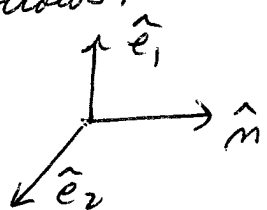


## Polarization

Consider a transverse plane wave traveling in direction  $\hat{m}$ , i.e.  $\vec{k} = k \hat{m}$ . Define a right handed coordinate system as follows:


$$\begin{aligned}\hat{e}_1 \times \hat{e}_2 &= \hat{m} \\ \hat{m} \times \hat{e}_1 &= \hat{e}_2 \\ \hat{e}_2 \times \hat{m} &= \hat{e}_1\end{aligned}$$

A general solution to Maxwell's equations for a transverse plane wave is then

$$\begin{aligned}\vec{E}(\vec{r}, t) &= \text{Re} \left\{ (E_1 \hat{e}_1 + E_2 \hat{e}_2) e^{i(\vec{k} \cdot \vec{r} - \omega t)} \right\} \\ \vec{H}(\vec{r}, t) &= \frac{c}{\omega \mu} \text{Re} \left\{ k \hat{m} \times (E_1 \hat{e}_1 + E_2 \hat{e}_2) e^{i(\vec{k} \cdot \vec{r} - \omega t)} \right\} \\ &= \frac{c}{\omega \mu} \text{Re} \left\{ k (E_1 \hat{e}_2 - E_2 \hat{e}_1) e^{i(\vec{k} \cdot \vec{r} - \omega t)} \right\}\end{aligned}$$

In general,  $k$  is complex  
 $k = k_1 + i k_2 = |k| e^{i\delta}$ ,  $\begin{cases} |k| = \sqrt{k_1^2 + k_2^2} \\ \delta = \arctan(k_2/k_1) \end{cases}$

So far we implicitly assumed that  $E_1$  and  $E_2$  are real constants. In this case

$$\begin{aligned}\vec{E}(\vec{r}, t) &= \vec{E}_0 e^{-k_2 \hat{m} \cdot \vec{r}} \cos(k_1 \hat{m} \cdot \vec{r} - \omega t) \\ \vec{H}(\vec{r}, t) &= \vec{H}_0 e^{-k_2 \hat{m} \cdot \vec{r}} \cos(k_1 \hat{m} \cdot \vec{r} - \omega t + \delta)\end{aligned}$$

where

$$\vec{E}_0 \equiv E_1 \hat{e}_1 + E_2 \hat{e}_2 \quad \text{and} \quad \vec{H}_0 \equiv \frac{c|k|}{\omega \mu} (E_1 \hat{e}_2 - E_2 \hat{e}_1)$$

are fixed vectors for all time and space.

In this case the directions of  $\vec{E}$  and  $\vec{H}$  remain fixed while the amplitudes oscillate in time and space. Such a plane wave is called a linearly polarized wave.

However there is nothing to prevent one from choosing a solution with  $E_1$  and  $E_2$  complex numbers,

$$E_1 = |E_1| e^{i\chi_1}, \quad E_2 = |E_2| e^{i\chi_2}$$

In this case one has

$$\begin{aligned} \vec{E}(\vec{r}, t) &= \text{Re} \left\{ |E_1| \hat{e}_1 e^{i(\vec{k} \cdot \vec{r} - \omega t + \chi_1)} + |E_2| \hat{e}_2 e^{i(\vec{k} \cdot \vec{r} - \omega t + \chi_2)} \right\} \\ &= e^{-k_2 \hat{m} \cdot \vec{r}} \left[ |E_1| \hat{e}_1 \cos(k_1 \hat{m} \cdot \vec{r} - \omega t + \chi_1) \right. \\ &\quad \left. + |E_2| \hat{e}_2 \cos(k_1 \hat{m} \cdot \vec{r} - \omega t + \chi_2) \right] \end{aligned}$$

and

$$\begin{aligned} \vec{H}(\vec{r}, t) &= \frac{c|k|}{\omega\mu} \text{Re} \left\{ |E_1| \hat{e}_2 e^{i(\vec{k} \cdot \vec{r} - \omega t + \delta + \chi_1)} \right. \\ &\quad \left. - |E_2| \hat{e}_1 e^{i(\vec{k} \cdot \vec{r} - \omega t + \delta + \chi_2)} \right\} \\ &= \frac{c|k|}{\omega\mu} e^{-k_2 \hat{m} \cdot \vec{r}} \left[ |E_1| \hat{e}_2 \cos(k_1 \hat{m} \cdot \vec{r} - \omega t + \delta + \chi_1) \right. \\ &\quad \left. - |E_2| \hat{e}_1 \cos(k_1 \hat{m} \cdot \vec{r} - \omega t + \delta + \chi_2) \right] \end{aligned}$$

Unless  $\chi_1 = \chi_2$  we see that the components of  $\vec{E}$  and  $\vec{H}$  in directions  $\hat{e}_1$  and  $\hat{e}_2$  will oscillate out of phase with each other. Thus the directions of  $\vec{E}$  and  $\vec{H}$  will oscillate in time and space, as well as the amplitudes of  $\vec{E}$  and  $\vec{H}$ . The direction of  $\vec{E}$  and  $\vec{H}$  is no longer fixed.

We will see that this situation in general corresponds to elliptically polarized wave!

General case  $E_1$  and  $E_2$  are complex constants

write  $E_1 \hat{e}_1 + E_2 \hat{e}_2 \equiv \vec{U} e^{i\psi}$

where  $\psi$  is chosen so that  $\vec{U} \cdot \vec{U}$  is real

- one can always do this since  $\vec{U} \cdot \vec{U} = (E_1^2 + E_2^2) e^{-2i\psi}$   
so  $2\psi$  is just the phase of the complex  $E_1^2 + E_2^2$

$\vec{U}$  is a complex vector  $\Rightarrow \vec{U} = \vec{U}_a + i\vec{U}_b$

with  $\vec{U}_a$  and  $\vec{U}_b$  real vectors

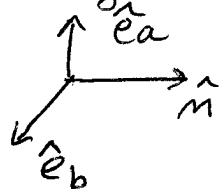
Since  $\vec{U} \cdot \vec{U}$  is real  $\Rightarrow \vec{U}_a \cdot \vec{U}_b = 0$

so  $\vec{U}_a \perp \vec{U}_b$  orthogonal

let  $\hat{e}_a$  be the unit vector in direction of  $\vec{U}_a$

so  $\vec{U}_a = U_a \hat{e}_a$  with  $U_a = |\vec{U}_a|$

let  $\hat{e}_b = \hat{n} \times \hat{e}_a$  so that  $\{\hat{n}, \hat{e}_a, \hat{e}_b\}$  are a right handed coordinate system



Then  $\vec{U}_b = \pm U_b \hat{e}_b$  where  $U_b = |\vec{U}_b|$

since  $\vec{U}_b \perp \vec{U}_a$  and both are  $\perp$  to  $\hat{n}$ .

It is (+) if  $\vec{U}_b$  is parallel to  $\hat{e}_b$  and  
it is (-) if  $\vec{U}_b$  is antiparallel to  $\hat{e}_b$ .

In this representation we have

$$\begin{aligned}\vec{E}(\vec{r}, t) &= \text{Re} \left\{ \vec{U} e^{i\psi} e^{-i(\vec{k} \cdot \vec{r} - \omega t)} \right\} \\ &= e^{-k_z \hat{m} \cdot \vec{r}} \text{Re} \left\{ U_a \hat{e}_a e^{i(k_1 \hat{m} \cdot \vec{r} - \omega t + \psi)} \right. \\ &\quad \left. \pm U_b \hat{e}_b (\pm i) e^{i(k_1 \hat{m} \cdot \vec{r} - \omega t + \psi)} \right\} \\ &= e^{-k_z \hat{m} \cdot \vec{r}} \left\{ U_a \hat{e}_a \cos(\Phi + \psi) \mp U_b \hat{e}_b \sin(\Phi + \psi) \right\}\end{aligned}$$

where we write  $\Phi \equiv k_1 \hat{m} \cdot \vec{r} - \omega t$

Let's define

$$\begin{aligned}e^{-k_z \hat{m} \cdot \vec{r}} U_a &\rightarrow U_a \\ e^{-k_z \hat{m} \cdot \vec{r}} U_b &\rightarrow U_b\end{aligned}$$

so we don't have to keep writing the constant attenuation factor that is a common factor of all components of  $\vec{E}$ .

Then define  $E_a$  and  $E_b$  as the components of  $\vec{E}$  in the directions  $\hat{e}_a$  and  $\hat{e}_b$  respectively.

$$E_a = U_a \cos(\Phi + \psi)$$

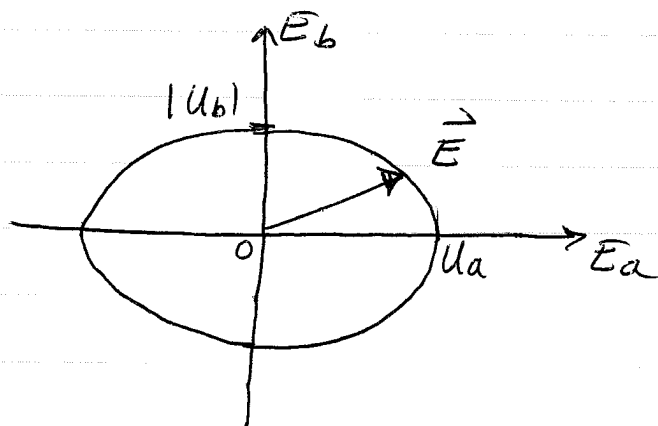
$$E_b = \mp U_b \sin(\Phi + \psi)$$

This then gives

$$\left(\frac{E_a}{U_a}\right)^2 + \left(\frac{E_b}{U_b}\right)^2 = \cos^2(\Phi + \psi) + \sin^2(\Phi + \psi) = 1$$

This is just the equation for an ellipse

with semi-axes of lengths  $U_a$  and  $U_b$ , oriented in the directions of  $\hat{e}_a$  and  $\hat{e}_b$ .



$\Rightarrow$  At a fixed position  $\vec{r}$ , the tip of the vector  $\vec{E}$  will trace out the above ellipse as the time increases by one period of oscillation  $2\pi/\omega$ .

For (+), i.e.  $\vec{U}_b = U_b \hat{e}_b$ ,  $\vec{E}$  goes around the ellipse counterclockwise as  $t$  increases

For (-), i.e.  $\vec{U}_b = -U_b \hat{e}_b$ ,  $\vec{E}$  goes around the ellipse clockwise as  $t$  increases

Such a wave is said to be elliptically polarized

Special cases

①  $U_a = 0$  or  $U_b = 0$   
the wave is linearly polarized

(2)  $U_a = U_b$

The tip of  $\vec{E}$  traces out a ~~circle~~ circle as  $t$  increases. The wave is circularly polarized.

The (+) case is said to have right handed circular polarization

The (-) case is said to have left handed circular polarization

One can define circular polarization basis vectors

$$\hat{e}_+ \equiv \frac{\hat{e}_a + i\hat{e}_b}{\sqrt{2}} \quad \hat{e}_- \equiv \frac{\hat{e}_a - i\hat{e}_b}{\sqrt{2}}$$

with  $\hat{e}_a$  and  $\hat{e}_b$  orthogonal.

A wave with <sup>complex</sup> amplitude  $\vec{E}_w = E \hat{e}_+$  is right handed circularly polarized.

A wave with complex amplitude  $\vec{E}_w = E \hat{e}_-$  is left handed circularly polarized.

Just as the general case can always be written as a superposition of two orthogonal linearly polarized waves, i.e.

$$\vec{E}_w = E_1 \hat{e}_1 + E_2 \hat{e}_2$$

one can also always write the general case as a superposition of a left handed and a right handed circularly polarized wave

$$\vec{U} = \vec{U}_a + i\vec{U}_b = U_a \hat{e}_a \pm i U_b \hat{e}_b$$

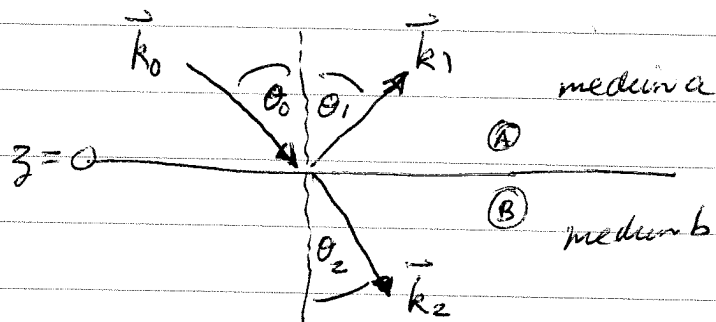
$$= \left( \frac{U_a + U_b}{\sqrt{2}} \right) \hat{e}_{\pm} + \left( \frac{U_a - U_b}{\sqrt{2}} \right) \hat{e}_{\mp}$$

(~~unexpand~~ substitute in for  $\hat{e}_{\pm}$  and expand, to see that this is so)

$\Rightarrow$  An elliptically polarized wave can be written as a superposition of circularly polarized waves

As a special case of the above (if  $U_a = 0$  or  $U_b = 0$ ) a linearly polarized wave can always be written as a superposition of circularly polarized waves.

## Reflection & Transmission of waves at Interfaces



consider wave propagating from medium A into medium B.

for simplicity assume  $\epsilon_a$  is real and positive,  $\epsilon_b$  may be complex  
 $\mu_a$  and  $\mu_b$  are real and constant

$\vec{k}_0$  is incident wave,  $\theta_0$  = angle of incidence

$\vec{k}_1$  is reflected wave,  $\theta_1$  = angle of reflection

$\vec{k}_2$  is the transmitted or "refracted" wave,  $\theta_2$  = angle of refraction

let each wave be given by

$$\vec{F}_n(\vec{r}, t) = \vec{F}_n e^{i(\vec{k}_n \cdot \vec{r} - \omega_n t)}$$

where  $\vec{F}_n$  can be either  $\vec{E}_n$  or  $\vec{H}_n$  for the electric or magnetic component of the wave

boundary condition: tangential component  $\vec{E}$  must be continuous at  $z=0$ . If  $\hat{x}$  is a vector in xy plane, and we consider  $\vec{r}=0$ , then

$$\Rightarrow \hat{x} \cdot \vec{E}_0 e^{-i\omega_0 t} + \hat{x} \cdot \vec{E}_1 e^{-i\omega_1 t} = \hat{x} \cdot \vec{E}_2 e^{-i\omega_2 t}$$

must be true for all time. Can only happen if

$$\boxed{\omega_0 = \omega_1 = \omega_2 \equiv \omega} \quad \text{all frequencies are equal}$$

Now consider the same boundary condition for  $\vec{r}$  a position vector in the xy plane at  $z=0$ . Since  $\omega$ 's are all equal we can cancel out the common  $e^{-i\omega t}$  factors to get

$$\hat{x} \cdot \vec{E}_0 e^{i\vec{k}_0 \cdot \vec{r}} + \hat{x} \cdot \vec{E}_1 e^{i\vec{k}_1 \cdot \vec{r}} = \hat{x} \cdot \vec{E}_2 e^{i\vec{k}_2 \cdot \vec{r}}$$

this must be true for all  $\vec{r}$ . Can only happen if the projections of the  $\vec{k}_n$  in the xy plane are all equal

$$\boxed{\begin{aligned} k_{0x} &= k_{1x} = k_{2x} \\ k_{0y} &= k_{1y} = k_{2y} \end{aligned}}$$

only z components  $\vec{k}$  vectors can be different

Choose coord system as in diagram so that all  $\vec{k}$  vectors lie in the xz plane (y is out of page)

Since  $\epsilon_a$  is real and positive,  $\vec{k}_0$  and  $\vec{k}_1$  are real vectors

$$k_{0x} = k_{1x} \Rightarrow |\vec{k}_0| \sin \theta_0 = |\vec{k}_1| \sin \theta_1$$

$$\text{since } k_0^2 = \frac{\omega^2}{c^2} \mu_a \epsilon_a \quad \text{and } k_1^2 = \frac{\omega^2}{c^2} \mu_a \epsilon_a$$

$$\text{then } |\vec{k}_0| = |\vec{k}_1| \quad \text{so} \quad \sin \theta_0 = \sin \theta_1$$

$$\boxed{\theta_0 = \theta_1}$$

angle of incidence = angle of reflection

If  $\epsilon_b$  is also real and positive (B is transparent)  
then  $|\vec{k}_2|$  is real

$$k_{0x} = k_{2x} \Rightarrow |\vec{k}_0| \sin \theta_0 = |\vec{k}_2| \sin \theta_2$$

$$k_2^2 = \frac{\omega^2}{c^2} \mu_b \epsilon_b$$

$$\Rightarrow \sqrt{\mu_a \epsilon_a} \sin \theta_0 = \sqrt{\mu_b \epsilon_b} \sin \theta_2$$

in terms of index of refraction  $n = \frac{kc}{\omega} = \frac{\omega \sqrt{\mu \epsilon}}{c} \frac{c}{\omega}$

$$n = \sqrt{\mu \epsilon}$$

$$\Rightarrow n_a \sin \theta_0 = n_b \sin \theta_2$$

$$\boxed{\frac{\sin \theta_2}{\sin \theta_0} = \frac{n_a}{n_b}}$$

Snell's Law

true for all types of waves, not just EM waves

If  $n_a > n_b$  then  $\theta_2 > \theta_0$

In this case, when  $\theta_0$  is too large, we will have

$$\frac{n_a}{n_b} \sin \theta_0 > 1 \text{ as there will be no solution for } \theta_2$$

$\Rightarrow$  no transmitted wave

This is "total internal reflection" - wave does not exit medium A. The critical angle, above which one has total internal reflection, is given by

$$\frac{n_a}{n_b} \sin \theta_c = 1, \quad \boxed{\theta_c = \arcsin\left(\frac{n_b}{n_a}\right)}$$