

in the ground state will correspond to the states inside the surface of a sphere of fixed radius k_F

k_F is the "Fermi wave vector"

The sphere of radius k_F is the "Fermi sphere"

The surface of the Fermi sphere is the "Fermi surface"

$$\epsilon_F = \frac{\hbar^2 k_F^2}{2m} \text{ is the "Fermi energy"}$$

$$p_F = \hbar k_F \text{ is the "Fermi momentum"}$$

$$v_F = \hbar k_F / m \text{ is the "Fermi velocity"}$$

ϵ_F , p_F , v_F are the energy, momentum, and speed of the most energetic electrons in the ground state

k_F depends on the density of electrons $n = N/V$ in the gas, as follows:

In a k -space volume $\Omega = \frac{4}{3}\pi k_F^3$ (sphere of radius k_F) the number of allowed values of \vec{k} are $\frac{\Omega V}{8\pi^3}$. Thus the number of allowed electron states

are $\frac{2 \times \Omega V}{8\pi^3} = \frac{\Omega V}{4\pi^3}$ since each allowed value

of \vec{k} can hold two spin states ("up" and "down")

Therefore, the number of electrons in the volume Ω

$$N = \frac{\Omega V}{4\pi^3} = \frac{4}{3}\pi k_F^3 \frac{V}{4\pi^3} = \frac{k_F^3 V}{3\pi^2}$$

$$\Rightarrow m = \frac{N}{V} = \frac{k_F^3}{3\pi^2} \Rightarrow k_F = (3\pi^2 m)^{1/3}$$

How big is k_F ?

using our density parameter r_s , where $\frac{4}{3}\pi r_s^3 = \frac{1}{m}$

$$k_F = \frac{(9\pi/4)^{1/3}}{r_s} = \frac{1.92}{r_s} = \frac{3.63}{(r_s/a_0)} \text{ Å}^{-1} \sim \text{Å}^{-1}$$

de Broglie wavelength

$$\lambda_F = 2\pi/k_F \sim \text{Å}$$

$$a_0 = \text{Bohr radius} \cancel{= 52.9 \text{ pm}} \\ = 0.529 \times 10^{-8} \text{ cm} \\ = 0.529 \text{ Å}$$

$$v_F = \frac{\hbar k_F}{m} = \frac{4.20 \times 10^8}{(r_s/a_0)} \text{ cm/sec} \sim 10^8 \text{ cm/sec} \sim 0.01c$$

Compare this to thermal velocity at room

~~Temperature of a classical gas~~

$$\langle v \rangle \approx \sqrt{3k_B T/m} \sim 10^7 \text{ cm/sec}$$

speed of light

$$\text{Fermi energy } E_F = \frac{\hbar^2 k_F^2}{2m} = \frac{\hbar^2}{2ma_0^2} (k_F a_0)^2 = \left(\frac{e^2}{2a_0}\right) (k_F a_0)^2$$

$$\text{where } \frac{e^2}{2a_0} = 13.6 \text{ eV} = 1 \text{ Rydberg}$$

$$a_0 = \frac{\hbar^2}{me^2} = 529 \times 10^{-8} \text{ cm}$$

$$\epsilon_F = (13.6) (k_F a_0)^2 \text{ eV} \approx (13.6) \left(\frac{1.92}{r_s/a_0} \right)^2$$

$$= \frac{50.1 \text{ eV}}{(r_s/a_0)^2} \sim 1.5 \text{ to } 15 \text{ eV} \text{ depending on density}$$

ϵ_F is the magnitude of typical atomic bonding energies

"Fermi temperature"

$$T_F = \epsilon_F / k_B = \frac{58.2}{(r_s/a_0)^2} \times 10^4 \text{ K} \sim 3 \times 10^4 \text{ K}$$

\gg room temperature

Total ground state energy.

$$E = 2 \sum_{|\vec{k}| < k_F} \epsilon_k = 2 \sum_{|\vec{k}| < k_F} \frac{\hbar^2 k^2}{2m}$$

two spin states for each \vec{k}

as $L \rightarrow \infty$, the spacing $\Delta k = \frac{2\pi}{L} \rightarrow 0$ and we can approximate the sum by an integral

$$\lim_{N \rightarrow \infty} \sqrt{\frac{1}{k}} \sum_{\vec{k}} \epsilon(\vec{k}) = \sqrt{\frac{2^3 k_F}{(2\pi)^3}} N^{3/2} \epsilon_F$$

For any quantity $F(\vec{k})$ we have as $\Delta k \rightarrow 0$

$$\sum_{\vec{k}} F(\vec{k}) (\Delta k)^3 = \int d^3k F(\vec{k})$$

$$\text{So } \sum_{\vec{k}} F(\vec{k}) = \frac{1}{(\Delta k)^3} \int d^3k F(\vec{k}) = \left(\frac{L}{2\pi}\right)^3 \int d^3k F(\vec{k}) \\ = \frac{V}{8\pi^3} \int d^3k F(\vec{k})$$

So total energy

$$E = 2 \sum_{|\vec{k}| < k_F} \frac{\hbar^2 k^2}{2m} = 2 \cdot \frac{V}{8\pi^3} \frac{\hbar^2}{2m} \int d^3k k^2$$

$$= \frac{V \hbar^2}{8\pi^3 m} 4\pi \int_0^{k_F} dk k^2$$

convert d^3k to
spherical coords
and integrate over
angles

$$E = \frac{V \hbar^2}{8\pi^3 m} \frac{k_F^5}{5}$$

Energy per particle

$$\frac{E}{N} = \frac{V}{N} \frac{\hbar^2 k_F^5}{2m 5\pi^2} = \frac{1}{m} \left(\frac{\hbar^2 k_F^2}{2m} \right) \frac{k_F^3}{5\pi^2}$$

$$= \left(\frac{3\pi^2}{k_F^3} \right) \left(\frac{\hbar^2 k_F^2}{2m} \right) \frac{k_F^3}{5\pi^2} = \frac{3}{5} E_F$$

$$\boxed{\frac{E}{N} = \frac{3}{5} E_F, \quad \frac{E}{V} = \frac{3}{5} m E_F}$$

energy per particle
energy per volume

Density of states $g(\epsilon)$

Often we want to sum a quantity that depends on \vec{k} only through the energy $\epsilon_{\vec{k}}$, i.e.

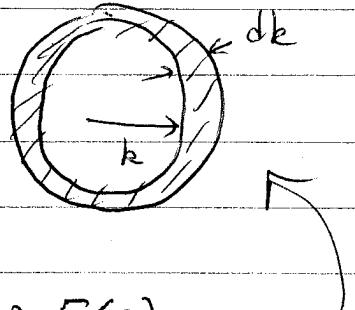
$$\sum_s \sum_{\vec{k}} F(\epsilon_{\vec{k}}) = 2 \sum_{\vec{k}} F(\epsilon_{\vec{k}}) = \frac{V}{4\pi^3} \int d^3k F(\epsilon_{\vec{k}})$$

\uparrow sum over spin states

Define $g(\epsilon)$ as the number of states per unit energy per unit volume, i.e.

$$\frac{1}{V} \sum_s \sum_{\vec{k}} = \frac{1}{4\pi^3} \int d^3k = g(\epsilon) d\epsilon$$

\vec{k} such that
 $\epsilon \leq \epsilon_{\vec{k}} \leq \epsilon + d\epsilon$



Then $\frac{1}{V} \sum_s \sum_{\vec{k}} F(\epsilon_{\vec{k}}) = \int d\epsilon g(\epsilon) F(\epsilon)$

volume of integral

When $\epsilon_{\vec{k}}$ depends on \vec{k} only through $|\vec{k}|$, we can write in \vec{k} -space in a spherical shell of width dk and we can write

$$\frac{1}{4\pi^3} \int d^3k = \frac{4\pi}{4\pi^3} \int dk k^2 = \frac{1}{\pi^2} \int dk k^2 = \int g(\epsilon) d\epsilon$$

$$\frac{1}{\pi^2} k^2 dk = g(\epsilon) d\epsilon$$

$$g(\epsilon) = \frac{1}{\pi^2} k^2 \frac{dk}{d\epsilon}$$

For free electrons $\epsilon = \frac{h^2 k^2}{2m}$

$$g(\epsilon) = \frac{1}{\pi^2} \frac{2m\epsilon}{\hbar^2} \frac{1}{(E/\hbar k)}$$

$$= \frac{2m\epsilon}{\pi^2 \hbar^2} \frac{1}{\frac{2\hbar^2 k}{2m}} = \frac{2m\epsilon}{\pi^2 \hbar^2} \frac{k}{2\epsilon}$$

$$= \frac{m}{\pi^2 \hbar^2} \sqrt{\frac{2m\epsilon}{\hbar^2}}$$

$$g(\epsilon) = \frac{m}{\pi^2 \hbar^3} \sqrt{2m\epsilon} \propto \sqrt{\epsilon}$$

Total energy

$$\text{Energy} = \int_0^{E_F} g(\epsilon) d\epsilon$$

Write $g(\epsilon) = C \sqrt{\epsilon}$ where C is appropriate const

Density of electrons

$$N = \frac{1}{V} \sum_s \sum_{|k| < k_F} 1 \quad \text{count states}$$

$$= \int_0^{E_F} d\epsilon g(\epsilon) = C \int_0^{E_F} d\epsilon \epsilon^{1/2} = \frac{2}{3} C E_F^{3/2}$$

$$so \quad C = \frac{3}{2} \frac{m}{E_F^{3/2}}$$

$$g(\epsilon) = \frac{3}{2} \frac{m}{E_F} \sqrt{\frac{\epsilon}{E_F}}$$

Total energy

$$\frac{E}{V} = \int_0^{\epsilon_F} g(\epsilon) \epsilon = C \int_0^{\epsilon_F} \epsilon^{3/2} = \frac{2}{5} C \epsilon_F^{5/2}$$

$$= \frac{2}{5} \cdot \frac{3}{2} \frac{m}{\epsilon_F^{3/2}} \epsilon_F^{5/2}$$

$\frac{E}{V} = \frac{3}{5} m \epsilon_F$ Same as we found before

We will see that $g(\epsilon)$, and in particular $g(\epsilon_F)$ is a very important quantity determining the behavior of conduction electrons in a metal.

Pressure of conduction electrons in a metal

From thermodynamics

$$dE = TdS - pdV$$

at $T=0$

$$dE = -pdV$$

$$P = -\left(\frac{dE}{dV}\right)$$

$$\text{Now } E = \frac{3}{5} V n \epsilon_F = \frac{3}{5} N \epsilon_F$$

$$\epsilon_F = \frac{\hbar^2 k_F^2}{2m} \quad \text{where } k_F = \left(3\pi^2 \frac{N}{V}\right)^{1/3}$$

$$\text{so } \epsilon_F \propto V^{-2/3} \quad \text{for constant } N$$

$$E = \text{const} V^{-2/3}$$

$$\text{const} = \frac{3}{5} N \frac{\hbar^2}{2m} (3\pi^2 N)^{2/3}$$

$$-\frac{dE}{dV} = \frac{2}{3} \text{const} V^{-5/3} = \frac{2}{3} \text{const} \frac{V^{-2/3}}{V}$$

$$\boxed{P = \frac{2}{3} \frac{E}{V}} = \frac{2}{3} \frac{3}{5} m \varepsilon_F = \frac{2}{5} m \varepsilon_F$$

Bulk modulus Compare to a classical ideal gas where

$$P = m k_B T \quad \text{classical}$$

we now have: $P = \frac{2}{5} m k_B T_F$ quantum where $\varepsilon_F = k_B T_F$

since $T_F \gg T$ for a metal

$$P_{\text{quantum}} \gg P_{\text{classical}}$$

Bulk modulus $B = 1/\kappa$, κ = compressibility

$$B = -V \left(\frac{\partial P}{\partial V} \right)_N \leftarrow \begin{matrix} \text{derivative is at} \\ \text{constant } N \end{matrix}$$

$$P = \frac{2}{3} \frac{E}{V} \quad \text{and} \quad E = \text{const} V^{-2/3}$$

$$\Rightarrow P = \text{const} V^{-5/3}$$

$$B = -V \left(\frac{\partial P}{\partial V} \right)_N = +V \frac{5}{3} \text{const} V^{-7/3} = \frac{5}{3} \text{const} V^{-5/3}$$

$$= \frac{5}{3} P = \frac{5}{3} \cdot \frac{2}{3} \frac{E}{V} = \frac{10}{9} \frac{E}{V} = \frac{10}{9} \frac{3}{5} m \varepsilon_F = \frac{2}{3} m \varepsilon_F$$

$$B = \frac{2}{3} n e F \quad \text{at } T=0$$

$$= \left[\frac{6 \cdot 15}{(r_s/a_0)} \right]^5 \times 10^{10} \text{ dynes/cm}^2$$

<u>metal</u>	<u>B-theory</u>	<u>B-expt</u>	$(\times 10^{10} \text{ dynes/cm}^2)$
Li	23.9	11.5	
Na	9.25	6.42	
Cu	63.8	134.3	
Ag	34.5	99.9	

Our free electron model gives correct order of magnitude. Note that our calculation gives only the contribution to B from the conduction electrons, whereas the measured B in experiment has also a contribution from the lattice of ions. We see that the electronic contribution is nearly just as important as the ionic contribution.

Free electron gas at finite temperature

Fermi occupation function - at finite temperature T

$$f_i = \frac{1}{e^{(\epsilon_i - \mu)/k_B T} + 1}$$

is the average number of electrons in single electron state "i", where ϵ_i is the energy of this state.

Note: for fermions, since there can only be 0 or 1 electron in any given state, f_i is also equal to the probability that state "i" is occupied.

Here "i" stands for the complete set of quantum numbers needed to specify the single electron state.

For a free electron, "i" labels labels both the wavevector \mathbf{k} and spin s .

For a derivation of the fermi occupation function see Ashcroft + Mermin pages 40-42, or Kittel Appendix D, or any book on statistical mechanics.

μ is the chemical potential, determined by the condition that

$$\sum_i f_i = N$$

sum over all single electron states

$\xrightarrow{\text{total number of fermions in the system}}$

or, dividing by volume V ,

$$\frac{N}{V} = n = \frac{1}{V} \sum_i f_i \quad \begin{matrix} \text{determines } \mu \text{ as fraction} \\ \text{of density } n \text{ at temperature } T \end{matrix}$$

\uparrow
This is independent of V in the thermodynamic limit

In terms of the density of states $g(\epsilon)$

$$n = \frac{1}{V} \sum_i f_i = \frac{1}{V} \sum_k \sum_s f_{k,s} = \int d\epsilon g(\epsilon) f(\epsilon)$$

for metal,
 n is fixed
constant at
all temp T

so $\rightarrow n = \int d\epsilon g(\epsilon) \frac{1}{e^{(\epsilon-\mu)/k_B T} + 1}$ since f depends on k and s
only through $\epsilon_{k,s}$ \leftarrow determines $\mu(T, n)$

$$\text{As } T \rightarrow 0, f(\epsilon) = \frac{1}{e^{(\epsilon-\mu)/k_B T} + 1} = \begin{cases} 0, \epsilon > \mu \\ 1, \epsilon < \mu \end{cases}$$

So

$$n = \int_0^\mu d\epsilon g(\epsilon)$$

But earlier we had

$$n = \int_0^{\epsilon_F} d\epsilon g(\epsilon)$$

So as $T \rightarrow 0$, $\mu \rightarrow \epsilon_F$ the Fermi energy.