling MIT SLAC DATA 1972 Low Q2 QUARK PARTON MODEL 0TH order (Q²>0.5)

e-P scattering Bodek PhD thesis 1972 [PRD 20, 1471(1979)] Proton Data <u>Q² from 1.2 to 9 GeV² versus</u> vW2= (x/x_w)* F₂(X_w)*A_P (W,Q²)-- QPM fit.



e-D scattering from same publication. NOTE Deuterium Fermi Motion Q² from 1.2 to 9 GeV² versus vW2= (x/x_w)* F₂(X_w)*A_D(W,Q²) --QPM fit.



e-P, e-D: Xw scaling MIT SLAC DATA 1972 <u>High Q2</u> QUARK PARTON MODEL 0TH order (Q²>0.5)

e-P scattering Bodek PhD thesis 1972 [PRD 20, 1471(1979)] Proton Data vW2= (x/x_w)* F₂(X_w)*A_P (W,Q²)-- QPM fit



 e-D scattering from same publication. NOTE Deuterium Fermi Motion
∨W2= (x/x_w)* F₂(X_w)*A_D(W,Q²) --QPM fit.
Q² from 9 to 21 GeV² versus





F₂, R comparison with <u>NNLO QCD-works</u> => NLO HT are missing NNLO terms (Q²>1)

Size of the higher twist effect with NNLO analysis is really small (but not 0) a2= -0.009 (in NNLO) versus -0.1(in NLO) -> factor of 10 smaller, a4 nonzero



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Future Work - part 1

- Implement $A_{e/\mu}$ (W,Q²) resonances into the model for F_2 with ξ_w scaling.
- For this need to fit all DIS and SLAC and JLAB resonance date and Photo-production H and D data and CCFR neutrino data.
- Check for local duality between ξ_w scaling curve and elastic form factors Ge, Gm in electron scattering. Check method where its applicability will break down.
- Check for local duality of ξ_w scaling curve and quasielastic form factors Gm. Ge, G_A, G_V in quasielastic electron and neutrino and antineutrino scattering.- Good check on the applicability of the method in predicting exclusive production of strange and charm hyperons
- Compare our model prediction with the Rein and Seghal model for the 1st resonance (in neutrino scattering).
- Implement differences between v and e/ μ final state resonance masses in terms of
- A (i,j, k) (W,Q²) (i is the interacting quark, and j,k are spectator quarks).
- Look at Jlab and SLAC heavy target data for possible Q² dependence of nuclear dependence on Iron.
- Implementation for R (and 2xF₁) is done exactly use empirical fits to R (agrees with NNLO+GP tgt mass for Q²>1); Need to update Rw Q²<1 to include Jlab R data in resonance region.
- Compare to low-energy neutrino data (only low statistics data, thus new measurements of neutrino differential cross sections at low energy are important).
- Check other forms of scaling e.g. $F_2 = (1 + Q^2 / v^2)^{1/2} v W_2$ (for low energies)

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Future Work - part 2

- Investigate different scaling variable parameters for different flavor quark masses (u, d, s, u_v, d_v, u_{sea}, d_{sea} in initial and final state) for F₂.
- Note: $\xi_w = [Q^2 + B] / [M_V (1 + (1 + Q^2/v^2)^{1/2}) + A]$ assumes $m_F = m_i = 0, P^2 t = 0$
- More sophisticated General expression (see derivation in Appendix):
- $\xi_{w}' = [Q'^2 + B] / [M_V (1 + (1 + Q^2/v^2)^{1/2}) + A]$ with
- $2Q'^2 = [Q^2 + m_F^2 m_1^2] + [(Q^2 + m_F^2 m_1^2)^2 + 4Q^2(m_1^2 + P^2t)]^{1/2}$
- or $2Q^{2} = [Q^{2} + m_{F}^{2} m_{I}^{2}] + [Q^{4} + 2Q^{2}(m_{F}^{2} + m_{I}^{2} + 2P^{2}t) + (m_{F}^{2} m_{I}^{2})^{2}]^{1/2}$ Here B and A account for effects of additional Δm^{2} from NLO and NNLO effects. However, one can include P²t, as well as m_{F} , m_{i} as the current quark masses (e.g. Charm, production in neutrino scattering, strange particle production etc.). In ξ_{w} , B and A account for effective masses+initial Pt. When including Pt in the fits, we can constrain Pt to agree with the measured mean Pt of Drell Yan data..
- Include a floating factor f(x) to change the x dependence of the GRV94 PDFs such that they provide a good fit to all high energy DIS, HERA, Drell-Yan, W-asymmetry, CDF Jets etc, for a global PDF QCD LO fit to include Pt, quark masses A, B for ξ_w scaling and the Q²/(Q²+C) factor, and A_{e/μ}(W,Q²) as a first step towards modern PDFs. (but need to conserve sum rules).
- Put in fragmentation functions versus W, Q2, quark type and nuclear target

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