## Entropy production dynamics in quench protocols of a driven-dissipative critical system

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Driven-dissipative phase transitions are currently a topic of intense research due to the prospect of experimental realizations in quantum optical setups. The most paradigmatic model presenting such a transition is the Kerr model, which predicts the phenomenon of optical bistability, where the system may relax to two different steady states for the same driving condition. These states, however, are inherently out-of-equilibrium and are thus characterized by the continuous production of irreversible entropy, a key quantifier in thermodynamics. In this paper, we study the dynamics of the entropy production rate in a quench scenario of the Kerr model, where the external pump is abruptly changed. This is accomplished using a recently developed formalism, based on the Husimi *Q*-function, which is particularly tailored for driven-dissipative and non-Gaussian bosonic systems, Goes *et al.* [Phys. Rev. Res. **2**, 013136 (2020)]. Within this framework, the entropy production can be split into two contributions, one being extensive with the drive and describing classical irreversibility, and the other being intensive and directly related to quantum fluctuations. The latter, in particular, is found to reveal the high degree of nonadiabaticity for quenches between different metastable states.

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### I. INTRODUCTION

Entropy can be spontaneously generated in a physical process. In addition, when a system is connected to an environment, there may also be a flux of entropy. Hence, the entropy of an open system, classical or quantum, evolves according to

$$\frac{dS}{dt} = \Pi - \Phi, \tag{1}$$

where  $\Pi \ge 0$  is the irreversible entropy production rate and  $\Phi$  is the entropy flux from the system to the reservoir. Steady states are characterized by dS/dt = 0. These may either be equilibrium steady states (ESSs), characterized by  $\Pi_{ESS} = \Phi_{ESS} = 0$ , or nonequilibrium steady states (NESSs), which occur in systems connected to multiple baths, or which are externally driven. In this case,  $\Pi_{NESS} = \Phi_{NESS} > 0$ , which means that entropy is being continuously produced within the system, all of which flows to the environment. Entropy production is hence the core concept in quantifying how far from equilibrium a process takes place, or in other words, how irreversible it is.

A particularly interesting class of nonequilibrium processes are those presenting driven-dissipative phase transitions (DDPTs) [1–3], which occur in quantum optical systems subject to a competition between dissipation and a coherent drive. When nonlinear media are involved, these system may undergo a phase transition, where the NESS abruptly changes. This therefore falls in the class of dissipative transitions (also called nonequilibrium phase transitions in the classical context). Driven-dissipative transitions can be continuous or discontinuous [4–6] and are associated with the closing of the Liouvillian superoperator gap [3,7]. They therefore offer the possibility of exploring, in a controlled way, many-body quantum phases without any classical analog. And for this reason, they have been the focus of significant theoretical studies [3,6-35], as well as experimental investigations [36-39], in the past decade.

Since the entropy production rate  $\Pi$  is the fundamental quantity that characterizes a nonequilibrium process, one may ask the following: How does it behave dynamically when the system is forced to adjust from one steady state to another? A particularly interesting scenario is when the system is subject to a quantum quench, i.e., a sudden change in one of the system's parameters [40]. In thermodynamic terms this is a highly nonadiabatic process, analogous to the free expansion of a gas after a sudden change in volume. Hence, in addition to the entropy production due to the intrinsic nonequilibrium nature of the NESS, there will also be a contribution due to this nonadiabatic transient dynamics. Quench dynamics has been the subject of intense research in the past two decades, although most of the work has been on closed systems undergoing unitary dynamics. Examples include the evolution of the expectation values of observables in quantum spin chains [40], the connection with work statistics [41–44], and the change in diagonal entropy [45-48]. Experimental studies have also been carried out in ultracold atoms in optical lattices [49–54], where the long coherent times allow one to assess the dynamics over extended timescales.

DDPTs, however, are usually associated with photon loss dissipation, and they are thus inherently open. As a consequence, both the NESS as well as the transient dynamics after a quench should be associated with a finite entropy production. Very little is known about the behavior of the entropy production across DDPTs, however, especially concerning the quench dynamics. Unfortunately, photon losses are not a standard thermal process, since they effectively occur at zero temperature. As a consequence, the standard formulation of

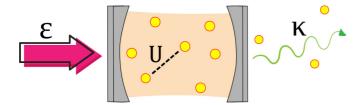


FIG. 1. Portrait of an optical cavity with a nonlinear medium subject to an external pump  $\mathcal{E}$  and photon losses occurring at a rate  $\kappa$ , with the effective interaction between photons U as described by the Kerr model.

entropy production does not apply [55]. Recently, we proposed a theory of entropy production suited for photon loss dissipation using concepts from quantum phase space [56]. This allowed us to incorporate not only thermal, but also vacuum fluctuations. This theory is suited for experiments in quantum optical setups, as illustrated in Refs. [57,58]. Originally, it was formulated for Gaussian states and processes. But recently this has been extended for the non-Gaussian case [59]. This makes it particularly suited for dealing with DDPTs, especially those involving quenches, where Gaussianity is seldom preserved.

In Ref. [59] this formalism was applied to quantify the entropy production in a NESS. The theory, however, is also suited for describing entropy production dynamically. This article aims to demonstrate the applicability, and usefulness, of the framework of [59] to study the dynamics of the entropy production in a quench scenario for DDPTs. We focus on the paradigmatic Kerr bistability model [3,20,21,60], which describes an optical cavity filled with a nonlinear medium, coherently pumped by a laser, and subjected to an incoherent single-photon loss mechanism (see Fig. 1). This model presents a discontinuous DDPT as a function of the external laser drive, which at the mean-field level can be associated with a bistable behavior of the cavity field. This investigation, as we hope to show, will provide an insightful, and timely, analysis that lies at the boundaries between statistical mechanics and quantum optics.

The paper is organized as follows: In Sec. II we introduce the Kerr bistability model, its thermodynamic limit, and we briefly discuss the spectral properties of the Liouvillian. In Sec. III we briefly review Ref. [59] and give the expressions for the entropy production/flux rate. The formalism is then applied to the sudden quench dynamics scenario in Sec. IV. We first present a Gaussianity test to show the intrinsic non-Gaussian structures that emerge from the quench dynamics. This is followed by an exposition of the main results, where we analyze the relevant thermodynamics during the quench evolution. Conclusions are drawn in Sec. V, where we also discuss possible directions of future research.

#### **II. KERR BISTABILITY MODEL**

## A. The model

In this section we review the Kerr bistability model (KBM), which will be the main focus of this work. The model is described, in a frame rotating with the pump frequency  $\omega_p$  and under the rotating-wave approximation [61], by the

Hamiltonian

$$H = \Delta a^{\dagger} a + i\mathcal{E}(a^{\dagger} - a) + \frac{U}{2}a^{\dagger}a^{\dagger}aa, \qquad (2)$$

where  $a^{\dagger}(a)$  is the single-mode creation (annihilation) operator, obeying the bosonic algebra  $[a, a^{\dagger}] = 1$ . This model describes an optical cavity, filled with a nonlinear medium responsible for an effective photon-photon interaction (described by the parameter U). The parameter  $\Delta = \omega_c - \omega_p$ is the detuning between the cavity and pump frequencies. Finally,  $\mathcal{E}$  describes the coherent drive amplitude.

The system is also subjected to single-photon losses at rate  $\kappa$  (see Fig. 1). These are described within the Born-Markov approximation, so that the state of the system  $\rho$  evolves according to the Gorini-Kossakowski-Sudarshan-Lindblad (GKSL) master equation ( $\hbar = 1$ ) [61],

$$\partial_t \rho = -i[H,\rho] + 2\kappa \left(a\rho a^{\dagger} - \frac{1}{2}\{a^{\dagger}a,\rho\}\right) = \mathcal{L}(\rho), \quad (3)$$

where  $\mathcal{L}$  is the Liouvillian superoperator.

### B. Thermodynamic limit

The system described by Eq. (3) can undergo a phase transition for sufficiently large pump intensities &. To clarify the nature of this transition, and also better connect it to the standard statistical mechanics literature, it is convenient to define a fictitious thermodynamic limit, which is where the transition actually takes place. That is, we introduce a dimensionless parameter N and define the thermodynamic limit to correspond to  $N \rightarrow \infty$ . This parameter is introduced in a reparametrization of the pump intensity, as  $\mathcal{E} = \sqrt{N}\epsilon$ , where  $\epsilon$  is finite. The first moment is known to behave as  $\langle a \rangle \propto \mathcal{E}$ . We therefore define  $\langle a \rangle := \mu = \sqrt{N\alpha}$ , where  $\alpha$  is finite and represents the order parameter of the system. These parametrizations show that the pump term is extensive, i.e.,  $\mathcal{E}(\mu - \bar{\mu}) \propto O(N)$ . To properly define the thermodynamic limit, we take as a physical assumption that this should also hold for the average energy in general. That is,  $\langle H \rangle \sim O(N)$ . Hence, we must reparametrize all other parameters accordingly. In the case of (2), this amounts solely to a rescaling of U. Since  $\langle a^{\dagger}a^{\dagger}aa \rangle \propto O(N^2)$ , we therefore reparametrize U = u/N [59].

To summarize, we introduce a parameter N by rescaling  $\mathcal{E} = \sqrt{N}\epsilon$  and U = u/N. This ensures that the relative contribution of the drive and the interaction terms remain at similar levels. That is, both  $\epsilon$  and u are now taken to be finite, and the thermodynamic limit is defined as  $N \to \infty$ .

# C. Mean-field behavior and exact solution for the steady state

Within the mean-field approximation, one sets  $\langle a^{\dagger}aa \rangle \simeq N^{3/2} |\alpha|^2 \alpha$ . As a consequence, the equation of motion for the scaled amplitude  $\alpha$  becomes

$$\partial_t \alpha = -(\kappa + i\Delta + iu|\alpha|^2)\alpha + \epsilon.$$
(4)

When  $\Delta < -\sqrt{3}\kappa$ , the steady state of this equation is known to present a bistable behavior [61]. This is illustrated in Fig. 2.

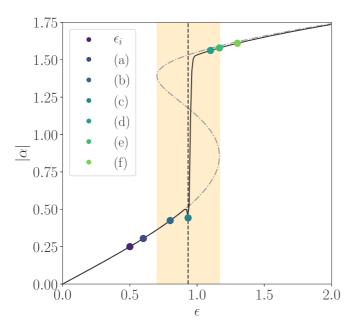


FIG. 2. NESS expectation value of the first moment of the Kerr model (3), as a function of the rescaled pump  $\epsilon$ . The mean-field solution (5) is shown by gray dot-dashed lines, while the exact solution (6) is shown in black (for N = 20). We also mark in the figure the points  $\epsilon_i = 0.5$  and  $\epsilon_f$  of the quench protocol, which will be used in Sec. IV, viz., (a)–(f)  $\epsilon_f = 0.6, 0.8, \epsilon_c, 1.1, \epsilon_+, 1.3$ , where  $\epsilon_c = 0.933$  is the critical pump and  $\epsilon_+ = 1.16616$  marks the edge of the bistability region. Other parameters were fixed at  $\kappa = 1/2$ ,  $\Delta = -2$ , and u = 1.

The bistability region occurs for  $\epsilon$  within the interval

$$\epsilon_{\pm} = \sqrt{n_{\pm}[\kappa^2 + (\Delta + n_{\pm}u)^2]}, \quad n_{\pm} = \frac{-2\Delta \pm \sqrt{\Delta^2 - 3\kappa^2}}{3u}.$$
(5)

For  $\epsilon_{-} < \epsilon < \epsilon_{+}$ , Eq. (4) presents three steady-state solutions, two of which are stable.

At the level of the full master equation (3), however, the nature of this bistability is somewhat subtle. This is because, as shown in Ref. [61] (see also [20,60,62,63]), the steady state is always unique and can in fact be solved analytically. Indeed, the moments of the system are found to be given by [61]

$$\langle (a^{\dagger})^{n} a^{m} \rangle = \sqrt{2} \frac{\xi^{n} \xi^{m} \Gamma(\bar{x}) \Gamma(x)}{\Gamma(\bar{x}+n) \Gamma(x+m)} \frac{{}_{0} F_{2}(\bar{x}+n,x+m;|\xi|^{2})}{{}_{0} F_{2}(\bar{x},x;|\xi|^{2})},$$
(6)

where  $\xi = 2\mathcal{E}/iU$  and  $x = 2(i\Delta + \kappa)/iU$ . Here  ${}_{0}F_{2}(a, b; c)$ and  $\Gamma$  denote the hypergeometric and gamma functions, respectively. The predictions of this exact solution are shown as the black solid lines in Fig. 2. As can be seen, at a certain critical value  $\epsilon_{c}$  the system jumps abruptly from a state with low photon occupation to another with high occupation. Henceforth, we shall refer to the state for  $\epsilon < \epsilon_{c}$  as the dark phase and that for  $\epsilon > \epsilon_{c}$  as the bright phase (there is no closed-form expression for  $\epsilon_{c}$ , which has to be computed numerically).

#### D. Spectral properties of the phase transition

This apparent contradiction between the mean-field and exact solutions is resolved by analyzing the full spectrum of

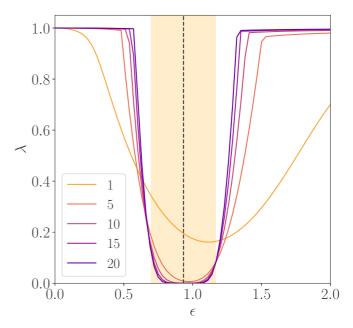


FIG. 3. Liouvillian gap  $\lambda$  as a function of  $\epsilon$ . The dashed black vertical line represents the critical pump  $\epsilon_c = 0.933$ . Each curve correspond to a different value of *N*. The orange patch marks the bistable region, as given by Eq. (5). Other parameters are the same as in Fig. 2.

the Liouvillian (3), defined by [3,7]

$$\mathcal{L}(\rho_k) = \zeta_k \rho_k,\tag{7}$$

where  $\rho_k$  are the eigenmatrices associated with the eigenvalue  $\zeta_k$  (which may be complex, since  $\mathcal{L}$  is not Hermitian). The NESS, in particular, is associated with the zero eigenvalue,  $\zeta_0 = 0$ ,

$$\mathcal{L}(\rho_{\text{NESS}}) = 0. \tag{8}$$

It can be shown that, in general,  $\operatorname{Re}\{\zeta_k\} \leq 0$  [64], which ensures stability of the dynamics under GKSL evolution. We can thus order the eigenvalues as  $0 = |\operatorname{Re}\{\zeta_0\}| < |\operatorname{Re}\{\zeta_1\}| < \cdots$ . The Liouvillian gap is then defined as  $\lambda = |\operatorname{Re}\{\zeta_1\}|$ . Physically, it determines the slowest relaxation rate in the long-time limit, or, equivalently, the tunneling time  $\tau_{tun}$  between the two branches of optical bistability [8].

As studied in detail in Refs. [3,7,21], in the Kerr model the gap closes asymptotically within the entire bistability region  $\epsilon \in [\epsilon_-, \epsilon_+]$ . This is illustrated in Fig. 3. As a consequence, for any finite *N* there will always be a unique steady state, but the gap between this and the first excited state becomes vanishingly small as *N* increases, thus giving rise to a bistable solution (see also [65,66]). This hence reconciles the mean-field and exact solutions.

## III. WEHRL ENTROPY PRODUCTION RATE FOR THE KBM

To study the thermodynamics of the KBM model, we use a phase-space method based on the Husimi *Q*-function, introduced in Ref. [59]. A quantum phase-space formulation of the entropy production was first introduced in Ref. [56], and also experimentally assessed in Ref. [57]. The problem was formulated in terms of the Wigner function, which generates physical probability densities for Gaussian systems subjected to Gaussian dynamics. This procedure make it possible to circumvent the so called ultracold catastrophe [67], that is, the divergence of the usual entropy production rate and entropy flux rate in the zero-temperature limit. The Wigner function, however, can become negative, which would lead to complex entropies. To amend this, one can alternatively use the Husimi Q-function, defined as

$$Q(\mu,\bar{\mu}) = \frac{1}{\pi} \langle \mu | \rho | \mu \rangle, \qquad (9)$$

where  $|\mu\rangle$  is a coherent state and  $\bar{\mu}$  denotes complex conjugation. The *Q*-function is such that  $Q(\mu, \bar{\mu}) \ge 0$  [68] and can be operationally interpreted as the probability distribution of the outcomes of a heterodyne measurement [69] (see also Appendix A). As the basic entropic quantifier, we use the Wehrl entropy [70], defined as the Shannon entropy of the *Q*-function:

$$S(Q) = -\int d^2\mu \, Q \ln Q. \tag{10}$$

Physically, S(Q) quantifies the entropy of the system, convoluted with additional noise introduced by measuring in the coherent state basis. As a consequence, S(Q) upper bounds the von Neumann entropy,  $S(\rho) = -\text{tr}\{\rho \ln \rho\}$ , i.e.,  $S(\rho) \leq S(Q)$ . The Wehrl entropy may thus be viewed as a semiclassical approximation to the von Neumann entropy. But despite these limitations, this is currently the only available framework for dealing with the thermodynamics of DDPTs.

We proceed by mapping the master equation Eq. (3) into a quantum Fokker-Planck equation

$$\partial_t Q = \mathcal{U}(Q) + \partial_\mu J(Q) + \partial_{\bar{\mu}} \bar{J}(Q),$$
 (11)

where  $\mathcal{U}(Q)$  and J(Q) are differential operators associated with the unitary and dissipative parts of (3). The exact form of these quantities can be found using standard correspondence tables [68]. The latter, in particular, reads

$$J(Q) = -\kappa(\mu Q + \partial_{\bar{\mu}}Q), \qquad (12)$$

and can be interpreted as the irreversible quasiprobability current associated with the photon loss dissipator. One may verify that J(Q) vanishes when Q is the vacuum state, which is the fixed point of the dissipator (although not of the whole master equation, due to the presence of the unitary term). This corroborates its interpretation as a quasiprobability current [56].

The unitary term, on the other hand, is somewhat lengthier and reads

$$\mathcal{U}(Q) = \mathcal{U}_{\Delta} + \mathcal{U}_{\mathcal{E}} + \mathcal{U}_{U}, \qquad (13)$$

where

• • •

$$\mathcal{U}_{\Delta} = i\Delta(\mu\partial_{\mu}Q - \bar{\mu}\partial_{\bar{\mu}}Q), \qquad (14)$$

$$\mathcal{U}_{\mathcal{E}} = -\mathcal{E}(\partial_{\mu}Q + \partial_{\bar{\mu}}Q), \qquad (15)$$

$$\mathcal{U}_{U} = \frac{iU}{2} \Big[ 2|\mu|^2 (\mu \partial_{\mu} Q - \bar{\mu} \partial_{\bar{\mu}} Q) + \mu^2 \partial_{\mu}^2 Q - \bar{\mu}^2 \partial_{\bar{\mu}}^2 Q \Big].$$
(16)

One notices, in particular, that the photon-photon interaction term, being quartic, leads to terms in  $\mathcal{U}_U$  containing second-order derivatives. This is a special feature of quantum non-Gaussian models. In classical Fokker-Planck equations, second-order derivatives stem only from dissipation. In quantum models, on the other hand, one may in general obtain derivatives of arbitrary orders.

Differentiating (10) with respect to time, we find

$$\frac{dS}{dt} = -\int d^2\mu \,\left(\partial_t Q\right) \ln Q. \tag{17}$$

Inserting (11) in Eq. (17), we can then split dS/dt as [cf. Eq. (1)]

$$\frac{dS}{dt} = \Pi_{\mathcal{U}} + \Pi_J - \Phi, \qquad (18)$$

where

$$\Pi_{\mathcal{U}} := -\int d^2 \mu \, \mathcal{U}(Q) \ln Q, \qquad (19)$$

$$\Pi_J - \Phi := -\int d^2\mu \left\{ \partial_\mu J(Q) + \partial_{\bar{\mu}} \bar{J}(Q) \right\} \ln Q.$$
 (20)

The term  $\Pi_{\mathcal{U}}$  represents how the unitary term contributes to dS/dt. This is a feature that is unique to phase-space entropies, such as (10), and is associated with their coarsegrained nature. It will be discussed further below. The terms  $\Pi_J$  and  $\Phi$ , on the other hand, refer to the splitting of the dissipative contribution into a term associated with an entropy production rate,  $\Pi_J$ , and another identified as an entropy flux rate  $\Phi$ .

#### A. Dissipative contribution

As shown in Refs. [56,59], the correct splitting of  $\Pi_J$  and  $\Phi$  in Eq. (20) should have the form

$$\Pi_J = \frac{2}{\kappa} \int d^2 \mu \; \frac{|J(Q)|^2}{Q} \ge 0, \tag{21}$$

$$\Phi = \int d^2 \mu \, \left( \bar{\mu} J(Q) + \mu \bar{J}(Q) \right) = 2\kappa \langle a^{\dagger} a \rangle. \tag{22}$$

The quantity J(Q)/Q can be interpreted as a phase-space velocity [71], so that  $\Pi_J$  is seen to be proportional to the mean-squared phase-space velocity,  $\langle |J(Q)/Q|^2 \rangle$ .

The entropy flux, Eq. (22), is associated with the photon loss rate. To see that, we start from Eq. (3) and compute the time evolution of the cavity occupation  $\langle a^{\dagger}a \rangle$ , which reads

$$\frac{d\langle a^{\dagger}a\rangle}{dt} = \mathcal{E}\langle a^{\dagger}+a\rangle - 2\kappa \langle a^{\dagger}a\rangle.$$

The first term describes the effect of the external coherent pump in populating the cavity, while the second describes the loss rate to the environment. The entropy flux is thus found to be directly the photon loss rate, corroborating its interpretation as a "flux."

Within the context of DDPTs, it is interesting to analyze the behavior of  $\Pi_J$  and  $\Phi$  in the thermodynamic limit (Sec. II B). In this case, it is convenient to define the fluctuation operator  $\delta a = a - \sqrt{N}\alpha$ , which leads to  $\langle \delta a^{\dagger} \delta a \rangle = \langle a^{\dagger} a \rangle - N |\alpha|^2$ . Substituting in Eq. (22) leads to

$$\Phi = \Phi_{\text{ext}} + \Phi_q = 2\kappa |\alpha|^2 N + 2\kappa \langle \delta a^{\dagger} \delta a \rangle, \qquad (23)$$

where  $\Phi_{\text{ext}} \propto O(N)$  is a clearly extensive contribution, while  $\Phi_a$  is the contribution associated with quantum fluctuations.

A similar splitting can be done for the entropy production rate (21). We define the displaced phase-space variable  $v = \mu - \alpha \sqrt{N}$ , which leads to a splitting of the current as  $J = -\sqrt{N}\kappa\alpha Q + J_{\nu}(Q)$ , where  $J_{\nu}(Q) = -\kappa(\nu Q + \partial_{\bar{\nu}}Q)$ . Substituting this in Eq. (21), we then find

$$\Pi_J = \Pi_{\text{ext}} + \Pi_d = 2\kappa |\alpha|^2 N + \frac{2}{\kappa} \int d^2 \nu \; \frac{|J_\nu(Q)|^2}{Q}.$$
 (24)

As can be seen, the first contribution to the entropy production rate is  $\Pi_{ext} = \Phi_{ext}$ . This means that the part of the entropy flow associated with the first moments  $\alpha$  produces an equal amount of entropy [and hence does not affect the system entropy, according to Eq. (1)]. The other contribution,  $\Pi_d$ , concerns only the quantum fluctuations (we do not call it  $\Pi_q$ since there will also be a quantum contribution to  $\Pi$  from the unitary part, as we now discuss).

### **B.** Unitary contribution

Next we turn to the unitary term  $\Pi_{\mathcal{U}}$  in (19). An interesting feature of the Husimi *Q*-function is that the only unitary terms that contribute to dS/dt are those involving second derivatives. That is, the terms associated with  $\Delta a^{\dagger}a$  and  $i\mathcal{E}(a^{\dagger} - a)$ , Eqs. (14) and (15), vanish. This can be proven integrating by parts multiple times. The same is also true for the first two terms in Eq. (16). Thus, the only nontrivial contributions come from the last two terms. Integrating by parts multiple times, these can be written as

$$\Pi_{\mathcal{U}} = \frac{iU}{2} \int d^2 \mu \frac{[\mu^2 (\partial_{\mu} Q)^2 - \bar{\mu}^2 (\partial_{\bar{\mu}} (Q))^2]}{Q}.$$
 (25)

This contribution to the entropy production stems from the second-order derivatives in the quantum Fokker-Planck equation. Usually, second-order derivatives are associated with diffusion. Since, in this case, these derivatives refer to the unitary part, this cannot be standard diffusion, which would not conserve energy. Instead, the structure appearing in the last two terms of Eq. (16) represents a special type of diffusion, which is compatible with unitarity. This type of phenomenon was studied in Refs. [72,73] in the context of the Dicke model, where the authors showed that it corresponds to a type of decoherence, linked with the coarse-graining introduced by the phase-space representation.

Unlike  $\Pi_J$ , which is always non-negative (as expected by the second law), the sign of  $\Pi_{\mathcal{U}}$  is not well-defined. This, in a sense, is expected since this quantity measures the effect of the unitary dynamics on S(Q). And one cannot expect that all unitary dynamics always lead to an increase in the Wehrl entropy. Notwithstanding, we have recently carried out a study of this type of term in the context of dynamical phase transitions in the Lipkin-Meshkov-Glick model [74]. There, we found that even though  $\Pi_{\mathcal{U}}$  did not have a well-defined sign, this became asymptotically the case in the thermodynamic limit. Thus, our expectation is that for critical models this term should tend to be non-negative in the thermodynamic limit (although it is not clear whether this could somehow be proved in general).

We have also carried out a detailed analysis of  $\Pi_{\mathcal{U}}$  in the NESS of the KBM model [59]. We found that, quite

surprisingly, it behaved similarly to what is expected from the *classical* entropy production across nonequilibrium transitions [75–79].

### **IV. QUANTUM ENTROPY PRODUCTION DYNAMICS**

## A. Quench protocol and computational details

We now arrive at the core of our paper, where we probe the dynamics of the different entropic quantities during a quench protocol of the KBM model. The quench causes the system to evolve from the initial NESS, with pump  $\epsilon_i$ , to a final NESS, with pump  $\epsilon_f$ . Both initial and final states are already nonequilibrium in nature. In addition, however, there will also be an entropy production associated with the quench dynamics, a highly nonadiabatic process.

From this point on, all quantities will be given in units of  $\kappa = 1/2$ . We fix  $\Delta = -2$  and u = 1. We also start all quenches from  $\epsilon_i = 0.5$ . The only free parameters are then  $\epsilon_f$  and N. We will make frequent reference to Fig. 2, which shows the different values we chose for  $\epsilon_f$ . For these parameters, the mean-field bistability region occurs between  $\epsilon_- = 0.701373$  and  $\epsilon_+ = 1.16616$  [cf. Eq. (5)]. Moreover, the critical point (determined numerically) is at  $\epsilon_c = 0.933$ . The system is thus always initialized in the dark phase,  $\epsilon_i = 0.5 < \epsilon_c$ . We recall the nomenclature we adopted: the dark phase refers to the first branch, where  $\epsilon < \epsilon_c$ , and the bright phase refers to the upper branch, where  $\epsilon > \epsilon_c$ . Moreover, the region between  $[\epsilon_-, \epsilon_+]$  is referred to as the bistability region.

All simulations are done by expressing the relevant operators in the Fock basis, using a sufficiently large number of basis states  $n_{\text{max}}$  to ensure convergence. Manipulations involving the Liouvillian (3) are then carried out using standard vectorization methods [80]. The quench protocol we investigated can be summarized as follows:

(i) The system is initialized in the steady state of the Liouvillian  $\mathcal{L}_i$ , associated with  $\epsilon = \epsilon_i$ . That is,  $\rho_i$  is the solution of  $\mathcal{L}_i(\rho_i) = 0$ .

(ii) At time t = 0 we abruptly change the pump amplitude to a certain value  $\epsilon_f$ , which defines a new Liouvillian  $\mathcal{L}_f$ .

(iii) For t > 0 the state evolution is governed by the final Liouvillian according to

$$\rho_t = e^{\mathcal{L}_f t} \rho_i,$$

which is the formal solution of (3).  $\rho_t$  is computed numerically by discretizing the evolution in small time steps, usually  $\Delta t = 0.2$ .

(iv) At each time step we compute  $\langle a \rangle$  and  $\langle a^{\dagger}a \rangle$ . From the former we find  $\alpha = \langle a \rangle / N$  and from the latter we obtain the net entropy flux rate (22),  $\Phi = 2\kappa \langle a^{\dagger}a \rangle$ .

(v) The Husimi *Q*-function is computed numerically at each time step by constructing approximate coherent states,

$$|\mu\rangle = e^{-|\mu|^2/2} \sum_{n=0}^{n_{\max}} \frac{\mu^n}{\sqrt{n!}} |n\rangle.$$
 (26)

We compute  $Q(\mu, \bar{\mu})$  for a sufficiently fine grid of points  $(\mu, \bar{\mu})$  in the complex plane.

(vi) The entropy production rates  $\Pi_J$  and  $\Pi_{\mathcal{U}}$  in Eqs. (21) and (25) are computed numerically by integrating the

corresponding functions over the complex plane grid. Derivatives of the Husimi function can be computed efficiently using the Bargmann state [68], as detailed in Appendix B.

These simulations are computationally costly, and the cost increases significantly with N. The reason is twofold. First, larger values of N require a larger number of basis elements  $n_{\text{max}}$  to ensure convergence. Second, larger N also requires larger grids in the complex plane, which significantly increases the cost of the numerical integrations involved in computing  $\Pi_J$  and  $\Pi_U$ .

We begin in Sec. IV B by showing that, in general, the dynamics is not Gaussian. This is interesting, since in the thermodynamic limit this would be the case for the NESS. However, due to the highly nonadiabatic nature of the quench, the intermediate states will in general deviate significantly from Gaussianity. Next we turn to the properties of the entropy production rate and the flux rate. In Sec. IV C we study the entropy flux rate  $\Phi$  in Eq. (23), and in Sec. IV C we study the component  $\Pi_d$  [of  $\Pi_J$ , Eq. (24)] and the unitary contribution  $\Pi_{\mathcal{U}}$  in Eq. (25).

#### B. Gaussianity of the state during the dynamics

We can quantify the degree of non-Gaussianity in  $\rho_t$  by comparing it with a corresponding Gaussian state  $\rho_t^G$  having the same first and second moments. Following [81], we do this using the quantum Kullback-Leibler divergence

$$\mathcal{G}(\rho_t) = D(\rho_t || \rho_t^G) = \operatorname{tr} \{ \rho_t \ln \rho_t - \rho_t \ln \rho_t^G \}.$$
(27)

The state is Gaussian if  $\mathcal{G} = 0$  and non-Gaussian otherwise. The results for  $\mathcal{G}(\rho_t)$ , for the quenches laid out in Fig. 2, are presented in Fig. 4.

The quench in Fig. 4(a) goes to  $\epsilon_f = 0.6 < \epsilon_-$  and thus remains in the dark phase, outside the bistability region. We observe that most evolutions remain nearly Gaussian, except N = 1. This is consistent with the fact that Gaussianity, even in the NESS, is only expected in the thermodynamic limit. In Fig. 4(b) the quench goes to  $\epsilon_f = 0.8$ . Again, it is still in the dark phase, but now it lies inside the bistability region and below the critical point; that is,  $\epsilon_- < \epsilon_f < \epsilon_c$ . Now we observe that N = 5 is not even close to being Gaussian, but one does roughly find Gaussianity for N = 10 onward.

The real action starts in Fig. 4(c), where  $\epsilon_f = \epsilon_c$ . The corresponding final NESS will be roughly a mixture of the dark and bright phases. We observe that the dynamics is markedly non-Gaussian for any value of N. But for larger values of N one still finds that there is a tendency toward becoming Gaussian.

The situation changes completely in Fig. 4(d), where we show results for  $\epsilon_f = 1.1$ , thus representing a quench from the dark to the bright phase, but within the bistability region,  $\epsilon_c < \epsilon_f < \epsilon_-$  (see Fig. 2). In this case we find that  $\mathcal{G}(\rho_t)$  increases with N. This indicates that, in this case, a Gaussian limit would never be reached regardless of the values of N used. It therefore represents the most dramatic example, over all quenches, of a markedly non-Gaussian dynamics. A similar behavior is observed in Fig. 4(e), where  $\epsilon_f = \epsilon_+$ . Unlike (d), however, we now see that  $\mathcal{G}(\rho_t)$  tends to fall for long times. This is related to the timescales of the problem, which are much slower in Fig. 4(d).

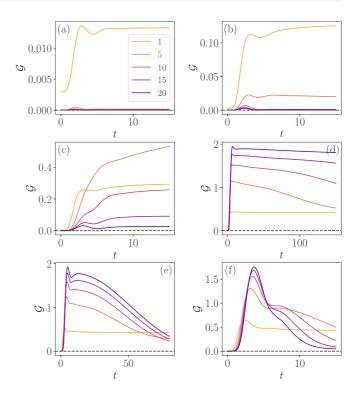


FIG. 4. Degree of non-Gaussianity [Eq. (27)], as a function of time, for the different quench protocols in Fig. 2. Each curve correspond to a different value of N, as shown in (a). Other parameters are the same as Fig. 2.

Finally, in Fig. 4(f) we show results for  $\epsilon_f = 1.3 > \epsilon_+$ . It is found that, for intermediate times, the state is non-Gaussian for any size *N*, but quickly tends back toward zero [notice the different horizontal scale in comparison with (d) and (e)].

The above results, therefore, clearly show that during the quench dynamics the state will in general be highly non-Gaussian. This justifies the use of the full numerical algorithm described above, in contrast to, say, Gaussianization techniques. It also highlights one of the advantages of using the Husimi function, which is non-negative for any quantum state (in contrast, for instance, with the Wigner function, which can become negative).

#### C. Entropy flux rate dynamics

Figure 5 summarizes the results for the entropy flux rate (22). This quantity is dominated by the first term, which is extensive in *N*. The dashed black lines represent the values of  $\Phi_{\text{NESS}}$  computed from the exact solution (6). In Figs. 5(c) and 5(d), the MFA solution, Eq. (5), is represented by red dash-dotted lines, for comparison.

In Figs. 5(a) and 5(b) the system relaxes to the exact NESS after a relatively short transient [when contrasted to (d)–(f)]. This is related to the fact that both the initial and final NESSs belong to the same manifold of dark-phase states. The behavior of the flux is in agreement with the non-Gaussianity in Fig. 4. In Fig. 5(c), where the quench goes to the critical point  $\epsilon_c$ , the system tends to relax to the NESS predicted by the MFA solution in Eq. (5) when  $N \rightarrow \infty$ . Recall that this quench was the first to present a non-Gaussian dynamics.

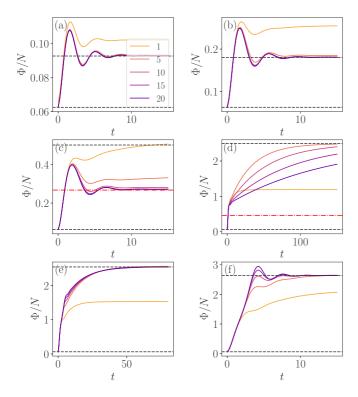


FIG. 5.  $\Phi/N$ , Eq. (22), as a function of time. The dashed black lines correspond to the NESS values at  $\epsilon_i$  and  $\epsilon_f$  (in the thermodynamic limit). The red dash-dotted lines represent the MFA solution. Other details are as in Fig. 4.

In Fig. 5(d), where the quench is toward the bright phase, we begin to observe a nontrivial dependence on N (all plots refer to  $\Phi/N$ ). Recall that, in this case, the degree of non-Gaussianity increases with N. One also notices that in this case the system does not relax to the exact NESS, nor to the MFA solution, even for the significantly longer simulation times (compare the horizontal axis with the other curves). Given a sufficient amount of time, the system would likely relax. However, this requires significantly longer simulations, which are beyond the numerical capabilities of our code.

Finally, in Figs. 5(e) and 5(f) one observes a much more orderly transition between the two NESSs, as depicted by the dashed black lines. The transient timescales also tend to be relatively *N*-independent, except for N = 1.

In Fig. 6 we present the plots for the quantum entropy flux  $\Phi_q$  in Eq. (23). This quantity behaves similarly to  $\Pi_d$ , which will be discussed in the next section. Thus, we shall comment more thoroughly on it below. At this point, we just call attention to the overall vertical scale of  $\Phi_q$ , in comparison with  $\Phi$  in Fig. 5. The latter is normalized by *N*. Notwithstanding, for certain quenches one finds that  $\Phi_q$  can reach values that are comparable in magnitude to  $\Phi_{\text{ext}}$ . This is particularly true for  $\epsilon_f > \epsilon_c$  [Figs. 6(d)–6(f)].

### D. Quantum entropy production dynamics

In this section, we present and compare the dynamics of the quantum entropy production rate due to dissipation,  $\Pi_d$  (Fig. 7), and due to the unitary contribution,  $\Pi_{\mathcal{U}}$  (in Fig. 8).

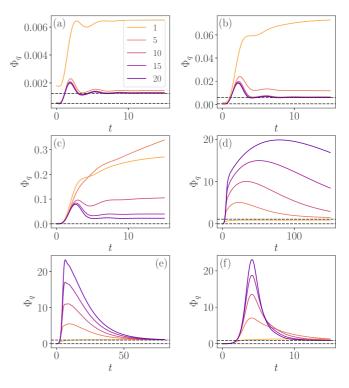


FIG. 6.  $\Phi_q$ , Eq. (23), as a function of time. Other details are as in Fig. 4.

We begin by comparing the two quantities in the cases of (a)  $\epsilon_f = 0.6$ , (b)  $\epsilon_f = 0.8$ , and (c)  $\epsilon_f = \epsilon_c$ . In these three cases, we observe that  $\Pi_d$  and  $\Pi_u$  are of the same order of

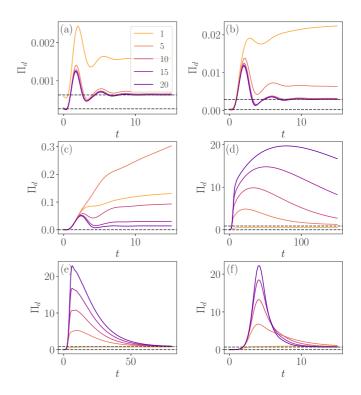


FIG. 7.  $\Pi_d$ , Eq. (24), as a function of time. The black dashed lines correspond to the NESS values in the thermodynamic limit [the line in image (c) is not shown since it falls outside the scale]. Other details are as in Fig. 4.

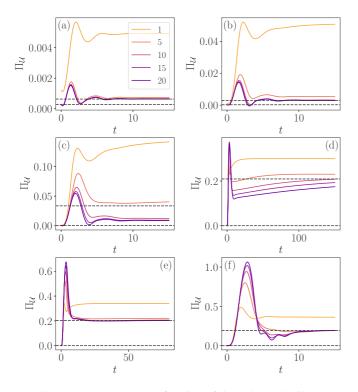


FIG. 8.  $\Pi_{\mathcal{U}}$ , Eq. (25), as a function of time. Other details are as in Fig. 4.

magnitude. Physically, this means that irreversibility due to dissipation is comparable to the one introduced by the coarsegraining. We call attention to a nontrivial *N*-dependence in  $\Pi_d$  in Fig. 7(c), where the curve for N = 5 is actually above N = 1, unlike all other cases. It is not clear to us precisely why this happens.

Conversely, for the quenches to (d)  $\epsilon_f = 1.1$ , (e)  $\epsilon_f = \epsilon_+$ , and (f)  $\epsilon_f = 1.3$ , we find that  $\Pi_d \gg \Pi_{\mathcal{U}}$ ; that is, dissipation becomes the main source of irreversibility. In all these cases, both  $\Pi_d$  and  $\Pi_{\mathcal{U}}$  present an *N*-dependent transient peak at some instant of time and then eventually relaxing back to the NESS value. Notice how the maximal values reached by  $\Pi_d$  are significantly higher than those of the NESS. This allows one to conclude that the transition from a dark to a bright NESS is accompanied by a significant production of entropy. Please note also the horizontal scales, showing that the relaxation times in Figs. 7(d) and 8(d) are significantly larger. Conversely, in Figs. 7(f) and 8(f), the relaxation times reduce significantly. Notwithstanding, the maximum entropy production rate is still very high.

These results show that the thermodynamic response of the system depends sensibly on the final pump value  $\epsilon_f$ . When the quench is to the same phase, we find that  $\Pi_d \approx \Pi_{\mathcal{U}}$ , while when we abruptly change the phase,  $\Pi_d \gg \Pi_{\mathcal{U}}$ : in the former, the contributions of the dissipation and uncertainty introduced by the coarse-graining are comparable, and in the latter the dissipation dominates over coarse graining that produces  $\Pi_{\mathcal{U}}$ . When the system is quenched toward the bright phase, one finds a strong dependence on N, together with a peak of the entropy production rates before the relaxation to the NESS. Whether  $\epsilon_f$  lies inside or outside the bistability region plays an essential role in the timescales involved, which become

significantly slower due to the metastable character of this region.

### V. CONCLUSION AND OUTLOOK

Entropy production is the key concept characterizing systems out of equilibrium. And much is still unknown about how it behaves as a system undergoes a nonequilibrium transition. In this paper, we have employed a phase-space formalism to characterize the entropy production of the Kerr bistability model, a prototypical model of driven-dissipative quantum optical systems, presenting a discontinuous transition. This paper complements Ref. [59], which studied the NESS of this model. Here our focus was on dynamical aspects of the entropy production in a sudden quench scenario.

We showed that, in general, the state during the dynamics is highly non-Gaussian. By analyzing the quenches to different representative configurations, we have found that the thermodynamic response of the system presents at least three markedly different behaviours. For quenches within the same phase, the unitary and dissipative contributions to the entropy production are of the same order of magnitude, and the timescales involved are all relatively short and *N*-independent. For quenches from the dark to the bright phase, but still inside the bistability region, we find that  $\Pi_d \gg \Pi_{\mathcal{U}}$  and the timescales become significantly longer. Finally, for quenches from the dark to the bright phase, but outside the bistability region, one still has  $\Pi_d \gg \Pi_{\mathcal{U}}$ , but now the timescales become once again relatively short and *N*-independent.

By gauging how far the system is from equilibrium, together with the relative contribution from unitary and dissipative dynamics, this study has therefore helped shed light on the intricate interplay between criticality and dissipation in nonequilibrium transitions. It also serves to tighten the connection between quantum optical models and statistical mechanics.

Finally, we believe that these results also show the flexibility of the Wehrl entropy production framework in dealing with driven-dissipative quantum optical systems far from equilibrium. In future research, we plan to consider infinitesimal quenches, as well as models presenting continuous transitions. From a computational point of view, these studies would benefit tremendously from more efficient methods of computing the Husimi Q-function and the associated phase-space quantities. This would be an absolute necessity if one were to extend these studies, for instance, to multimode systems such as the Dicke model or the optical parametric oscillators.

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## **APPENDIX A: HETERODYNE MEASUREMENTS**

To clarify the physical content of the Wehrl entropy, Eq. (10), we briefly review here the interpretation of the Husimi *Q*-function as the probability distribution of the outcomes of a heterodyne measurement. We start by considering a generalized measurement, described by the continuous set of Kraus operators

$$M_{\mu} = \frac{1}{\sqrt{\pi}} |\mu\rangle \langle \mu|. \tag{A1}$$

This set is properly normalized, which is a consequence of the (over)completeness of the coherent states basis,

$$\int d^2 \mu M^{\dagger}_{\ \mu} M_{\mu} = \int d^2 \mu \frac{|\mu\rangle\langle\mu|}{\pi} = 1.$$
 (A2)

Thus, the probability of obtaining outcome  $\mu$  will be given by

$$p_{\mu} = \operatorname{tr}\{M_{\mu}\rho M^{\dagger}{}_{\mu}\} = \frac{1}{\pi} \langle \mu | \rho | \mu \rangle \equiv Q(\mu, \bar{\mu}), \qquad (A3)$$

which is precisely the Husimi Q-function. The Wehrl entropy (10) can therefore be interpreted in an operational sense as the entropy associated with the outcome probability distribution of an heterodyne measurement. This highlights its coarse-grained character, as it also encompasses the additional noise introduced by the measurement.

### APPENDIX B: COMPUTATION OF $\Pi_J$ AND $\Pi_U$ FROM THE BARGMANN STATE

To compute  $\Pi_J$  and  $\Pi_{\mathcal{U}}$  numerically, we make use of the Bargmann state, defined as

$$||\mu\rangle = \exp\frac{|\mu|^2}{2}|\mu\rangle, \tag{B1}$$

where  $|\mu\rangle$  is the usual coherent state. This state has the useful property that the action of the derivatives with respect  $\mu$  and  $\bar{\mu}$  is mapped into the action of the creation/annihilation operators as

$$\partial_{\mu}||\mu\rangle = a^{\dagger}||\mu\rangle, \quad \partial_{\bar{\mu}}\langle\mu|| = \langle\mu||a.$$
 (B2)

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We can use this to write the phase-space currents (12) in a computationally more convenient way. First we express the Q-function as

$$Q(\mu, \bar{\mu}) = \frac{\exp{-|\mu|^2}}{\pi} \langle \mu ||\rho||\mu\rangle.$$
(B3)

Using Eq. (B2) to compute  $\partial_{\bar{\mu}}Q$ , we then find

$$\partial_{\bar{\mu}}Q = -\mu Q + \frac{\exp(-|\mu|^2)}{\pi} \langle \mu ||a\rho||\mu\rangle$$
$$= -\mu Q + \frac{1}{\pi} \langle \mu |a\rho|\mu\rangle, \tag{B4}$$

where, in the second line, we already recast the state in terms of usual coherent states. We then find that

$$J(Q) = -\frac{\kappa}{\pi} \langle \mu | a\rho | \mu \rangle, \tag{B5}$$

which allows us to write  $\Pi_J$  in Eq. (21) as

$$\Pi_J = \frac{2\kappa}{\pi} \int d^2\mu \; \frac{|\langle \mu | a\rho | \mu \rangle|^2}{\langle \mu | \rho | \mu \rangle}.$$
 (B6)

Now, we turn to the computation of Eq. (25). First, we note it can be rewritten as

$$\Pi_{\mathcal{U}} = U \int d^2 \mu \operatorname{Im} \frac{\bar{\mu}^2 (\partial_{\bar{\mu}} Q)^2}{Q}.$$
 (B7)

Hence, using Eq. (B4) and the definition of  $Q(\mu, \bar{\mu})$ , we obtain

$$\Pi_{\mathcal{U}} = U \int d^{2}\mu \operatorname{Im} \left\{ \frac{|\mu|^{2}}{\pi} (|\mu|^{2} \langle \mu |\rho |\mu \rangle + 2\bar{\mu} \langle \mu |a\rho |\mu \rangle) \bar{\mu} \frac{\langle \mu |a\rho |\mu \rangle^{2}}{\langle \mu |\rho |\mu \rangle} \right\}.$$

During the simulations, the quantities  $\langle \mu | \rho | \mu \rangle$  and  $\langle \mu | a \rho | \mu \rangle$  are directly computed from  $\rho_t$ .

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