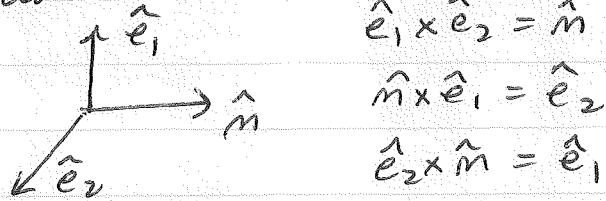


## Polarization

Consider a transverse plane wave traveling in direction  $\hat{m}$ , i.e.  $\vec{k} = k \hat{m}$ . Define a right handed coordinate system as follows:



A general solution to Maxwell's equations for a transverse plane wave is then

$$\begin{aligned}\vec{E}(\vec{r}, t) &= \text{Re} \left\{ (E_1 \hat{e}_1 + E_2 \hat{e}_2) e^{i(\vec{k} \cdot \vec{r} - \omega t)} \right\} \\ \vec{H}(\vec{r}, t) &= \frac{c}{\omega \mu} \text{Re} \left\{ k \hat{m} \times (E_1 \hat{e}_1 + E_2 \hat{e}_2) e^{i(\vec{k} \cdot \vec{r} - \omega t)} \right\} \\ &= \frac{c}{\omega \mu} \text{Re} \left\{ k (E_1 \hat{e}_2 - E_2 \hat{e}_1) e^{i(\vec{k} \cdot \vec{r} - \omega t)} \right\}\end{aligned}$$

In general,  $k$  is complex

$$k = k_1 + ik_2 = |k| e^{is}, \quad \begin{cases} |k| = \sqrt{k_1^2 + k_2^2} \\ s = \arctan(k_2/k_1) \end{cases}$$

So far we implicitly assumed that  $E_1$  and  $E_2$  are real constants. In this case

$$\vec{E}(\vec{r}, t) = \vec{E}_0 e^{-k_2 \hat{m} \cdot \vec{r}} \cos(k_1 \hat{m} \cdot \vec{r} - \omega t)$$

$$\vec{H}(\vec{r}, t) = \vec{H}_0 e^{-k_2 \hat{m} \cdot \vec{r}} \cos(k_1 \hat{m} \cdot \vec{r} - \omega t + \delta)$$

where

$$\vec{E}_0 = E_1 \hat{e}_1 + E_2 \hat{e}_2 \quad \text{and} \quad \vec{H}_0 = \frac{c |k|}{\omega \mu} (E_1 \hat{e}_2 - E_2 \hat{e}_1)$$

are fixed vectors for all time and space.

In this case the directions of  $\vec{E}$  and  $\vec{H}$  remain fixed while the amplitudes oscillate in time and space. Such a plane wave is called a linearly polarized wave.

However there is nothing to prevent one from choosing a solution with  $E_1$  and  $E_2$  complex numbers,

$$E_1 = |E_1| e^{iX_1}, \quad E_2 = |E_2| e^{-iX_2}$$

In this case one has

$$\begin{aligned} \vec{E}(\vec{r}, t) &= \operatorname{Re} \left\{ |E_1| \hat{e}_1 e^{i(\vec{k} \cdot \vec{r} - \omega t + X_1)} + |E_2| \hat{e}_2 e^{i(\vec{k} \cdot \vec{r} - \omega t + X_2)} \right\} \\ &= e^{-k_2 \hat{m} \cdot \vec{r}} \left[ |E_1| \hat{e}_1 \cos(k_1 \hat{m} \cdot \vec{r} - \omega t + X_1) + |E_2| \hat{e}_2 \cos(k_1 \hat{m} \cdot \vec{r} - \omega t + X_2) \right] \end{aligned}$$

and

$$\begin{aligned} \vec{H}(\vec{r}, t) &= \frac{c/k}{\omega \mu} \operatorname{Re} \left\{ |E_1| \hat{e}_2 e^{i(\vec{k} \cdot \vec{r} - \omega t + \delta + X_1)} - |E_2| \hat{e}_1 e^{i(\vec{k} \cdot \vec{r} - \omega t + \delta + X_2)} \right\} \\ &= \frac{c/k}{\omega \mu} e^{-k_2 \hat{m} \cdot \vec{r}} \left[ |E_1| \hat{e}_2 \cos(k_1 \hat{m} \cdot \vec{r} - \omega t + \delta + X_1) - |E_2| \hat{e}_1 \cos(k_1 \hat{m} \cdot \vec{r} - \omega t + \delta + X_2) \right] \end{aligned}$$

Unless  $X_1 = X_2$  we see that the components of  $\vec{E}$  and  $\vec{H}$  in directions  $\hat{e}_1$  and  $\hat{e}_2$  will oscillate out of phase with each other. Thus the directions of  $\vec{E}$  and  $\vec{H}$  will oscillate in time and space, as well as the amplitudes of  $\vec{E}$  and  $\vec{H}$ . The direction of  $\vec{E}$  and  $\vec{H}$  is no longer fixed.

We will see that this situation in general corresponds to an elliptically polarized wave!

General case  $E_1$  and  $E_2$  are complex constants

$$\text{write } E_1 \hat{e}_1 + E_2 \hat{e}_2 = \vec{U} e^{i\psi}$$

where  $\psi$  is chosen so that  $\vec{U} \cdot \vec{U}$  is real

- one can always do this since  $\vec{U} \cdot \vec{U} = (E_1^2 + E_2^2) e^{-2i\psi}$   
so  $2\psi$  is just the phase of the complex  $E_1^2 + E_2^2$

$$\vec{U} \text{ is a complex vector} \Rightarrow \vec{U} = \vec{U}_a + i \vec{U}_b$$

with  $\vec{U}_a$  and  $\vec{U}_b$  real vectors

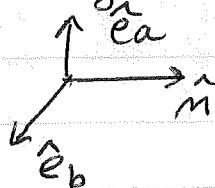
$$\text{since } \vec{U} \cdot \vec{U} \text{ is real} \Rightarrow \vec{U}_a \cdot \vec{U}_b = 0$$

so  $\vec{U}_a \perp \vec{U}_b$  orthogonal

let  $\hat{e}_a$  be the unit vector in direction of  $\vec{U}_a$

$$\text{so } \vec{U}_a = U_a \hat{e}_a \text{ with } U_a = |\vec{U}_a|$$

Let  $\hat{e}_b = \hat{m} \times \hat{e}_a$  so that  $\{\hat{m}, \hat{e}_a, \hat{e}_b\}$  are a right handed coordinate system



$$\text{Then } \vec{U}_b = \pm U_b \hat{e}_b \text{ where}$$

$$U_b = |\vec{U}_b|$$

since  $\vec{U}_b \perp \vec{U}_a$  and both are  $\perp$  to  $\hat{m}$ .

It is (+) if  $\vec{U}_b$  is parallel to  $\hat{e}_b$  and it is (-) if  $\vec{U}_b$  is antiparallel to  $\hat{e}_b$ .

For this representation we have

$$\vec{E}(\vec{r}, t) = \operatorname{Re} \left\{ U_a \hat{e}_a e^{i\Phi} e^{-i(k \cdot \vec{r} - \omega t)} \right\}$$

$$= e^{-k_2 \hat{m} \cdot \vec{r}} \operatorname{Re} \left\{ U_a \hat{e}_a e^{i(k \cdot \hat{m} \cdot \vec{r} - \omega t + \Phi)} \right. \\ \left. \pm U_b \hat{e}_b (+i) e^{i(k \cdot \hat{m} \cdot \vec{r} - \omega t + \Phi)} \right\}$$

$$= e^{-k_2 \hat{m} \cdot \vec{r}} \left\{ U_a \hat{e}_a \cos(\Phi + \Phi) \mp U_b \hat{e}_b \sin(\Phi + \Phi) \right\}$$

where we write  $\Phi \equiv k \cdot \hat{m} \cdot \vec{r} - \omega t$

let's define  $e^{-k_2 \hat{m} \cdot \vec{r}} U_a \rightarrow U_a$   
 $e^{-k_2 \hat{m} \cdot \vec{r}} U_b \rightarrow U_b$

so we don't have to keep writing the constant attenuation factor that is a common factor of all components of  $\vec{E}$ .

Then define  $E_a$  and  $E_b$  as the components of  $\vec{E}$  in the directions  $\hat{e}_a$  and  $\hat{e}_b$  respectively.

$$E_a = U_a \cos(\Phi + \Phi)$$

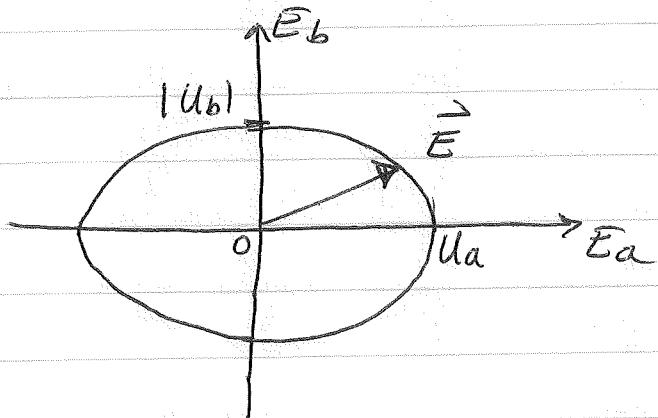
$$E_b = \mp U_b \sin(\Phi + \Phi)$$

This then gives

$$\left( \frac{E_a}{U_a} \right)^2 + \left( \frac{E_b}{U_b} \right)^2 = \cos^2(\Phi + \Phi) + \sin^2(\Phi + \Phi) = 1$$

This is just the equation for an ellipse

with semi-axes of lengths  $U_a$  and  $U_b$ , oriented in the directions of  $\hat{e}_a$  and  $\hat{e}_b$ .



⇒ At a fixed position  $\vec{r}$ , the tip of the vector  $\vec{E}$  will trace out the above ellipse as the time increases by one period of oscillation  $2\pi/\omega$ .

For (+), i.e.  $\vec{U}_b = U_b \hat{e}_b$ ,  $\vec{E}$  goes around the ellipse counterclockwise as  $t$  increases

For (-), i.e.  $\vec{U}_b = -U_b \hat{e}_b$ ,  $\vec{E}$  goes around the ellipse clockwise as  $t$  increases

Such a wave is said to be elliptically polarized

### Special cases

①  $U_a = 0$  or  $U_b = 0$

the wave is linearly polarized

$$(2) U_a = U_b$$

The tip of  $\vec{E}$  traces out a ~~circle~~ circle as  $t$  increases. The wave is circularly polarized.

The (+) case is said to have right handed circular polarization

The (-) case is said to have left handed circular polarization

One can define circular polarization basis vectors

$$\hat{e}_+ = \frac{\hat{e}_a + i\hat{e}_b}{\sqrt{2}} \quad \hat{e}_- = \frac{\hat{e}_a - i\hat{e}_b}{\sqrt{2}}$$

with  $\hat{e}_a$  and  $\hat{e}_b$  orthogonal.

A wave with amplitude  $\vec{E}_w = E \hat{e}_+$  is right handed circularly polarized.

A wave with complex amplitude  $\vec{E}_w = E \hat{e}_-$  is left handed circularly polarized.

Just as the general case can always be written as a superposition of two orthogonal linearly polarized waves, i.e.

$$\vec{E}_w = E_1 \hat{e}_1 + E_2 \hat{e}_2$$

One can also always write the general case as a superposition of a left handed ad a right handed circularly polarized wave

$$\vec{U} = \vec{U}_a + i\vec{U}_b = U_a \hat{e}_a + iU_b \hat{e}_b$$

$$= \left( \frac{U_a + U_b}{\sqrt{2}} \right) \hat{e}_{\pm} + \left( \frac{U_a - U_b}{\sqrt{2}} \right) \hat{e}_{\mp}$$

(rearrange substitutes in for  $\hat{e}_{\pm}$  and expand, to see that this is so)

$\Rightarrow$  An elliptically polarized wave can be written as a superposition of circularly polarized waves

As a special case of the above (if  $U_a = 0$  or  $U_b = 0$ ) a linearly polarized wave can always be written as a superposition of circularly polarized waves.

## magnetic field

In the above general formulation we can write  $\vec{H}$  as

$$\vec{H} = \frac{c}{\omega\mu} \operatorname{Re} \left\{ \hat{m} \times \vec{U} e^{i\psi} e^{-i(\vec{k} \cdot \vec{r} - \omega t)} \right\}$$

$$= \frac{c|k|}{\omega\mu} \operatorname{Re} \left\{ \hat{m} \times (U_a \hat{e}_a \pm i U_b \hat{e}_b) e^{-i(\vec{k} \cdot \vec{r} - \omega t + \delta + \psi)} \right\}$$

$$= \frac{c|k|}{\omega\mu} \operatorname{Re} \left\{ (U_a \hat{e}_b \mp i U_b \hat{e}_a) e^{-i(\vec{k} \cdot \vec{r} - \omega t + \delta + \psi)} \right\}$$

$$\vec{H} = \frac{c|k|}{\omega\mu} e^{-k_2 \hat{m} \cdot \vec{r}} \left[ U_a \hat{e}_b \cos(\Phi + \psi + \delta) \right. \\ \left. \pm U_b \hat{e}_a \sin(\Phi + \psi + \delta) \right]$$

we had for the electric field

$$\vec{E} = e^{-k_2 \hat{m} \cdot \vec{r}} \left[ U_a \hat{e}_a \cos(\Phi + \psi) \mp U_b \hat{e}_b \sin(\Phi + \psi) \right]$$

Consider  $\vec{E} \cdot \vec{H}$ . From the above, with  $\hat{e}_a \cdot \hat{e}_b = 0$ , we get

$$\vec{E} \cdot \vec{H} = e^{-2k_2 \hat{m} \cdot \vec{r}} \frac{c|k|}{\omega\mu} U_a U_b (\pm 1) \left[ \sin(\Phi + \psi + \delta) \cos(\Phi + \psi) \right. \\ \left. - \cos(\Phi + \psi + \delta) \sin(\Phi + \psi) \right] \\ = e^{-2k_2 \hat{m} \cdot \vec{r}} \frac{c|k|}{\omega\mu} U_a U_b (\pm 1) \sin \delta$$

where in the last step we used  $\sin A \cos B - \cos A \sin B = \sin(A - B)$

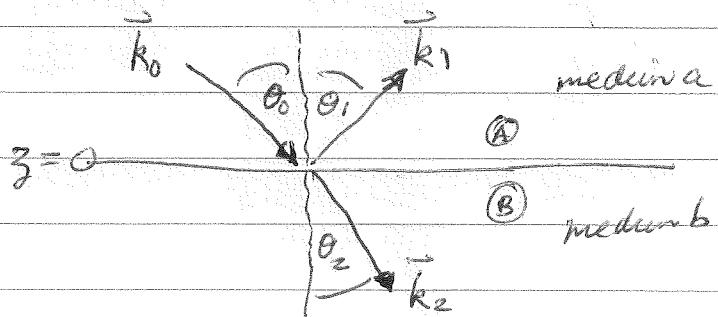
We see that  $\vec{E} \cdot \vec{H} = 0$  only when

1)  $\delta = 0$ , i.e. the medium has no dissipation

or

2)  $U_a = 0$  or  $U_b = 0$ , i.e. the wave is linearly polarized

## Reflection & Transmission of waves at Interfaces



consider wave propagating from medium A into medium B.

for simplicity assume  $\epsilon_a$  is real and positive,  $\epsilon_b$  may be complex  
 $\mu_a$  and  $\mu_b$  are real and constant

$\vec{k}_0$  is incident wave,  $\theta_0$  = angle of incidence

$\vec{k}_1$  is reflected wave,  $\theta_1$  = angle of reflection

$\vec{k}_2$  is the transmitted or "refracted" wave,  $\theta_2$  = angle of refraction

let each wave be given by

$$\vec{F}_n(\vec{r}, t) = \vec{F}_n e^{i(\vec{k}_n \cdot \vec{r} - \omega_n t)}$$

where  $\vec{F}_n$  can be either  $\vec{E}_n$  or  $\vec{H}_n$  so the electric or magnetic component of the wave

boundary condition: tangential component  $\vec{E}$

must be continuous at  $z=0$ . If  $\hat{\vec{t}}$  is a vector in xy plane, and we consider  $\vec{r}=0$ , then

$$\Rightarrow \hat{\vec{t}} \cdot \vec{E}_0 e^{-i\omega_0 t} + \hat{\vec{t}} \cdot \vec{E}_1 e^{-i\omega_1 t} = \hat{\vec{t}} \cdot \vec{E}_2 e^{-i\omega_2 t}$$

must be true for all time. Can only happen if

$$\boxed{\omega_0 = \omega_1 = \omega_2 \equiv \omega}$$

all frequencies are equal

Now consider the same boundary condition for  $\vec{p}$  a position vector in the  $xy$  plane at  $z=0$ . Since  $w$ 's all equal we can cancel out the common  $e^{-wt}$  factors to get

$$\hat{t} \cdot \vec{E}_0 e^{i\vec{k}_0 \cdot \vec{p}} + \hat{t} \cdot \vec{E}_1 e^{i\vec{k}_1 \cdot \vec{p}} = \hat{t} \cdot \vec{E}_2 e^{i\vec{k}_2 \cdot \vec{p}}$$

this must be true for all  $\vec{p}$ . Can only happen if the projections of the  $\vec{k}_n$  in the  $xy$  plane are all equal

$k_{ox} = k_{ix} = k_{2x}$
$k_{oy} = k_{iy} = k_{2y}$

only 3 components  $\vec{k}$  vectors  
can be different

choose coord system as in diagram so that all  $\vec{k}$  vectors lie in the  $xy$  plane ( $y$  & out of page)

$$\vec{k}_0 \quad \vec{k}_1$$

Since  $\epsilon_a$  is real and positive, ~~both~~  $\vec{k}_0$  and ~~both~~  $\vec{k}_1$  are real vectors

$$k_{ox} = k_{ix} \Rightarrow |\vec{k}_0| \sin \theta_0 = |\vec{k}_1| \sin \theta_1$$

Since  $k_0^2 = \frac{\omega^2}{c^2} \mu_a \epsilon_a$  and  $k_1^2 = \frac{\omega^2}{c^2} \mu_a \epsilon_a$

then  $|\vec{k}_0| = |\vec{k}_1|$  so  $\sin \theta_0 = \sin \theta_1$

$\theta_0 = \theta_1$
-----------------------

angle of incidence = angle of reflection

If  $\epsilon_b$  is also real and positive ( $B$  is transparent)  
then  $|\vec{k}_2|$  is real

$$k_{ox} = k_{2x} \Rightarrow |\vec{k}_0| \sin \theta_0 = |\vec{k}_2| \sin \theta_2$$

$$k_2^2 = \frac{\omega^2}{c^2} \mu_b \epsilon_b$$

$$\Rightarrow \sqrt{\mu_a \epsilon_a} \sin \theta_0 = \sqrt{\mu_b \epsilon_b} \sin \theta_2$$

in terms of index of refraction  $n = \frac{kc}{\omega} = \frac{w \sqrt{\mu \epsilon}}{c} c$

$$n = \sqrt{\mu \epsilon}$$

$$\Rightarrow n_a \sin \theta_0 = n_b \sin \theta_2$$

$\sin \theta_2 = \frac{n_a}{n_b}$
$\sin \theta_0$

Snell's Law

true for all types of waves, not just EM waves

If  $n_a > n_b$  then  $\theta_2 > \theta_0$

In this case, when  $\theta_0$  is too large, we will have

$\frac{n_a}{n_b} \sin \theta_0 > 1$  as there will be no solution for  $\theta_2$

$\Rightarrow$  no transmitted wave

This is "total internal reflection" - wave does not exit medium A. The critical angle, above which one has total internal reflection, is given by

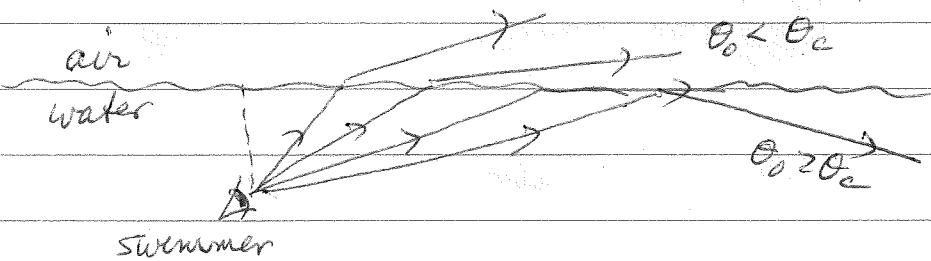
$$\frac{n_a}{n_b} \sin \theta_c = 1, \quad \boxed{\theta_c = \arcsin \left( \frac{n_b}{n_a} \right)}$$

$$\epsilon \approx 1 + 4\pi n \alpha$$

since  $n = \sqrt{\mu \epsilon}$  and  $\epsilon$  grows with density of the material, one usually has total internal reflection when one goes from a denser to a less dense medium.

Examples: diamonds sparkle due to total internal reflection. Diamonds have large  $n \Rightarrow$  small  $\theta_c$   $\Rightarrow$  light bounces around inside many times before it can exit

Can also see total internal reflection when swimming under water



More general case:  $\vec{k}_2$  is complex so  $\vec{k}_2$  is complex

$$\vec{k}_2 = \vec{k}_2' + i\vec{k}_2''$$

↑      ↑

real part    imaginary part

$$k_2' = |\vec{k}_2'|$$

$$k_2'' = |\vec{k}_2''|$$

Note  $\vec{k}_2'$  and  $\vec{k}_2''$  need not be in the same direction!

Condition  $k_{ox} = k_{2x} \Rightarrow \begin{cases} k_{ox} = k_{2x}' \\ \theta = k_{2x}'' \end{cases}$

equate  
real and  
imaginary parts

$$k_o \sin \theta_0 = k_2' \sin \theta_2'$$

$$\theta = k_2'' \sin \theta_2''$$

