

# GR & the Universe

Robertson-Walker Metric  
Friedmann Equation  
Ages and Fates of Flat Universes  
The Cosmological Constant

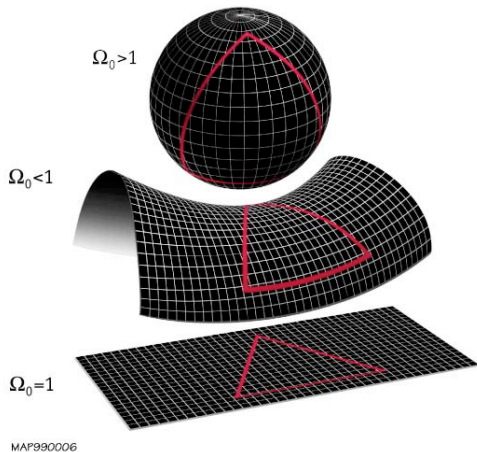
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# General Relativity & the Universe

- ▶ The Robertson-Walker metric and its use
- ▶ The Friedmann equation and its solutions
- ▶ The ages and fates of flat universes
- ▶ The cosmological constant

**Reading:** Kutner Sec. 20.4, Ryden Sec. 23.2–23.5



*Possible spatial geometries of the Universe: from  
[Wikimedia Commons](#) and G. Hinshaw (NASA).*

# Questions about the Universe

We now know that the Universe is:

- ▶ **Expanding, isotropic** (uniform in all directions), and **homogeneous** (smooth, similar on large scales)
- ▶ Ruled by gravity and the kinetic energy of galaxies and clusters

But this raises several questions:

- ▶ How can we use the observations of galaxy motions and distributions, along with our theories of gravity, to determine the density of mass and energy on large scales?
- ▶ What is the **age of the Universe**?
- ▶ What is the **fate of the Universe**? How will the expansion change with time?

## A Newtonian universe?

In an infinite, **homogeneous**, and **isotropic** Universe, the gravitational acceleration  $g$  **should be zero everywhere**. Why?

Averaged over large enough scales, a test mass should be equally attracted to all directions in a homogeneous and isotropic universe.

If space is Euclidean and gravity is Newtonian, the only way to get this property is if **space is completely empty**. Reason:

- ▶ A large sphere can be drawn through any point.
- ▶ The acceleration  $g$  at this point due to matter outside the sphere is zero (that matter is uniform, infinite, ...).
- ▶ The acceleration  $g$  due to matter within the sphere is

$$g = \frac{GM(r)}{r^2}$$

and so  $g = 0$  iff the sphere is empty —  $M(r) = 0$  — and all other such spheres are empty, by homogeneity.

# General Relativistic universes

The Universe is not empty, so it cannot be Newtonian. Fortunately, General Relativity offers a good description, and large-scale structure was the first problem to which Einstein applied GR.

- Note: GR and the solutions to the **Einstein field equations**

$$G_{\mu\nu} + g_{\mu\nu}\Lambda = \frac{8\pi G}{c^4}T_{\mu\nu}$$

are covered in PHYS 231 and upper-level physics courses.

- The field equations are nonlinear second-order differential equations involving symmetric second-rank tensors. They can be solved uniquely, like any other differential equation, given enough **boundary conditions**.
- The solution to Einstein's equations is a second-rank tensor called the **metric** ( $g_{\mu,\nu}$  above), which describes the measures and curvatures of spacetime under the given boundary conditions.

# General Relativistic universes

For any given metric, we can define an **absolute interval** between two events in spacetime.

## Flat spacetime

In flat spacetime with no mass present — the limit where GR converges to special relativity — the infinitesimal absolute interval is

$$ds^2 = c^2 dt^2 - dx^2 - dy^2 - dz^2$$

where  $dx$ ,  $dy$ ,  $dz$ , and  $dt$  are infinitesimal intervals between two events, measured in one reference frame.

The combination  $ds^2$  is independent of reference frame, as you will show in the homework.

The flat-space interval is sometimes called the **Minkowski interval**.

# General Relativistic universes

## Curved spacetime outside a spherical mass $M$

The **Schwarzschild metric** describes the solution to Einstein's equations outside a spherical mass  $M$  with zero charge and angular momentum (and  $\Lambda = 0$ ). Its absolute interval, in spherical coordinates, is

$$ds^2 = \left(1 - \frac{2GM}{rc^2}\right) c^2 dt^2 - \frac{dr^2}{1 - \frac{2GM}{rc^2}} - r^2 d\theta^2 - r^2 \sin^2 \theta d\phi^2$$

where  $r > \frac{2GM}{c^2}$ .

The expressions for the spacetime structure outside a black hole, discussed when we covered solar-mass black holes, were derived from this absolute interval.

# General Relativistic universes

## Isotropic and homogeneous universe

The solution to Einstein's equations in an expanding, isotropic, and homogeneous Universe are called the **Robertson-Walker metric**, which corresponds to an absolute interval

$$ds^2 = c^2 dt^2 - R(t)^2 \left[ \frac{dr_*^2}{1 - kr_*^2} + r_*^2 d\theta^2 + r_*^2 \sin^2 \theta d\phi^2 \right]$$

where

$R(t)$  is the **scale factor**: radius of curvature of the Universe

$k = 0, \pm 1$  depending on the curvature

$r_*, \theta, \phi$  are spherical “comoving” coordinates (dimensionless)



# General Relativistic universes

The scale factor  $R$  which appears in the Robertson-Walker interval is the solution to the modified **Friedmann equation**, one component of Einstein's equations for homogeneity/isotropy:

$$\left(\frac{\dot{R}}{R}\right)^2 - \frac{8\pi G}{3}\rho_M - \frac{\Lambda}{3} = -k\frac{c^2}{R^2}$$

where

$\rho_M$  is the mass density

$\Lambda$  is the **Cosmological constant**. Not originally part of GR; placed in *ad hoc* by Einstein to permit the field equations to have **static (time-independent) solutions**.

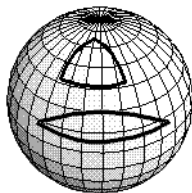
$$\dot{R} = \frac{\partial R}{\partial t} \text{ where } \dot{R} = 0 \text{ for } \Lambda = \frac{3kc^2}{R^2} - 8\pi G\rho_M$$

# General Relativistic universes

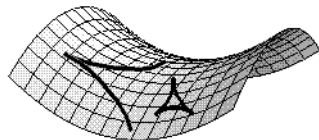
Comparing measurements of galaxy motions and distributions ( $\dot{R}$ ,  $Rr_*$ ) with solutions of these equations can be used to determine  $\rho_M$ ,  $\Lambda$ , and  $k$ .

- ▶  $k$  is the sign of space curvature, *not* spacetime.
- ▶ 2D examples:  $k = 1$  is a spherical surface;  $k = 0$  is a flat plane;  $k = -1$  is a hyperboloidal surface.
- ▶ See discussion in Ryden Ch. 23.3.

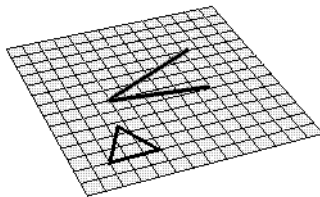
From *Nick Strobel's Astronomy Notes*.



Universe with *positive* curvature. Diverging lines converge at great distances. Triangle angles add to more than  $180^\circ$ .



Universe with *negative* curvature. Lines diverge at ever increasing angles. Triangle angles add to less than  $180^\circ$ .



Universe with no curvature. Lines diverge at constant angle. Triangle angles add to  $180^\circ$ .

## Other symbols you may see

You might occasionally encounter other versions of these equations using slightly different symbols and definitions:

**Other**

$$d\ell^2$$

$$dx^2 + dy^2 + dz^2$$

$$a(t)r_{c,0}$$

$$a$$

$$\kappa$$

**Here**

$$-ds^2$$

$$-d\ell^2$$

$$R(t)$$

$$a$$

$$k$$

*Some others:* Scale factor  $a(t)$  is dimensionless, comoving radius  $r$  has dimensions of length.

*Here:* Scale factor  $R(t)$  has dimensions of length, comoving radial coordinate  $r_*$  is dimensionless.

# How to use the R-W interval

## Example: Proper distance

Calculate the distance between two galaxies at some time  $t$  — i.e., for  $dt = 0$  — choosing both to lie along the  $x$  axis, so that  $\theta = \phi = d\theta = d\phi = 0$ .

$$ds^2 = c^2 dt^2 - d\ell^2 = -d\ell^2 = -R(t)^2 \frac{dr_*^2}{1 - kr_*^2}$$
$$\ell = \int_0^{r_*} d\ell = R(t) \int_0^{r_*} \frac{dr'_*}{\sqrt{1 - kr_*'^2}} = \begin{cases} R(t) \sin^{-1} r_* & k = +1 \\ R(t) r_* & k = 0 \\ R(t) \sinh^{-1} r_* & k = -1 \end{cases}$$

The dimensionless radial coordinate  $r_*$  is related to distance in an intuitive way if  $k = 0$ : **just by the scale factor  $R(t)$  at time  $t$ .**

# How to use the R-W interval

## Example 2: Expansion speed

Calculate the expansion speed if  $r_* \ll 1$  (viewing a nearby galaxy).

$$\arcsin r_* = r_* + \frac{1}{6}r_*^3 + \frac{3}{40}r_*^5 + \dots \approx r_*$$

$$\operatorname{arcsinh} r_* = r_* - \frac{1}{6}r_*^3 + \frac{3}{40}r_*^5 - \dots \approx r_*$$

so  $\ell = R(t)r_*$  for all curvatures. Thus

$$v_r = v = \frac{d\ell}{dt} = \frac{dR}{dt}r_* = \dot{R}(t)r_* = \frac{\dot{R}(t)}{R(t)}\ell = H(t)\ell$$

This last result is just Hubble's Law. Our value of the Hubble "constant,"  $H_0 = 73.04$  km/s/Mpc, is the **present value of**  $\frac{\dot{R}(t)}{R(t)}$ .

# How to use the R-W interval

## Example 3: Scale factor and redshift

Small distances  $r_* \ll 1$  as functions of time:

$$\ell = R(t)r_* \implies \frac{\ell_1}{\ell_0} = \frac{R(t_1)}{R(t_0)}$$

This works for wavelengths too, which are quite small distances. Suppose light is emitted at  $t_0$  and detected at time  $t_1$ ; then its wavelengths at those two epochs are related by

$$\frac{\lambda_0}{\lambda_1} = \frac{R(t_0)}{R(t_1)}$$

but  $\frac{\lambda_0 - \lambda_1}{\lambda_1} = z$ , so

$$1 + z = \frac{R(t_0)}{R(t_1)}$$

# How to use the R-W interval

## Example 4: Critical density

Combine the source terms and use the R-W result from before:

$$\left(\frac{\dot{R}}{R}\right)^2 - \frac{8\pi G}{3} \left(\rho_M + \frac{\Lambda}{8\pi G}\right) = -k \frac{c^2}{R^2}$$
$$H^2 - \frac{8\pi G}{3c^2} u = -k \frac{c^2}{R^2}$$

Suppose a universe were exactly flat ( $k = 0$ ), described by Euclidean geometry. That would correspond to a special value of the energy density (a **critical density**) at each time  $t$ :

$$H^2 - \frac{8\pi G}{3c^2} u_c = 0 \implies \boxed{u_c = \frac{3c^2 H^2}{8\pi G}}$$

# How to use the R-W interval

## Example 4: Critical density

The critical density has a **current** value in our Universe of

$$u_{c,0} = \frac{3c^2 H_0^2}{8\pi G} = 9.06 \times 10^{-9} \text{ erg/cm}^3$$

It turns out that our Universe is very nearly flat, so it is customary to define **normalized energy densities** in terms of the critical density:

$$\Omega = \frac{u}{u_c} \qquad \Omega_M = \frac{\rho_M c^2}{u_c} = \frac{8\pi G \rho_M}{3H^2} \qquad \Omega_\Lambda = \frac{\Lambda c^2}{8\pi G u_c} = \frac{\Lambda}{3H^2}$$

In a flat universe,

$$\Omega = \Omega_M + \Omega_\Lambda = 1$$



# How to use the Friedmann Equation: The constants

We can express the Friedmann equation in a simpler form in terms of the present-day normalized densities by noting a few things about the constants it contains.

**Cosmological constant  $\Lambda$**  At present,

$$\frac{\Lambda}{3} = \frac{3H^2\Omega_\Lambda}{3} = H_0^2\Omega_{\Lambda_0}$$

**Mass density  $\rho_M$**  Since a universe stays homogeneous and isotropic as it expands, the mass contained within a sphere of radius  $R$  is constant ( $\rho_M R^3 = \rho_{M_0} R_0^3$ ), so

$$\frac{8\pi G}{3}\rho_M = \frac{8\pi G}{\rho_{M_0}}\frac{R_0^3}{R^3} = \frac{8\pi G}{3}\frac{3H_0^2\Omega_{M_0}}{3\pi G}\frac{R_0^3}{R^3} = H_0^2\Omega_{M_0}\frac{R_0^3}{R^3}$$

# How to use the Friedmann Equation: The constants

**Curvature**  $k$  is a constant, so we can evaluate it from the Friedmann Eqn. written for the present time:

$$H_0^2 - H_0^2 \Omega_{M_0} \frac{R_0^3}{R^3} - H_0^2 \Omega_{\Lambda_0} = -k \frac{c^2}{R_0^2}$$
$$\therefore k = \frac{H_0^2 R_0^2}{c^2} (\Omega_{M_0} + \Omega_{\Lambda_0} - 1)$$

Since  $\frac{H_0^2 R_0^2}{c^2}$  is positive definite, the sign of  $k$  is determined by the sum of the normalized densities: The universe is positively curved if  $\Omega_{M_0} + \Omega_{\Lambda_0} > 1$ , and negatively curved if  $\Omega_{M_0} + \Omega_{\Lambda_0} < 1$ .