



Sequential quantum measurements and the stochastic operation of thermal machines

Prof. Gabriel T. Landi
University of Rochester

October 9th,
Quantum Thermodynamics meets Quantum Computation
Pisa

<https://www.pas.rochester.edu/~gtlandi>

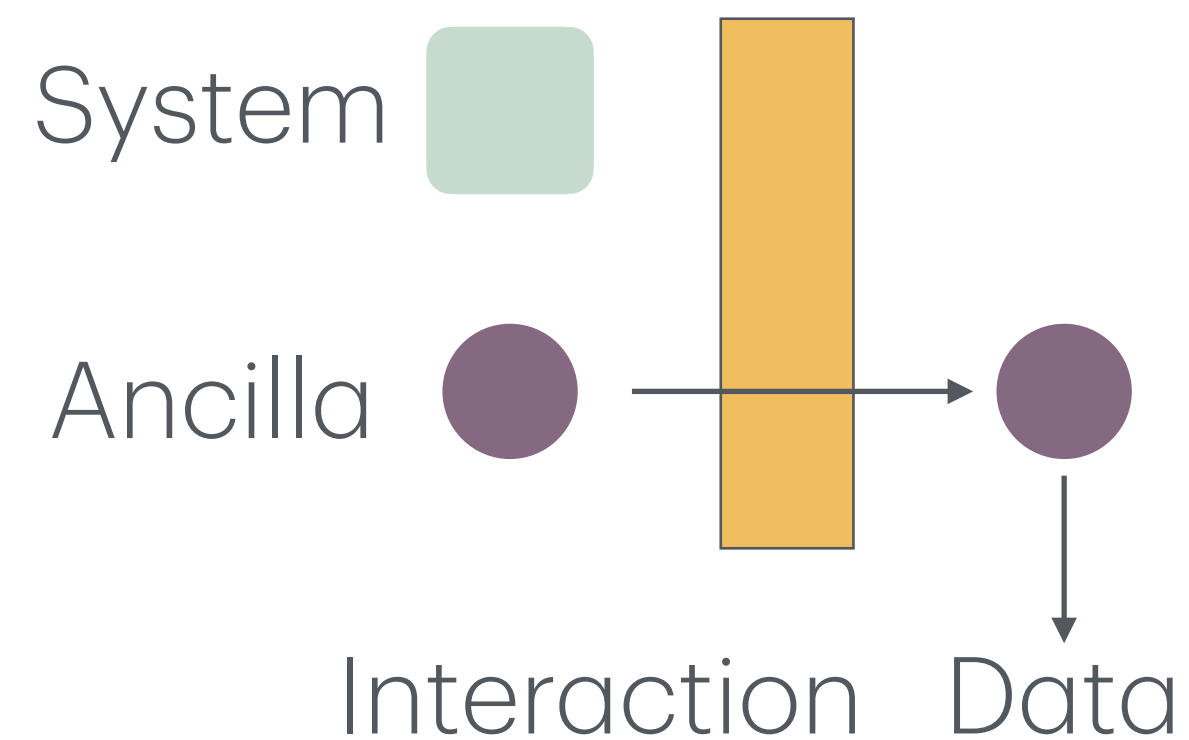
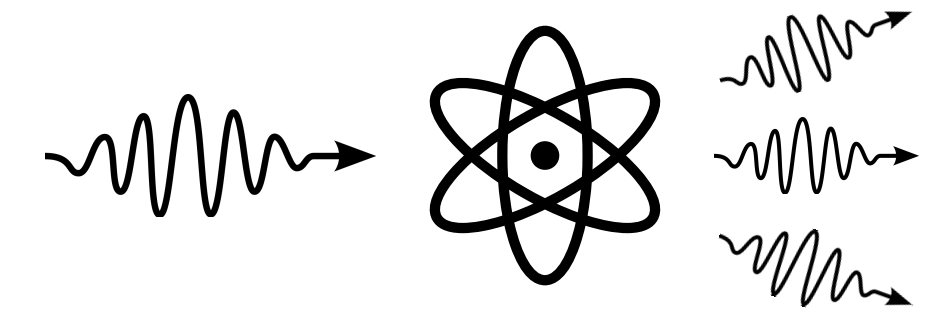
We cannot see quantum systems...

All we see is data ...111000001000010011100111101100...

- To measure a system we must send in a **probe** (or **ancilla**).

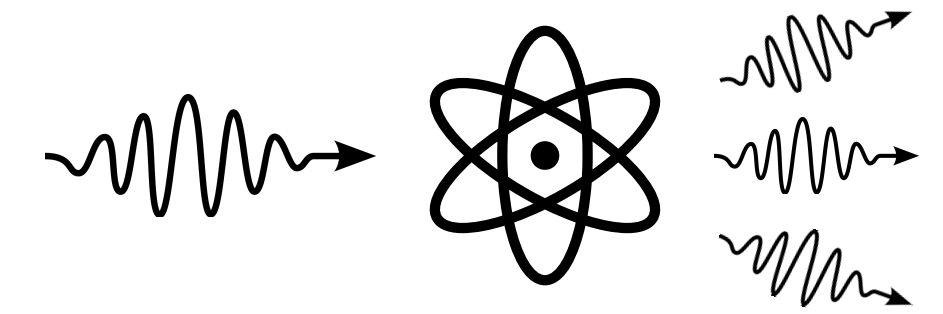
- S+A interaction encodes information about S on A.
- Extract information by measuring A.

- **Information-back action trade-off:** the more information we want, the more we disturb the system.



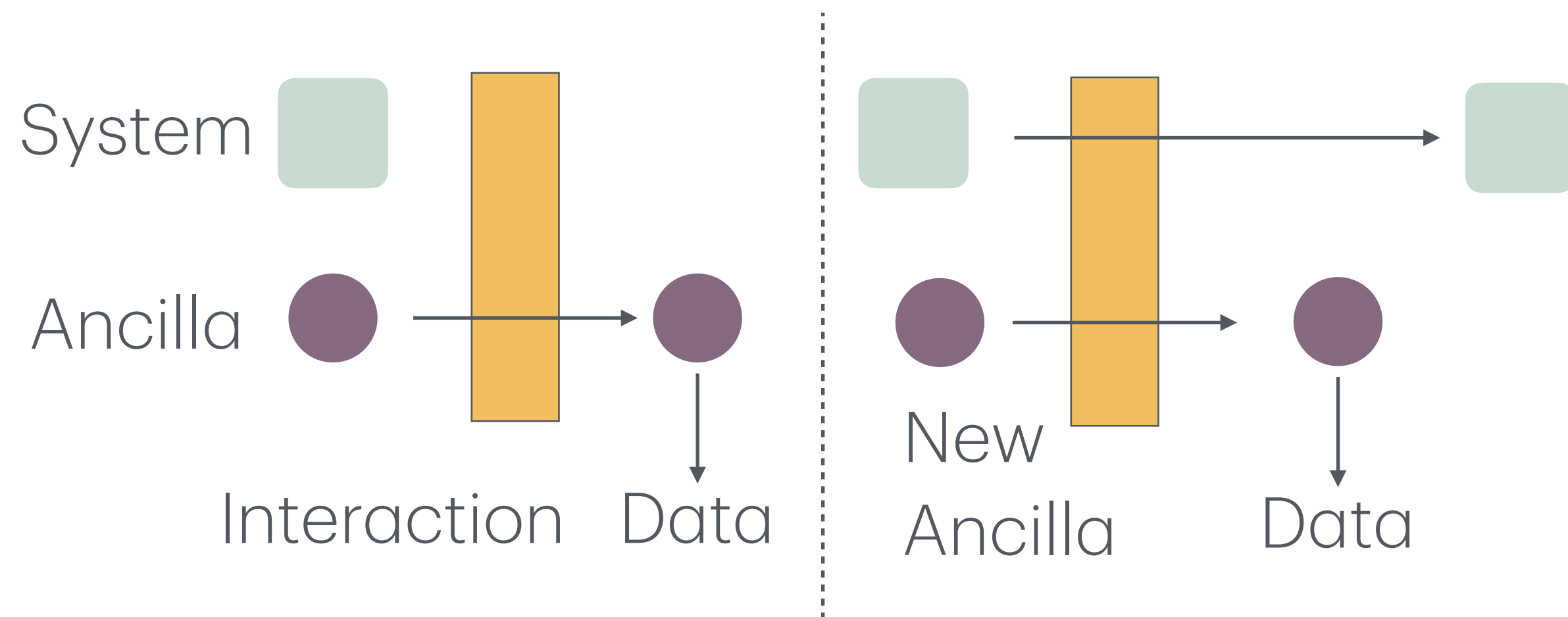
- To measure a system we must send in a **probe** (or **ancilla**).

- S+A interaction encodes information about S on A.
- Extract information by measuring A.



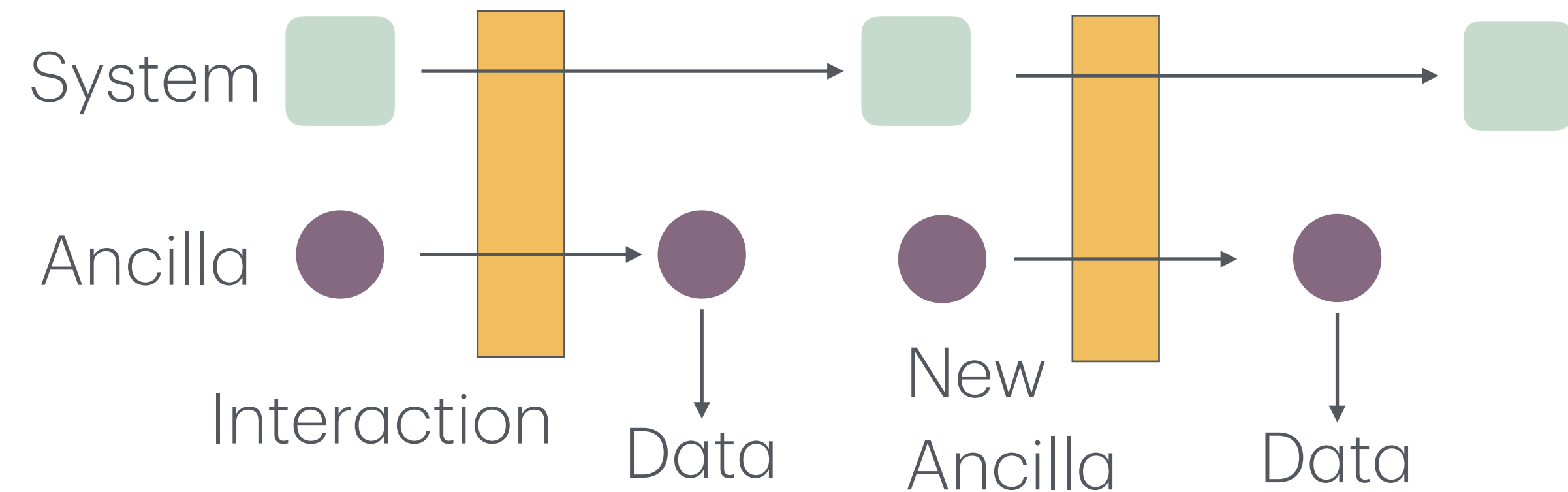
- **Information-back action trade-off:** the more information we want, the more we disturb the system.

Prepare & measure



iid outcomes

Sequential measurements



Correlated outcomes

A simple example

- Qubit: apply unitary U then measure in the computational basis $P_x = |x\rangle\langle x|$ where $x = 0, 1$.
- Start in $|\psi_0\rangle$.
 1. Sample first outcome x_1 from $p(x_1) = |\langle x_1 | U | \psi_0 \rangle|^2$.
Update state to $|\psi_1\rangle = |x_1\rangle$.
 2. Sample second outcome x_2 from $p(x_2 | x_1) = |\langle x_2 | U | x_1 \rangle|^2$.
Update state to $|\psi_2\rangle = |x_2\rangle$.
- Generates a **bitstring of emitted symbols** $x_{1:n} = (x_1, \dots, x_n)$.
- Probability of a sequence forms a Markov chain: $P(x_1, \dots, x_n) = p(x_n | x_{n-1}) \dots p(x_2 | x_1) p(x_1)$.

Non-projective measurements lead to long memory

- Apply a set of Kraus operators $\sum_x F_x^\dagger F_x = 1$. Starting at ρ_0 :

1. Sample first outcome x_1 from $p(x_1) = \text{tr}\{F_{x_1}\rho_0 F_{x_1}^\dagger\}$. Update state to $\rho_{x_1} = \frac{F_{x_1}\rho_0 F_{x_1}^\dagger}{p(x_1)}$.

2. Sample second outcome x_2 from $p(x_2|x_1) = \text{tr}\{F_{x_2}\rho_{x_1} F_{x_2}^\dagger\}$. Update state to $\rho_{x_1:2} = \frac{F_{x_2}\rho_{x_1} F_{x_2}^\dagger}{p(x_2|x_1)}$.

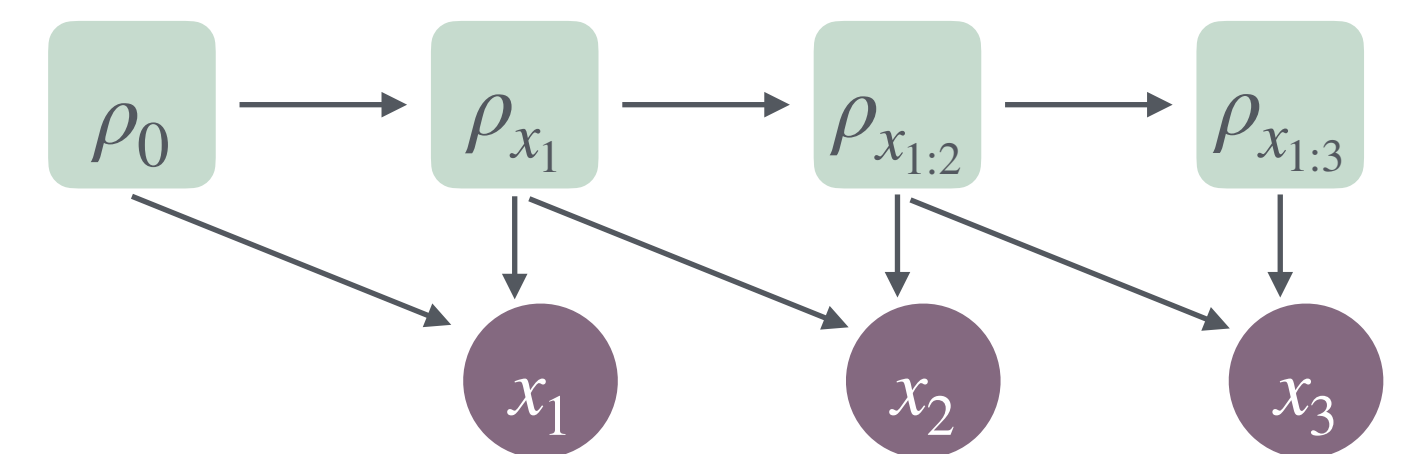
$$p(x_{n+1}|x_{1:n}) = \text{tr}\{F_{x_{n+1}}\rho_{x_{1:n}} F_{x_{n+1}}^\dagger\} \quad \text{and} \quad \rho_{x_{1:n+1}} = \frac{F_{x_{n+1}}\rho_{x_{1:n}} F_{x_{n+1}}^\dagger}{p(x_{n+1}|x_{1:n})}$$

- String probability is now $P(x_{1:n}) = p(x_n|x_{1:n-1})p(x_{n-1}|x_{1:n-2})\dots p(x_2|x_1)p(x_1)$ which is highly non-Markovian.

- *Evolution of the system is Markovian. But output data is not.*

- Looks like a Hidden Markov Model (HMM):

- Quantum system is hidden.
- Measurement outcomes (what we see) = **emitted symbols**



...1110000010000100011100111101100...

Instruments: simplify and generalize

- Instruments = superoperators:

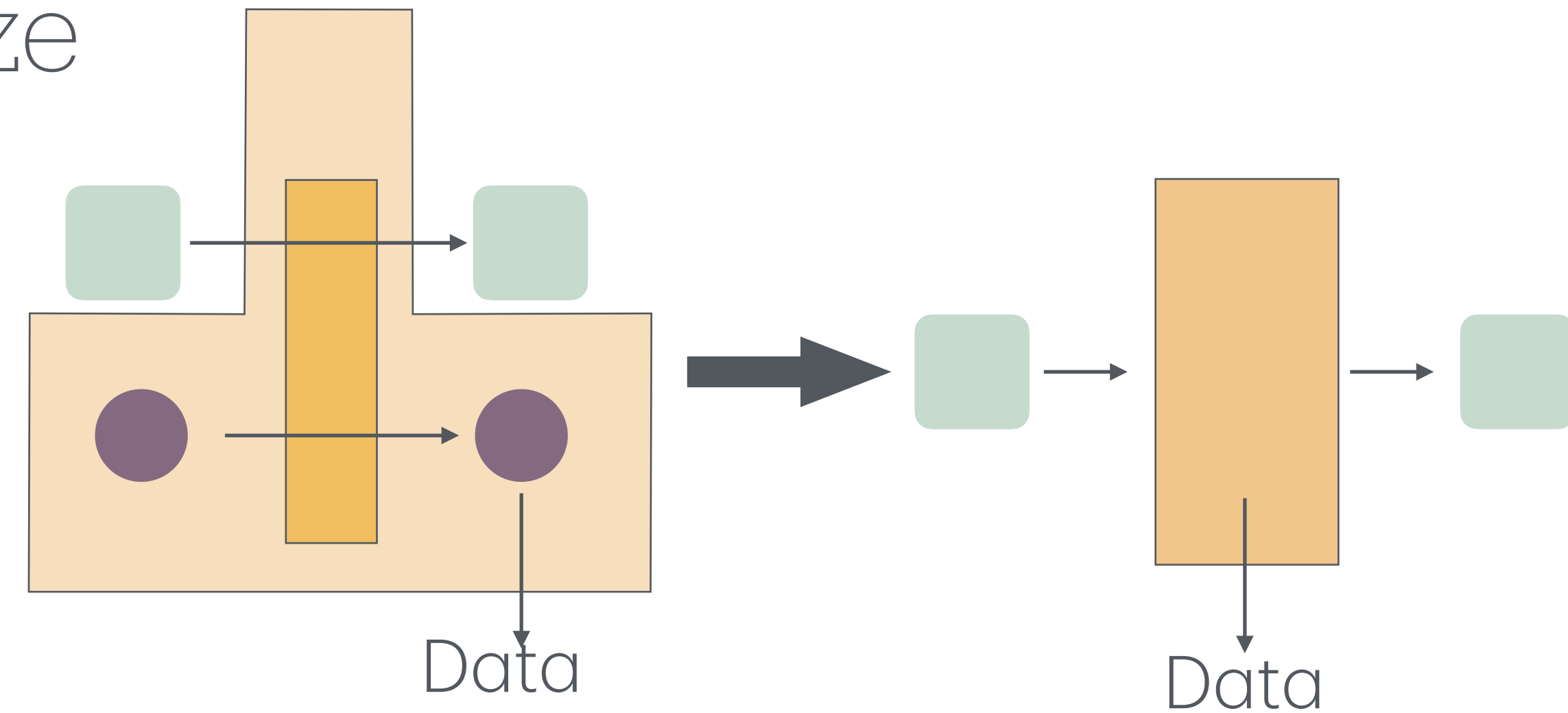
$$M_x \rho = F_x \rho F_x^\dagger$$

- Update rules become:

$$p(x_{n+1} | x_{1:n}) = \text{tr}\{M_{x_{n+1}} \rho_{x_{1:n}}\}$$

and

$$\rho_{x_{1:n+1}} = \frac{M_{x_{n+1}} \rho_{x_{1:n}}}{p(x_{n+1} | x_{1:n})}$$



Prob. of a string:

$$P(x_{1:n}) = \text{tr}\{M_{x_N} \dots M_{x_1} \rho_0\}$$

Conditional state

$$\rho_{x_{1:n}} = M_{x_N} \dots M_{x_1} \rho_0 / P(x_{1:n})$$

Instruments represent the most general kind of measurement possible.

Also encompass inefficient measurements

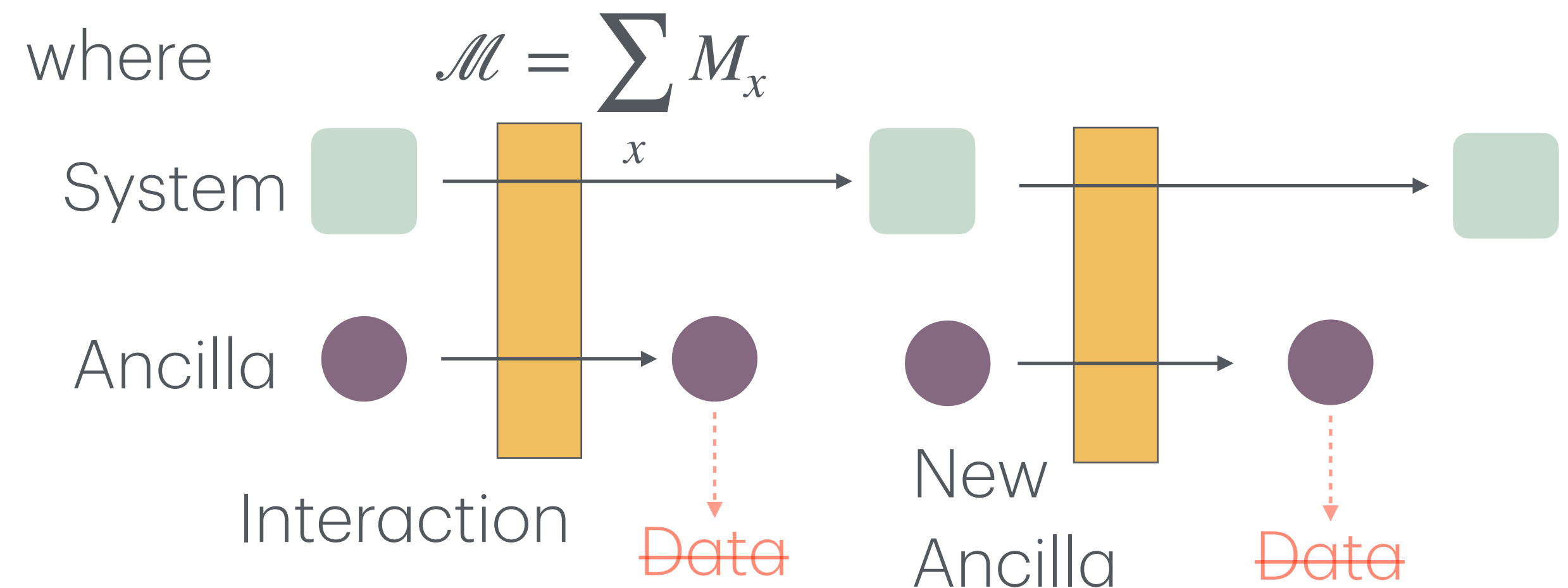
$$M_x \rho = \sum_{k \in x} F_k \rho F_k^\dagger$$

Unconditional dynamics

- If we measure but don't record the outcome the state of the system still changes (measurement back action)
- Ex: collision model or master equation.

$$\rho' = \sum_x p_x \rho'_x = \sum_x M_x \rho = \mathcal{M} \rho$$

- \mathcal{M} is a quantum channel.
- After n steps: $\rho_n = \mathcal{M}^n \rho_0$.
- Describes the average impact that the interaction with the ancilla causes in the system.



Connection to Hidden Markov Models

- $P(x, \sigma | \sigma')$ = prob. that system goes from $\sigma' \rightarrow \sigma$ while emitting a symbol x .
- If HMM state is $\pi(\sigma')$ the prob. that we observe symbol x is

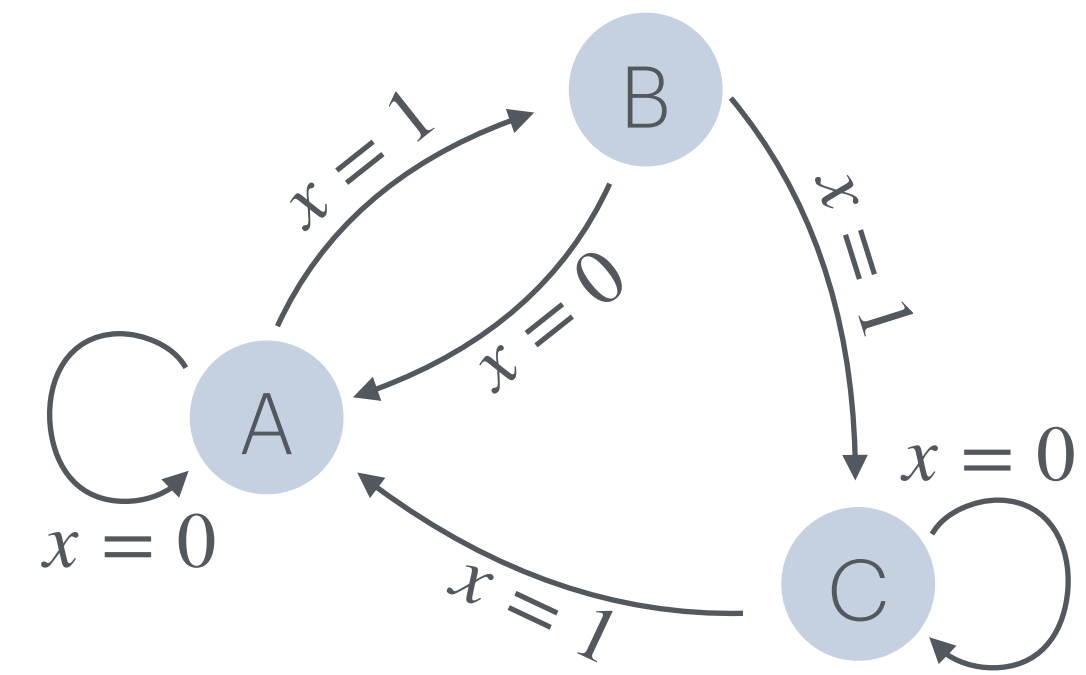
$$p(x) = \sum_{\sigma, \sigma'} P(x, \sigma | \sigma') \pi(\sigma')$$

- If outcome was x , bayesian update the state of the hidden layer:

$$\pi(\sigma | x) = \frac{P(x, \sigma)}{p(x)} = \frac{\sum_{\sigma'} P(x, \sigma | \sigma') \pi(\sigma')}{p(x)}$$

- Define substochastic matrices: $(M_x)_{\sigma, \sigma'} = P(x, \sigma | \sigma')$ and $\langle 1 | = (1, \dots, 1)$. Then

$$p(x) = \langle 1 | M_x | \pi \rangle \quad \text{and} \quad | \pi_x \rangle = \frac{M_x | \pi \rangle}{p(x)}$$



Compare with

$$p(x) = \text{tr}\{M_x \rho\}$$

and

$$\rho_x = \frac{M_x \rho}{p(x)}$$

Prediction

- **Mixed state representation & unifilar models:** if we know $\rho_{x_{1:n}}$ and we observe x_{n+1} we know with certainty that the system evolved to $\rho_{x_{1:n+1}}$.
- Usefulness: data compression

$$p(x_{n+1} | x_{1:n}) = p(x_{n+1} | \rho_{x_{1:n}})$$

If we can know the internal state, we can make statistical predictions of future outcomes.

- Example: figuring out the internal state of a large language model.

Quantum jumps



Mark Mitchison



Michael Kewming



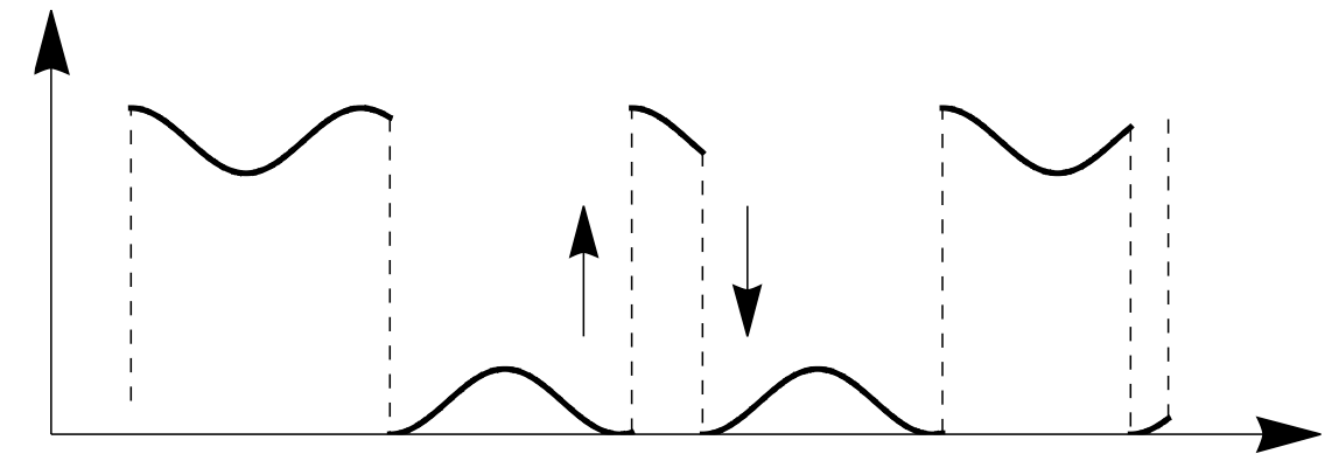
Patrick Potts

GTL, Michael J. Kewming, Mark T. Mitchison, Patrick P. Potts **"Current fluctuations in open quantum systems: Bridging the gap between quantum continuous measurements and full counting statistics,"** PRX Quantum 5, 020201 (2024)

GTL **"Patterns in the jump-channel statistics of open quantum systems,"** arXiv 2305.07957

- Consider a quantum master equation

$$\frac{d\rho}{dt} = \mathcal{L}\rho = -i[H, \rho] + \sum_{x=1}^r L_x \rho L_x^\dagger - \frac{1}{2} \{L_x^\dagger L_x, \rho\}$$



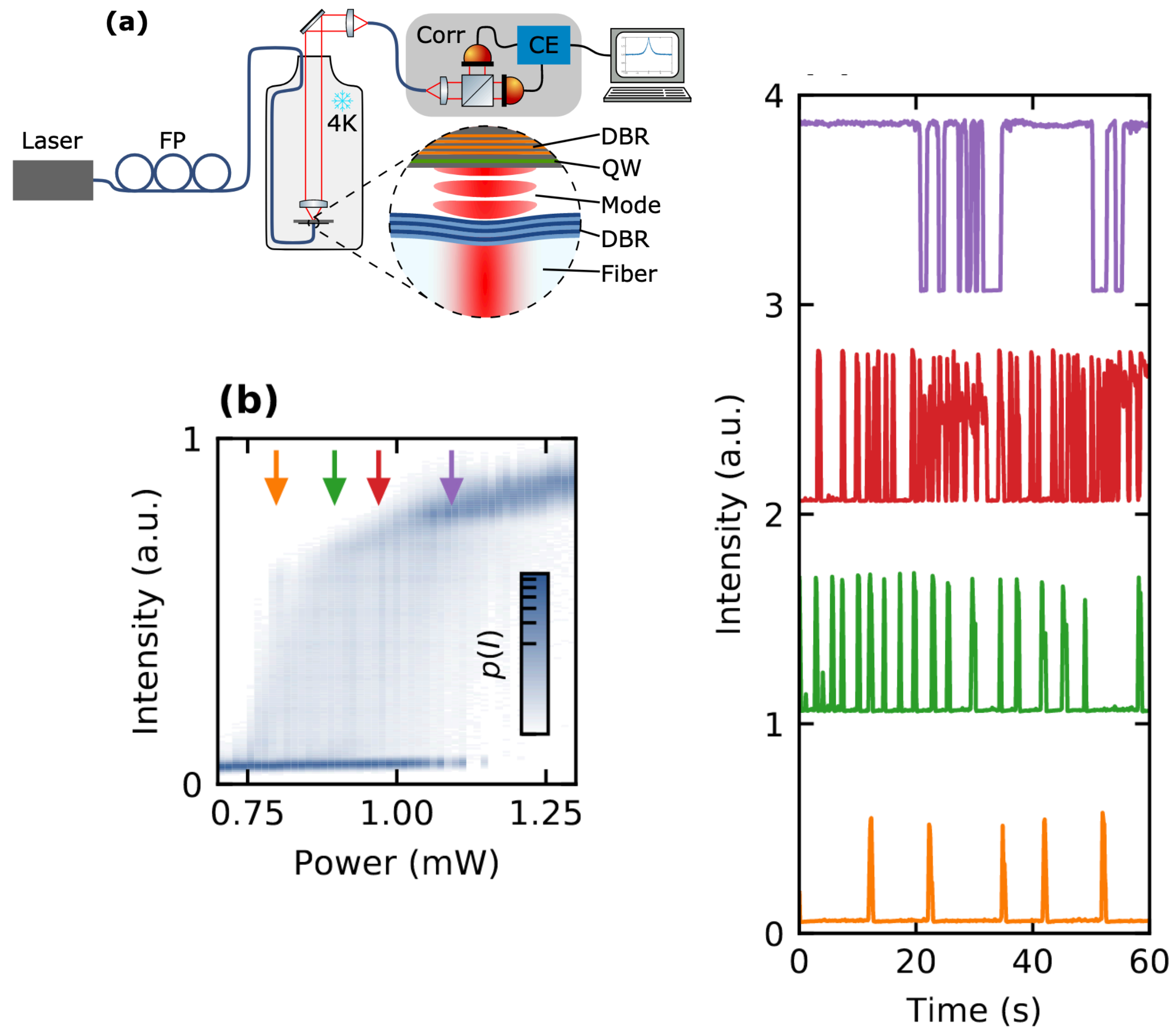
- The infinitesimal evolution can be written as a set of instruments:

$$\rho_{t+dt} = e^{\mathcal{L}dt} \rho_t = \sum_x M_x \rho_t$$

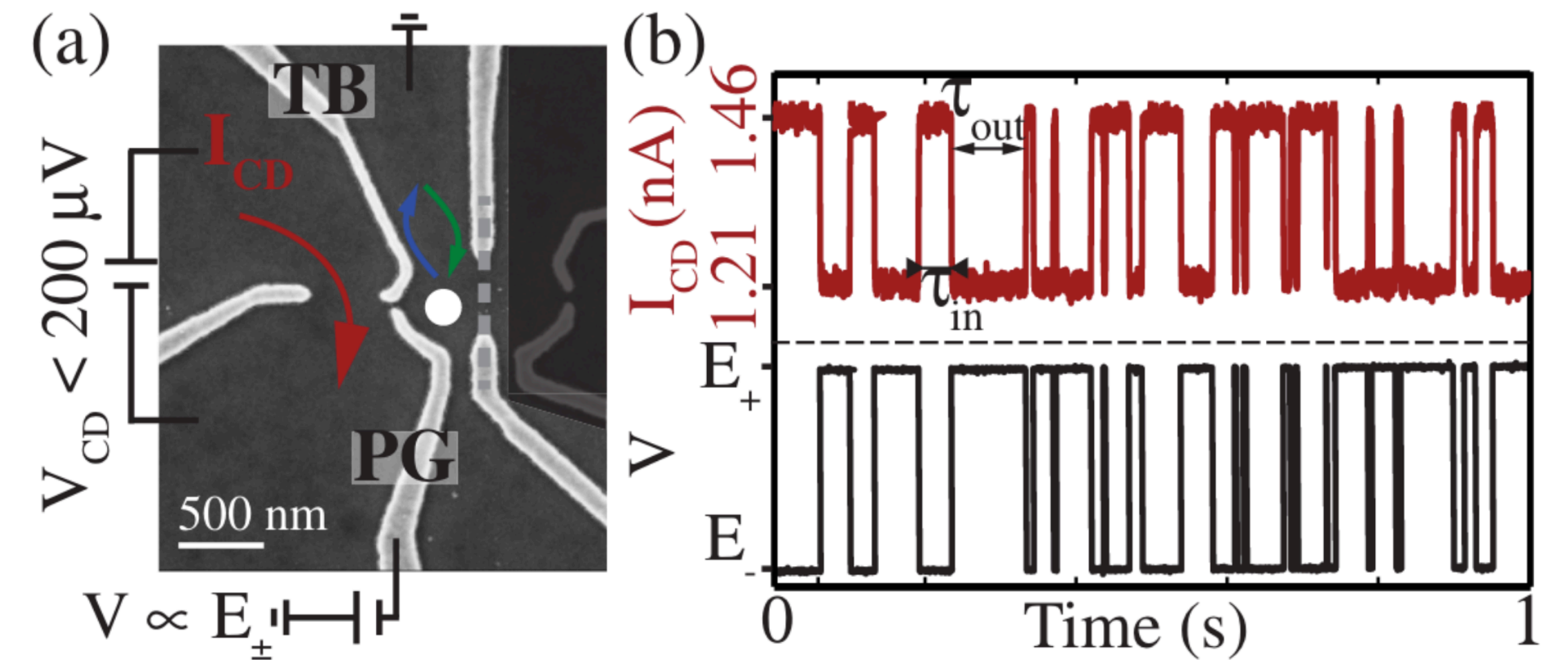
(jump) $M_x \rho = dt L_x \rho L_x^\dagger = dt \mathcal{J}_x \rho$ for $x = 1, 2, \dots, r$

(no jump) $M_0 \rho = \rho + dt \mathcal{L}_0 \rho$ where $\mathcal{L}_0 \rho = -i[H, \rho] - \frac{1}{2} \sum_{x=1}^r \{L_x^\dagger L_x, \rho\}$

- $p_x = \text{tr}\{M_x \rho\} = dt \text{tr}\{L_x^\dagger L_x \rho\}$ is infinitesimal: most of the time the system evolves with no jump.



Fink *et. al.*, “**Signatures of a dissipative phase transition in photon correlation measurements**”
Nature Physics **14** 365-369 (2018)



Hofmann, *et. al.* “**Measuring the Degeneracy of Discrete Energy Levels Using a GaAs / AlGaAs Quantum Dot,**”
Phys Rev. Lett **117**, 206803 (2016)

Jumps with multiple channels

- Each jump operator L_x is a “channel”



$$\frac{d\rho}{dt} = \mathcal{L}\rho = -i[H, \rho] + \sum_{x=1}^r L_x \rho L_x^\dagger - \frac{1}{2} \{L_x^\dagger L_x, \rho\}$$

- Jumps occur over random times and over random channels.
- Quantum trajectory = list of channels and their corresponding time-tags:

$$(x_1, \tau_1), (x_2, \tau_2), \dots, (x_N, \tau_N) \quad \tau_j = t_j - t_{j-1}$$

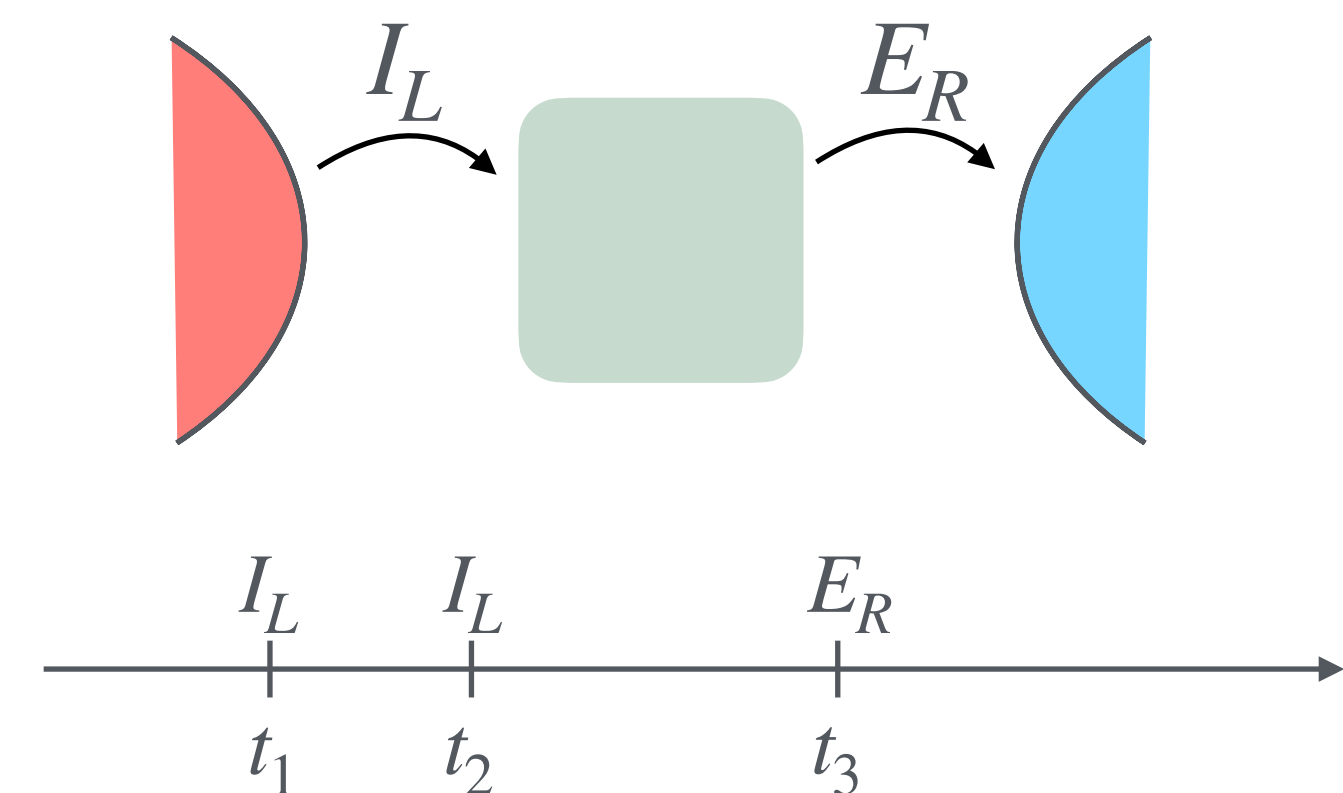
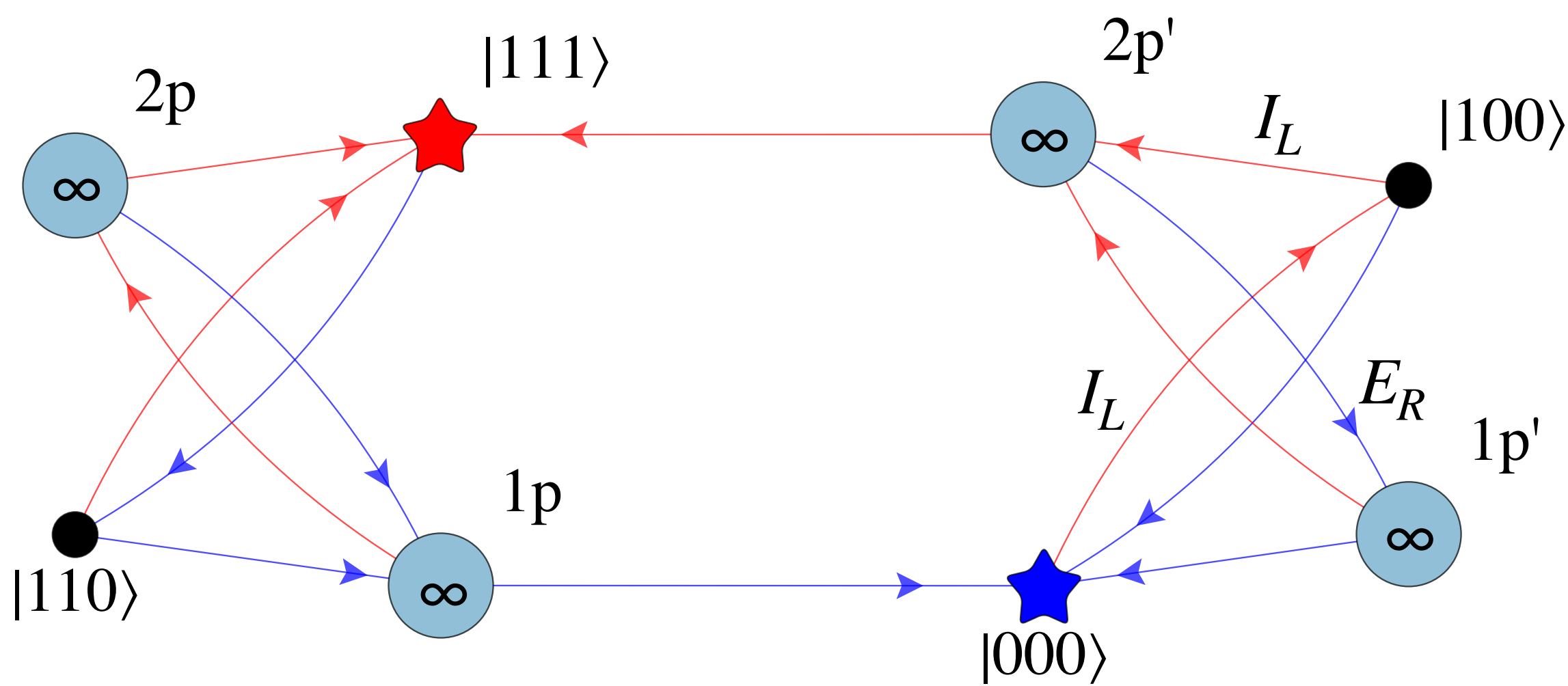
- * t -ensemble: final time is fixed, total number of jumps is a random variable.
- * N -ensemble: total number of jumps is fixed, final time is a random variable.

Quantum jumps without time-tags:

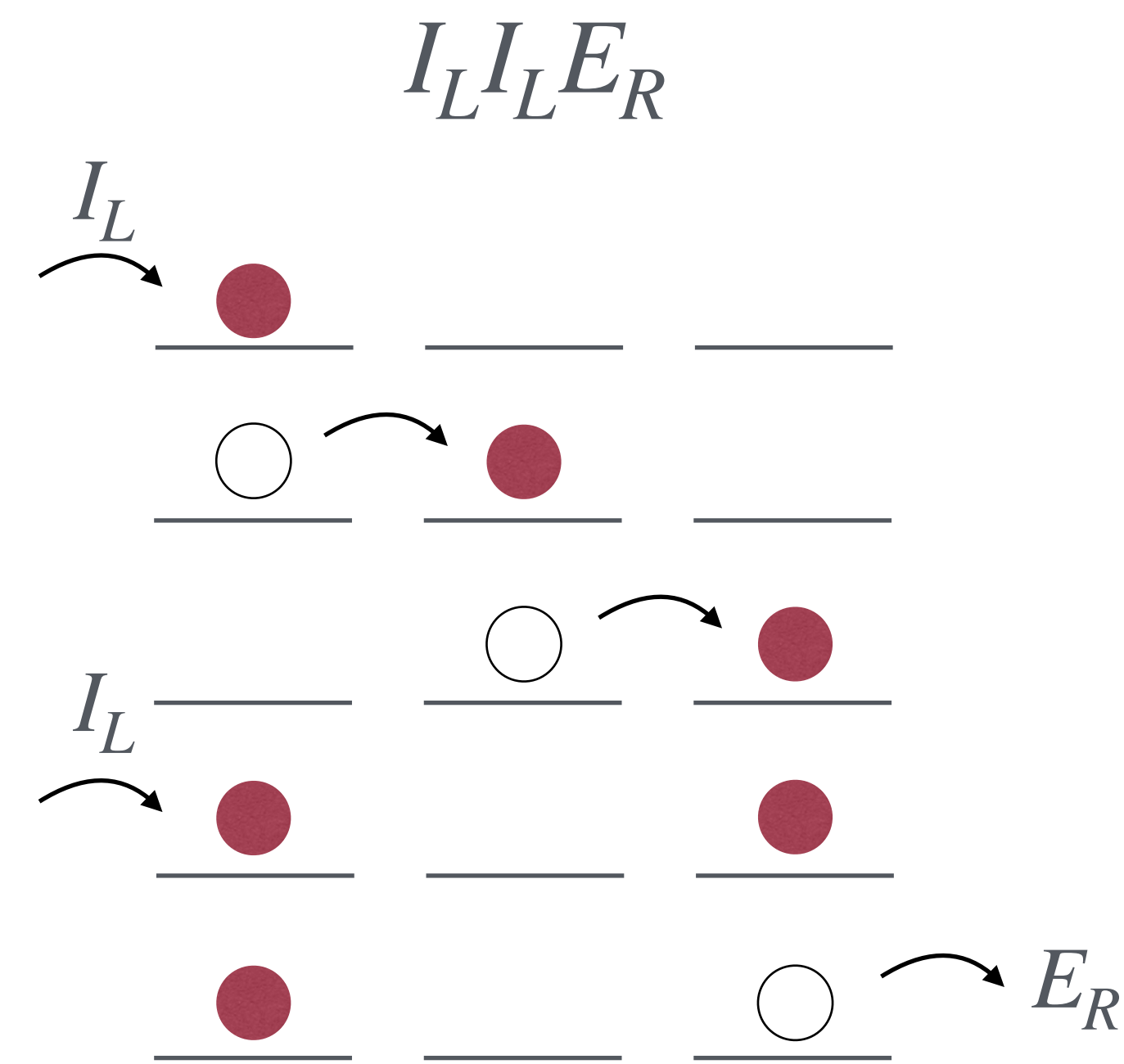
$$M_x = -\mathcal{J}_x \mathcal{L}_0^{-1}$$

Injection/extraction on a lattice

- Lattice with L sites, each of which can have 0 or 1 particles.
- excitations can be injected on the left (I_L)
- or extracted on the right (E_R).
- And they can tunnel back and forth through the chain: not monitorable.



All we observe are symbols



Stochastic operation of thermal machines

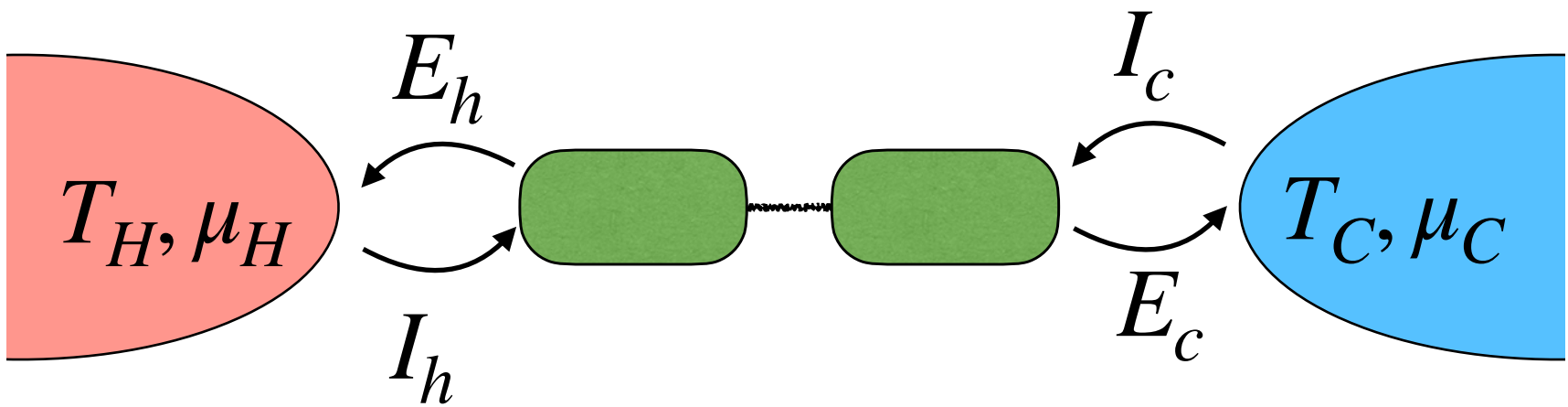
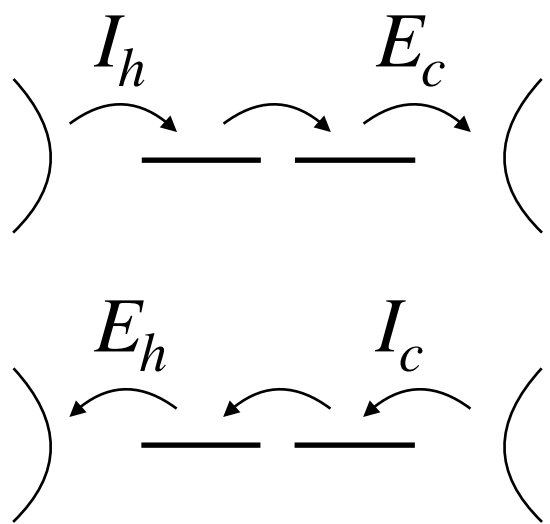


Patrick Potts



Abhaya Hegde

- Double quantum dot
- Engine process: uses thermal gradient to extract chemical work .
- Refrigerator process: uses chemical work to make heat flow from cold to hot.



- There can also be “idle cycles”

- “Idle hot”
- “Idle cold”

Can we identify the thermodynamics from a bitstring?

$I_h E_c I_c I_h E_h E_c I_h I_c E_h I_c$

Impossible in general, if excitations are indistinguishable

$$I_c I_h E_h E_c = \left\{ \begin{array}{l} I_c I_h E_h E_c \\ I_c I_h E_h E_c \end{array} \right.$$

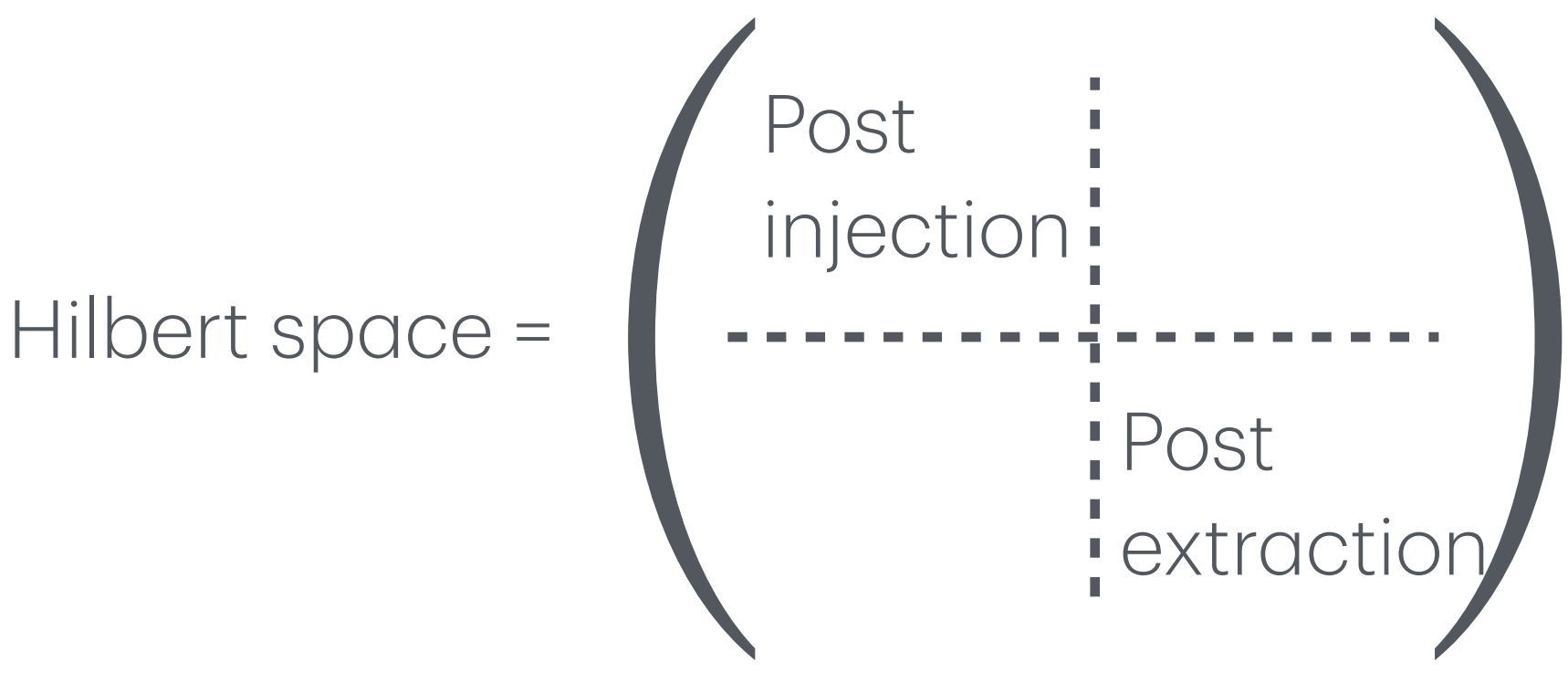
Single excitation assumption

$$\frac{d\rho}{dt} = \underbrace{-i[H, \rho]}_{\text{Unitary work}} + \underbrace{\sum_n D[K_n]\rho}_{\text{Work reservoirs}} + \sum_{\alpha \in \{h, c\}} \sum_j \underbrace{\gamma_{\alpha j}^- D[L_{\alpha j}]\rho}_{\text{Extraction to bath } \alpha} + \underbrace{\gamma_{\alpha j}^+ D[L_{\alpha j}^\dagger]\rho}_{\text{Injection from bath } \alpha}$$

- Result: for cycles to be identifiable the string must always have injections followed by extractions.

$$...I.E.I.E.I.E.I.E.I.E....$$

- Condition: Hilbert space must be split in 2.
 - $L_{\alpha j}^\dagger$ injects \rightarrow post-injection subspace.
 - $L_{\alpha j}$ extracts \rightarrow post-extraction subspace.



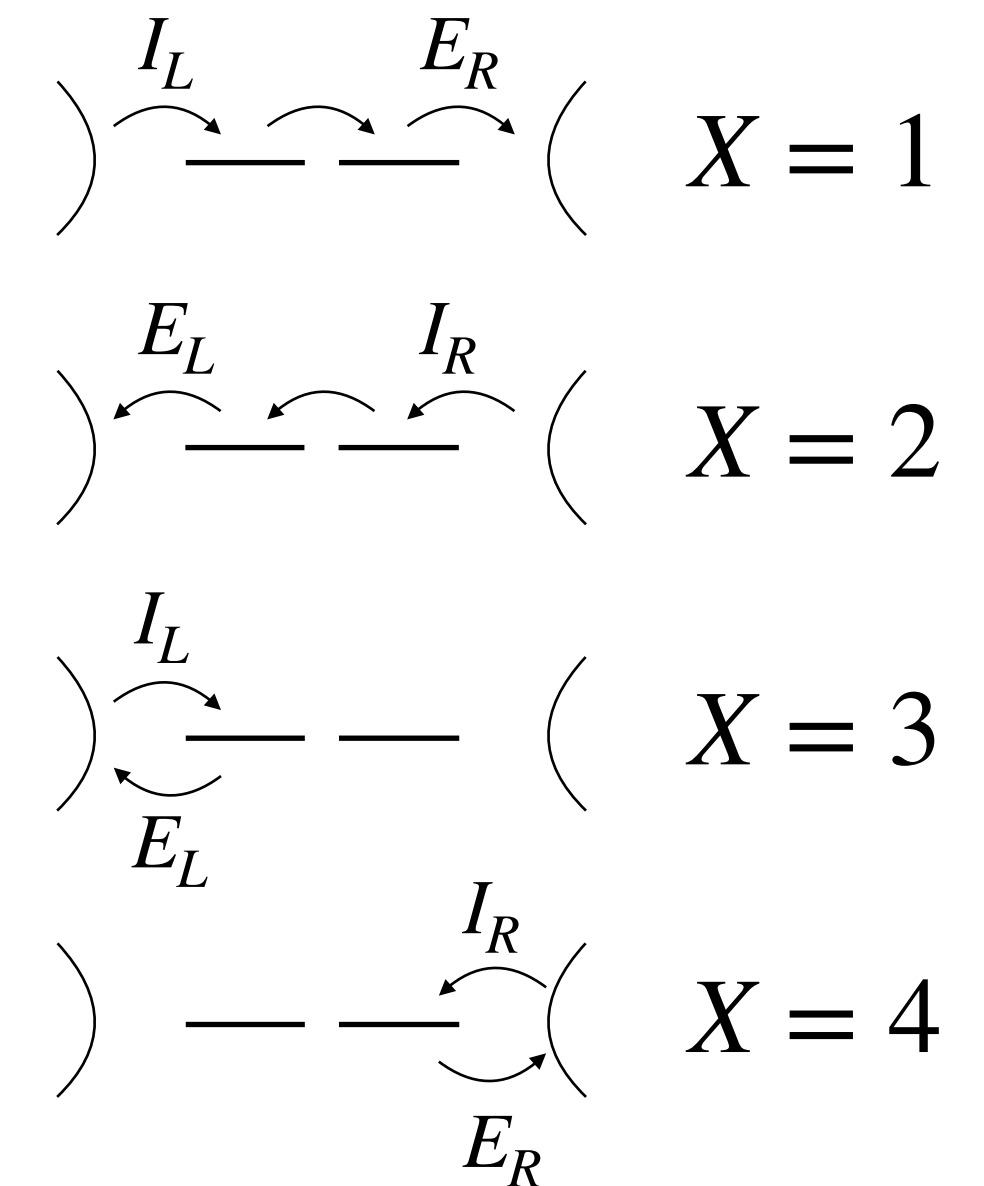
Bitstrings of jumps \rightarrow bitstrings of cycles

$$...I.E.I.E.I.E.I.E.... = ...X.X.X.X....$$

- We can use this to answer the following questions:
 - What is the probability that the next cycle is of type X and takes a time τ ?
 - How are cycles correlated with each other?
 - What is the average time required to complete each cycle?
 - How many idle cycles happen between two useful cycles?
- Define instruments

$$M_{X\tau} = \int_0^\tau dt \mathcal{J}_{E_X} e^{\mathcal{L}_0(\tau-t)} \mathcal{J}_{I_X} e^{\mathcal{L}_0 t}$$

with 2 emitted symbols: $X = 1, 2, 3, 4$ and cycle duration τ



Cycle probabilities

π_E = Jump Steady-State

Correct state to get
long-time statistics

- Then prob. a cycle is of type X and takes a time τ : $p_{X,\tau} = \text{tr}\{M_{X\tau}\pi_E\}$.

- If we don't care about how long a cycle takes, we just need to marginalize the instrument:

$$M_X = \int_0^\infty d\tau M_{X\tau}$$

- Prob. of obtaining each cycle type

$$p_X = \text{tr}\{M_X\pi_E\}$$

- Conditional cycle times: if cycle is of type X , how long it takes?

$$E(\tau | X) = \int_0^\infty d\tau \tau \frac{p_{X,\tau}}{p_X}$$

Relation to steady-state currents:

$$I = \frac{p_1 - p_2}{E(\tau)}$$

Correlations between cycles:

$$P(X_1, \tau_1, \dots, X_n, \tau_n) = \text{tr}\{M_{X_n\tau_n} \dots M_{X_1\tau_1} \pi_E\}$$

Results for the 3-level maser

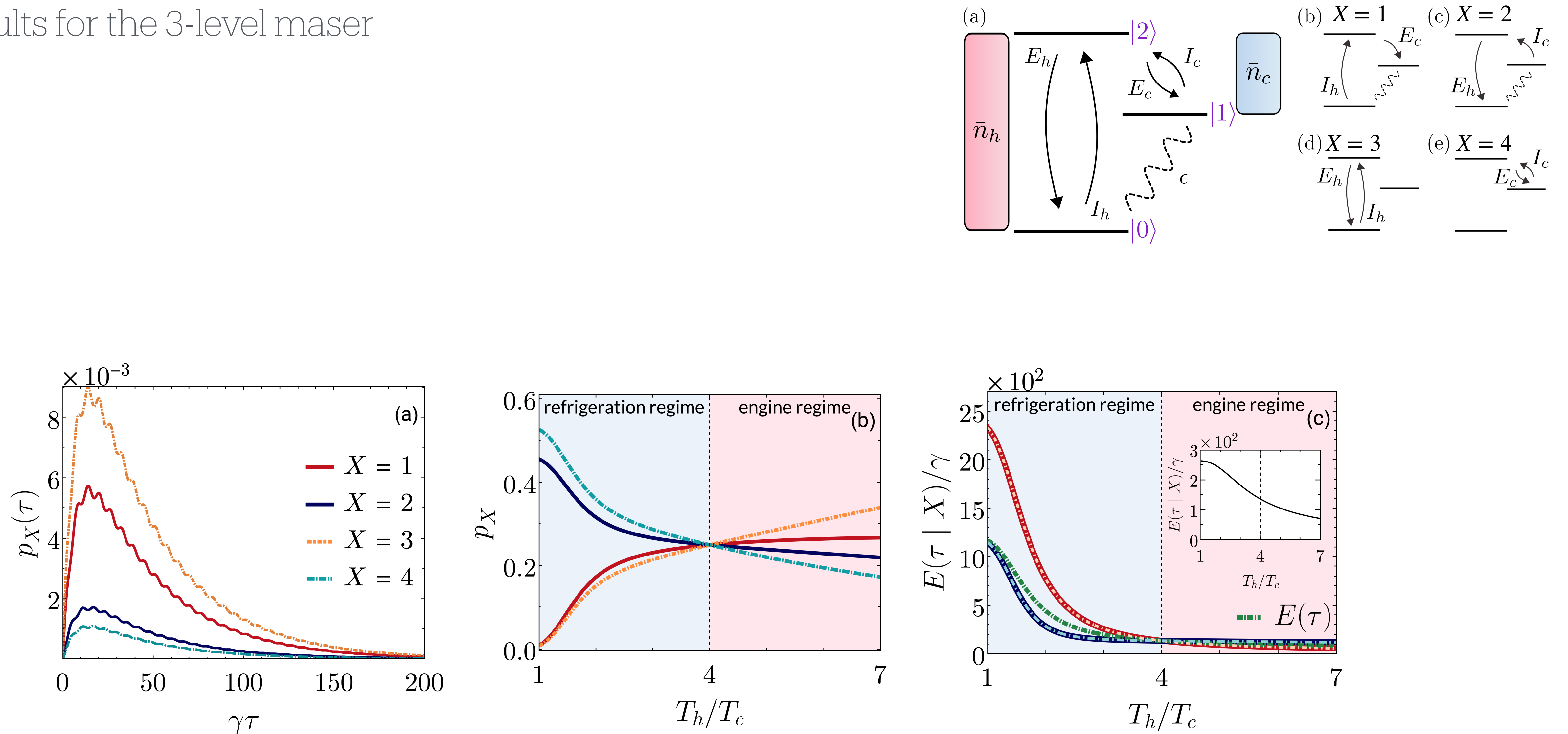
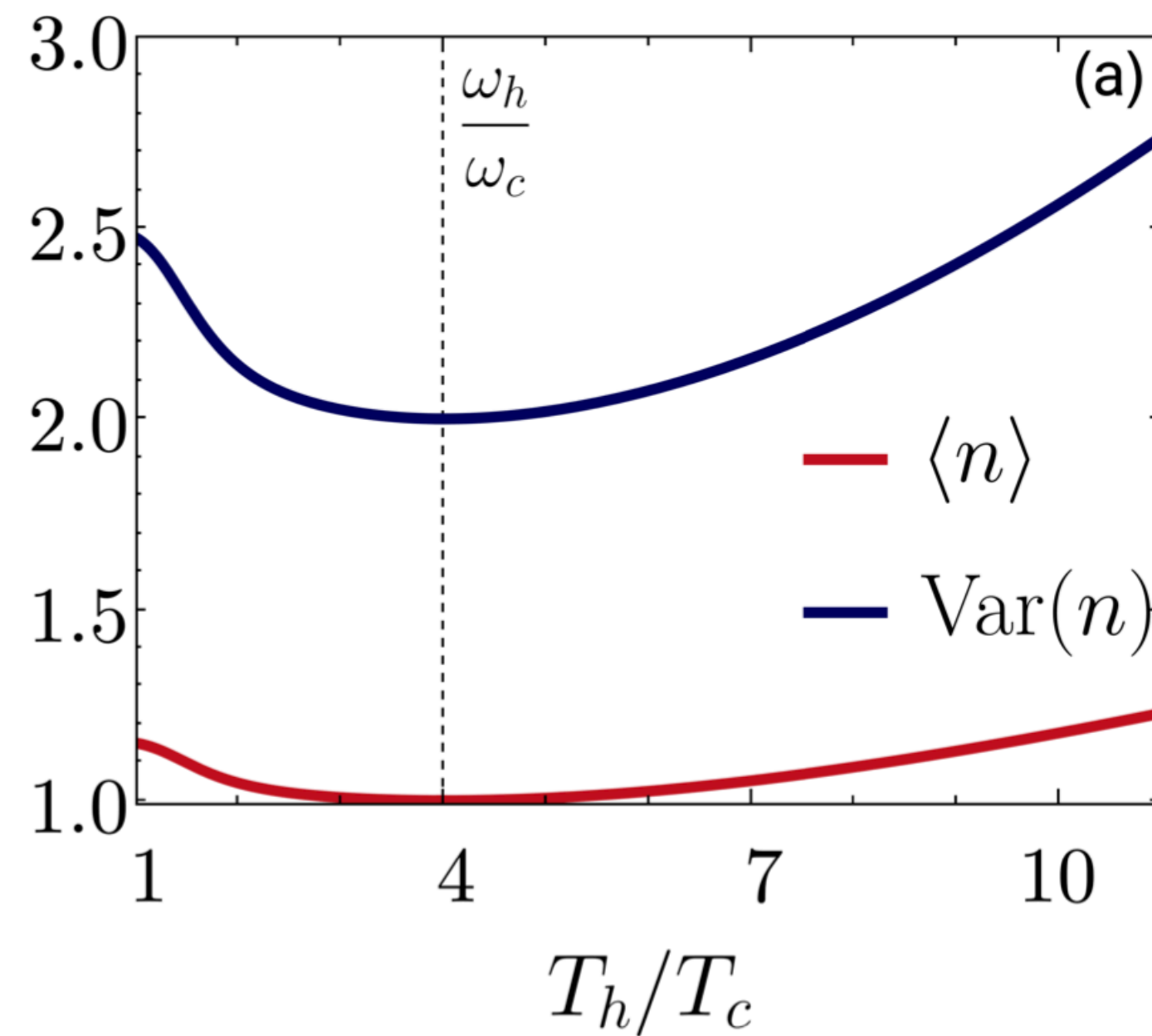


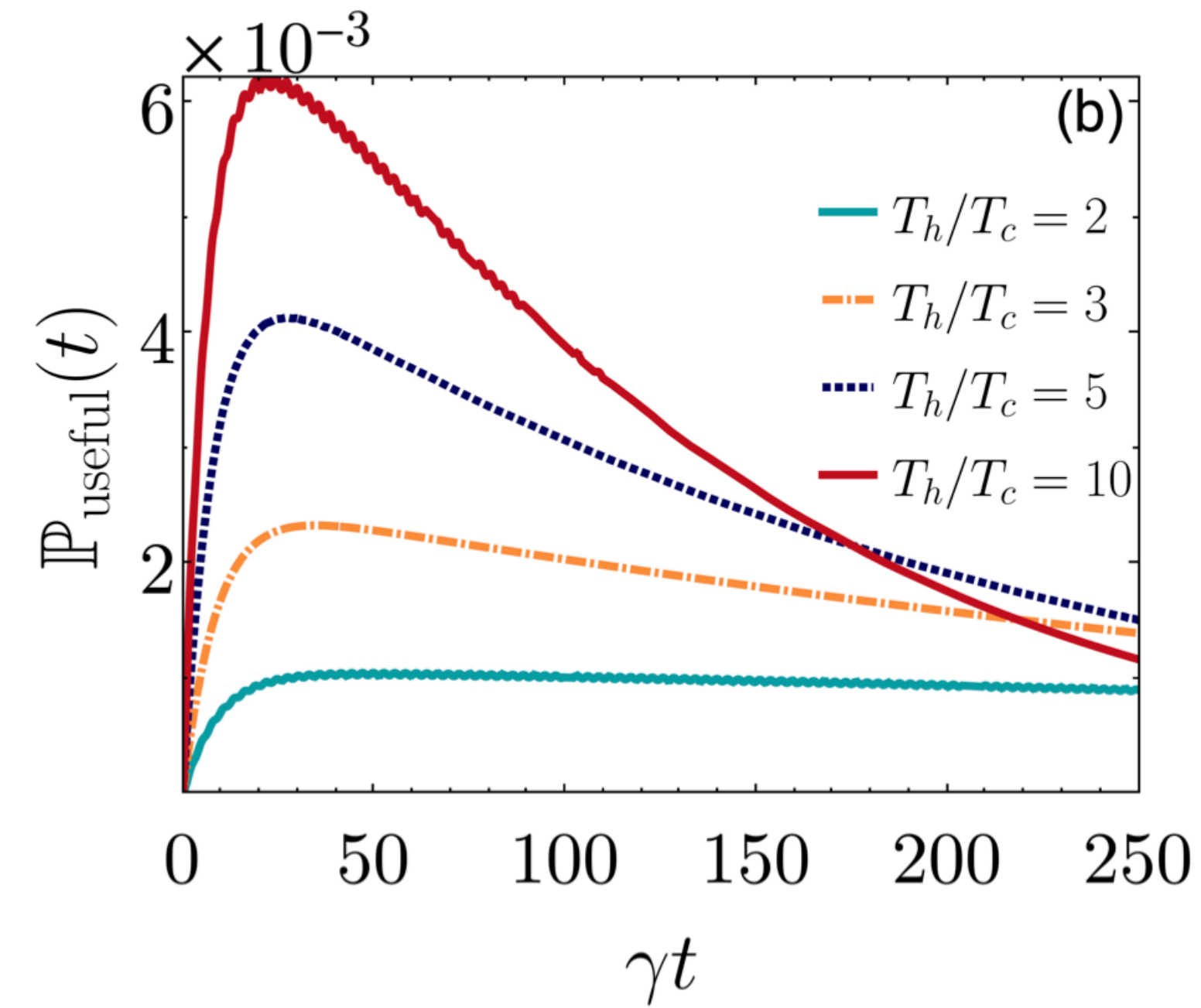
FIG. 3. **(a)** Probability of observing a cycle X within a duration τ [Eq. (9)] at resonance $\Delta = 0$ and $T_h/T_c = 10$. **(b)** Total probability of observing a cycle X [Eq. (10)] and **(c)** expectation values for cycle duration [Eqs. (11), (12)] as a function of the ratio of bath temperatures. A vertical line at $T_h/T_c = \omega_h/\omega_c$ separates the refrigerator and engine regimes. The inset shows all expectation values nearly converge at resonance. The parameters are fixed (in units of $T_c = 1$) at $\gamma_h = \gamma_c \equiv \gamma = 0.05$, $\omega_h = 8$, $\omega_c = 2$, $\omega_d = 4$, $\epsilon = 0.5$ unless mentioned otherwise.

Machine intermittency

Number of idle cycles between two useful cycles



Time between two useful cycles



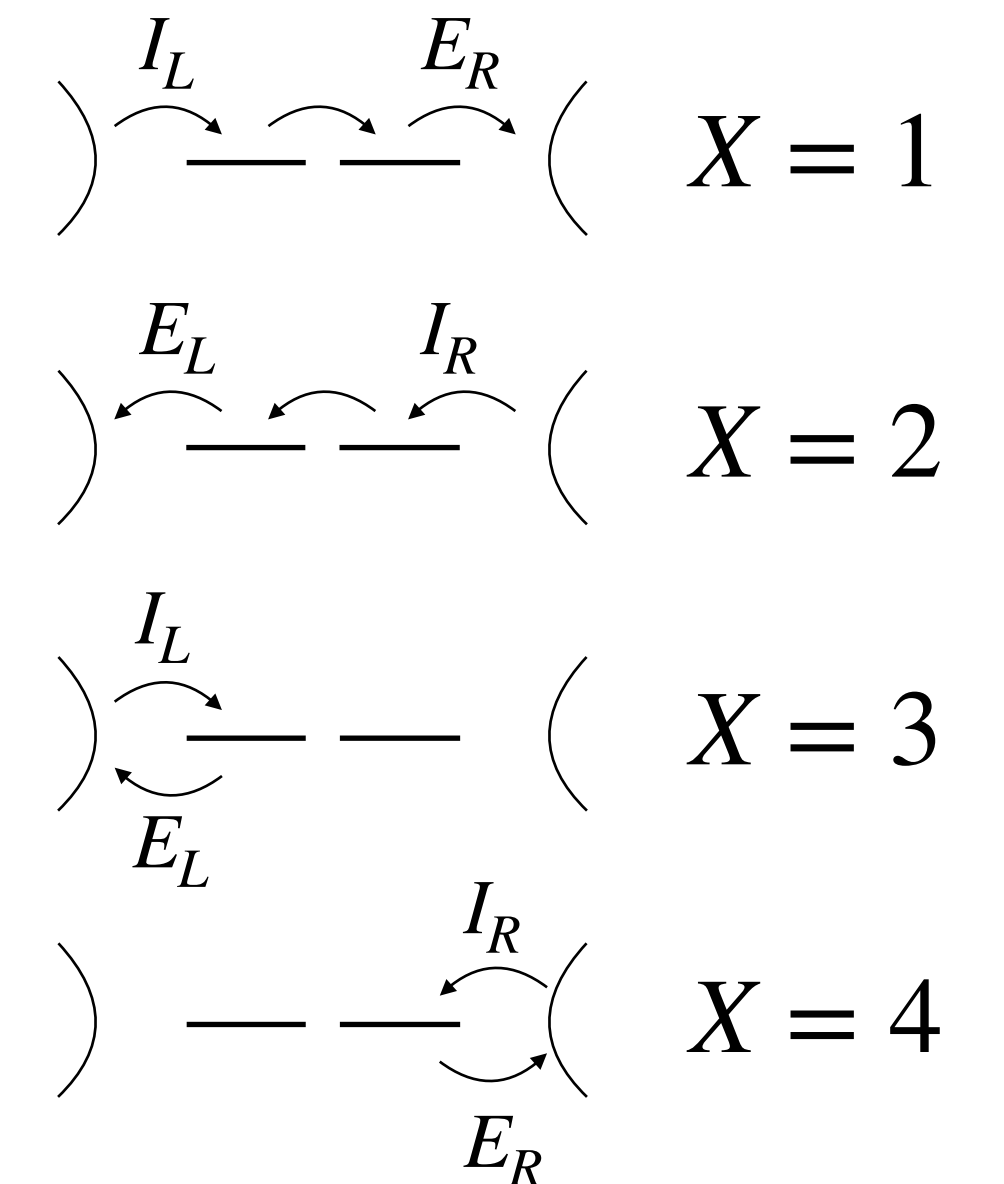
$$M_u = M_{X=1} + M_{X=2} \quad \text{and} \quad M_{\text{id}} = M_{X=3} + M_{X=4}$$

$$\mathbb{P}_u(n) = \frac{\text{tr}\{M_u M_{\text{id}}^n M_u \pi_E\}}{\text{tr}\{M_u \pi_E\}}$$

$\mathbb{P}_u(t)$ = similar, but a bit more complicated.

Conclusions

- Sequential quantum measurements → **time-series** of correlated stochastic outcomes.
- Bayesian inference of the quantum state, given outcomes.
- Unveiling the thermodynamics from measurement data.
 - Stochastic operation of a thermal machine.
- Open question: machine intermittency vs. current fluctuations?



GTL, Michael J. Kewming, Mark T. Mitchison, Patrick P. Potts "**Current fluctuations in open quantum systems: Bridging the gap between quantum continuous measurements and full counting statistics**," PRX Quantum 5, 020201 (2024)

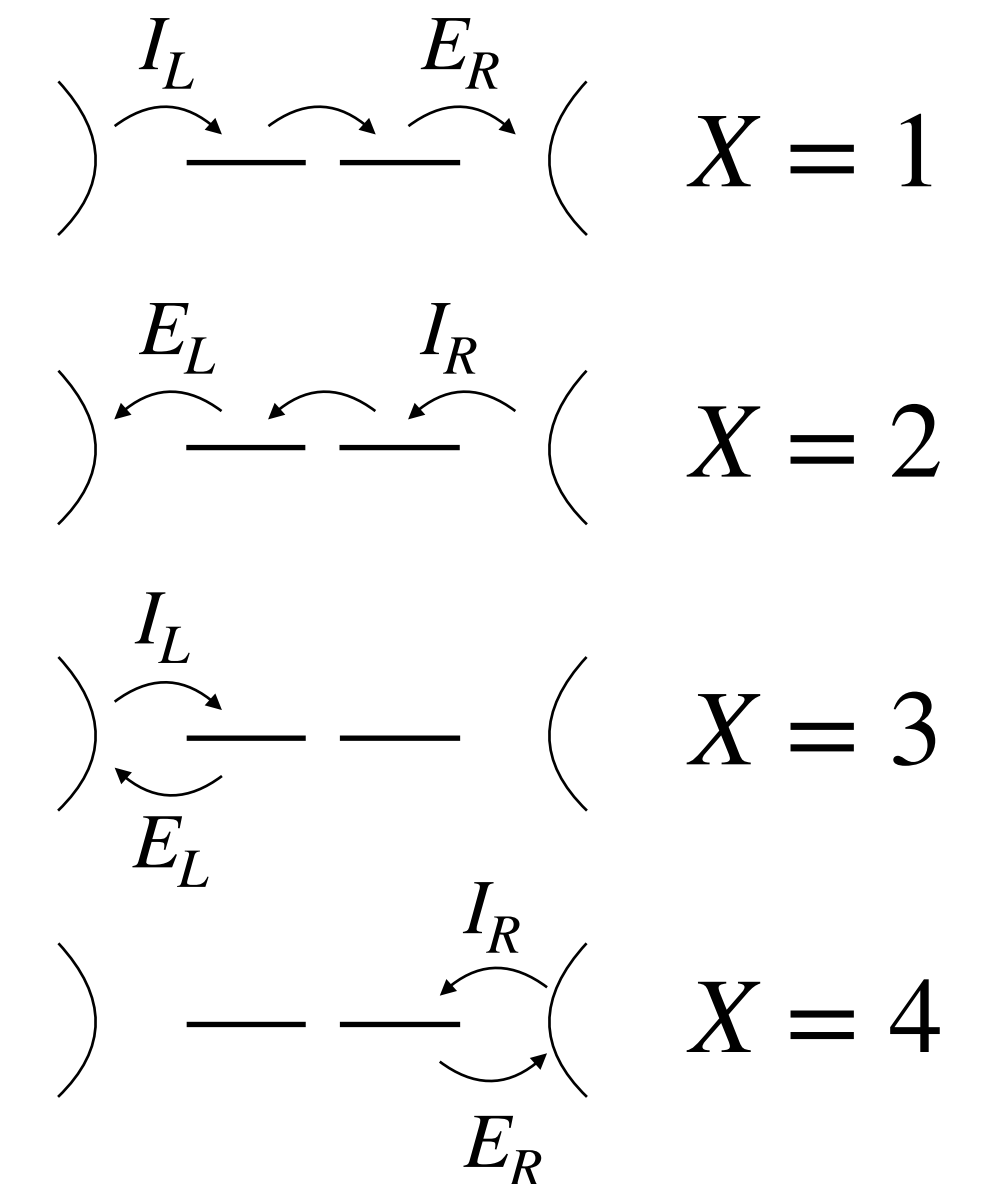
GTL "**Patterns in the jump-channel statistics of open quantum systems**," arXiv 2305.07957

Abhaya S. Hegde, Patrick P. Potts, GTL, "**Time-resolved Stochastic Dynamics of Quantum Thermal Machines**," arXiv:2408.00694

Conclusions

Thank you!

- Sequential quantum measurements → **time-series** of correlated stochastic outcomes.
- Bayesian inference of the quantum state, given outcomes.
- Unveiling the thermodynamics from measurement data.
 - Stochastic operation of a thermal machine.
- Open question: machine intermittency vs. current fluctuations?



GTL, Michael J. Kewming, Mark T. Mitchison, Patrick P. Potts "**Current fluctuations in open quantum systems: Bridging the gap between quantum continuous measurements and full counting statistics**," PRX Quantum 5, 020201 (2024)

GTL "**Patterns in the jump-channel statistics of open quantum systems**," arXiv 2305.07957

Abhaya S. Hegde, Patrick P. Potts, GTL, "**Time-resolved Stochastic Dynamics of Quantum Thermal Machines**," arXiv:2408.00694